

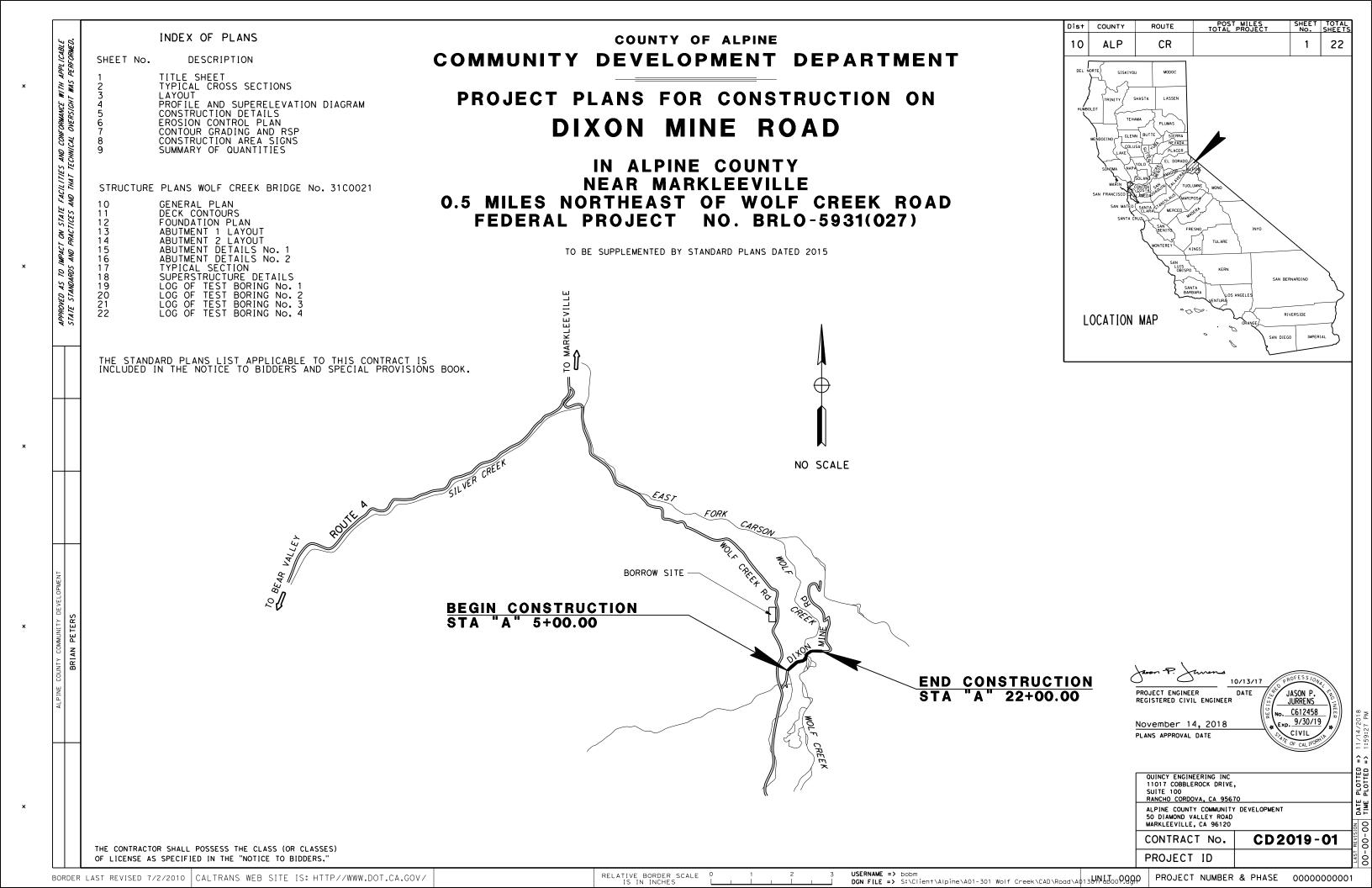
#### **ADDENDUM #1**

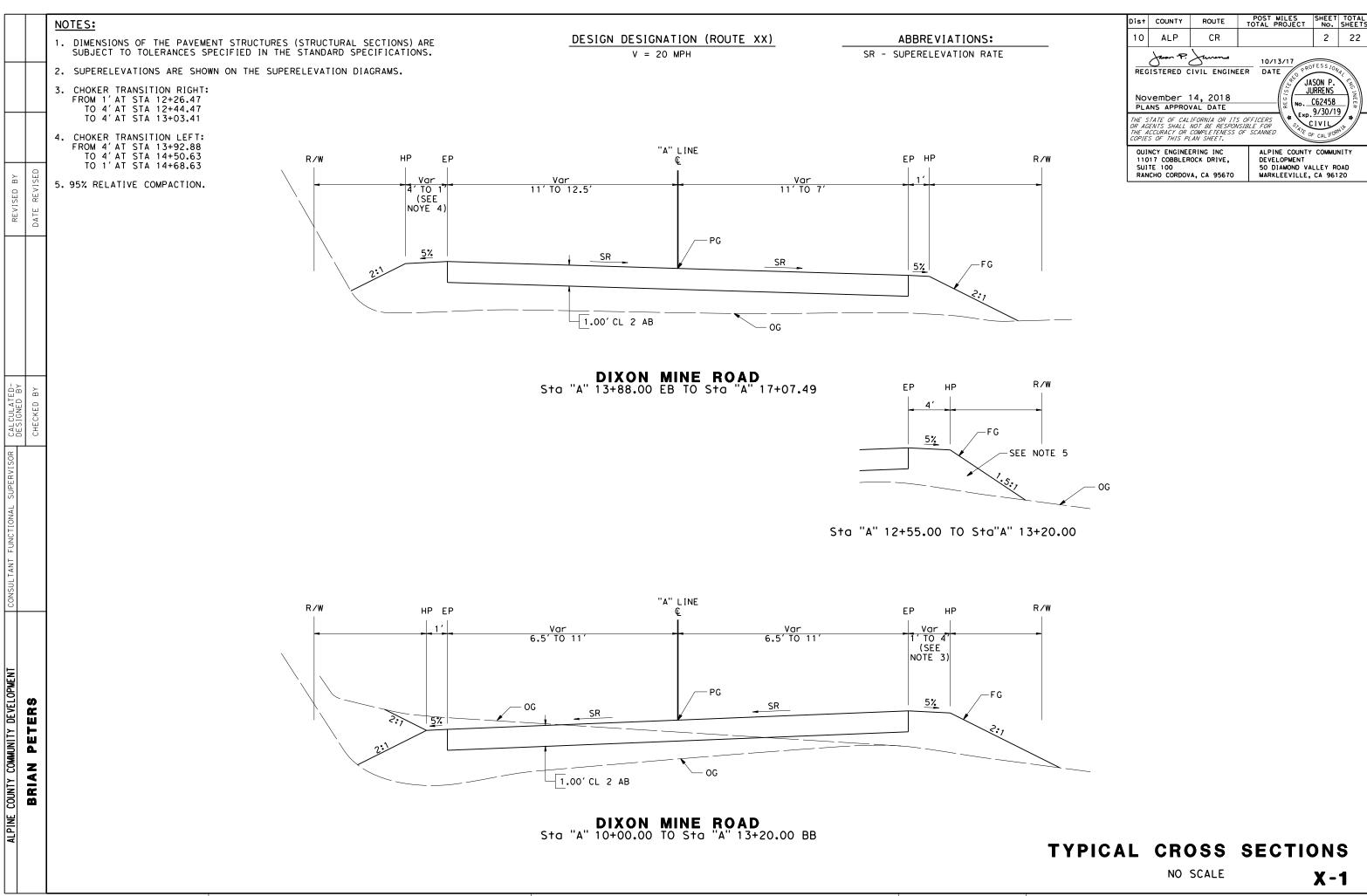
## ALPINE COUNTY REQUEST FOR PROPOSALS CONSTRUCTION MANAGEMENT SERVICES FOR THE DIXON MINE ROAD BRIDGE OVER WOLF CREEK BRIDGE REPLACEMENT PROJECT

#### **MARCH 7, 2019**

The following are revisions and/or clarifications to the Request for Proposals released on February 20, 2019.

- 1. Proposals are due to the Alpine County Community Development Department prior to 4 p.m. March 20, 2019.
- 2. The following documents are provided with this addendum:
  - a. Project Plans for Construction on Dixon Mine Road
  - b. Bid Book for Dixon Mine Road Bridge Replacement Project
  - c. Notice to Bidders and Special Provisions for Dixon Mine Road Bridge Replacement Project
- 3. Biological monitoring will not be a part of the construction management services. The Bid Schedule includes a contractor supplied biologist.
- 4. Construction staking will not be a part of the construction management services. The Bid Schedule includes contractor construction staking.
- 5. There will not be a pre-proposal meeting.





0000000001

BORDER LAST REVISED 7/2/2010

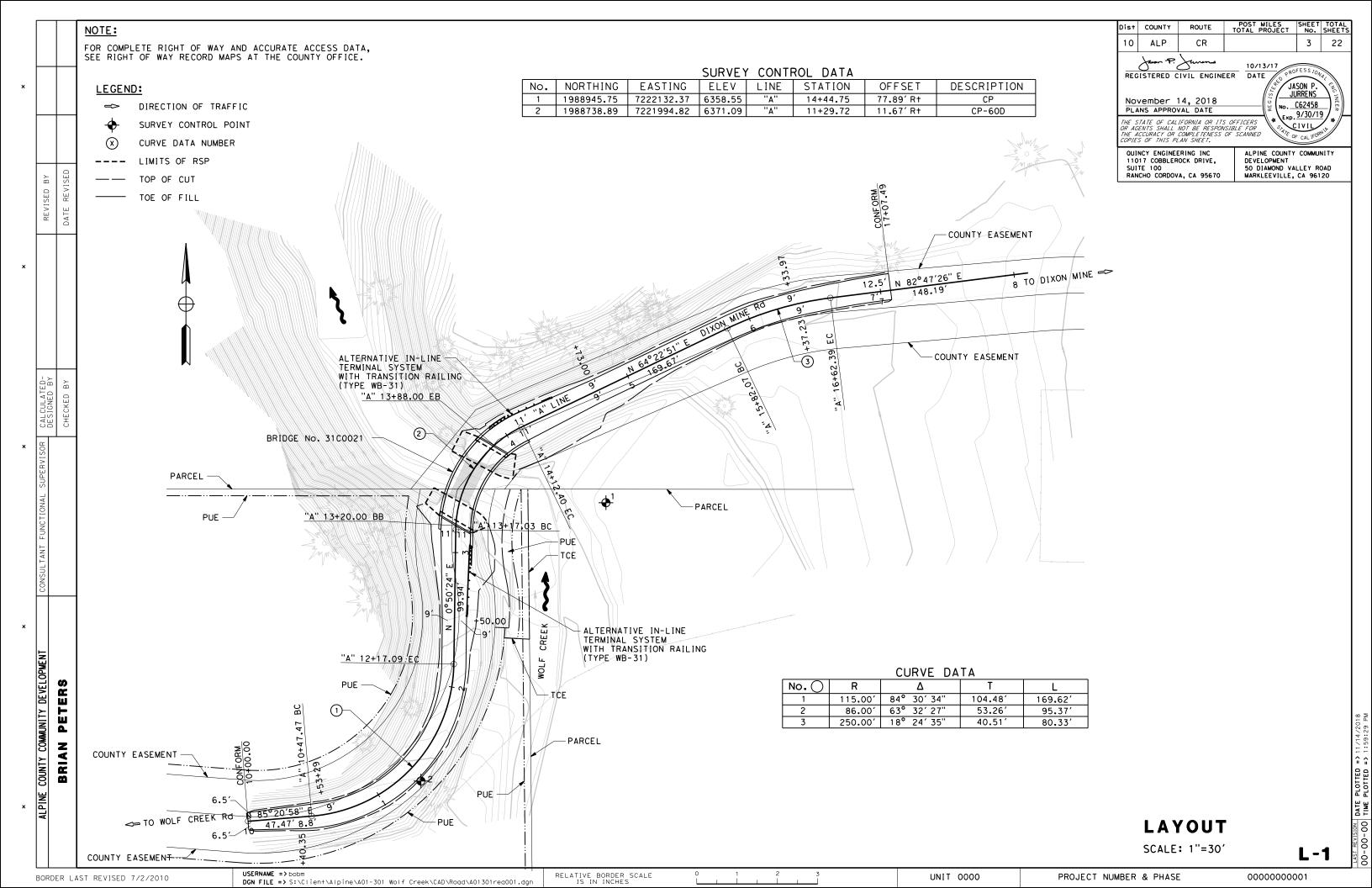
USERNAME => bobm DGN FILE => S:\Client\Alpine\A01-301 Wolf Creek\CAD\Road\A01301rca001.dgm RELATIVE BORDER SCALE
IS IN INCHES

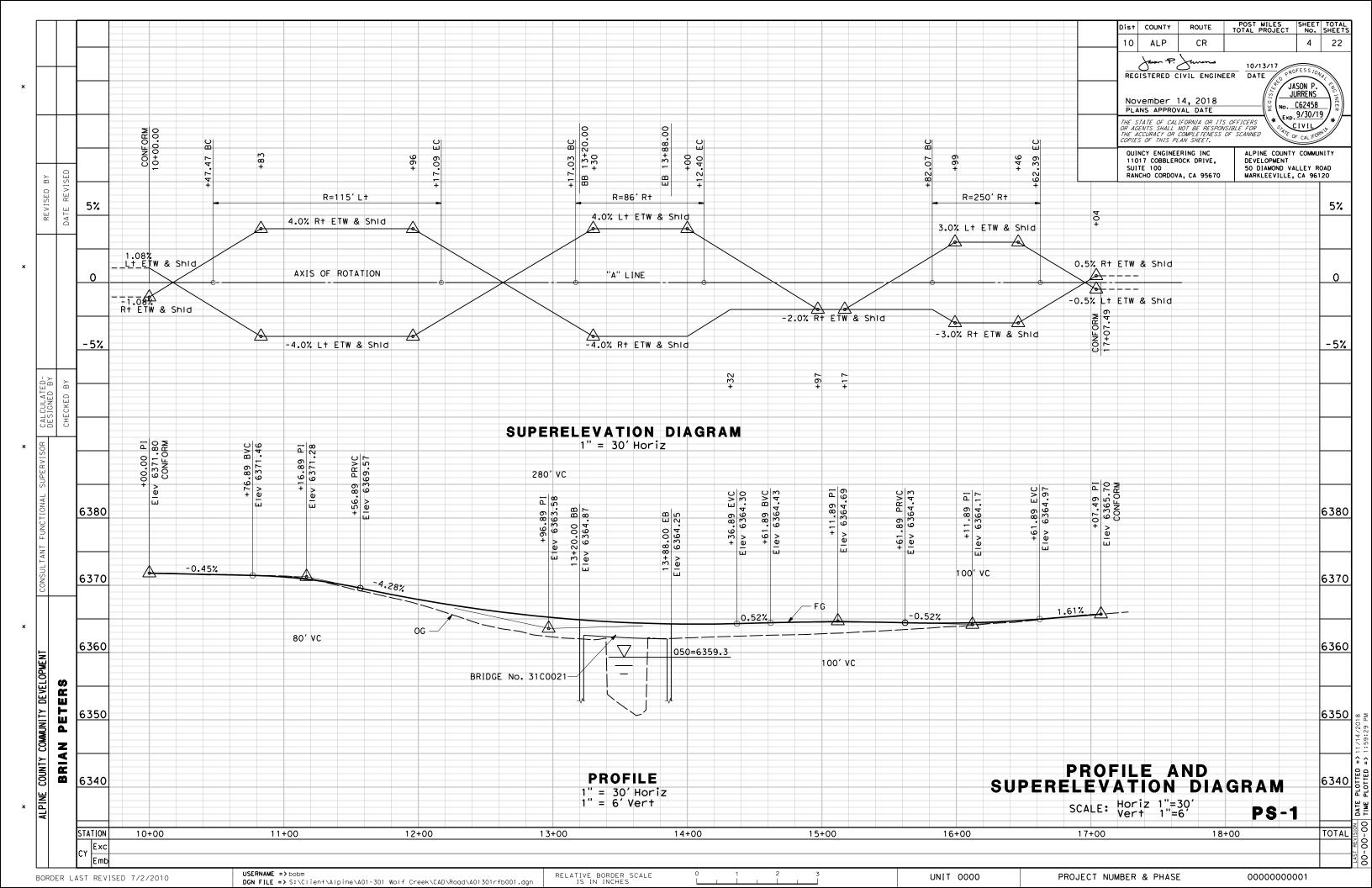
UNIT 0000

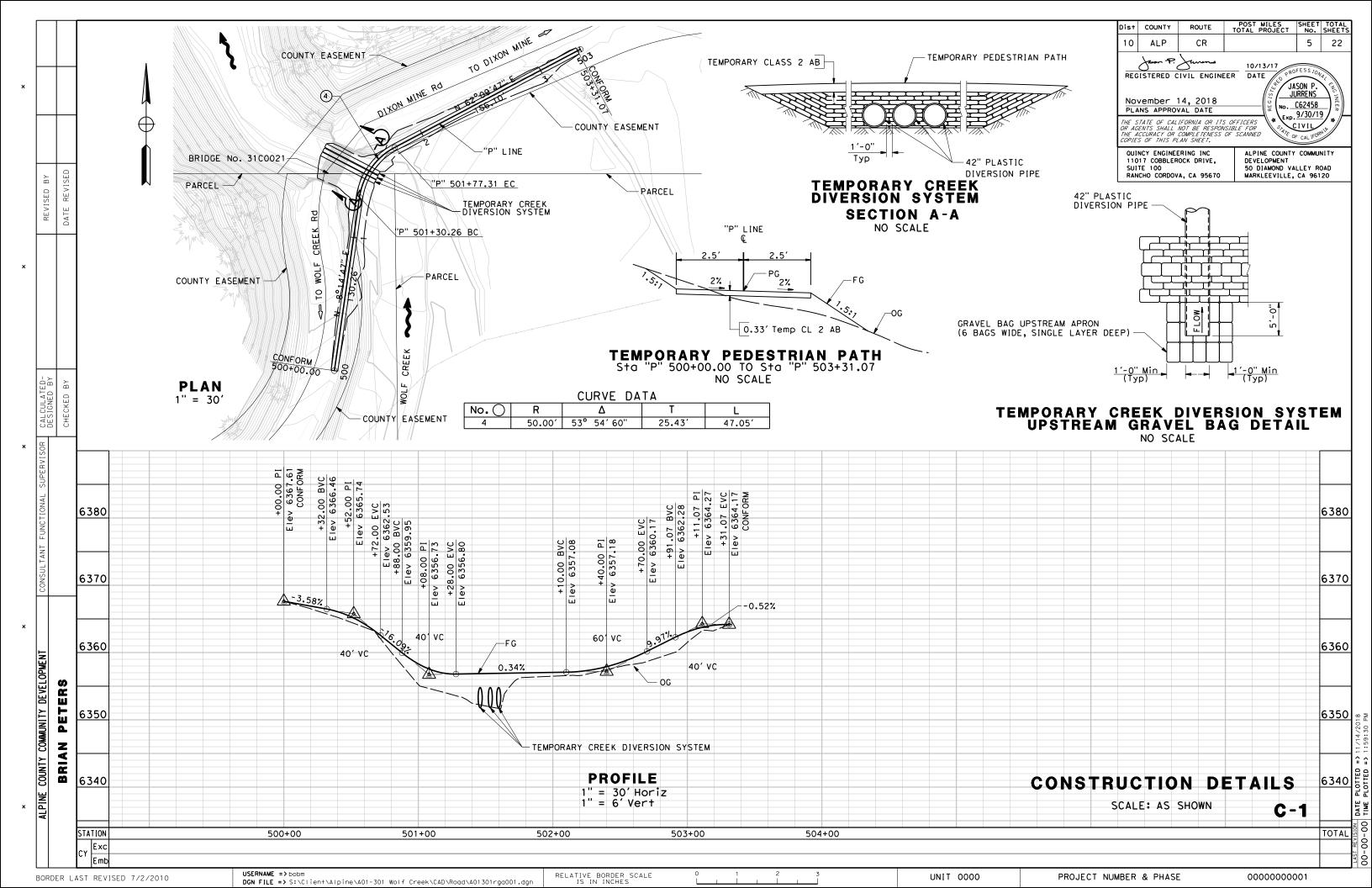
PROJECT NUMBER & PHASE

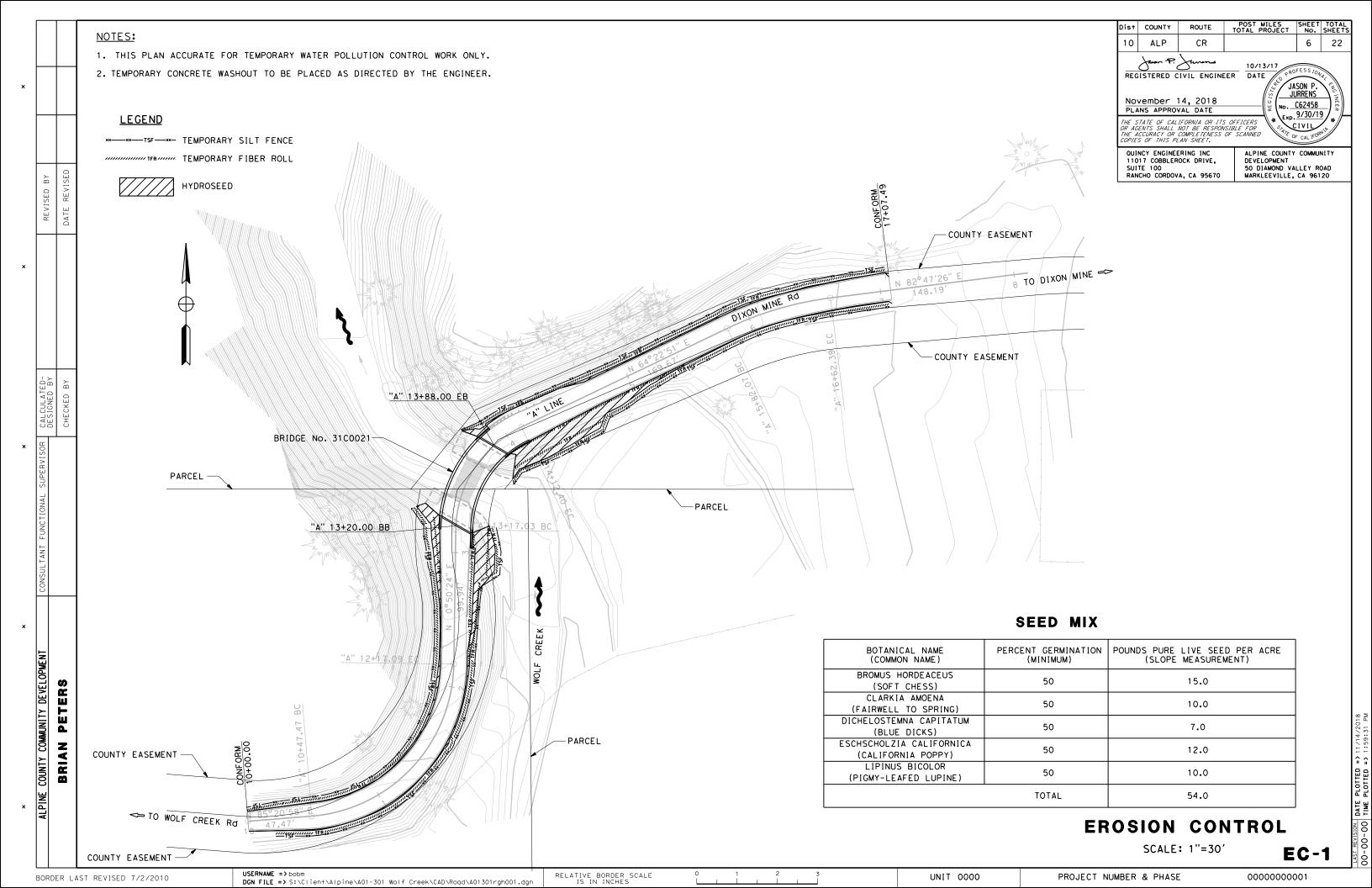
ALPINE COUNTY COMMUNITY DEVELOPMENT 50 DIAMOND VALLEY ROAD MARKLEEVILLE, CA 96120

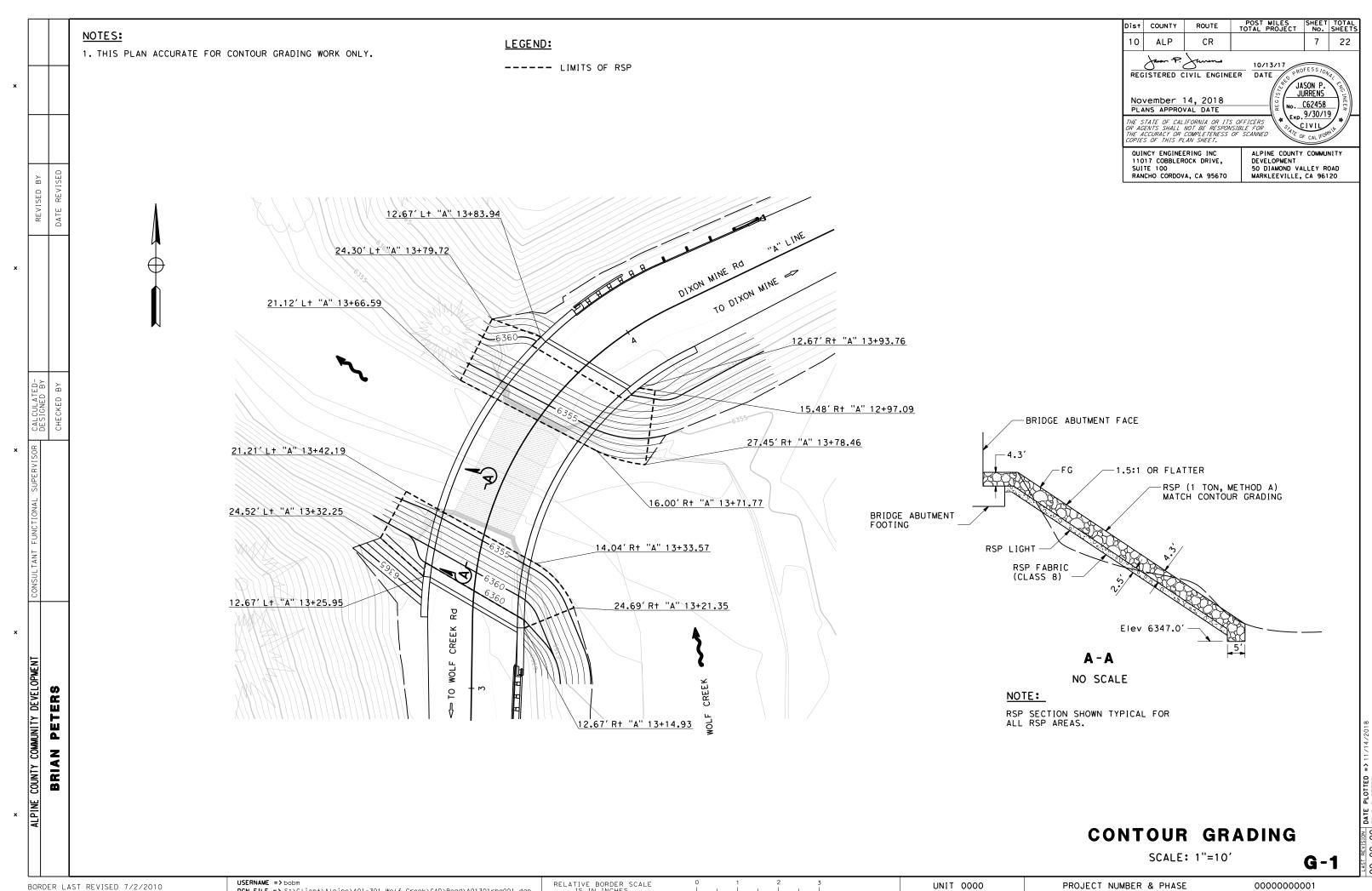
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UNIT 0000 PROJECT NUMBER & PHASE DGN FILE => S:\Client\Alpine\A01-301 Wolf Creek\CAD\Road\A01301rha001.dgn

NOTES:							
1. THIS PLAN	ACCURATE	FOR	CONSTRUCTION	AREA	SIGN	WORK	ONLY.

 SIGN LOCATIONS SHOWN ARE APPROXIMATE. EXACT LOCATIONS TO BE DETERMINED BY THE ENGINEER.

USE WITH FLASHERS AT NIGHT

#### **LEGEND:**

CONSTRUCTION AREA

- → CONSTRUCTION AREA SIGN
- TYPE III BARRICADE

DIXON MINE Rd
CLOSED
AT WOLF CREEK BRIDGE

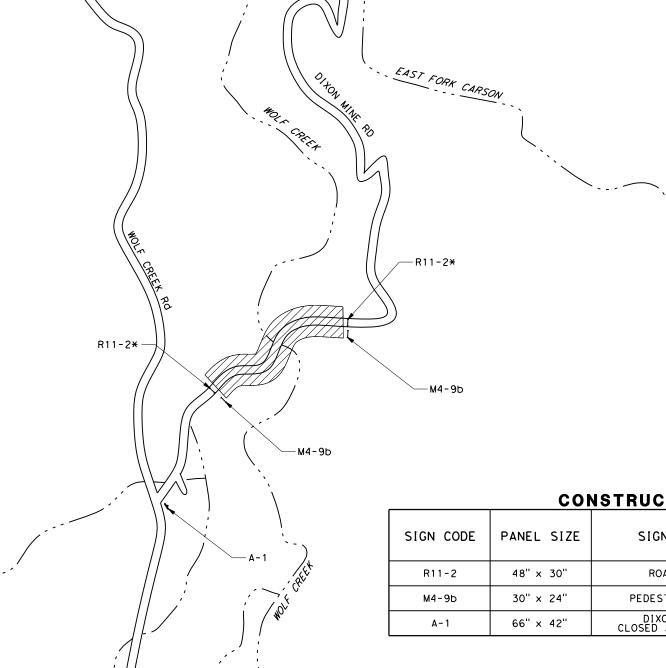
**DATES** 

66"

A-1 SIGN DETAIL NO SCALE

#### TYPE III BARRICADE

LINE	STATION	EA
"A"	9+00.00	3
"A"	18+00.00	3
TOTAL		6



DIST COUNTY ROUTE POST MILES SHEET TOTAL PROJECT No. SHEETS

10 ALP CR 8 22

| Comparison of the project of the

OUINCY ENGINEERING INC
11017 COBBLEROCK DRIVE,
SUITE 100
RANCHO CORDOVA, CA 95670
SUITE 100
RANCHO CORDOVA, CA 95670
ALPINE COUNTY COMMUNITY
DEVELOPMENT
50 DIAMOND VALLEY ROAD
MARKLEEVILLE, CA 96120

CONSTRUCTION AREA SIGNS

		TO INCOTION ANEA	<del></del>	
SIGN CODE	PANEL SIZE	SIGN MESSAGE	NUMBER OF POSTS AND SIZE	NUMBER OF SIGNS
R11-2	48" × 30"	ROAD CLOSED	MOUNTED ON BARRICADE	2
M4-9b	30" × 24"	PEDESTRIAN DETOUR	MOUNTED ON BARRICADE	2
A-1	66" × 42"	DIXON MINE RD CLOSED AT WOLF CREEK	2 - 4" × 6"	1
		CLOSED AT WOLF CREEK		

### CONSTRUCTION AREA SIGNS

NO SCALE

CS-1

NO SC

LPINE COUNTY COMMUNITY DEVELOPMENT	CONSULTANT FUNCTIONAL SUPERVISOR	CALCULATED	REVISED BY		
		DESIGNED BY			
BRIAN PETERS		CHECKED BY	DATE REVISED		

IITY DEVELOPMENT	CONSULTANT FUNCTIONAL SUPERVISOR	SUPERVISOR CALCULATED-	REVISED BY	
		DESIGNED BY		
ETERS		СНЕСКЕD ВY	DATE REVISED	

	O A	D	N A	ΑI.	TEMS
--	-----	---	-----	-----	------

	FROM			ТО		ROADWAY EXCAVATION	EMBANKMENT (N)	IMPORTED BORROW	CLASS 2 AGGREGATE BASE	TEMPORARY CLASS 2 AGGREGATE BASE	ROCK SLOPE PROTECTION (1 TON, METHOD A)	ROCK SLOPE PROTECTION (LIGHT, METHOD B)	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	COMMENTS
LINE	FROM	OFFSET	LINE	ТО	OFFSET	CY	CY	CY	CY	CY	CY	CY	SQYD	
"A"	10+00.00	L†/R†	"A"	13+20.00 BB	L†/R†	164	250	86	214		167	70	227	INCLUDES CONTOUR GRADING
"A"	13+88.00 EB	L+/R+	"A"	17+07.49	L†/R†	144	201	57	223		195	86	256	INCLUDES CONTOUR GRADING
"P"	500+00.00	L†/R†	"P"	503+31.07	L†/R†	10	171	161		20				
"P"	500+00.00	L†/R†	"P"	503+31.07	L†/R†	171								REMOVE TEMPORARY PATH
TOTAL						489	622	304	437	20	362	156	483	

(N) - NOT A SEPARATE PAY ITEM, FOR INFORMATION PURPOSES ONLY

#### **GUARDRAILING**

	FROM			ТО		TRANSITION RAILING (TYPE WB-31)	ALTERNATIVE IN -LINE TERMINAL SYSTEM
LINE	FROM	OFFSET	LINE	то	EA	EA	
"A"	12+87.50	9.27 Rt	"A"	13+03.50	1	1	
"A"	13+92.88	11.00 L†	"A"	14+17.88	10.63 L†	1	1
					TOTAL	2	2

#### **EROSION CONTROL**

	FROM			ТО		HYDROSEED	TEMPORARY FIBER ROLL	TEMPORARY SILT FENCE
LINE	FROM	OFFSET	LINE	ТО	OFFSET	SQFT	LF	LF
"A"	10+00.00	L†	"A"	13+20.00 BB	L†	925	377	319
"A"	10+00.00	R†	"A" 13+20.00 BB R+			1284	465	331
"A"	13+88.00 EB	L†	"A" 17+07.49 L+			793	350	342
"A"	13+88.00 EB	R†	"A"	17+07.49	R†	2082	542	319
		•			TOTAL	5084	1734	1311

#### SUMMARY OF QUANTITIES

NO SCALE

Q-1

USERNAME => bobm

Dist COUNTY 10 ALP

CR

REGISTERED CIVIL ENGINEER DATE

THE STATE OF CALIFORNIA OR ITS OFFICERS OR AGENTS SHALL NOT BE RESPONSIBLE FOR THE ACCURACY OR COMPLETENESS OF SCANNED COPIES OF THIS PLAN SHEET.

November 14, 2018
PLANS APPROVAL DATE

OUINCY ENGINEERING INC 11017 COBBLEROCK DRIVE, SUITE 100 RANCHO CORDOVA, CA 95670

9

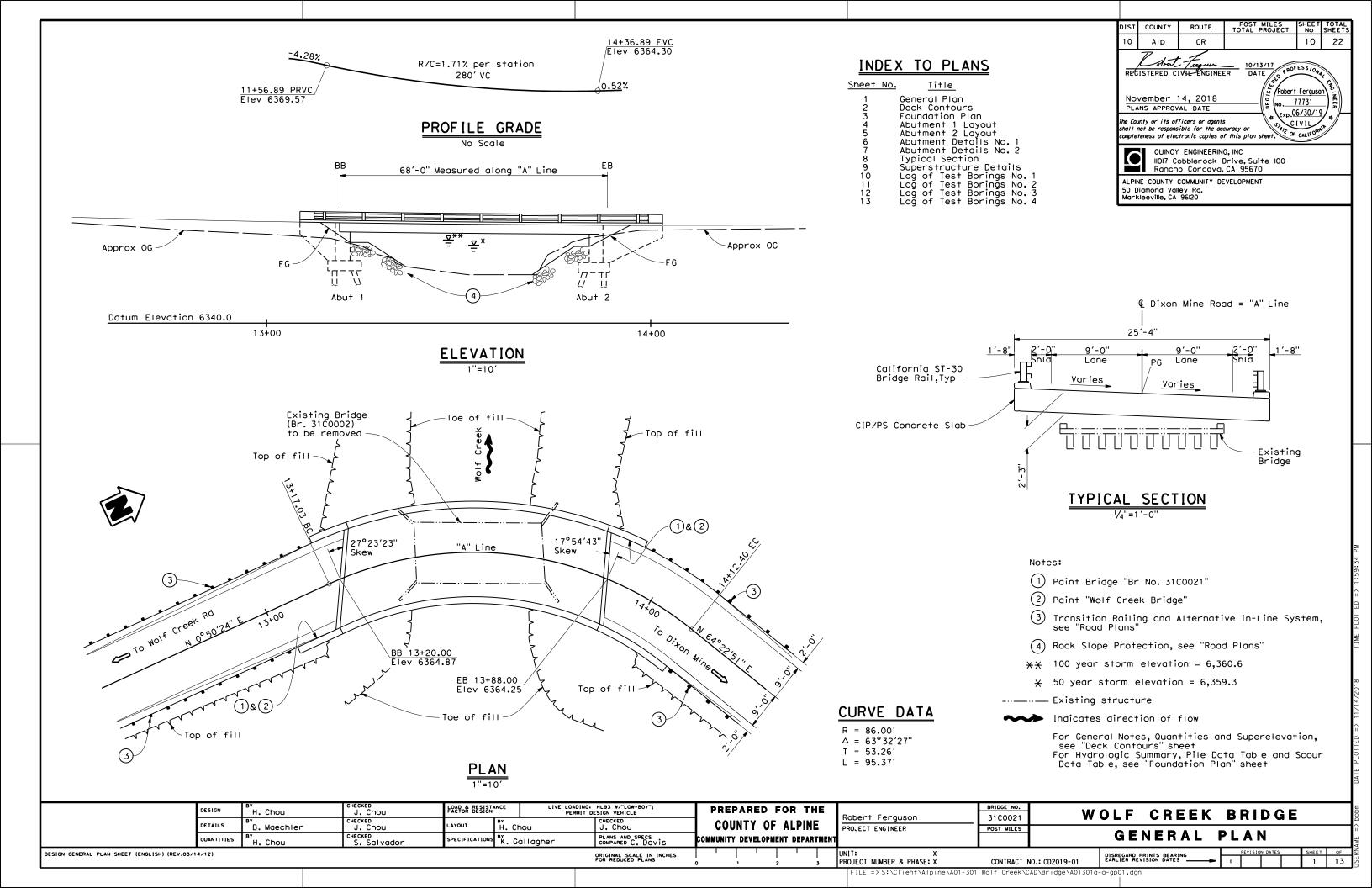
JASON P. JURRENS

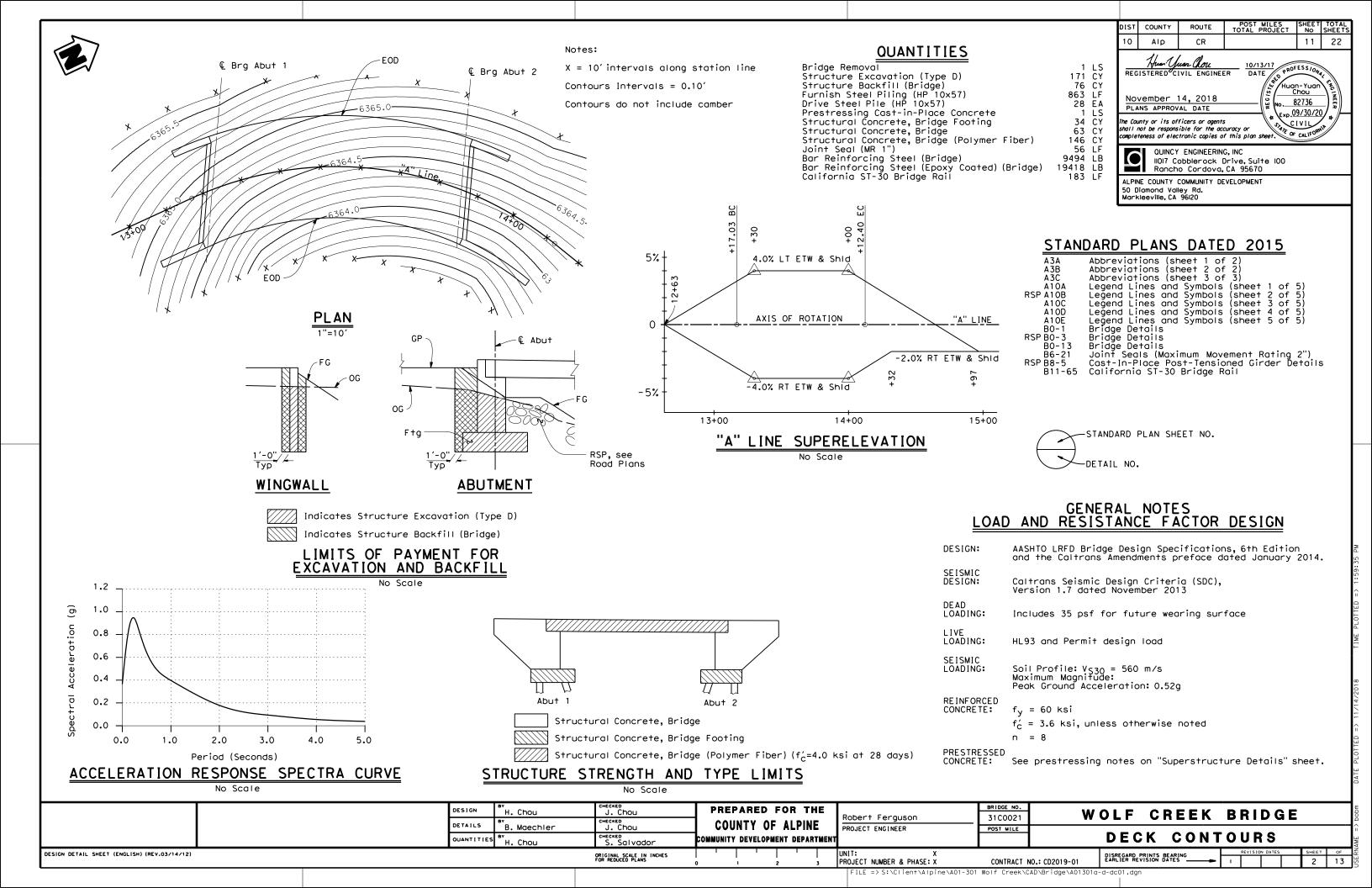
No. <u>C62458</u>
Exp. <u>9/30/19</u>
CIVIL

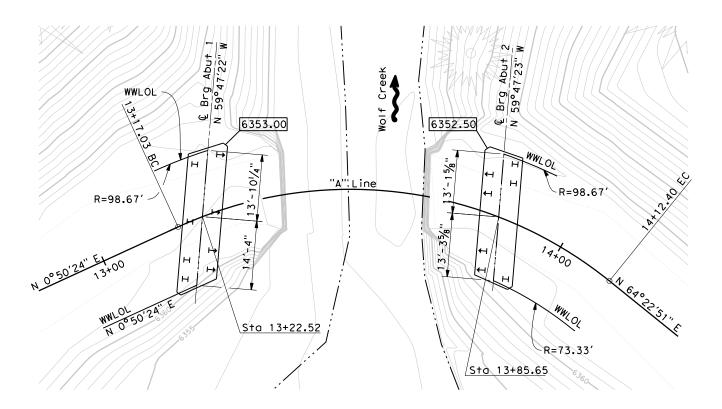
ALPINE COUNTY COMMUNITY DEVELOPMENT 50 DIAMOND VALLEY ROAD MARKLEEVILLE, CA 96120

10/13/17

0000000001







PLAN

Legend:

Indicates bottom of footing elevation

Indicates HP pile, not all piles shown

Indicates 3:1 battered HP pile, not all piles shown

Frequency (years)

COUNTY 10 Alp CR 12 22 Huar: Yuan Clou REGISTERED CIVIL ENGINEER Huan-Yuar Chou 82736

Exp.09/30/20

CIVIL

ATE OF CALIFOR

November 14, 2018
PLANS APPROVAL DATE

The County or its officers or agents shall not be responsible for the accuracy or completeness of electronic copies of this plan sheet. QUINCY ENGINEERING, INC 11017 Cobblerock Drive, Suite 100 Rancho Cordova, CA 95670

ALPINE COUNTY COMMUNITY DEVELOPMENT 50 Diamond Valley Rd. Markleeville, CA 96120

#### HYDROLOGIC SUMMARY

Drainage area: 29 Square Miles Base

Design Flood Flood 50 100 Discharge (cubic feet per second) 2950 3900

Water Surface Elev at Bridge (ft)

6,359.3 6,360.6

Flood plain data based upon information available when the plans were prepared and are shown to meet Federal requirments. The accuracy of said information is not warranted by the County and interested or affected parties should make their own investigations.

#### SCOUR DATA TABLE

SUPPORT No.	LONG TERM (DEGRADATION AND CONTRACTION) SCOUR ELEVATION (f+)	SHORT TERM (LOCAL) SCOUR DEPTH (f+)
Abut 1	6347.3	0
Abut 2	6347.3	0

Note: Rock Slope Protection has been provided as a scour countermeasure against local scour and channel migration. Long-term scour elevations are measured from the channel thalweg.

### PILE DATA TARLE

		LILE D	AIA IA	DLE			
LOCATION	PILE TYPE	NOMINAL RESIST	ANCE (kips)	DESIGN TIP	SPECIFIED TIP	REQUIRED NOMINAL DRIVING RESISTANCE (kips)	
LOCATION	FILE TIFE	COMPRESSION	TENSION	ELEVATION (ft)	ELEVATION (ft)		
Abut 1	HP 10×57	200	N/A	6323.42 (1) 6323.42 (2) 6328.00 (3)	6323.42	280	
Abut 2	HP 10x57	186	N/A	6322.92 (1) 6323.42 (2) 6328.00 (3)	6322.92	280	

Note: Design Tip Elevation is controlled by the following demands: (1) Compression, (2) Settlement, (3) Lateral Loads

#### BENCH MARK DATA

No.	NORTHI NG	EASTING	ELEVATION	LINE	STATION	OFFSET	DESCRIPTION
1	1988945.75	7222132.37	6358.55	" A"	14+44.75	77.89′ R+	СР
2	1988738.89	7221994.82	6371.09	" A"	11+29.72	11.67′ R+	CP-60D Nail

Note: For locations of control points, see "Road Plans"

SCALE: AS Shown VERT.DATUM NAVD 88 HORZ.DATUM NAD 83 PHOTOGRAMMETRY AS OF: N/A ALIGNMENT TIES SEE BENCH MARK SURVEYED BY J. Wheat, L.S. B. Maechler DRAFTED BY J. Wheat, L.S. FIELD CHECKED ′J. Wheat, L.S. CHECKED FOUNDATION PLAN SHEET (ENGLISH) (REV.03/14/12)

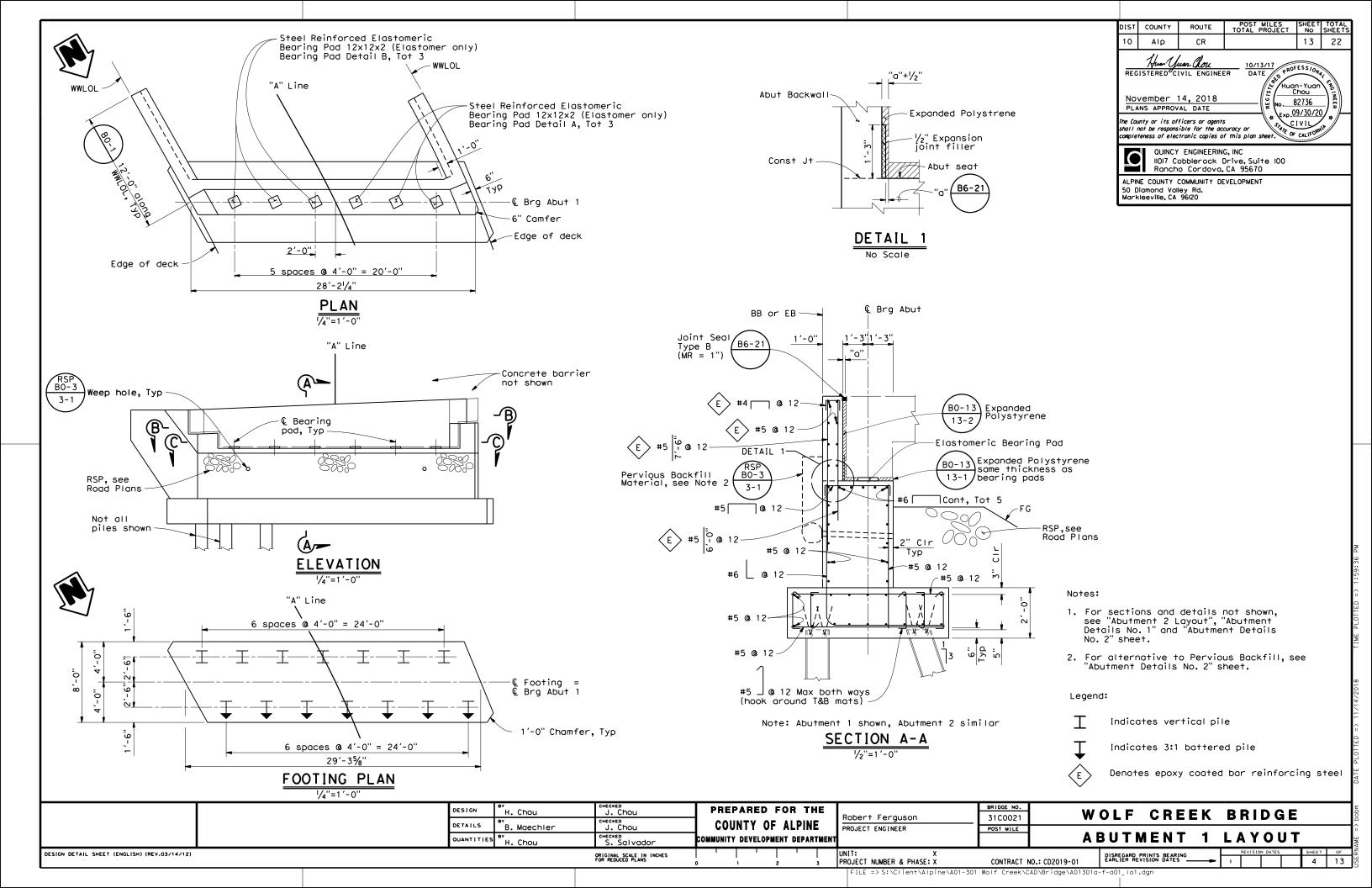
J. Chou PREPARED FOR THE DESIGN H. Chou J. Chou DETAILS COUNTY OF ALPINE B. Maechler снескер S. Salvador COMMUNITY DEVELOPMENT DEPARTMEN DUANTITIE H. Chou

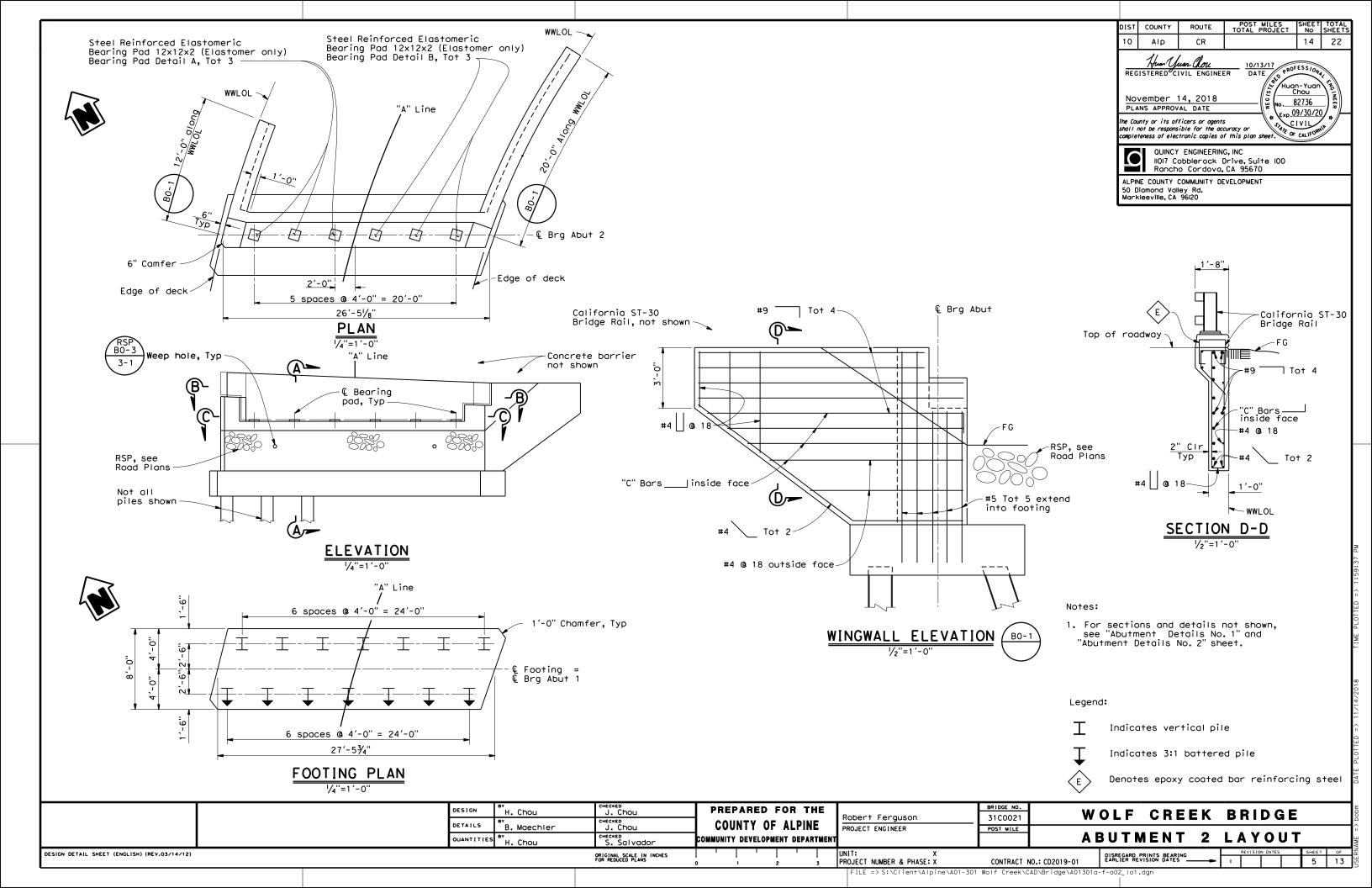
ORIGINAL SCALE IN INCHES

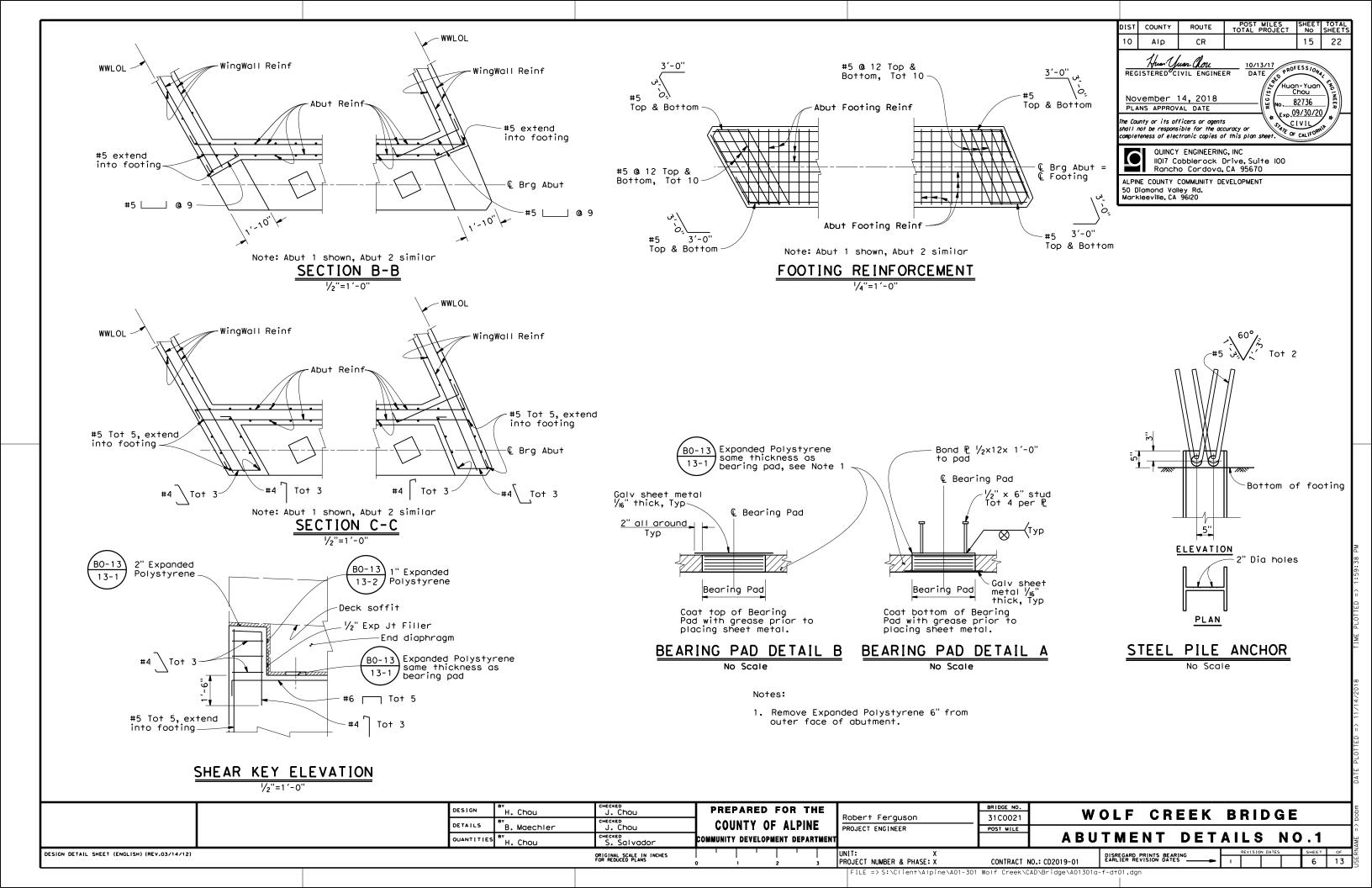
BRIDGE NO. Robert Ferguson 31C0021 PROJECT ENGINEER POST MILE

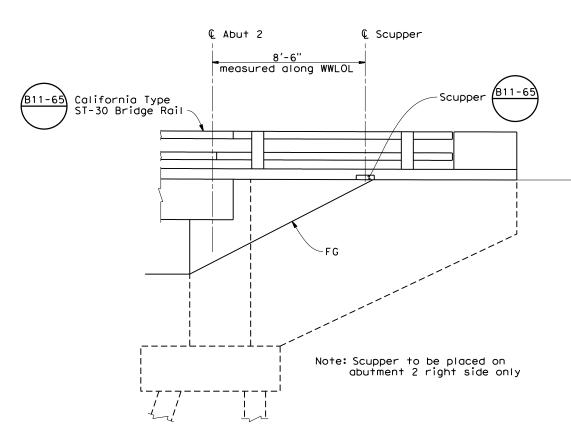
WOLF CREEK BRIDGE

**FOUNDATION PLAN** 



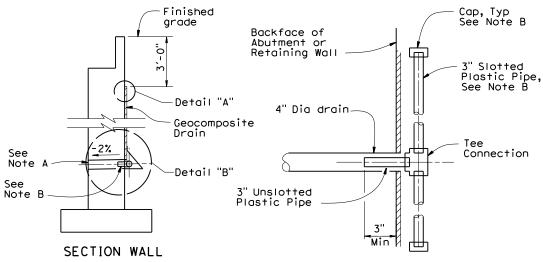




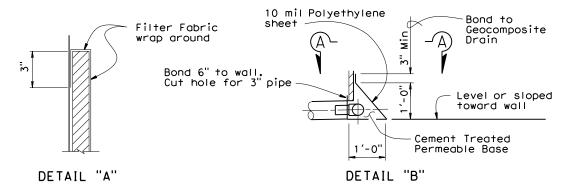


WINGWALL ELEVATION ABUTMENT 2 RIGHT SIDE

SCUPPER DETAIL



SECTION A-A



#### WEEP HOLE AND GEOCOMPOSITE DRAIN

No Scale

ALTERNATIVE TO BRIDGE DETAIL RSP B0-3 3-1

Notes: A. 4" Dia drains at intermediate sag points and at 20' max

center to center.
For walls adjacent to sidewalks or curbs, provide
4" cast iron or asbestos cement pipe under the sidewalk
to discharge through curb face.
Exposed wall drains shall be located 3"± above finished grade.

B. Geocomposite drain, cement treated permeable base, and 3" Dia slotted plastic pipe continuous behind retaining wall or abutment. Cap ends of pipe. Provide "Tee" connection at each 4" Dia drain.

C. Connect the low end of plastic pipe to the main outlet pipe as apprlicable. DIST COUNTY ROUTE POST MILES SHEET TOTAL NO SHEET 10 AIP CR 16 22

PROFESS/ON/ Huan-Yuan Chou

82736

Exp.09/30/20

CIVIL OF CALIFOR

Huan Guan Chou 10/1
REGISTERED CIVIL ENGINEER DAT

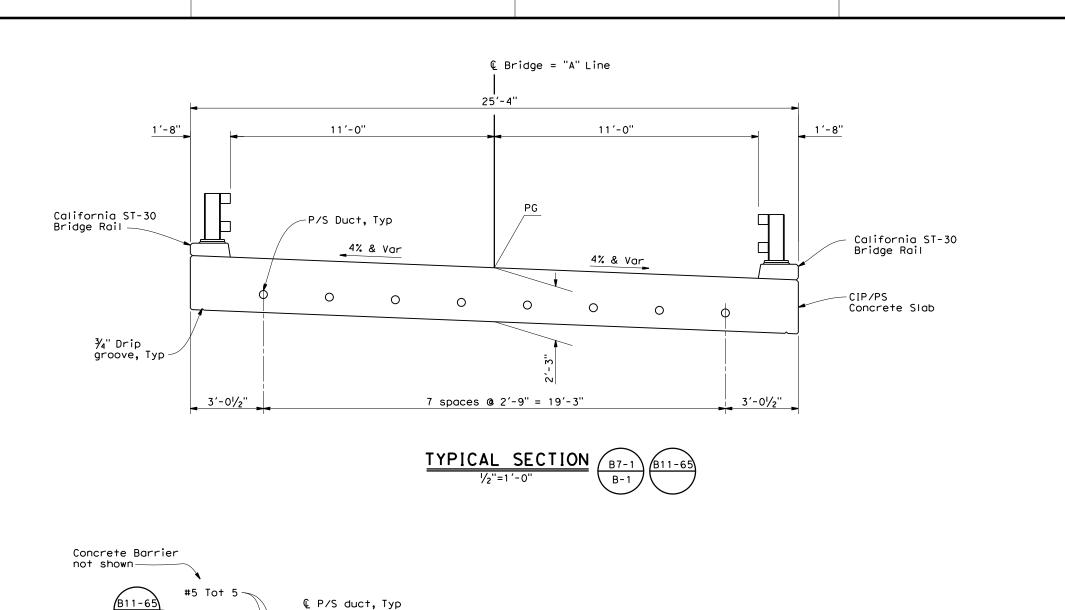
November 14, 2018

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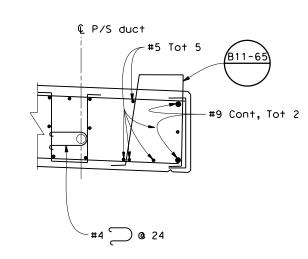
OUINCY ENGINEERING, INC
IIO17 Cobblerock Drive, Suite IOO
Rancho Cordova, CA 95670

ALPINE COUNTY COMMUNITY DEVELOPMENT 50 Diamond Valley Rd. Markleeville, CA 96120

BRIDGE NO. J. Chou PREPARED FOR THE DESIGN WOLF CREEK BRIDGE H. Chou 31C0021 Robert Ferguson J. Chou COUNTY OF ALPINE DETAILS B. Maechler PROJECT ENGINEER POST MILE ABUTMENT DETAILS NO.2 S. Salvador COMMUNITY DEVELOPMENT DEPARTMEN OUANTITIES H. Chou DESIGN DETAIL SHEET (ENGLISH) (REV.03/14/12) ORIGINAL SCALE IN INCHES FOR REDUCED PLANS DISREGARD PRINTS BEARING EARLIER REVISION DATES PROJECT NUMBER & PHASE: X CONTRACT NO.: CD2019-01



-#5 Tot 7 per P/S Duct, Typ



Notes:

1. All superstructure reinforcement shall be epoxy coated.

POST MILES TOTAL PROJECT

DATE PROFESSIONAL Huan-Yuan Chou

22

17

82736

Exp.09/30/20

STATE OF CALIFORN

COUNTY

Alp

CR

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ALPINE COUNTY COMMUNITY DEVELOPMENT

OUINCY ENGINEERING, INC
IIDI7 Cobblerock Drive, Suite 100
Rancho Cordova, CA 95670

Hum Yuan Chou REGISTERED CIVIL ENGINEER

November 14, 2018
PLANS APPROVAL DATE

50 Diamond Valley Rd. Markleeville, CA 96120

10

#### PART TYPICAL SECTION

#5 **@** 12

기

-#5 lg stirrup, Typ

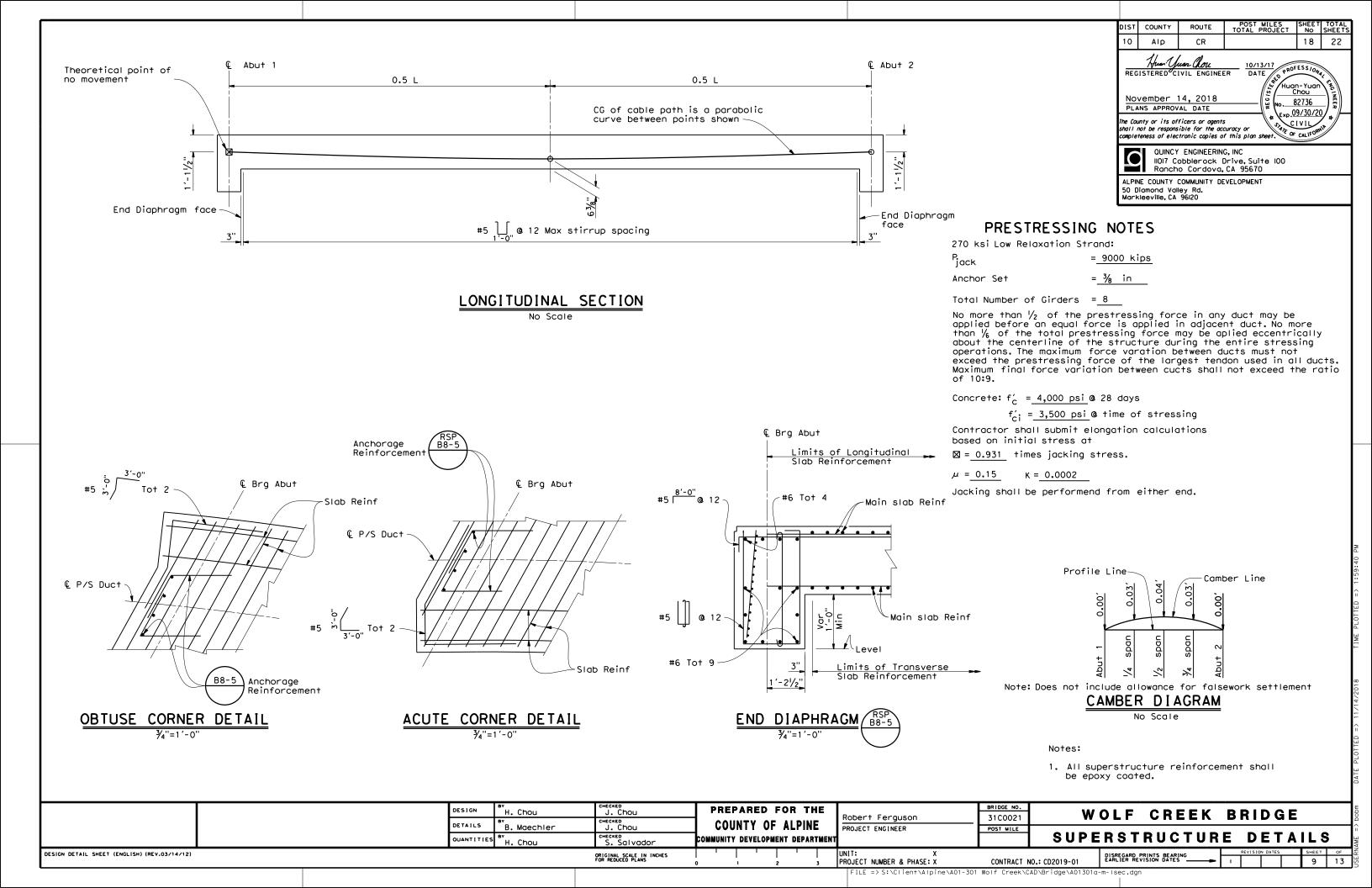
#9 Cont, Tot 2

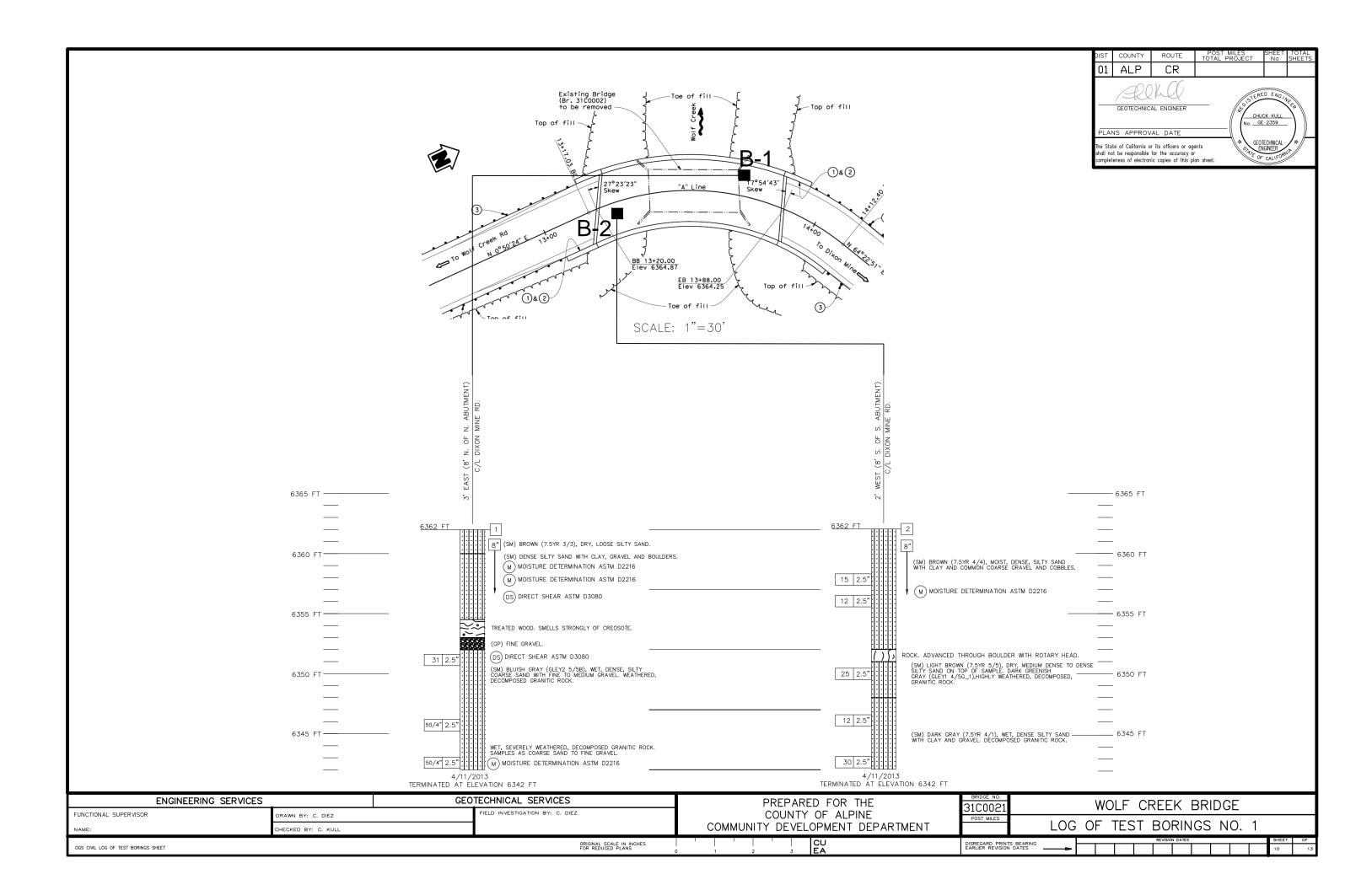
		DESIGN	H. Chou	CHECKED	PREPARED FOR THE		BRIDGE NO.	3.6	ALE OBEEK	<u> </u>	
			BY CTIOU	J. Chou		Robert Ferguson	31C0021	W	OLF CREEK	BRIDGE	<b>-</b>
		DETAILS	B. Maechler	J. Chou			POST MILE	ST MILE			
		QUANTITIES	H. Chou	S. Salvador	COMMUNITY DEVELOPMENT DEPARTMENT				TYPICAL S	ECTION	
DESIGN DETAIL SHEET (ENGLISH) (REV.03/14/1	2)			ORIGINAL SCALE IN INCHES FOR REDUCED PLANS	'   '   '	UNIT: X	CONTRACT N	O • CD2019-01	DISREGARD PRINTS BEARING EARLIER REVISION DATES	REVISION DATES	SHEET OF

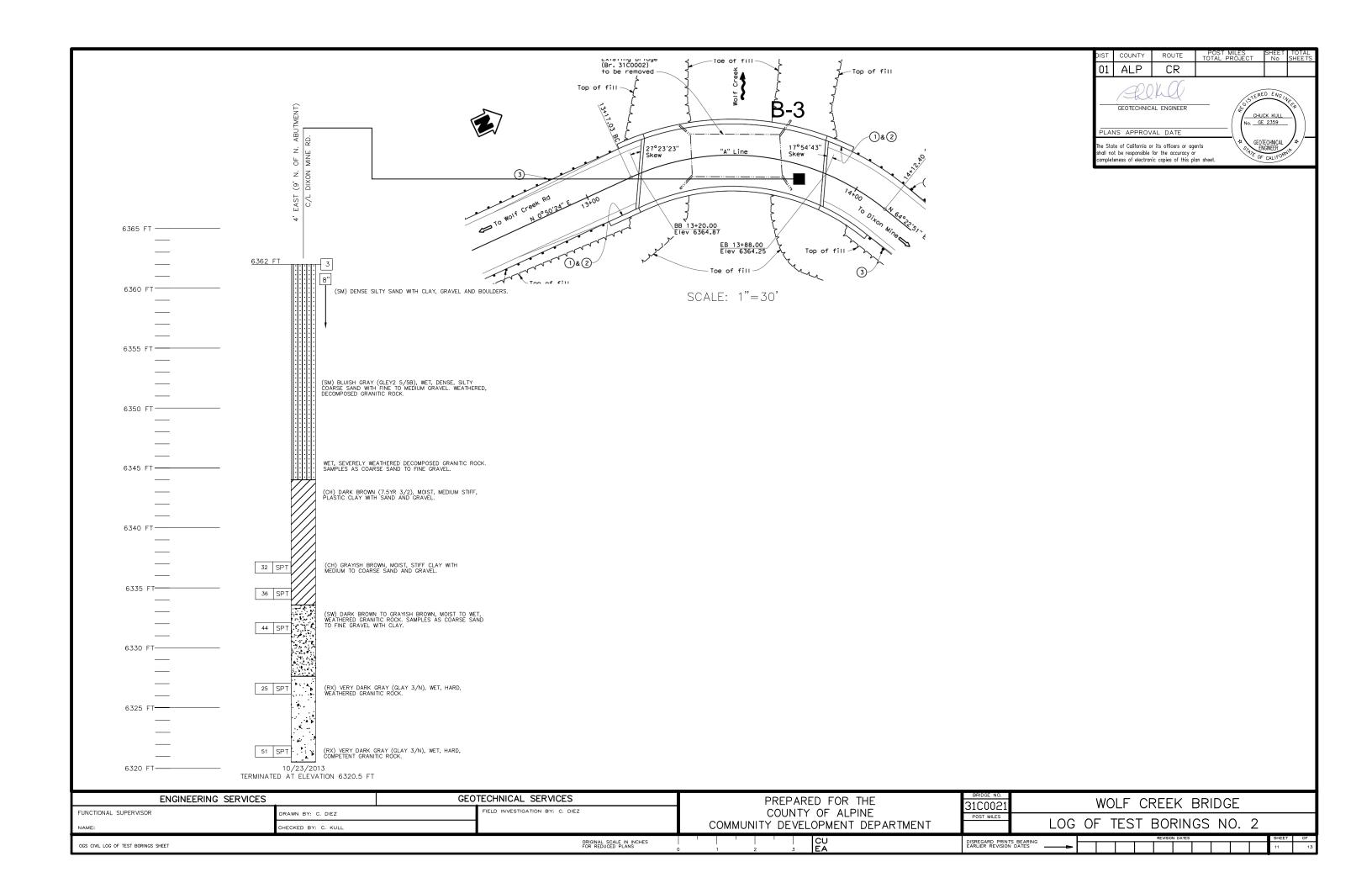
>#5 Tot 3

per bay, Typ

#5 @ 12





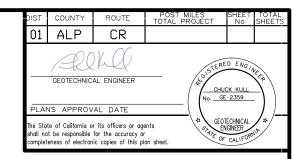


RENCE: CALTRANS SOIL & ROCK LOGGING, CLASSIFICATION, AND PRESENTATION MANUAL (2010)

	GROUP SYMBOLS AND	NAN C	1ES	
Graphic/Symbol	Group Names	Graphic	/Symbol	Group Names
GW GP	Well-graded GRAVEL Well-graded GRAVEL with SAND Poorly-graded GRAVEL Poorly-graded GRAVEL with SAND		CL	Lean CLAY Lean CLAY with SAND Lean CLAY with GRAVEL SANDY lean CLAY SANDY lean CLAY with GRAVEL GRAVELLY lean CLAY GRAVELLY lean CLAY GRAVELLY lean CLAY
GP GW-GM	Well-graded GRAVEL with SILT Well-graded GRAVEL with SILT and SAND Well-graded GRAVEL with CLAY (or SILTY CLAY)		CL-ML	SILTY CLAY SILTY CLAY with SAND SILTY CLAY with GRAVEL SANDY SILTY CLAY SANDY SILTY CLAY with GRAVEL
GW-GC	Well-graded GRAVEL with CLAY and SAND (or SILTY CLAY and SAND)  Poorly-graded GRAVEL with SILT  Poorly-graded GRAVEL with SILT and SAND			GRAVELLY SILTY CLAY GRAVELLY SILTY CLAY with SAND  SILT SILT with SAND SILT with GRAVEL
GP-GC	Poorly—graded CRAVEL with CLAY (or SILTY CLAY) Poorly—graded GRAVEL with CLAY and SAND (or SILTY CLAY and SAND)		ML	SANDY SILT SANDY SILT with GRAVEL GRAVELLY SILT GRAVELLY SILT with SAND
GM GM	SILTY GRAVEL SILTY GRAVEL with SAND CLAYEY GRAVEL		OL	ORGANIC lean CLAY ORGANIC lean CLAY with SAND ORGANIC lean CLAY with GRAVEL SANDY ORGANIC lean CLAY SANDY ORGANIC lean CLAY
GC GC	CLAYEY GRAVEL with SAND			GRAVELLY ORGANIC lean CLAY GRAVELLY ORGANIC lean CLAY with SAND
GC-GM	SILTY, CLAYEY GRAVEL SILTY, CLAYEY GRAVEL with SAND		OL	ORGANIC SILT ORGANIC SILT with SAND ORGANIC SILT with GRAVEL SANDY ORGANIC SILT
SW	Well-graded SAND Well-graded SAND with GRAVEL			SANDY ORGANIC SILT with GRAVEL GRAVELLY ORGANIC SILT GRAVELLY ORGANIC SILT with SAND
SP SW-SM	Poorly—graded SAND Poorly—graded SAND with GRAVEL Well—graded SAND with SILT		СН	Fat CLAY Fat CLAY with SAND Fat CLAY with GRAVEL SANDY fat CLAY SANDY fat CLAY with GRAVEL
SW-SM	Well-graded SAND with SILT and GRAVEL  Well-graded SAND with CLAY (or SILTY CLAY) Well-graded SAND with CLAY and GRAVEL			GRAVELLY fat CLAY GRAVELLY fat CLAY with SAND  Elastic SILT Elastic SILT with SAND
SP-SM	Well-graded SAND with CLAY and GRAVEL (or SILTY CLAY and GRAVEL)  Poorly-graded SAND with SILT  Poorly-graded SAND with SILT and GRAVEL	-	МН	Elastic SILT with GRAVEL SANDY elastic SILT SANDY elastic SILT with GRAVEL GRAVELLY elastic SILT GRAVELLY elastic SILT with SAND
SP-SC	Poorly—graded SAND with CLAY (or SILTY CLAY) Poorly—graded SAND with CLAY and GRAVEL (or SILTY CLAY and GRAVEL)		ОН	ORGANIC fat CLAY ORGANIC fat CLAY with SAND ORGANIC fat CLAY with GRAVEL SANDY ORGANIC fat CLAY
SM	SILTY SAND SILTY SAND with GRAVEL			SANDY ORGANIC fat CLAY with GRAVEL GRAVELLY ORGANIC fat CLAY GRAVELLY ORGANIC fat CLAY with SAND
sc	CLAYEY SAND CLAYEY SAND with GRAVEL		ОН	ORGANIC elastic SILT ORGANIC elastic SILT with SAND ORGANIC elastic SILT with GRAVEL SANDY ORGANIC elastic SILT
SC-SM	SILTY, CLAYEY SAND SILTY, CLAYEY SAND with GRAVEL			SANDY ORGANIC elastic SILT with GRAVEL GRAVELLY ORGANIC elastic SILT GRAVELLY ORGANIC elastic SILT with SAND
00 4 4 4 PT	PEAT  COBBLES CORPLES AND ROLL DEDG	C	OL/OH	ORGANIC SOIL ORGANIC SOIL with SAND ORGANIC SOIL with GRAVEL SANDY ORGANIC SOIL SANDY ORGANIC SOIL with GRAVEL
001	COBBLES and BOULDERS BOULDERS			GRAVELLY ORGANIC SOIL GRAVELLY ORGANIC SOIL with SAND

### FIELD AND LABORATORY TESTING

- C Consolidation (ASTM D 2435)
- (CL) Collapse Potential (ASTM D 5333)
- (CP) Compaction Curve (CTM 216)
- CR Corrosivity Testing (CTM 643, CTM 422, CTM 417)
- CU Consolidated Undrained Triaxial (ASTM D 4767)
- (DS) Direct Shear (ASTM D 3080)
- (EI) Expansion Index (ASTM D 4829)
- (M) Moisture Content (ASTM D 2216)
- OC) Organic Content-% (ASTM D 2974)
- P Permeability (CTM 220)
- (PA) Particle Size Analysis (ASTM D 422)
- Pl Plasticity Index (AASHTO T 90) Liquid Limit (AASHTO T 89)
- (PL) Point Load Index (ASTM D 5731)
- (PM) Pressure Meter
- (R) R-Value (CTM 301)
- (SE) Sand Equivalent (CTM 217)
- (SG) Specific Gravity (AASHTO T 100)
- (SL) Shrinkage Limit (ASTM D 427)
- (SW) Swell Potential (ASTM D 4546)
- (UC) Unconfined Compression (ASTM D 2166)
- UU Unconsolidated Undrained Triaxial (ASTM D 2850)
- (UW) Unit Weight (ASTM D 4767)



APPARENT DENSIT	Y OF COHESIONLESS SOILS
Description	SPT N <sub>60</sub> (Blows / 12 in.)
Very Loose	0 - 5
Loose	5 – 10
Medium Dense	10 – 30
Dense	30 – 50
Very Dense	Greater than 50

MOISTURE						
Description	Criteria					
Dry	No discernable moisture					
Moist	Moisture present, but no free water					
Wet	Visible free water					

PERCE	PERCENT OR PROPORTION OF SOILS						
Description	Criteria						
Trace	Particles are present but estimated to be less than 5%						
Few	5% - 10%						
Little	15% - 25%						
Some	30% - 45%						
Mostly	50% - 100%						

	PARTICLE SIZE				
Des	cription	Size (in.)			
Boulder		Greater than 12			
Cobble		3 - 12			
Gravel	Coarse	3/4 - 3			
Gravei	Fine	1/5 - 3/4			
	Coarse	1/16 – 1/5			
Sand	Medium	1/64 - 1/16			
	Fine	1/300 - 1/64			
Silt and Cla	y	Less than 1/300			

ENGINEERING SERVICES		GEOTECHNICAL SERVICES		PREPARED FOR THE	31C0021		WOLF CREEK BRIDGE		
FUNCTIONAL SUPERVISOR	DRAWN BY: C. DIEZ		INVESTIGATION BY: C. DIEZ	COUNTY OF ALPINE	POST MILES	1.00			
NAME:	CHECKED BY: C. KULL			COMMUNITY DEVELOPMENT DEPARTMENT		LOG	OF TEST BORINGS NO. 3		
OGS CIVIL LOG OF TEST BORINGS SHEET			ORIGINAL SCALE IN INCHES FOR REDUCED PLANS	CU	DISREGARD PRINTS EARLIER REVISION	BEARING DATES	REVISION DATES S	SHEET OF 12 13	

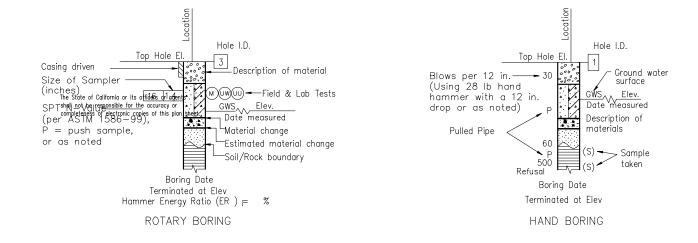
REFERENCE: CALTRANS SOIL & ROCK LOGGING, CLASSIFICATION, AND PRESENTATION MANUAL (2010)

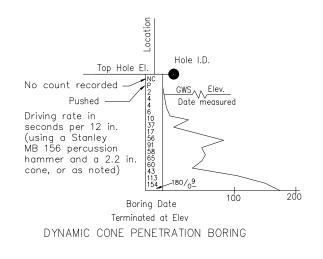
	CEMENTATION							
Description	Criteria							
Weak	Crumbles or breaks with handling or little finger pressure.							
Moderate	Crumbles or breaks with considerable finger pressure.							
Strong	Will not crumble or break with finger pressure.							

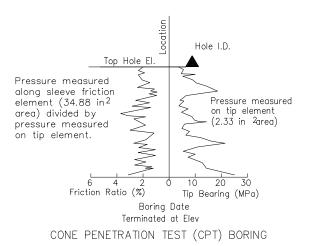
DIST	COUNTY	ROUTE	POST MILES TOTAL PROJECT	SHEET No	TOTAL SHEETS			
01	ALP	CR						
GEOTECHNICAL ENGINEER  GEOTECHNICAL ENGINEER  GEOTECHNICAL ENGINEER  CHUCK KULL  No. GE 2359								
PLA	NS APPROV	'AL DATE			1 _			
PLANS APPROVAL DATE  The State of California or its officers or agents shall not be responsible for the accuracy or completeness of electronic copies of this plan sheet.								

		BOREHOLE IDENTIFICATION						
Symbol	Hole Type	Description						
Size	А	Auger Boring (hollow or solid stem bucket)						
Size	R RW RC P	Rotary drilled boring (conventional) Rotary drilled with self—casing wire—line Rotary core with continuously—sampled, self—casing wire—line Rotary percussion boring (air)						
Size	R	Rotary drilled diamond core						
Size	HD HA	Hand driven (1—inch soil tube) Hand Auger						
•	D	Dynamic Cone Penetration Boring						
<b>A</b>	CPT	Cone Penetration Test (ASTM D 5778)						
	0	Other (note on LOTB)						
	Note: Size in inches.							

	CONSISTENCY OF COHESIVE SOILS								
Description	Shear Strength (tsf)	Pocket Penetrometer Measurement, PP, (tsf)	Torvane Measurement, TV, (tsf)	Vane Shear Measurement, VS, (tsf)					
Very Soft	Less than 0.12	Less than 0.25	Less than 0.12	Less than 0.12					
Soft	0.12 - 0.25	0.25 - 0.5	0.12 - 0.25	0.12 - 0.25					
Medium Stiff	0.25 - 0.5	0.5 - 1	0.25 - 0.5	0.25 - 0.5					
Stiff	0.5 – 1	1 – 2	0.5 - 1	0.5 - 1					
Very Stiff	1 - 2	2 - 4	1 - 2	1 - 2					
Hard	Greater than 2	Greater than 4	Greater than 2	Greater than 2					







ENGINEERING SERVICES		GEOTECHNICAL SERVICES		PREPARED FOR THE	31C0021		WOLF CREEK BRIDGE		
FUNCTIONAL SUPERVISOR	DRAWN BY: C. DIEZ		FIELD INVESTIGATION BY: C. DIEZ	COUNTY OF ALPINE	POST MILES				
NAME:	CHECKED BY: C. KULL			COMMUNITY DEVELOPMENT DEPARTMENT		LOG	OF TEST BORINGS NO	). 4	
OGS CIVIL LOG OF TEST BORINGS SHEET			ORIGINAL SCALE IN INCHES FOR REDUCED PLANS		DISREGARD PRINTS EARLIER REVISION	BEARING DATES	REVISION DATES	S	SHEET OF

## COUNTY OF ALPINE COMMUNITY DEVELOPMENT DEPARTMENT MARKLEEVILLE, CALIFORNIA

**BID** 

**FOR** 

## DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT

FEDERAL AID PROJECT NO. BRLO-5931(027)

# 0.5 MILES NORTHEAST OF WOLF CREEK ROAD

**CONTRACT NO. CD2019-01** 

BID OPENING: 3:00 p.m., Wednesday, TBD, 2019

**Dated November 2018** 

**SET NO.** \_\_\_\_\_

### TABLE OF CONTENTS

	Page No.
BID BOOK:	
BIDDER'S CHECKLIST	1
BID TO THE COUNTY OF ALPINE	2
CONTRACTORS BID	4
LIST OF SUBCONTRACTORS	6
EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION	7
DEBARMENT AND SUSPENSION CERTIFICATION	8
PUBLIC CONTRACT CODE (Statements and Questionnaire)	9
NON-COLLUSION AFFIDAVIT	10
NONLOBBYING CERTIFICATION FOR FEDERAL-AID CONTRACTS	11
DISCLOSURE OF LOBBYING ACTIVITIES	12
SIGNATURE OF BIDDER	14
AUTHORITY TO SIGN BID	15
BIDDER'S BOND	16
LOCAL AGENCY BIDDER DBE COMMITMENT (CONSTRUCTION CONTRACTS)	17
LOCAL AGENCY DBE INFORMATION – GOOD FAITH EFFORTS	19
BIDDER'S LIST OF SUBCONTRACTORS (DBE AND NON-DBE)	22
SAMPLE AGREEMENT	24
SAMPLE PERFORMANCE BOND	26
SAMPLE PAYMENT BOND	28

(Because some colored inks will not reproduce in copy machines, please use black ink to complete this proposal.)

#### **COUNTY OF ALPINE**

## DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT PROJECT NO. BRLO-5931(027)

## NEAR MARKLEEVILLE 0.5 MILES NORTHEAST OF WOLF CREEK ROAD

#### CONTRACT NO. CD2019-01

#### **BIDDERS' CHECKLIST**

This checklist has been prepared and furnished to aid bidders in including all necessary supporting information with their bid. Bidders' submittals should include, but are not limited to the following:

		CHECKED
1.	Bid	
2.	List of Subcontractors (check box A or B)	
3.	Equal Opportunity Clause Certification (Contractor or subcontractor name & check "has" or "has not")	
4.	Debarment and Suspension Certification	
5.	Section 10232 and 10285.1 Statements: Section 10162 Questionnaire (check "has" or "has not"; check "Yes" or "No" box)	
6.	Nonlobbying Certification	
7.	Disclosure of Lobbying Activities	
8.	Non-Collusion Affidavit	
9.	Acknowledgment of Addendum	
10.	Authority to Sign Bid Proposal (if applicable)	
11.	Bidder's Bond	
12.	Power of Attorney (if required)	
13.	Local Agency Bidder DBE Commitment (Construction Contracts)	
14.	Local Agency DBE Information – Good Faith Efforts	
15	Ridder's List of Subcontractors (DRE and NON-DRE)	

## BID TO THE COUNTY OF ALPINE CONTRACT NO. CD2019-01

NAME OF BIDDER:
BUSINESS ADDRESS:
CITY, STATE, ZIP CODE:
LICENSE NUMBER :
PUBLIC WORKS REGISTRATION NUMBER:
TELEPHONE NO.: AREA CODE ()_

The work for which this bid is submitted is for construction under the Special Provisions (including the payment of not less than the minimum wage rates set forth) and the contract annexed hereto, the project plans described below, and also under the Department of Transportation Standard Specifications dated 2015, Standard Plans dated 2015, the Labor Surcharge and Equipment Rental Rates in effect on the date the work is accomplished, and the General Prevailing Wage Rates in Section 7-1.02K(2), "Wages" of the Standard Specifications.

The special provisions for the work to be done are dated November 2018 and are entitled:

COUNTY OF ALPINE
COMMUNITY DEVELOPMENT DEPARTMENT
NOTICE TO BIDDERS AND SPECIAL PROVISIONS FOR

DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT PROJECT NO. BRLO-5931(027)

NEAR MARKLEEVILLE
0.5 NORTHEAST OF WOLF CREEK ROAD

CONTRACT NO. CD2019-01

The project plans for the work to be done were approved November 14, 2018 and are entitled:

COUNTY OF ALPINE
COMMUNITY DEVELOPMENT DEPARTMENT
IMPROVEMENT PLANS FOR

DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT PROJECT NO. BRLO-5931(027)

NEAR MARKLEEVILLE 0.5 NORTHEAST OF WOLF CREEK ROAD

CONTRACT NO. CD2019-01

Bids are to be submitted for the entire work. All bids will be compared on the basis of the Engineer's Estimate of the quantities of work to be done.

Complete for each unit basis item of work an item price and a total for the item, and for each lump sum item a total for the item, all in clearly legible figures in the respective spaces provided for this purpose. In the case of

unit basis items, the amount set forth under the "Total" column will be the extension of the item price bid on the basis of the estimated quantity for the item.

In case of discrepancy between the unit price and the total for a unit basis item, the unit price prevails, except as provided in (a) or (b), as follows:

- (a) If the amount of a unit price is unreadable or otherwise unclear, or is omitted, or is the same as the amount as the entry in the item total column, then the amount in the item total column for the item will prevail and will be divided by the estimated quantity for the item and the price thus determining the unit price;
- (b) (Decimal Errors) If the product of the entered unit price and the estimated quantity is exactly off by a factor of ten, one hundred, etc., or one-tenth, or one-hundredth, etc. from the entered total, the discrepancy will be resolved by using the entered unit price or item total, whichever most closely approximates percentage wise the unit price or item total in the Department's Final Estimate of cost.

If both the unit price and the item total are unreadable or otherwise unclear, or are omitted, the bid may be deemed nonresponsive. Likewise if the item total for a lump sum item is unreadable or otherwise unclear, or is omitted, the bid may be deemed nonresponsive unless the project being bid has only a single item and a clear, readable total bid is provided.

Symbols such as commas and dollar signs will be ignored and have no mathematical significance in establishing any unit price or item total or lump sums. Written unit prices, item totals and lump sums will be interpreted according to the number of digits and, if applicable, decimal placement. Cents symbols also have no significance in establishing any unit price or item total since all figures are assumed to be expressed in dollars and/or decimal fractions of a dollar. Bids on lump sum items will be item totals only; if any unit price for a lump sum item is included in a bid and it differs from the item total, the item total will prevail.

The above provisions for the resolution of specific irregularities cannot be so comprehensive as to cover every omission, inconsistency, error or other irregularity which may occur in a bid. Any situation not specifically provided for will be determined at the discretion of the County of Alpine, and that discretion will be exercised in the manner deemed by the County of Alpine to best protect the public interest in the prompt and economical completion of the work. The decision of the County of Alpine respecting the amount of a bid, or the existence or treatment of an irregularity in a bid, will be final.

If this bid is accepted and the undersigned fails to enter into the contract and to give the two bonds in the sums required, with surety satisfactory to the County within **10 calendar days** after you received notice from the County that the contract has been awarded, the County may, at its option, determine that you have abandoned the contract, and this bid and the acceptance of it will be null and void and the forfeiture of such security accompanying this proposal will operate and become be the property of the County.

The undersigned, as bidder, declares that the only persons or parties interested in this proposal as principals are those named herein; that this bid is made without collusion with any other person, firm, or corporation; that he has carefully examined the location of the proposed work, the annexed proposed form of contract, and the plans therein referred to; and he proposes, and agrees if this bid is accepted, that he will contract with the County of Alpine, in the form of the copy of the contract annexed hereto, to provide all necessary machinery, tools, apparatus and other means of construction, and to do all the work and furnish all the materials specified in the contract, in the manner and time therein prescribed, and under the requirements of the Engineer as therein set forth, and that he will take in full payment therefor the following item prices, to wit:

#### **CONTRACTOR'S BID**

## DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT

#### **CONTRACT NO. CD2019-01**

**Engineer's Estimate** 

Item	Item Code	Description (F)	Unit of	Estimated	Unit Price	Total
<b>No.</b>		CONSTRUCTION STAKING	Measure LS	Quantity LUMP SUM	<b>5</b> 111.1 11.00	
1	000999	CONSTRUCTION STAKING	LS	LOWIF SOW		
2	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM		
3	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM		
4	120120	TYPE III BARRICADE	EA	6		
5	130100	JOB SITE MANAGEMENT	LS	LUMP SUM		
6		PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LUMP SUM		
7	130600	TEMPORARY CREEK DIVERSION	LS	LUMP SUM		
8	130640	TEMPORARY FIBER ROLL	LF	1740		
9	130680	TEMPORARY SILT FENCE	LF	1320		
10	130710	TEMPORARY CONSTRUCTION ENTRANCE	EA	2		
11	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM		
12		WATER QUALITY SAMPLING AND ANALYSIS DAY	EA	3		
13		WATER QUALITY MONITORING REPORT	EA	3		
14	131105	WATER QUALITY ANNUAL REPORT	EA	1		
15	146002	CONTRACTOR-SUPPLIED BIOLOGIST (LS)	LS	LUMP SUM		
16	157550	BRIDGE REMOVAL	LS	LUMP SUM		
17	160102	CLEARING AND GRUBBING (LS)	LS	LUMP SUM		
18	190101	ROADWAY EXCAVATION	CY	490		
19	192020	STRUCTURE EXCAVATION (TYPE D) (F)	CY	171		
20	193003	STRUCTURE BACKFILL (BRIDGE) (F)	CY	76		
21	198010	IMPORTED BORROW (CY)	CY	310		
22	210430	HYDROSEED	SQFT	5090		

Item No.	Item Code	Description (F)	Unit of Measure	Estimated Quantity	Unit Price	Total
23	260203	CLASS 2 AGGREGATE BASE (CY)	CY	440		
24	260204	TEMPORARY CLASS 2 AGGREGATE BASE	CY	20		
25	490508	FURNISH STEEL PILING (HP 10 X 57)	LF	863		
26	490509	DRIVE STEEL PILE (HP 10 X 57)	EA	28		
27	500001	PRESTRESSING CAST-IN-PLACE CONCRETE	LS	LUMP SUM		
28	510051	STRUCTURAL CONCRETE, BRIDGE FOOTING (F)	CY	34		
29	510053	STRUCTURAL CONCRETE, BRIDGE (F)	CY	63		
30	510054	STRUCTURAL CONCRETE, BRIDGE (POLYMER FIBER) (F)	CY	146		
31	519088	JOINT SEAL (MR 1")	LF	56		
32	520102	BAR REINFORCING STEEL (BRIDGE) (F)	LB	9494		
33	520110	BAR REINFORCING STEEL (EPOXY COATED) (BRIDGE) (F)	LB	19418		
34	720119	ROCK SLOPE PROTECTION (1T, METHOD A)	CY	362		
35	721015	RÓCK SLOPE PROTECTION (LIGHT, METHOD B) (CY) (F)	CY	156		
36	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	SQYD	490		
37	839543	TRANSITION RAILING (TYPE WB-31)	EA	2		
38	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	EA	2		
39	839738	CALIFORNIA ST-30 BRIDGE RAIL (F)	LF	183		
40	999990	MOBILIZATION	LS	LUMP SUM		
		·		Total Bid:		

<sup>(</sup>F) Denotes Final Pay Item

The bidder will list the name, address, the California contractor license number, and the portion of work of each subcontractor as required under section 2-1.10.

The Bidder must submit within 24 hours of the bid opening, the bid item numbers with percentage of the portion of work subcontracted.

The Bidder may submit the public works contractor registration number as specified in section 2-1.33D.

#### **LIST OF SUBCONTRACTORS**

The bidder cer	tifies that:		
A. 🗆	I do not intend to subcon	ntract any work on this proj	ject.
В	I <b>do</b> intend to subcontrac project.	t portions of the work on th	nis
NOTE: Check checked.	box A or box B. If a box i	is not checked, it will be	deemed as box A
or labor or reno percent (0.5%) of subcontract subcontract wo	Contract, you propose to eder service in or about the of the total amount of you ors is mandatory under Seork is proposed, except wit you will so state.	work in an amount in exce or proposal or \$10,000, wh ctions 4100-4108 of the P	ess of one-half of one ichever is greater. Listing ublic Contract Code. If no
NAME AND ADDRES OF SUBCONTRACTO AND LICENSE NUME	OR CONTRACTOR	DESCRIPTION OF WORK TO BE SUBCONTRACTED	BID ITEMS NUMBERS AND PERCENTAGE OF WORK SUBCONTRACTED

#### **EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

#### YOUR EXECUTION ON THE SIGNATURE PORTION OF THIS BID SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS BID

The bidder, proposed subcontractor,
hereby certifies that he has, has not, participated in a previous contract or
subcontract subject to the equal opportunity clause, as required by Executive Orders 10925,
11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee,
the Director of the Office of Federal Contract Compliance, a Federal Government contracting
or administering agency, or the former President's Committee on Equal Employment
Opportunity, all reports due under the applicable filing requirements.

NOTE: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor - 41 CFR 60-1.7(b)(1) - and **must be submitted by you and your proposed subcontractors** only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

#### DEBARMENT AND SUSPENSION CERTIFICATION

#### TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29

You, under penalty of perjury, certify that, except as noted below, you or any other person associated in the capacity of owner, partner, director, officer, manager:

- Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any Federal agency;
- Has not been suspended, debarred, voluntarily excluded or determined ineligible by any Federal agency within the past 3 years;
- Does not have a proposed debarment pending; and
- Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions. The above certification is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Certification.

### PUBLIC CONTRACT CODE PUBLIC CONTRACT SECTION 10285.1 STATEMENT

In accordance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the bidder hereby declares under penalty of perjury under the laws of the State of California that the bidder has, has note been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.
Note: You must place a check mark after "has" or "has not" in one of the blank spaces provided. The above Statement is part of the Bid. Signing this Bid on the signature portion constitutes signature of this Statement. Bidders are cautioned that making a false certification may subject the certifier criminal prosecution.
PUBLIC CONTRACT CODE SECTION 10162 QUESTIONNAIRE
In accordance with Public Contract Code Section 10162, the Bidder shall complete, under penalty of perjury, t following questionnaire:
Has the bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a Federal, State, or local government project because of a violation of law or a safety regulation?
Yes No
If the answer is yes, explain the circumstances in the following space.
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~

#### **Public Contract Code 10232 Statement**

In accordance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board.

Note: The above Statement and Questionnaire are part of the Bid. Signing this Bid on the signature portion constitutes signature of this Statement and Questionnaire.

Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

## NON-COLLUSION AFFIDAVIT Title 23, United States Code Section 112 and Public Contract Code Section 7106

In accordance with Title 23, United States Code Section 112 and Public Contract Code Section 7106, the bidder hereby declares that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

Note: The above Non-collusion Affidavit is part of the Bid. Signing this Bid on the signature portion constitutes signature of this Non-collusion Affidavit.

Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

## NONLOBBYING CERTIFICATION FOR FEDERAL-AID CONTRACTS

The prospective participant certifies, by signing and submitting this bid, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

### DISCLOSURE OF LOBBYING ACTIVITIES COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

1. Type of Federal Action: 2. Status of	Federal 3. Report Type:			
Action:				
a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	rd b. material change			
4. Name and Address of Reporting Entity  Prime Subawardee Tier, if known	5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:			
Congressional District, if known	Congressional District, if known			
6. Federal Department/Agency:	7. Federal Program Name/Description:			
	CFDA Number, if applicable			
8. Federal Action Number, if known:	9. Award Amount, if known:			
10. Name and Address of Lobby Entity (If individual, last name, first name, MI)	11. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI)			
(attach Continuation	Sheet(s) if necessary)			
12. Amount of Payment (check all that apply)	14. Type of Payment (check all that apply)			
\$ actual planned  13. Form of Payment (check all that apply):  a. cash b. in-kind; specify: nature  Value	a. retainer b. one-time fee c. commission d. contingent fee e deferred f. other, specify			
15. Brief Description of Services Performed or to be p officer(s), employee(s), or member(s) contacted, fo				
(attach Continuation	on Sheet(s) if necessary)			
16. Continuation Sheet(s) attached: Yes	No			
17. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying reliance was placed by the tier above when his transaction was made or	Signature:			
entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to Congress	Print Name:			
semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject	Title:			
to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Telephone No.: Date:			
	Authorized for Local Reproduction			
Federal Use Only:	Standard Form - LLL			

Standard Form LLL Rev. 04-28-06

Distribution: Orig- Local Agency Project Files

### INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime federal recipient at the initiation or receipt of covered federal action or a material change to previous filing pursuant to title 31 U.S.C. Section 1352.

The filing of a form is required for such payment or agreement to make payment to lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with a covered federal action. Attach a continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered federal action for which lobbying activity is or has been secured to influence, the outcome of a covered federal action.
- 2. Identify the status of the covered federal action.
- 3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered federal action.
- **4.** Enter the full name, address, city, state, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the first tier. Subawards include but are not limited to: subcontracts, subgrants, and contract awards under grants.
- 5. If the organization filing the report in Item 4 checks "Subawardee" then enter the full name, address, city, state, and zip code of the prime federal recipient. Include Congressional District, if known.
- 6. Enter the name of the federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the federal program name or description for the covered federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
- 8. Enter the most appropriate federal identifying number available for the federal action identification in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant or loan award number, the application/proposal control number assigned by the federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- **9.** For a covered federal action where there has been an award or loan commitment by the Federal agency, enter the federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
- 10. Enter the full name, address, city, state, and zip code of the lobbying entity engaged by the reporting entity identified in Item 4 to influence the covered federal action.
- 11. Enter the full names of the individual(s) performing services and include full address if different from 10 (a). Enter Last Name, First Name and Middle Initial (Ml).
- 12. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (Item 4) to the lobbying entity (Item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
- 13. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
- 14. Check all boxes that apply. If other, specify nature.
- 15. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the date(s) of any services rendered. Include all preparatory and related activity not just time spent in actual contact with federal officials. Identify the federal officer(s) or employee(s) contacted or the officer(s) employee(s) or Member(s) of Congress that were contacted.
- **16.** Check whether or not a continuation sheet(s) is attached.
- 17. The certifying official shall sign and date the form, and print his/her name title and telephone number.

Public reporting burden for this collection of information is estimated to average 30-minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503. SF-LLL-Instructions Rev. 06-04

Accompanying this bid is		(NOTICE: Insert the words, "Cash	
(\$)", "Cashie	er's Check", "Certified Check", or "	Bidder's Bond", as the case may be) in an amount	
equal to at least ten percent	of the total of the bid.		
The names of all persons interes	ted in the foregoing bid as principa	uls are as follows:	
IMPORTANT NOTICE:	If bidder or other interested perso	on is a corporation, state legal name of	
corporation, also names of the pr	resident, secretary, treasurer, and i	manager thereof; if a co-partnership, state true	
name of firm, also names of all in individual, state first and last nam		n; if bidder or other interested person is an	
·			
		<del></del>	
Licensed in accordance with an a	act providing for the California regis	stration of Contractors,	
License No	Expiration Date:	Classification(s)	
ADDENDA -			
Receipt of Addendum No	through Addendum No	Acknowledged	
foregoing questionnaire and s correct and that the bidder has Housing Commission Regulat this bid I further certify, under America, that the Title 23 Unit	tatements of Public Contract Cossister complied with the requirementions (Chapter 5, Title 2 of the Copenalty of perjury under the law	under the laws of the State of California, that the ode Sections 10162, 10232 and 10285.1 are true and ts of Section 8103 of the Fair Employment and California Administrative Code). By my signature on a softhe State of California and the United States of on-Collusion Affidavit and the Title 49 Code of cartification are true and correct.	d
Date:			
		Signature and Title of Bidder	
		organista o sina 1 mo or 2 maso.	
Business	Address		
Place of I	Business		
Place of I	Residence		

### **AUTHORITY TO SIGN BID**

If the "Signature of Bidder" on the Bid is by an agent, or someone other than an officer of a corporation or a member of a partnership, a Power of Attorney must be on file with the Owner prior to opening of Bids or submitted with the Bid, otherwise, the Bid will be regarded as nonresponsive under Section 2-1.33A of the Special Provisions.

The bidder who signed the "Signature of Bidder" line of the Bid shall check box A, box B or box C below. If the bidder does not check a box, it will be deemed as box A checked.

TL	اء اء : ما		:::::	414.
ıne	Diad	er	certifies	tnat:

A. [ the auth		The bidder who signed the "Signature of Bidder" line of the Bid has to sign Bids for the corporation or co-partnership named in the Bid.
not an c corpora behalf c corpora	officer ation; c of a co ation o	The bidder who signed the "Signature of Bidder" line of the Bid is of a corporation authorized to sign Bids on behalf of that or the bidder who signed is not a partner authorized to sign Bids on partnership; but the bidder who signed the Bid is an agent of the repartnership and a Power of Attorney authorizing the agent to sign y on file with the Alpine County Purchasing Department.
an ager Attorne	nt for t	The bidder who signed the "Signature of Bidder" line of the Bid is he corporation or co-partnership named in the Bid, and a Power of onstrating that the agent is authorized to sign Bids for the r co-partnership has been included in the bidder's Bid by stapling it

to this sheet.

### **STATE OF CALIFORNIA COUNTY OF ALPINE**

### **BIDDER'S BOND**

KNOW ALL MEN BY THESE PRESENTS, THAT WE	
	as Principal, and
	, as surety,
are held and firmly bound unto the County of Alpine in the per of the bid of the Principal above named, submitted by said Principal above named, submitted by said Principal above named, submitted below, for the payment of which sum in lawful more made, to the County of Alpine to which said bid was submitted administrators, and successors, jointly and severally, firmly be the surety hereunder exceed the sum of \$	rincipal to the County of Alpine for the work ney of the United States, well and truly to be ed, we bind ourselves, our heirs, executors, y these presents. In no case shall the liability of
The condition of this obligation is such that, whereas the Printhe County of Alpine, as aforesaid, for certain construction spato be opened at Markleeville, California, for the Dixon Mine FCD2019-01.	pecifically described as follows, for which bids are
NOW, THEREFORE, if the aforesaid Principal is awarded the required under the specifications, after the prescribed forms a written contract, in the prescribed form, in accordance with the Alpine, one to guarantee faithful performance and the other to required by law, then this obligation shall be null and void; other feet.	are presented to him for signature, enters into a ne bid, and files two bonds with the County of o guarantee payment for labor and materials, as
IN WITNESS WHEREOF, we have hereunto set our hands a	and seals on this day of
, A.D. 2017.	(Seal)
	SURETY
AD	DRESS
NOTE: Signatures of those executing for the surety m	nust be properly acknowledged.

### **EXHIBIT 15-G CONSTRUCTION CONTRACT DBE COMMITMENT**

1. Local Ag	ency:		2. Contract DBE Goal:			
3. Project D	Description:					
4. Project L	ocation:					
			Certified DBE:   7. Bid Amount:			
8. Total Dol	llar Amount for ALL Subcontractors:		9. Total Number of <u>ALL</u> Subcontractors:			
10. Bid Item Number	11. Description of Work, Service, or Materials Supplied	12. DBE Certification Number	13. DBE Contact Information (Must be certified on the date bids are opened)	14. DBE Dollar Amount		
Local Ager	ncy to Complete this Section					
21. Local A	gency Contract Number:		15. TOTAL CLAIMED DBE PARTICIPATION	\$		
22. Federal	l-Aid Project Number:		15. TOTAL CLAIMED DBE PARTICIPATION	%		
23. Bid Ope	ening Date:		"			
24. Contract Award Date:  Local Agency certifies that all DBE certifications are valid and information on		IMPORTANT: Identify all DBE firms being claimed for credit regardless of tier. Names of the First Tier DBE Subcontract their respective item(s) of work listed above must be consis where applicable with the names and items of the work in the "Subcontractor List" submitted with your bid. Written confirm				
this form is	complete and accurate.		each listed DBE is required.			
25. Local	Agency Representative's Signature 26. Date		16. Preparer's Signature 17. Date	<del></del>		
27. Local	Agency Representative's Name 28. Phor	ne	18. Preparer's Name 19. Pho	ne		
29. Local	Agency Representative's Title	20. Preparer's Title				

DISTRIBUTION: 1. Original – Local Agency 2. Copy – Caltrans District Local Assistance Engineer (DLAE). Failure to submit to DLAE within 30 days of contract execution may result in deobligation of federal funds on contract. Include additional copy with award package.

ADA Notice: For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

### INSTRUCTIONS – CONSTRUCTION CONTRACT DBE COMMITMENT

### CONTRACTOR SECTION

- 1. Local Agency Enter the name of the local or regional agency that is funding the contract.
- 2. Contract DBE Goal Enter the contract DBE goal percentage as it appears on the project advertisement.
- **3. Project Location** Enter the project location as it appears on the project advertisement.
- **4. Project Description** Enter the project description as it appears on the project advertisement (Bridge Rehab, Seismic Rehab, Overlay, Widening, etc).
- **5. Bidder's Name** Enter the contractor's firm name.
- **6. Prime Certified DBE** Check box if prime contractor is a certified DBE.
- **7. Bid Amount** Enter the total contract bid dollar amount for the prime contractor.
- **8. Total Dollar Amount for <u>ALL</u> Subcontractors** Enter the total dollar amount for all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
- **9. Total number of \underline{ALL} subcontractors** Enter the total number of all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
- 10. Bid Item Number Enter bid item number for work, services, or materials supplied to be provided.
- **11. Description of Work, Services, or Materials Supplied** Enter description of work, services, or materials to be provided. Indicate all work to be performed by DBEs including work performed by the prime contractor's own forces, if the prime is a DBE. If 100% of the item is not to be performed or furnished by the DBE, describe the exact portion to be performed or furnished by the DBE. See LAPM Chapter 9 to determine how to count the participation of DBE firms.
- **12. DBE Certification Number** Enter the DBE's Certification Identification Number. All DBEs must be certified on the date bids are opened.
- **13. DBE Contact Information** Enter the name, address, and phone number of all DBE subcontracted contractors. Also, enter the prime contractor's name and phone number, if the prime is a DBE.
- **14. DBE Dollar Amount** Enter the subcontracted dollar amount of the work to be performed or service to be provided. Include the prime contractor if the prime is a DBE. See LAPM Chapter 9 for how to count full/partial participation.
- **15. Total Claimed DBE Participation** \$: Enter the total dollar amounts entered in the "DBE Dollar Amount" column. %: Enter the total DBE participation claimed ("Total Claimed DBE Participation Dollars" divided by item "Bid Amount"). If the total % claimed is less than item "Contract DBE Goal," an adequately documented Good Faith Effort

(GFE) is required (see Exhibit 15-H DBE Information - Good Faith Efforts of the LAPM).

- **16. Preparer's Signature** The person completing the DBE commitment form on behalf of the contractor's firm must sign their name.
- **17. Date** Enter the date the DBE commitment form is signed by the contractor's preparer.
- **18. Preparer's Name** Enter the name of the person preparing and signing the contractor's DBE commitment form.
- 19. Phone Enter the area code and phone number of the person signing the contractor's DBE commitment form.
- 20. Preparer's Title Enter the position/title of the person signing the contractor's DBE commitment form.

### LOCAL AGENCY SECTION

- 21. Local Agency Contract Number Enter the Local Agency contract number or identifier.
- **22. Federal-Aid Project Number** Enter the Federal-Aid Project Number.
- 23. Bid Opening Date Enter the date contract bids were opened.
- **24. Contract Award Date** Enter the date the contract was executed.
- **25.** Local Agency Representative's Signature The person completing this section of the form for the Local Agency must sign their name to certify that the information in this and the Contractor Section of this form is complete and accurate.
- **26.** Date Enter the date the DBE commitment form is signed by the Local Agency Representative.
- **27. Local Agency Representative's Name** Enter the name of the Local Agency Representative certifying the contractor's DBE commitment form.
- **28. Phone** Enter the area code and phone number of the person signing the contractor's DBE commitment form.
- **29.** Local Agency Representative Title Enter the position/title of the Local Agency Representative certifying the contractor's DBE commitment form.

### EXHIBIT 15 H LOCAL AGENCY DBE INFORMATION —GOOD FAITH EFFORTS DBE INFORMATION - GOOD FAITH EFFORTS

	Federal-aid Project No	Bid Op	ening Date	
	The County of Alpine et this project. The information provided herein s	stablished a Disadvanta shows that a good faith	aged Business Enterprise (DBE) goal of 20% effort was made.	for
	Lowest, second lowest and third lowest bidder faith efforts. Bidders should submit the follow form indicates that the bidder has met the DBE if the administering agency determines that the not certified at bid opening, or the bidder made	ing information even if goal. This will protect bidder failed to meet t	the "Local Agency Bidder DBE Commitmen the bidder's eligibility for award of the contr	t" act
	Submittal of only the "Local Agency Bidder D demonstrate that adequate good faith efforts w		n may not provide sufficient documentation to	)
	The following items are listed in the Section en	ntitled "Submission of I	DBE Commitment" of the Special Provisions	:
A.	The names and dates of each publication placed by the bidder (please attach cop	-	1 1	ct was
	Publications		Dates of Advertisement	
В.	The names and dates of written notices and methods used for following up init interested (please attach copies of solic	ial solicitations to c	letermine with certainty whether the D	
	Names of DBEs Solicited	Date of Initial Solicitati on	Follow Up Methods and Dates	

C. The items of work which the bidder made available to DBE firms including, where appropriate, any breaking down of the contract work items (including those items normally performed by the bidder with its own forces) into economically feasible units to facilitate DBE participation. It is the bidder's responsibility to demonstrate that sufficient work to facilitate DBE participation was made available to DBE firms.

_	Items of Work	Bidder Normally Performs Item (Y/N)	Breakdown of Items	Amount (\$)	Percentage Of Contract
	DBEs, the firms s	ses and phone numbers elected for that work (p or each DBE if the selec	lease attach copies of q		ne bidder's rejection of the rms involved), and the
	Vames, addresses a	and phone numbers of r	ejected DBEs and the r	easons for the bic	der's rejection of the
	Names, address	ses and phone numbers	of firms selected for th	e work above:	
;		rmation related to the pl			rance, and any technical the work which was
;					materials or related ourchases or leases from

Name of	Method/Date of	Results
Agency/Organization	Contact	
Any additional data to support a demonstra	ation of good faith efforts (use addit	ional sheets if necessary)

**NOTE**: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

### EXHIBIT 12-B BIDDER'S LIST OF SUBCONTRACTORS (DBE AND NON-DBE) - PART I

As of March 1, 2015 Contractors (and sub-contractors) wishing to bid on public works contracts shall be registered with the State Division of Industrial Relations and certified to bid on Public Works contracts. Please register at: <a href="https://efiling.dir.ca.gov/PWCR/ActionServlet?action=displayPWCRegistrationForm">https://efiling.dir.ca.gov/PWCR/ActionServlet?action=displayPWCRegistrationForm</a>

In accordance with Title 49, Section 26.11 of the Code of Federal Regulations, and Section 4104 of the Public Contract Code of the State of California, as amended, the following information is required for each sub-contractor who will perform work amounting to more than one half of one percent (0.5%) of the Total Base Bid or \$10,000

(whichever is greater). Photocopy this form for additional firms.

Subcontractor Name and Location	Line Item & Description	Subcontract Amount	Percentage of Bid Item Sub- contracted	Contractor License Number	DBE (Y/N)	DBE Cert Number	Annual Gross Receipts
				DIR Reg Number			
Name:							☐ <\$1 million
							☐ <\$5 million
City, State:					_		☐ <\$10 million
							☐ <\$15 million
							Age of Firm:yrs.
Name:							☐ <\$1 million
							☐ <\$5 million
City, State:					_		☐ <\$10 million
							<\$15 million
							Age of Firm:yrs.
Name:							☐ <\$1 million
City, State:							<\$5 million
City, State.							<\$10 million
							☐ <\$15 million
							Age of Firm:yrs.
Name:							☐ <\$1 million
							<\$5 million
City, State:							☐ <\$10 million
							<\$15 million
							Age of Firm:yrs.
Name:							<\$1 million
City, State:	_						<\$5 million
City, State.							<\$10 million
							<\$15 million
							Age of Firm:yrs.

Distribution: 1) Original-Local Agency File 2 ) Copy-DLAE w/ Award Package

### EXHIBIT 12-B BIDDER'S LIST OF SUBCONTRACTORS (DBE AND NON-DBE) - PART II

The bidder shall list all subcontractors who provided a quote or bid but were not selected to participate as a subcontractor on this project. This is required for compliance with Title 49, Section 26 of the Code of Federal Regulations. **Photocopy this form for additional firms.** 

Subcontractor Name and Location	Line Item & Description	Subcontract Amount	Percentage of Bid Item Sub- contracted	Contractor License Number DIR Reg Number	DBE (Y/N)	DBE Cert Number	Annual Gross Receipts
Name:							<\$1 million <\$5 million
City, State:							<pre>\$10 million \$ &lt;\$15 million Age of Firm:yrs.</pre>
Name: City, State:	-						
Name: City, State:							
Name: City, State:	_						
Name: City, State:	-						

23

Distribution: 1) Original - Local Agency File

### **SAMPLE AGREEMENT**

between the COUN	NTY OF ALPINE	E, hereinafter called	, 2017, by and d "OWNER" and, (ar
individual), (a partn	nership) or (a co	orporation) hereinal	fter called "CONTRACTOR".
WITNESSE hereinafter r		nd in consideration	of the payments and agreements
` '		ill commence and o	complete the <b>Dixon Mine Road No. CD2019-01</b> .
èquipment, l	labor and other		material, supplies, tools, ry for the construction and
DOCUMENT PROCEED :	TS within <u>ten (1</u> and will comple the period for o	0) calendar days a te the same within	vork required by the CONTRACT after the date of the NOTICE TO ONE HUNDRED (100) working added otherwise by the CONTRACT
CONTRACT	T DOCUMENTS	grees to perform all 3 and comply with t as shown in the co	I of the WORK described in the the terms therein for the sum of ontractors BID.
(5) The term (A) (B) (C) (D) (E) (F) (G) (H) (I) (J) (K) (L) (M) (N)	ADVERTISEM NOTICE TO E BIDDERS' CHE BID LIST OF SUBBID BOND LOCAL AGEN CONTRACTS LOCAL AGEN AGREEMENT PAYMENT BOTTO PERFORMAN SPECIAL PROBLEM ALPINE, date CHANGE OR ADDENDA:	MENT FOR BIDS BIDDERS HECKLIST  CONTRACTORS NCY BIDDER DBE B) NCY DBE INFORM T OND NCE BOND OVISIONS prepare d October 2017. DERS	eans and includes the following: COMMITMENT (CONSTRUCTION MATION – GOOD FAITH EFFORTS ed or issued by COUNTY OF
	No No	, dated , dated	

- (6) The OWNER will pay to the CONTRACTOR in the manner and at such times as set forth in the General Conditions such amounts as required by the CONTRACT DOCUMENTS.
- (7) This Agreement shall be binding upon all parties hereto and their respective heirs, executors, administrators, successors, and assigns.

COLINTY OF ALDINE

IN WITNESS WHEREOF, the parties hereto have executed, or caused to be executed by their duly authorized officials, this Agreement on the date first above written.

COMMUNITY DEVELOPMENT DEPARTMENT
By:
CONTRACTOR
By:
Name:
Title:
Mailing Address:

## SAMPLE PERFORMANCE BOND

### KNOW ALL MEN BY THESE PRESENTS: that

(Name of Contractor)
(Address of Contractor)
a, hereinafter called PRINCIPAL, and (Corporation, Partnership or Individual)
(Name of Surety)
(Address of Surety) hereinafter called SURETY, are held and firmly bound unto the COUNTY OF ALPINE, Community Development Department, 50 Diamond Valley Road Markleeville, CA 96120, hereinafter called OWNER, in the penal sum of
Dollars (\$ ), in lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, successors, and assigns, jointly and severally, firmly by thes presents.
THE CONDITIONS OF THIS OBLIGATION is such that whereas, the PRINCIPAL entered into a certain contract with the OWNER, dated the day of 2017, a copy of which is hereto attached and made a part hereof for the Dixon Mine Road Bridge Replacement Project, Contract No. CD2019-01.

NOW, THEREFORE, if the PRINCIPAL shall well, truly and faithfully perform its duties, all the undertakings, covenants, terms, conditions, and agreements of said Contract during the original term thereof, and any extensions thereof which may be granted by the Owner, with or without notice to the SURETY and during the one year guaranty period, and if he shall satisfy all claims and demands incurred under such Contract, and shall fully indemnify and save harmless the OWNER from all costs and damages which it may suffer by reason of failure to do so, and shall reimburse and repay the OWNER all outlay and expense which the OWNER may incur in making good any default, then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said SURETY for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract or to the WORK to be performed thereunder or the SPECIFICATIONS accompanying the same shall in any wise affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the Contract or to the WORK or to the SPECIFICATIONS.

PROVIDED, FURTHER, that no final settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

IN WITNESS WHEREOF, this instrument is executed this, 2017.		day of
	SURETY	
	CONTRACTOR	
	Bv:	

NOTE: Date of BOND must not be prior to date of Contract. If CONTRACTOR is Partnership, all partners should execute BOND.

IMPORTANT: Surety companies executing BONDS must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the State where the PROJECT is located.

### SAMPLE PAYMENT BOND

NOW, THEREFORE, if the PRINCIPAL shall promptly make payment to all persons, firms, SUBCONTRACTORS, and corporations furnishing materials for or performing labor in the prosecution of the WORK provided for in such contract, and any authorized extension or modification thereof, including all amounts due for materials, lubricants, oil, gasoline, coal and coke, repairs on machinery, equipment and tools, consumed or used in connection with the construction of such WORK, and all insurance premiums on said WORK, and for all labor, performed in such WORK, whether by SUBCONTRACTOR or otherwise, then this obligation shall be void; otherwise to remain in full force and effect.

2017, a copy of which is hereto attached and made a part hereof for the Dixon Mine

entered into a certain contract with the OWNER, dated the day of

Road Bridge Replacement Project, Contract No. CD2019-01.

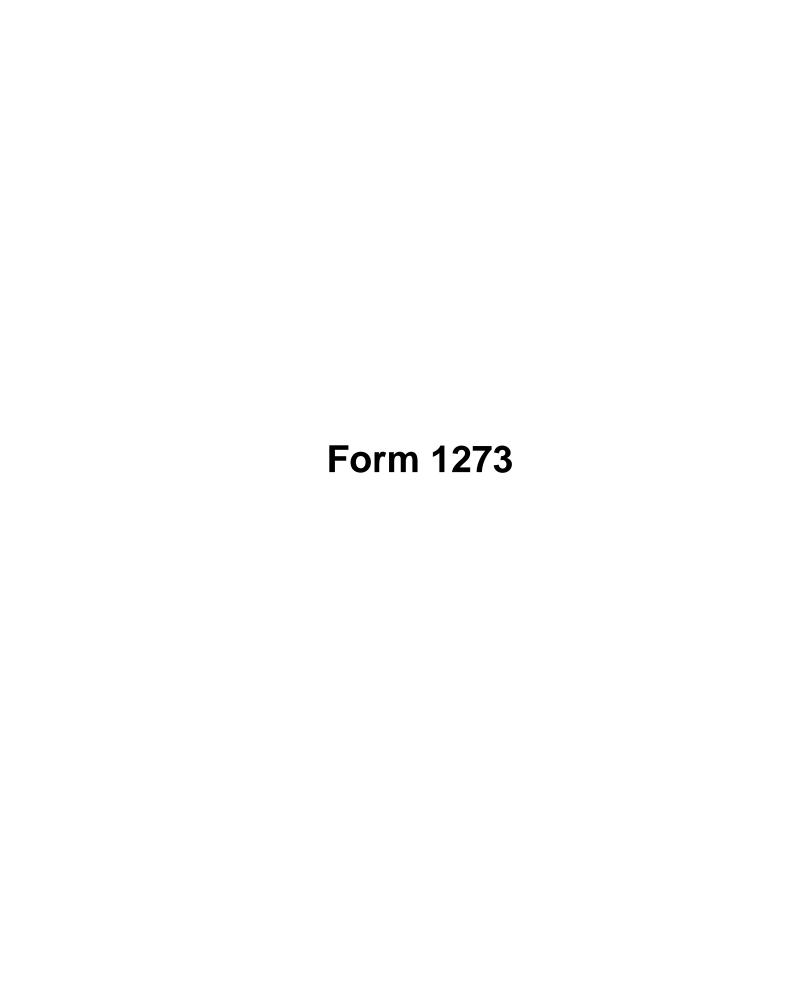
PROVIDED, FURTHER, that the said SURETY for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract or to the WORK to be performed thereunder or the SPECIFICATIONS accompanying the same shall in any wise affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the Contract or to the WORK or to the SPECIFICATIONS.

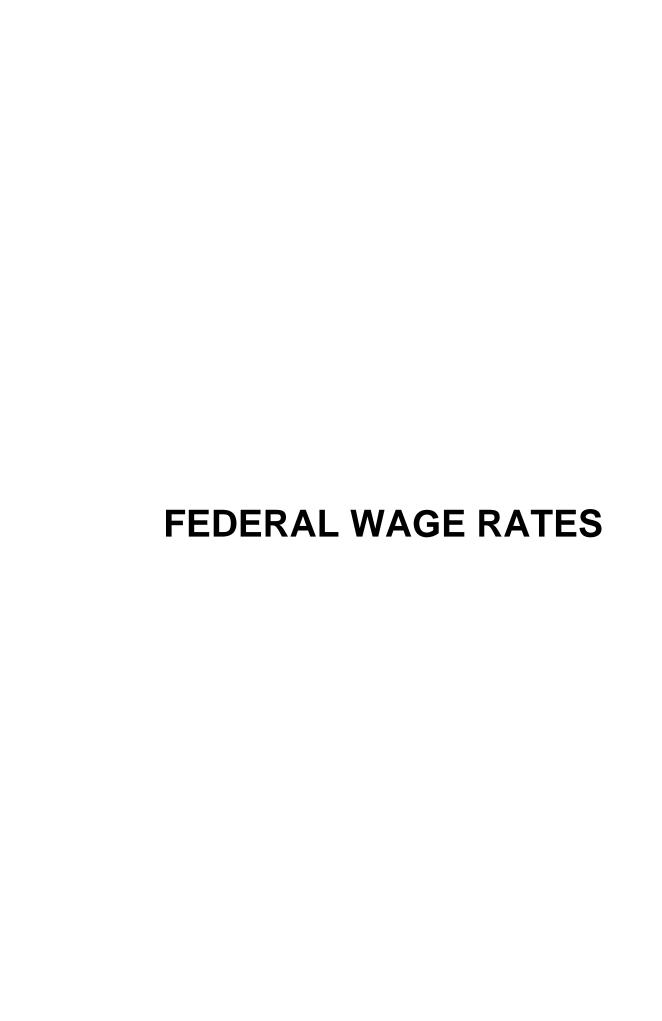
PROVIDED, FURTHER, that no final settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

IN WITNESS WHEREOF, this instrument is ex, 2017.	xecuted this day of	
	SURETY	
	CONTRACTOR	
	By:	

NOTE: Date of BOND must not be prior to date of Contract. If CONTRACTOR is Partnership, all partners should execute BOND.

IMPORTANT: Surety companies executing BONDS must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the State where the PROJECT is located.





# COUNTY OF ALPINE COMMUNITY DEVELOPMENT DEPARTMENT MARKLEEVILLE, CALIFORNIA

# NOTICE TO BIDDERS AND SPECIAL PROVISIONS FOR DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT

FEDERAL AID PROJECT NO. BRLO-5931(027)

# 0.5 MILES NORTHEAST OF WOLF CREEK ROAD

Contract No. CD2019-01

Bid Book dated November 2018

Standard Specifications dated 2015

Project plans approved November 2018

Standard Plans dated 2015

# SPECIAL NOTICES

- See sections 2 and 3 for contractors' registration requirements.
- The schedules for the submittal of DBE forms have been revised. See section 2-1.33 for the submittal schedules.

# Dixon Mine Road Bridge Replacement Project Contract No. CD2019-01

The special provisions contained herein have been prepared by or under the direction of the following Registered Persons.

### **HIGHWAY AND STRUCTURES**

REGISTERED CIVIL ENGINEER



### COUNTY OF ALPINE, STATE OF CALIFORNIA

### DIXON MINE ROAD

### BRIDGE REPLACEMENT PROJECT

### TABLE OF CONTENTS

NOTICE TO BIDDERS	NTB-1
SPECIAL PROVISIONS	SP-3
ORGANIZATION	
DIVISION I GENERAL PROVISIONS	SP-3
1 GENERAL	
2 BIDDING	SP-4
3 CONTRACT AWARD AND EXECUTION	SP-7
4 SCOPE OF WORK	
5 CONTROL OF WORK	
6 CONTROL OF MATERIALS	
7 LEGAL RELATIONS AND RESPONSIBILITY TO THE PUBLIC	
8 PROSECUTION AND PROGRESS	SP-16
9 PAYMENT	SP-17
DIVISION II GENERAL CONSTRUCTION	SP-18
10 GENERAL	SP-18
12 TEMPORARY TRAFFIC CONTROL	
13 WATER POLLUTION CONTROL	
14 ENVIRONMENTAL STEWARDSHIP	
15 EXISTING FACILITIES	SP-27
DIVISION III EARTHWORK AND LANDSCAPE	
17 GENERAL	
19 EARTHWORK	SP-28
DIVISION IV SUBBASES AND BASES	SP-29
26 AGGREGATE BASES	
DIVISION VI STRUCTURES	
49 PILING	SP-30
50 PRESTRESSING CONCRETE	SP-32
51 CONCRETE STRUCTURES	
52 REINFORCEMENT	
60 EXISTING STRUCTURES	SP-33
DIVISION VIII MISCELLANEOUS CONSTRUCTION	
72 SLOPE PROTECTION	SP-34
DIVISION IX TRAFFIC CONTROL DEVICES	
83 RAILINGS AND BARRIERS	
DIVISION XI MATERIALS	
90 CONCRETE	
REVISED STANDARD SPECIFICATIONS	SP-38

### **COUNTY OF ALPINE**

### COMMUNITY DEVELOPMENT DEPARTMENT

### **NOTICE TO BIDDERS**

Bids open Wednesday, TBD, 2019 at 2:00 PM.

General work description: Bridge Replacement on existing alignment with approximately 1650 feet of roadway reconstruction with AB. You must perform, place, construct, or install other items and details not mentioned that are required of the plans under the Standard Specifications and special provisions.

The County will receive sealed bids for DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT

Contract Number: CD2019-01

Plans, specifications and bid documents can be obtained at <a href="www.planroom.us/quincyeng">www.planroom.us/quincyeng</a>. A nonrefundable fee of \$46 is required for the Contract Documents (reduced-size plans). Any addenda issued for this project will also be available at this website.

Bid forms for this work are included in a separate book titled:

COUNTY OF ALPINE
COMMUNITY DEVELOPMENT DEPARTMENT
MARKLEEVILLE, CALIFORNIA
BID
FOR
DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT
Contract No. CD2019-01
PROJECT NO. BRLO-5931(027)

Contract Documents may be viewed at the following locations; however, you must purchase the documents from <a href="https://www.planroom.us/quincyeng">www.planroom.us/quincyeng</a> to be added to the plan holders list and be considered a responsible bidder:

Construction Bidboard, Inc., San Diego, California
Humboldt Builders Exchange, Eureka, California
Dodge Data & Analytics, Hot Springs, Arkansas
North Coast Builders Exchange, Santa Rosa, California
Peninsula Builders Exchange, San Carlos, California
Placer County Contractors Association and Builders Exchange, Roseville, California
Shasta Builders Exchange, Redding, California
Construction Bid Source, Felton, California
Valley Contractors Exchange, Chico, California

The Contractor must have either a Class A license or a combination of Class C licenses that make up a majority of the work.

The DBE Contract goal is (20%) twenty percent.

Federal-aid project no.: BRLO-5931(027)

Notice To Bidders Contract No. CD2019-01 For the federal training program, the number of trainees or apprentices is 0.

Bids must be on a unit price basis.

Complete work within 100 working days.

The estimated cost of construction is \$1,583,000.

Bids must remain valid and shall not be subject to withdrawal for 60 calendar days after bid opening date.

It is the intent of the County to award the project within 60 days after the Bid Opening. If the lowest responsible bidder's bid amount exceeds the estimated cost of construction, the County must receive approval for additional federal funding before the County can award. The County may make an "Intent to Award" in order to start the additional federal funding process, but will only award when there is sufficient federal funds for the project.

A pre-bid meeting is scheduled approximately 2 ½ weeks after construction is advertised, at the County of Alpine, Community Development Department, 50 Diamond Valley Road Markleeville, CA 96120. The pre-bid conference is not mandatory.

The County will receive sealed bids until 3:00 p.m. on the bid open date to the clerk at the County's Board of Supervisors Chambers at 99 Water Street, Markleeville, CA 96120. Bids received after this time will not be accepted.

The County will immediately open and publicly read the bids at the mentioned location after the specified closing time.

Technical questions should be directed to Jason Jurrens, Associate Principal Engineer, Quincy Engineering Inc. by either fax: (916) 368-1308 or email: jasonj@quincyeng.com. Questions will be accepted until 5 days prior to bid opening at 4 pm. Reponses to bidders' questions will be posted at www.planroom.us/quincyeng.

Questions about alleged patent ambiguity of the plans, specifications, or estimate must be submitted in writing at least five (5) days before bid opening. After this time, the County will not consider these questions as bid protests.

Submit your bid with bidder's security equal to at least 10 percent of the bid.

You must take necessary and reasonable steps to ensure that DBEs have opportunity to participate in the Contract (49 CFR 26).

No contractor or subcontractor may be listed on a bid proposal for a public works project (submitted on or after March 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 [with limited exceptions from this requirement for bid purposes only under Labor Code section 1771.1(a)]. No contractor or subcontractor may be awarded a contract for public work on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5. This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

Prevailing wages are required on this Contract. The Director of the California Department of Industrial Relations determines the general prevailing wage rates. Obtain the wage rates at the DIR Web site, http://www.dir.ca.gov/DLSR/PWD, or from the County's Community Development Department.

The federal minimum wage rates for this Contract as determined by the United States Secretary of Labor are included in the *Bid* Book and are available at http://www.wdol.gov/dba.aspx.

If the minimum wage rates as determined by the United States Secretary of Labor differs from the general prevailing wage rates determined by the Director of the California Department of Industrial Relations for similar classifications of labor, you and your subcontractors must not pay less than the higher wage rate. The County does not accept lower State wage rates not specifically included in the federal wage determinations. This includes helper, or other classifications based on hours of experience, or any other classification not appearing in the federal wage determinations. Where Federal wage determinations do not contain the State wage rate determination otherwise available for use by you and your subcontractors, you and your subcontractors must pay not less than the Federal minimum wage rate that most closely approximates the duties of the employees in question.

Prior to the issuance of the Notice to Proceed, you will attend a pre-construction conference held at the office of the Community Development Department for the purpose of discussing the scope of work, Contract drawings, specifications, existing conditions, material to be ordered, equipment to be used, and all essential matters pertaining to the prosecution and the satisfactory completion of the project. You <a href="MUST">MUST</a> include all major superintendents for the work and major sub-contractors at this conference. You must appoint a superintendent to act as the single point of contact for the duration of the project. In the event a substitution should be made during the project, you will provide this information in writing.

The U.S. Department of Transportation (DOT) provides a toll-free hotline to report bid rigging activities. Use the hotline to report bid rigging, bidder collusion, and other fraudulent activities. The hotline number is (800) 424-9071. The service is available 24 hours 7 days a week and is confidential and anonymous. The hotline is part of the DOT's effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General.

California Department of Transportation has made available Notices if Suspension and Proposed Debarment from the Federal Highway Administration. For a copy of the notices, go to http://www.dot.ca.gov/hq/esd/oe/contractor\_info. Additional information is provided in the Excluded Parties List System at http://www.epls.gov.

### PRELIMINARY QUANTITIES (NOT TO BE USED FOR BIDDING PURPOSES)

### DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT

**BID** 

Item No.	Item Code	Description (F)	Unit of Measure	Estimated Quantity
1	066999	CONSTRUCTION STAKING	LS	LUMP SUM
2	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM
3	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM
4	120120	TYPE III BARRICADE	EA	6
5	130100	JOB SITE MANAGEMENT	LS	LUMP SUM
6	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LUMP SUM
7	130600	TEMPORARY CREEK DIVERSION	LS	LUMP SUM

Item No.	Item Code	Description (F)	Unit of Measure	Estimated Quantity
8	130640	TEMPORARY FIBER ROLL	LF	1740
9	130680	TEMPORARY SILT FENCE	LF	1320
10	130710	TEMPORARY CONSTRUCTION ENTRANCE	EA	2
11	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM
12	131103	WATER QUALITY SAMPLING AND ANALYSIS DAY	EA	3
13	131104	WATER QUALITY MONITORING REPORT	EA	3
14	131105	WATER QUALITY ANNUAL REPORT	EA	1
15	146002	CONTRACTOR-SUPPLIED BIOLOGIST (LS)	LS	LUMP SUM
16	157550	BRIDGE REMOVAL	LS	LUMP SUM
17	160102	CLEARING AND GRUBBING (LS)	LS	LUMP SUM
18	190101	ROADWAY EXCAVATION	CY	490
19	192020	STRUCTURE EXCAVATION (TYPE D) (F)	CY	171
20	193003	STRUCTURE BACKFILL (BRIDGE) (F)	CY	76
21	198010	IMPORTED BORROW (CY)	CY	310
22	210430	HYDROSEED	SQFT	5090
23	260203	CLASS 2 AGGREGATE BASE (CY)	CY	440
24	260204	TEMPORARY CLASS 2 AGGREGATE BASE	CY	20
25	490508	FURNISH STEEL PILING (HP 10 X 57)	LF	863
26	490509	DRIVE STEEL PILE (HP 10 X 57)	EA	28
27	500001	PRESTRESSING CAST-IN-PLACE CONCRETE	LS	LUMP SUM
28	510051	STRUCTURAL CONCRETE, BRIDGE FOOTING (F)	CY	34
29	510053	STRUCTURAL CONCRETE, BRIDGE (F)	CY	63
30	510054	STRUCTURAL CONCRETE, BRIDGE (POLYMER FIBER) (F)	CY	146

Item No.	Item Code	Description (F)	Unit of Measure	Estimated Quantity
31	519088	JOINT SEAL (MR 1")	LF	56
32	520102	BAR REINFORCING STEEL (BRIDGE) (F)	LB	9494
33	520110	BAR REINFORCING STEEL (EPOXY COATED) (BRIDGE) (F)	LB	19418
34	720119	ROCK SLOPE PROTECTION (1T, METHOD A) (F)	CY	362
35	721015	ROCK SLOPE PROTECTION (LIGHT, METHOD B) (CY) (F)	CY	156
36	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	SQYD	490
37	839543	TRANSITION RAILING (TYPE WB-31)	EA	2
38	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	EA	2
39	839738	CALIFORNIA ST-30 BRIDGE RAIL (F)	LF	183
40	999990	MOBILIZATION	LS	LUMP SUM

(F) – Denotes Final Pay Item

	COUNTY OF ALPINE
Date:	By: BRIAN PETERS, Director Alpine County Community Development

### **STANDARD PLANS LIST**

The standard plan sheets applicable to this Contract include those listed below. The applicable revised standard plans (RSPs) listed below are available at http://www.dot.ca.gov/des/oe/construction-contract-standards.html

	. ABBREVIATIONS, LINES, SYMBOLS, AND LEGEND
A3A	Abbreviations (Sheet 1 of 3)
A3B	Abbreviations (Sheet 2 of 3)
A3C	Abbreviations (Sheet 3 of 3)
A10A	Legend - Lines and Symbols (Sheet 1 of 5)
RSP A10B	Legend - Lines and Symbols (Sheet 2 of 5)
A10C	Legend - Lines and Symbols (Sheet 3 of 5)
A10D	Legend - Lines and Symbols (Sheet 4 of 5)
A10E	Legend - Lines and Symbols (Sheet 5 of 5)
	EXCAVATION AND BACKFILL
A62A	Excavation and Backfill - Miscellaneous Details
A73C	OBJECT MARKERS, DELINEATORS, CHANNELIZERS, AND BARRICADES Delineators, Channelizers and Barricades
RSP A77L1	MIDWEST GUARDRAIL SYSTEM - STANDARD RAILING SECTIONS Midwest Guardrail System - Standard Railing Section (Wood Post with Wood Block)
RSP A77L2	Midwest Guardrail System - Standard Railing Section (Steel Post with Notched Wood or Notched Recycled Plastic Block)
A77M1	Midwest Guardrail System - Standard Hardware
RSP A77N1	Midwest Guardrail System - Wood Post and Wood Block Details
RSP A77Q1	MIDWEST GUARDRAIL SYSTEM - TYPICAL LAYOUTS FOR STRUCTURES Midwest Guardrail System - Typical Layouts for Structure Approach
RSP A77Q4	Midwest Guardrail System - Typical Layouts for Structure Departure
	MIDWEST GUARDRAIL SYSTEM - CONNECTION DETAILS AND TRANSITION RAILING TO BRIDGE RAILINGS, ABUTMENTS AND WALLS
A77U1	Midwest Guardrail System - Connections to Bridge Railings without Sidewalks Details No. 1
A77U2	Midwest Guardrail System - Connections to Bridge Railings without Sidewalks Details No. 2
A77U4	Midwest Guardrail System - Transition Railing (Type WB-31)
	TEMPORARY CRASH CUSHIONS, RAILING AND TRAFFIC SCREEN
T1A	Temporary Crash Cushion, Sand Filled (Unidirectional)
T1B	Temporary Crash Cushion, Sand Filled (Bidirectional)
T2	Temporary Crash Cushion, Sand Filled (Shoulder Installations)
T3A	Temporary Railing (Type K)
T3B	Temporary Railing (Type K)
TC4	TEMPORARY WATER POLLUTION CONTROL
T51	Temporary Water Pollution Control Details (Temporary Silt Fence)
T56	Temporary Water Pollution Control Details (Temporary Construction Entropes)
T58	Temporary Water Pollution Control Details (Temporary Construction Entrance)
T59	Temporary Water Pollution Control Details (Temporary Concrete Washout Facility)

### **BRIDGE DETAILS**

B0-1	Bridge Details
RSP B0-3	Bridge Details
B0-13	Bridge Details
	JOINT SEALS
B6-21	Joint Seals (Maximum Movement Rating = 2")
	CAST-IN-PLACE POST-TENSIONED GIRDER
RSP B8-5	Cast-In-Place Post-Tensioned Girder Details
	BRIDGE METAL RAIL BARRIERS
B11-65	California ST-30 Bridge Rail
	ROADSIDE SIGNS
RS1	Roadside Signs - Typical Installation Details No. 1
RS2	Roadside Signs - Wood Post - Typical Installation Details No. 2
RS4	Roadside Signs - Typical Installation Details No. 4

#### **COUNTY OF ALPINE**

#### **SPECIAL PROVISIONS**

#### **DIXON MINE ROAD BRIDGE REPLACEMENT PROJECT**

#### **ORGANIZATION**

Special provisions are under headings that correspond with the main-section headings of the *Standard Specifications*. A main-section heading is a heading shown in the table of contents of the *Standard Specifications*.

Each special provision begins with a revision clause that describes or introduces a revision to the *Standard Specifications* as revised by any revised standard specification.

Any paragraph added or deleted by a revision clause does not change the paragraph numbering of the *Standard Specifications* for any other reference to a paragraph of the *Standard Specifications*.

^^^^^

### DIVISION I GENERAL PROVISIONS 1 GENERAL

#### Add to section 1-1.01:

**Bid Items and Applicable Sections** 

Item code	Item description	Applicable section
066999	CONSTRUCTION STAKING	5
157550	BRIDGE REMOVAL	60
160102	CLEARING AND GRUBBING	17

#### Add to section 1-1.07B:

County: County of Alpine

Contract Documents: Plans, Notice to Bidders and Special Provisions, and Bid Book and Contract.

#### Redefine the following definitions in section 1-1.07B to mean:

**Department/Department of Transportation:** County of Alpine (except when referencing a website or manual)

**District:** The Community Development Department, Alpine County

Engineer: The Director of Community Development, County of Alpine acting either directly or through

properly authorized agent or consultants.

State: County of Alpine

#### Replace "The Department" in the 1st paragraph in section 1-1.08 with:

Caltrans

#### Add to section 1-1.09:

This project is in a freeze-thaw area.

#### Replace section 1-1.12 with:

Make checks and bonds payable to the County of Alpine.

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#### 2 BIDDING

#### Replace section 2-1.06A with:

#### 2-1.06A General

Locations for obtaining Contract documents are listed in the Notice to Bidders.

The County will receive sealed bids until 3:00 p.m. on the bid open date at the County's Board of Supervisors Chambers at 99 Water Street, Markleeville, CA 96120. Bids received after this time will not be accepted.

The County will immediately open and publicly read the bids at the above location after the specified bid opening time.

The Bid book includes bid forms and certifications.

The Notice to Bidders and Special Provisions includes the Notice to Bidders, revised standard specifications, and special provisions.

The CONTRACT DOCUMENTS contain the provisions required for the construction of the PROJECT. Information obtained from an officer, agent, or employee of the County or any other person will not affect the risks or obligations assumed by you or relieve you from fulfilling any of the conditions of the Contract.

A Non-Collusion Affidavit is included in the bid book (Pub Cont Code § 7106). Signing the bid also constitutes signature of the Non-Collusion Affidavit.

#### Add between the 1st and 2nd paragraphs of section 2-1.06B:

The Department makes the following supplemental project information available:

**Supplemental Project Information** 

Means	Description
Included in the Information Handout	Geotechnical Engineering Report for Wolf Creek Bridge Replacement Initial Site Assessment - Wolf Creek Bridge Replacement Project Environmental Commitment Record Staging Area Location Exhibit Permits  Lahontan RWQCB 401 Water Quality Certification Army Corp of Engineers 404 California Department of Fish and Wildlife 1602 U.S. Fish and Wildlife Service Informal Consultation Forest Service Special Use Permit
Available for viewing	Cross Sections
Included with the project plans	Log of Test Borings

The Information Handout is available at the same location as the bid documents.

#### Add to section 2-1.07 of the RSS

Check with local contractors regarding local site, surface, subsurface and material conditions and variability. Failure to do so will not relieve your obligation to enter into a contract and complete the contemplated work under the Contract Documents.

Examine all of the various parts of these Documents if contemplating the submission of a bid, and should there be any doubt as to the meaning or intent of the Contract Documents, you must request an interpretation, in writing, at least six working days before BID opening. Any interpretation or change in the Contract Documents will be made, in the form of addenda to the Documents and will be furnished to all Bidders receiving a set of the Documents. The County is not responsible for any other explanation or interpretations of the Documents.

Request for interpretation must be submitted in writing to Jason Jurrens, Associate Principal Engineer, Quincy Engineering Inc. by either fax: (916) 368-1308 or email: jasonj@quincyeng.com.

Delete "for a non-federal-aid contract" from Item #2 of the 2nd paragraph in section 2-1.10.

#### Replace section 2-1.33A of the RSS with:

Complete the forms in the Bid Book. Submit the forms with your bid.

Do not fax forms except for the copies of forms with the public works contractor registration number submitted after the time of bid. Fax these copies to (916) 368-1308, Attention: Jason Jurrens.

Failure to submit the forms and information as specified may result in a nonresponsive bid.

All blank spaces in the Bid form must be filled in, in ink, in both words and figures, where required. No changes will be made in the phraseology of the forms. Written amounts will govern in cases of

discrepancy between the amounts stated in writing and the amounts stated in figures. In case of discrepancy between unit prices and totals, unit prices will prevail. Indicate receipt of all addenda.

Any Bid will be deemed nonresponsive if it contains any of the following:

- 1. omissions, erasures, alterations, or additions of any kind
- 2. prices uncalled for
- 3. prices that are obviously unbalanced
- 4. fails to conform to the conditions of the published Advertisement for Bid in any manner.

Sign your bid in ink in the space provided.

#### If you are:

- 1. corporation, the legal name of the corporation must be stated, together with the signature of the officer or officers authorized to sign contracts on behalf of the corporation
- 2. co-partnership, the true name of the firm must be stated, together with the signature of the partner or partners authorized to sign contracts on behalf of the co-partnership

If an agent other than the authorized corporate officer or a partnership member signs the bid, file a Power of Attorney with the County either before opening bids or with the bid. Otherwise, the bid may be nonresponsive.

State and local sales and use taxes required by State statues and laws will be paid by you. Prices quoted in the Bid must include sales tax.

Submit Bids in a sealed envelope to the Clerk at the County's Board of Supervisors Chambers at 99 Water Street, Markleeville, CA 96120. Each sealed BID must be plainly marked on the outside as "BID for Dixon Mine Road Bridge Replacement Project Contract No. CD2019-01, to be opened at 3:00 p.m., Wednesday, TBD, 2019.", and must bear on the outside the name of the BIDDER, his address, and his license number.

All BIDS must be made on the required BID form. All blank spaces for BID prices must be filled in, in ink or typewritten, and the BID form must be fully completed and executed when submitted. Only one copy of the BID form is required.

You must satisfy yourself of the accuracy of the estimated quantities in the BID Schedule by examination of the site and a review of the drawings and specifications including ADDENDA. After BIDS are submitted, you will not assert that there was a misunderstanding concerning the quantities of WORK or of the nature of the WORK to be done.

You may modify your bid by telegraphic or written communication provided such communication is received by the County before bid opening time. The telegraphic or written communication should not reveal the bid price but should state the addition or subtraction or other modification so that the final prices or terms will not be known by the County until the sealed bid is opened.

A BID will not be accepted if it modifies the Plans or Specifications or method of work.

#### Replace section 2-1.34 of the RSS with:

Each BID must be accompanied by a BID bond payable to the County for ten percent (10%) of the total amount of the BID. Once BID prices have been compared, the County will return the BID bonds of all except the three lowest responsible BIDDERS. When the Agreement is executed, the BID bonds of the two remaining unsuccessful BIDDERS will be returned. The BID BOND of the successful BIDDER will be retained until the PAYMENT BOND and PERFORMANCE BOND have been executed and approved. A certified check or cashier's check may be used instead of a BID BOND, made payable to the County of Alpine.

The form of Bidder's Bond will be found following the signature page of the Bid book.

#### Replace section 2-1.40 of the RSS with:

The County may waive any informalities or minor defects or reject all BIDS. Any BID may be withdrawn before the BID opening or authorized postponement date. No BIDDER may withdraw a BID within sixty (60) days after the bid opening date. If the contract cannot be awarded within the specified period, the time may be extended by mutual agreement between the County and the BIDDER.

#### Add to section 2-1.46 of the RSS:

The County may make such investigations necessary to determine your ability to perform the WORK, and you will furnish to the County all requested information and data for this purpose. The County reserves the right to reject any BID if it is determined you are unqualified to carry out the obligations of the Agreement and to complete the work.

#### Add to section 2-1.49 of the RSS:

Failure to fulfill the requirements of these Contract documents may subject you to a determination of bidder's responsibility if you are the apparent low bidder on future public works contracts.

^^^^^

#### **3 CONTRACT AWARD AND EXECUTION**

#### Add to section 3-1.02A:

All bids will be compared on the basis of the Engineer's Estimate of the quantities of work to be done.

The estimated quantities listed in the Bid are approximate and for the basis of award of Contract. Payment will be made on the measurement of the work actually performed by you. The County reserves the right to increase or decrease the amount of any class of work as may be deemed necessary and as stated in Section 9-1.06.

When the Bid for the work is to be submitted on a lump sum basis, a single lump sum price must be submitted in the appropriate place. The total amount to be paid you must be the amount of the lump sum in the Bid, as adjusted for additions or deletions resulting from changes in construction. After award of Contract, you will break down and submit the lump sum Bid into unit prices for the various portions to be completed.

#### Replace section 3-1.02B with:

The Department breaks a tied bid with a coin toss

#### Replace section 3-1.04 with:

Any party with a direct financial interest adversely affected by any alleged bid irregularity at the bid opening may file a PROTEST with the COUNTY based on alleged violations of Federal, State, or local law or ordinance, or alleged bid irregularity.

A protest must:

- 1. be written,
- 2. state the specific basis of the appeal,
- 3. request a determination of the protest issue,
- 4. be filed no later than 72 hours before the scheduled AWARD OF CONTRACT by COUNTY, as determined by the published agenda of the Board of Supervisors of the County of Alpine. Any protest filed after this time will not be considered.

The party filing the protest must transmit a copy of all protest documents and any attachments to all other parties with a direct financial interest which may be adversely affected by the determination of the protest appeal concurrently.

The COUNTY will review the protest and make a determination.

The NOTICE TO PROCEED will be issued within fifteen (15) days of the execution of the Agreement by the COUNTY. Should there be reasons why the NOTICE TO PROCEED cannot be issued within such period; the time may be extended by mutual agreement between the COUNTY and YOU. If the NOTICE TO PROCEED has not been issued within the ten (10) day period or within the period mutually agreed upon, YOU may terminate the Agreement without further liability on the part of either party.

If the County awards the contract, the award is made to the lowest responsible bidder within 60 days. This period may be subject to extension for such further period as agreed upon in writing between the Department and you.

#### Replace section 3-1.05 with:

The successful bidder must furnish 2 bonds with a corporate surety approved by the County:

- 1. Payment bond to secure the claim payments of laborers, workers, mechanics, or materialmen providing goods, labor, or services under the Contract. This bond must be equal to at least 100 percent of the total bid.
- 2. Performance bond to guarantee the faithful performance of the Contract. This bond must be equal to at least 100 percent of the total bid.

The bond forms are in the Bid Book.

Attorneys-in-fact who sign BONDS must file with each a certified and effective dated copy of their power of attorney.

File BONDS within ten (10) days from the date when NOTICE OF AWARD is delivered to you. The NOTICE OF AWARD must be accompanied by the necessary Agreement and BOND forms. Your failure to execute the Agreement may be considered as a default by the County and the BID BOND will become the property of the County.

Within fifteen (15) days of receipt of acceptable performance BOND, payment BOND and Agreement signed by you, the COUNTY will sign the Agreement and return an executed duplicate of the Agreement. Should the COUNTY not execute the Agreement within such period, you may withdraw your signed Agreement with WRITTEN NOTICE. Such notice of withdrawal will be effective upon receipt of the notice by the COUNTY.

#### Replace section 3-1.08 with:

Caltrans has established an overall 25 percent small business participation goal. Caltrans is tracking small business participation on all contracts to determine whether the goal is achieved.

Complete and sign the Small Business (SB) Participation Report form if requested by the County.

#### Replace section 3-1.11 with:

Complete and deliver to the Engineer a Payee Data Record when requested by the County.

#### Replace section 3-1.18 with:

The successful bidder must sign the Contract form.

Deliver to the Community Development Department, 50 Diamond Valley Road, Markleeville, CA 96120. Attention: Brian Peters.:

- 1. Signed Contract form
- 2. Contract bonds
- Documents identified in section 3-1.07

The County must receive these documents before the 10th business day after the bidder receives the contract.

The bidder's security may be forfeited for failure to execute the contract within the time specified (Pub Cont Code §§ 10181, 10182, and 10183).

#### ^^^^^

#### **4 SCOPE OF WORK**

#### Replace section 4-1.06B with:

Provide notification in writing promptly, and before disturbing affected area for any of the following:

- subsurface or latent physical conditions are encountered at the site differing materially from those indicated in the contract
- unknown physical conditions of an unusual nature differing materially from those ordinarily encountered and generally recognized as included in the work provided for in the contract are encountered at the site

Upon written notification the Engineer will investigate the conditions, and if the Engineer determines the conditions materially differ and cause an increase or decrease in the cost or item required for the performance of any work under the contract, an adjustment, excluding loss of anticipated profits, will be made and the contract modified. The Engineer will notify you of his determination whether or not an adjustment of the contract is warranted.

You will be allowed 15 days from notification of determination to file a notice of potential claim as allowed under Section 5-1.43, otherwise the Engineer's determination will be deemed to have been accepted by you as correct.

The notice of potential claim must state how your position differs from the Engineer's determination and you must provide any additional information obtained by you, including but not limited to additional geotechnical data. Supplementary information, obtained by you subsequent to the filing of the notice of potential claim, must be submitted to the Engineer in an expeditious manner.

The notice of potential claim must be accompanied by your certification that the following were made in preparation of the bid:

- 1. review of the contract.
- 2. review of the "Information Handout,"
- 3. review of the log of test borings and other records of geotechnical data to the extent they were made available to you prior to the opening of bids,
- 4. examination of the conditions above ground at the site

No contract adjustment which results in a benefit to you will be allowed unless you provide the required written notice.

No contract adjustment will be allowed under the provisions in Section 4 for any effects caused on unchanged work.

Any contract adjustment warranted due to differing site conditions will be made under the provisions in Section 4-1.05.

^^^^^

#### 5 CONTROL OF WORK

#### Replace the 9th paragraph of section 5-1.01 with:

Use contract administration forms designated by the County.

#### Add to section 5-1.02:

Your subcontract and any lower tier subcontract must include the "Required Contract Provisions Federal-Aid Construction Contracts" under Section 7-1.11 of the Standard Specifications. Noncompliance must be corrected. Payment for subcontracted work involved will be withheld from progress payments due, or that become due, until correction is made. Failure to comply may result in termination of the contract.

#### Add to the end of the 2nd sentence in paragraph 3 of section 5-1.13A:

at http://www.dir.ca.gov/dir/Labor\_law/DSLE/Debar.html.

#### Add to section 5-1.13A:

Your subcontractors will perform the work and supply the materials they are listed for unless you have prior written authorization to perform the work with other forces or obtain the materials from other sources.

Notify the Engineer of any changes to the DBE participation before start of the DBE contractor's work.

#### Replace "Reserved" section 5-1.20H with:

#### 5-1.20H Relations with US Forest Service

An encroachment permit has been issued to the County by the US Forest Service for work within their right of way. You must be fully informed of and comply with the requirements of this encroachment permit as well as rules, regulations, and conditions that may govern your activities within the US Forest Service right-of-way and should conduct the work accordingly.

#### Replace section 5-1.26 with:

#### 5-1.26 CONSTRUCTION SURVEYS

#### 5-1.26A General

#### 5-1.26A(1) Summary

Section 5-1.26 includes specifications for furnishing and setting construction stakes and markers to establish the lines and grades required for the completion of the work and as necessary for the Engineer to check lines, grades, alignment and elevations.

You must perform construction staking as necessary to control the work. Furnish and set construction stakes and marks with accuracy adequate to assure that the completed work conforms to the lines, grades, and section.

#### 5-1.26A(2) Definitions

You must follow all procedures, methods, and typical stake markings under Chapter 12, Construction Surveys, of the Caltrans publication "Surveys Manual." Copies of the "Survey Manual" may be purchased from Caltrans Publications Unit 1900 Royal Oaks Drive, Sacramento, California 95815, (916) 445-3520.

#### 5-1.26A(3) Submittals

You must submit all computations necessary to establish the exact position of the work from control points. All computations, survey notes, and other records necessary to accomplish the work must be neat, legible, and accurate. Copies of such computation, notes and other records must be furnished to the Engineer prior to beginning work that requires their use.

Upon completion of construction staking and prior to acceptance of the contract, all computations, survey notes, and other data used to accomplish the work must be submitted to the Engineer and will become the property of the County.

#### 5-1.26A(4) Quality Control and Assurance

Not used.

#### 5-1.26B MATERIALS

Not used.

#### 5-1.26C CONSTRUCTION

Construction stakes and marks (including paint marks) must be removed from the site of work when no longer needed.

#### **5-1.26D PAYMENT**

The Department pays you for construction staking as follows:

- 1. A total of 90 percent of the item total over the life of the contract.
- 2. A total of 100 percent of the item total upon submission of final computations, notes and other data.

#### Replace the 1st paragraph of section 5-1.45 with:

Guarantee all materials and equipment furnished and Work performed for a period of one (1) year from the date of Completion. You must warrant and guarantee for a period of one (1) year from the date of Completion of the project that the completed project is free from all defects due to faulty materials or workmanship and you will promptly make such corrections as may be necessary by reason of such defects including the repairs of any damage to other parts of the project resulting from such defects. The County will give notice of observed defects with reasonable promptness. Should you fail to make such repairs, adjustments, or other Work that may be made necessary by such defects, the County may do so and bill you. Your performance bond remains in effect through the guarantee period.

^^^^^

#### **6 CONTROL OF MATERIALS**

#### Add to section 6-1.04A:

This project is subject to the "Buy America" provisions of the Surface Transportation Act of 1982 as amended by the Intermodal Surface Transportation Efficiency Act of 1991 (ISTEA) Sections 1041(a) and 1048(a), and the regulations adopted pursuant thereto.

Delete the 5th paragraph of section 6-2.01A.

^^^^^

#### 7 LEGAL RELATIONS AND RESPONSIBILITY TO THE PUBLIC

#### Add after the 1st sentence of the 1st paragraph of section 7-1.02A:

This requirement includes, but is not limited to, applicable regulations concerning employment of labor, protection of public and employee safety and health, environmental protection, the protection of natural resources, fire protection, burning and non-burning requirements, permits, fees, and similar subjects.

#### Replace the 2nd paragraph of section 7-1.02K(2) with:

The general prevailing wage rates determined by the Director of Industrial Relations, for the county or counties in which the work is to be done, are available at the Department of Industrial Relations' website and the County of Alpine office. These wage rates are not included in the Contract Documents. Changes in general prevailing wage determinations apply to the Contract when the Director of Industrial Relations has issued them at least 10 days before advertisement. (Labor Code § 1773.6 and 8 CA Code of Regs 16204).

#### Add to section 7-1.02K(2):

All labor will be paid at not less than the minimum wage rates established by the State of California's Director of Industrial Relations (State Wage Rates). The minimum Federal Wage Rates applicable to this project are in the book issued for bidding purposes entitled "Bid".

#### Replace the 4th paragraph of section 7-1.02K(3):

Submit certified payroll and your signed contractor's acknowledgement to the Engineer.

Delete 5th, thru 8th paragraphs of section 7-1.02K(3).

#### Add to section 7-1.03:

You are responsible for contacting local newspapers, radio stations and other appropriate media in sufficient time to provide the public with at least 15 days' notice of restricted access in the project area. This notice must specify the dates of restricted access and/or closures. Before issuing any public announcement, you must submit proposed announcement for approval.

#### Add to section 7-1.04:

When work is not in progress on a trench or other excavation that required closure of an adjacent lane, the traffic cones or portable delineators used for the lane closure must be placed off of and adjacent to the edge of the traveled way. The spacing of the cones or delineators must be not more than the spacing used for the lane closure.

Suspended loads or equipment must not be moved nor positioned over public traffic or pedestrians.

#### Add before the 1st paragraph of section 7-1.05A:

You must indemnify and hold harmless the County, its agents, officers, and employees, against and from any and all claims, lawsuits, actions, liability, damages, losses, expenses, costs, and actual attorneys' fees, arising out of or in connection with your performance of this Contract for:

- 1. injuries to or death of any person or persons, including your employees, and
- 2. injuries to or destruction of property, including the loss of use

provided that any such claim, lawsuit, action, liability, damage, loss, expense or cost is caused in whole or in part by any negligent or intentional act or omission from you, your subcontractor, anyone directly or indirectly employed by you, or anyone for whose acts any of them may be liable, regardless of whether or not it is caused by the passive negligence of a party indemnified hereunder.

For purposes of your obligation to defend, indemnify, and save harmless, the term State will have the following meaning:

The County of Alpine Quincy Engineering, Inc.

including their officers, directors, employees, agents, and design professionals.

Your obligations under section 7 will survive the termination of the Agreement.

#### Replace sections 7-1.06B through 7-1.06l with:

Obtain insurance and submit all certificates of insurance to the County for acceptance before starting work. The certificates of insurance must contain a provision that coverage afforded under the policies will not be cancelled until at least thirty (30) days prior written notice has been given to County, or ten (10) days notice if cancellation is due to nonpayment of premium.

Do not allow any subcontractor to commence work until the insurance required of the subcontractor has been obtained.

Any violation of the requirements of section 7 constitutes a material breach of the entire Agreement.

Certificates evidencing the issuance of the following insurance must be filed with the COUNTY within ten (10) days after the date of execution of this Agreement by you and before the start of work:

(A) Workers' Compensation Insurance and Employer's Liability Insurance

You and your subcontractors must obtain and maintain for all employees engaged in the work. Provide Employer's Liability Insurance in amounts not less than One Million Dollars (\$1,000,000) per occurrence.

(B) Commercial General Liability (Form CG 20 10 11 85).

You must obtain and maintain for yourself and all your employees during the course of this Agreement, Commercial General Liability Insurance (Occurrence Form CG 0001) for bodily injury and property damage in an amount of not less than One Million dollars (\$1,000,000.00) combined single limit coverage per occurrence and if the policy includes an aggregate limit, the aggregate limit must be at least Two Million dollars (\$2,000,000) for the following coverage:

- 1. Personal injury
- 2. Broad form property damage
- 3. Explosion. Collapse, and underground hazards
- 4. Premises, operations, and mobile equipment
- 5. Products and completed operations
- 6. Blanket contractual liability

#### (C) <u>Automobile Liability Insurance</u>

Carry Comprehensive Automobile Liability Insurance, both bodily injury and property damage, on owned, hired, leased and non-owned automobiles used in connection with your business in an amount not less than One Million dollars (\$1,000,000) combined single-limit coverage per occurrence.

#### Subcontractors

You must include all subcontractors as insured under the policies or furnish separate certificates and endorsements to the County for approval for each subcontractor. All insurance coverage for subcontractors is subject to each of the requirements in Section 7 and must contain the additional insured endorsements required of you described under Section 7.

#### (E) Additional Insured Endorsement

The Commercial General Liability and Automobile Liability Insurance must each contain, or be endorsed to contain, the following provision:

The County, its officers, officials, employees, designated agents, and appointed volunteers must be named as additional insureds and must be added in the form of an endorsement to your insurance on Form CG 20 10 11 85. You must not commence work under this Agreement until Form CG 20 10 11 85 is delivered to County. This provision is not intended to extend to construction contractors contracted by the County to perform the work of improvement.

Coverage must not extend to any indemnity coverage for the active negligence of the additional insured in any case where an agreement to indemnify the additional insured would be invalid under subdivision (b) of California Civil Code Section 2782.

#### (F) Other Insurance Provisions

For any claims related to the work performed under this Agreement, your insurance coverage must be primary insurance as to the County, its officers, officials, employees, designated agents and appointed volunteers. Any insurance or self-insurance maintained by County, its officers, officials, employees, designated agents or appointed volunteers must be in excess of your insurance and must not contribute with it.

Any deductibles or self-insured retentions must be declared to and approved by County. At the option of County, either you must reduce or eliminate such deductibles or self-insurance retentions as they apply to County or you must provide a financial guarantee satisfactory to County guaranteeing payment of losses and related investigations, claim administration, and defense and defense-related expenses.

Insurance coverage required of you under this Agreement must be placed with insurers with a current A.M. Best rating of no less than A:VII.

Insurance coverage in the minimum amounts must not be construed to relieve you for liability in excess of such coverage, nor will it preclude the County from taking other action as is available to it under any other provision of this Agreement or applicable law. Failure of County to enforce in a timely manner any of the provisions of Section 7 will not act as a waiver to enforcement of any of these provisions at a later date.

If any insurance coverage required by this Agreement is provided on a "Claims Made", rather than "occurrence" form, you agree to maintain required coverage for a period of three years after the expiration of this Agreement (Post Agreement Coverage) and any extensions. You must maintain the required Post Agreement Coverage by renewal or purchase of prior acts or tail coverage. This subprovision is contingent upon the Post Agreement Coverage being both available and reasonably affordable in relation to the coverage provided during the term of this Agreement. For purposes of interpreting this requirement, a cost not exceeding 100% of the last annual policy premium during the term of this Agreement in order to purchase prior acts or tail coverage for Post Agreement Coverage must be deemed to be reasonable.

You agree to waive all rights of subrogation against County, its officers, officials, employees, agents, and volunteers for losses arising from work performed by you under this Agreement.

County will include a provision in its contract with the general contractor hired to perform the work of improvements requiring that the general contractor and all of its subcontractors maintain general liability insurance of not less than \$1,000,000 and that such insurance include the County, its officers, officials, employees, designated agents and appointed volunteers as additional insureds.

#### Add after the 1st paragraph in section 7-1.11A:

Use of United States -flag vessels:

The contractor agrees -

- 1. To utilize privately owned United State-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for Unites States-flag commercial vessels.
- 2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.
- 3. To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.

MAINTAIN RECORDS AND SUBMIT REPORTS DOCUMENTING YOUR PERFORMANCE UNDER THIS SECTION

#### Add section 7-1.11E:

#### 7-1.11D Title VI Assurances

During the performance of this Agreement, the contractor, for itself, its assignees and successors in interest (hereinafter collectively referred to as CONTRACTOR) agrees as follows:

- (1) <u>Compliance with Regulations</u>: CONTRACTOR shall comply with the regulations relative to nondiscrimination in federally assisted programs of the Department of Transportation, Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the REGULATIONS), which are herein incorporated by reference and made a part of this agreement.
- (2) Nondiscrimination: CONTRACTOR, with regard to the work performed by it during the AGREEMENT, shall not discriminate on the grounds of race, color, sex, national origin, religion, age, or disability in the selection and retention of sub-applicants, including procurements of materials and leases of equipment. CONTRACTOR shall not participate either directly or indirectly in the discrimination prohibited by Section 21.5 of the Regulations, including employment practices when the agreement covers a program set forth in Appendix B of the Regulations.
- (3) <u>Solicitations for Sub-agreements, Including Procurements of Materials and Equipment</u>: In all solicitations either by competitive bidding or negotiation made by CONTRACTOR for work to be

- performed under a Sub-agreement, including procurements of materials or leases of equipment, each potential sub-applicant or supplier shall be notified by CONTRACTOR of the CONTRACTOR'S obligations under this Agreement and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
- (4) Information and Reports: CONTRACTOR shall provide all information and reports required by the Regulations, or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the California Department of Transportation or FHWA to be pertinent to ascertain compliance with such Regulations or directives. Where any information required of CONTRACTOR is in the exclusive possession of another who fails or refuses to furnish this information, CONTRACTOR shall so certify to the California Department of Transportation or the FHWA as appropriate, and shall set forth what efforts CONTRACTOR has made to obtain the information.
- (5) <u>Sanctions for Noncompliance</u>: In the event of CONTRACTOR's noncompliance with the nondiscrimination provisions of this agreement, the California Department of Transportation shall impose such agreement sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
  - (a) withholding of payments to CONTRACTOR under the Agreement within a reasonable period of time, not to exceed 90 days; and/or
  - (b) cancellation, termination or suspension of the Agreement, in whole or in part.
- (6) <u>Incorporation of Provisions</u>: CONTRACTOR shall include the provisions of paragraphs (1) through (6) in every sub-agreement, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto.

CONTRACTOR shall take such action with respect to any sub-agreement or procurement as the California Department of Transportation or FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance, provided, however, that, in the event CONTRACTOR becomes involved in, or is threatened with, litigation with a sub-applicant or supplier as a result of such direction, CONTRACTOR may request the California Department of Transportation enter into such litigation to protect the interests of the State, and, in addition, CONTRACTOR may request the United States to enter into such litigation to protect the interests of the United States.

#### ^^^^^

#### **8 PROSECUTION AND PROGRESS**

#### Replace Reserved in section 8-1.04C with:

Section 8-1.04B does not apply.

Start job site activities on the date stated in the Notice to Proceed. This work shall be diligently prosecuted to completion before the expiration of 100 WORKING DAYS beginning on the date specified in the Notice to Proceed.

The Contractor shall pay to the County of Alpine the sum of \$4,000 per day, for each and every calendar day's delay in finishing the work in excess of the number of working days prescribed above.

Do not start job site activities until the Department authorizes or accepts your submittal for:

- 1. Contractor-supplied biologist
- 2. Biological resource information program
- 3. CPM baseline schedule
- 4. WPCP or SWPPP, whichever applies

If the submittals for Contractor-supplied biologist and biological resource information program are authorized, you may enter the job site only to measure controlling field dimensions and locate utilities.

Do not start other job site activities until all the submittals from the above list are authorized or accepted and the following information is received by the Engineer:

Caltrans Notice of Materials To Be Used form.

#### Add to section 8-1.10A:

The parties agree this liquidated damages provision represents reasonable compensation for the loss which would be incurred.

^^^^^

#### 9 PAYMENT

#### Add to section 9-1.03:

The agency shall hold retainage from the prime contractor and shall make prompt and regular incremental acceptances of portions, as determined by the agency, of the contract work, and pay retainage to the prime contractor based on these acceptances. The prime contractor, or subcontractor, shall return all monies withheld in retention from a subcontractor within 30 days after receiving payment for work satisfactorily completed and accepted including incremental acceptances of portions of the contract work by the agency. Federal law (49CFR26.29) requires that any delay or postponement of payment over 30 days may take place only for good cause and with the agency's prior written approval. Any violation of this provision shall subject the violating prime contractor or subcontractor to the penalties, sanctions and other remedies specified in Section 7108.5 of the Business and Professions Code. These requirements shall not be construed to limit or impair any contractual, administrative, or judicial remedies otherwise available to the prime contractor or subcontractor in the event of a dispute involving late payment or nonpayment by the prime contractor, deficient subcontract performance, or noncompliance by a subcontractor.

Replace "Department's" in the 5th paragraph of section 9-1.07A with:

Caltrans

#### Delete section 9-1.11

#### Add to the end of section 9-1.16C:

The following items are eligible for progress payment even if they are not incorporated into the work:

- 1. Railing
- 2. Piling (except CIDH piling)
- 3. Prestressing Steel (Sealed Packages only)
- 4. Reinforcement

#### **Add to section 9-1.16E(1):**

The bid amount for the contract items of work is the maximum value the County recognizes for progress payment purposes unless approved change order work increases this amount.

#### Replace section 9-1.16F with:

The County will withhold 5 percent of all progress payments as retention. Retention will be paid to you on the Final Payment.

You will have the right to substitute securities for the retention under Pub Cont Code § 22300. No substitution will be accepted until:

- 1. the County approves the securities and their value,
- 2. the parties have entered into an escrow agreement (if the securities are to be held in escrow) in a form substantially similar to that under § 22300,
- 3. all documentation necessary for assignment of the securities to the County or to the escrow agent, are delivered in a form satisfactory to the County.

If you have substituted securities for any of the retention, the County may request that such securities be revalued from time to time, but not more often than monthly. Such revaluation will be made by a person or entity designated by the County and approved by you. If such revaluation results in a determination that the securities have a market value less than the amount of retention for which they were substituted, then the amount of the retention required under the Contract will be increased by such difference in market value. Such increased retention will be withheld from the next progress payment(s) due to you under the Contract.

#### Replace the 3rd and 4th paragraph of section 9-1.17D(3) with:

The Director of Community Development will make the final determination of any claims which remain in dispute after completion of claim review by the Engineer's authorized representative.

A Claim Review Board, appointed by the Director of Community Development, will review such claims and make a written recommendation. The Contractor may meet with the Claims Review Board to make a presentation in support of such claims with the Engineer's authorized representative present.

#### Replace section 9-1.22 with:

Pub Cont Code § 9204 provides for the resolution of contract claims, effective until January 1, 2020.

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### DIVISION II GENERAL CONSTRUCTION 10 GENERAL

#### Replace Reserved in section 10-1.03 with:

The new bridge must be able to accommodate a semi-truck hauling a cattle trailer no later than September 14, 2018.

You may work within Wolf Creek only from June 1st to October 15th of any year.

#### Add to section 10-5:

All equipment used for off-road construction activities must be weed-free before to entering the project area.

Equipment or manual watering must be conducted on all stockpiles, dirt/gravel roads, and exposed or disturbed soil surfaces, as necessary, to reduce airborne dust.

^^^^^

#### 12 TEMPORARY TRAFFIC CONTROL

#### Replace section 12-1.04 with:

Flagging costs are included in the payment for traffic control system.

Delete "not" from section 12-3.02D

#### Add to section 12-3.10C:

Install flashers on barricades at all locations.

#### Add to section 12-4.01C:

Perform all work under road closure. Maintain access for hikers and horses at all times.

Speed limits must not exceed 15 miles per hour in the project limits.

^^^^^

#### 13 WATER POLLUTION CONTROL

#### Add to the end of section 13-1.01A:

The specifications in section 13 for water quality monitoring apply to the following work activities whenever they occur in water:

- 1. installation and removal of temporary stream diversion
- 2. installation and removal of cofferdams
- 3. all other in-water work

The receiving water for this project is Wolf Creek.

#### Add between the 4th and 5th paragraphs of section 13-1.01D(5)(b):

Test the receiving water under the test methods for the WQOs shown in the following table:

**Water Quality Objectives** 

Trato: Quanty Objectives			
Quality characteristic	Test method	Detection limit (min)	Requirement
Turbidity during activities for in-water work (operation of the diversion and access path) (NTU)	Field test with a calibrated portable instrument (Measured at downstream sampling location)	1	20 above natural background
Turbidity during activities for in-water work (installation and removal of the diversions) (NTU)	Field test with a calibrated portable instrument (Measured at downstream sampling location)	1	50 above natural background

#### Add to section 13-4.03C(3):

Soil stockpiles for backfill must be marked and flagged separately from native topsoil stockpiles. These piles must be surrounded by silt fencing, straw wattles, or other sediment barriers or covered unless they are to be immediately used.

#### Add between the 1st and 2nd paragraphs of section 13-4.03G:

Dewatering must comply with the General Order RT6-2003-0004 (General Waste Discharge Requirements for Small Construction Projects, including Utility, Public Works, and Minor Streambed/Lakebed Alteration Projects throughout the Lahontan Region, Excluding Lake Tahoe).

#### Add to section 13:

#### 13-12 TEMPORARY CREEK DIVERSION SYSTEM

#### 13-12.01 GENERAL

#### 13-12.01A Summary

Section 13-12 includes specifications for constructing, maintaining, reconstructing, removing temporary creek diversion systems, and restoring creek bed to original condition. The temporary diversion system is used to divert upstream water flows to allow construction in a dry or dewatered location.

Construct the diversion as shown using three 42" plastic pipes. Wrap the gravel bag berm in plastic sheeting to prevent leakage.

#### 13-12.01B Submittals

Submit a certificate of compliance for:

- 1. Pipe material
- 2. Gravel gradations, shapes
- 3. Gravel bags
- 4. Plastic sheeting

#### 13-12.01C Temporary Creek Diversion System Plan

Temporary Creek Diversion System Plan (TCDSP) must include:

- 1. Installation and removal process, including equipment, platforms for equipment, and access locations
- 2. Calculations showing the basis of the sizing of pumps, or other conveyances used in the TCDSP, with the resulting analysis providing the calculations of the level of risk based on the time of year of the work, the local weather data, and the design return period used to design the TCDS to protect the work area during the duration of the work. Also calculate the discharge water flow rate and velocity

anticipated where it discharges on any erodible surface, so its conveyance does not cause erosion within the project or at the discharge to the water body. Temporary culverts attached to banks, walls, or other locations, must be designed to hold the full weight of the culvert at capacity and restrain the culvert for any expected hydraulic forces.

- Plans showing location(s) of diversion, including layouts, cross sections, and elevations
- 4. Materials proposed for use, including MSDS if applicable.
- 5. Operation and maintenance procedures for the TCDS.
- 6. Restoration plans showing before and after conditions, including photos of existing conditions for areas disturbed during the installation, operation, and removal of the TCDS.
- 7. Monitoring and reporting plan to ensure applicable water quality objectives are met. This include Schedule of work, including Temporary BMP implementation as part of the Construction Site BMP strategy and include SWPPP or WPCP as applicable. Use with 13-3.01A.
- 8. Details of the pumping system, if used, including power source, debris handling, fish screens, and monitoring requirements.
- 9. Fish passage plan, following the Caltrans Fish Passage Design for Road Crossings, CA Department of Fish and Wildlife (CDFW), CA Salmonid Stream Habitat Restoration Manual, and National Marine Fisheries Service (NMFS), Guidelines for Salmonid Passage at Stream Crossings, as required by the applicable PLACs.
- 10. The TCDS design should demonstrate how it will comply with 13-12.03A, water tightness and prevent seepage.

All submittals which include plans, specifications, and calculations must be sealed by a licensed California Civil Engineer.

At least 15 days before constructing temporary creek diversion system work in the creek:

- 1. Submit 3 copies of your TCDSP for review. Allow 5 days for the Department's review. The Engineer provides comments and specifies the date when the review stopped if revisions are required.
- 2. Change and resubmit a revised TCDSP within 3 days of receiving the Engineer's comments. The Department's review resumes when a complete TCDSP is resubmitted. Allow 3 days for the Department's second review. Note that the Engineer's comments may include the regulatory agencies' comments as required by PLAC's.
- 3. If additional comments are provided by the Engineer, change and resubmit a revised TCDSP within 3 days of receiving the Engineer's comments.
- 4. When the Engineer authorizes the TCDSP, submit an electronic copy and 4 printed copies of the authorized TCDSP.

### 13-12.02 MATERIALS

13-12.02A Gravel

Gravel must:

- 1. Be river run gravel obtained from a river or creek bed with gradation of 100% passing a 3/4 inch sieve and 0% passing a 3/8 inch sieve
- 2. Be clean, hard, sound, durable, uniform in quality, and free of any detrimental quantity of soft, thin, elongated or laminated pieces, disintegrated material, organic matter, or other deleterious substances
- 3. Be composed entirely of particles that have no more than one fractured face
- 4. Have a cleanliness value of at least 85, as determined by the Cleanliness Value Test Method for California Test No. 227

#### 13-12.02B Impermeable Plastic Membrane

Impermeable plastic membrane must be:

- 1. Single ply, commercial quality, polyethylene with a minimum thickness of 10 mils complying with ASTM D 2103. You must use stronger plastic membrane if required as part of design to resist hydraulic forces.
- 2. Free of holes, punctures, tears or other defects that compromise the impermeability of the material
- 3. Suitable for use as a impermeable membrane
- 4. Resistant to UV light, retaining a minimum grab breaking load of 70% after 500 hours under ASTM D 4355.

#### 13-12.02C Gravel-filled Bags

Gravel-filled bags must comply with section 13-5.02G.

The 2nd paragraph of section 13-5.02G does not apply.

#### 13-12.02D Pipes

Plastic pipe, elbows, and risers must comply with materials listed in section 61-3.01:

- 1. Be clean, uncoated, in good condition free of rust, paint oil dirt or other residues that could potentially contribute to water pollution
- 2. Be adequately supported for planned loads
- 3. Use watertight joints, in accordance with section 61-2.01.
- 4 Be made of a material or combination of materials that are suitable for clean water and which do not contain banned, hazardous or unlawful substances
- 5. Temporary pipes that are not reused on the project may conform to the following materials;
  - a. PVC closed-profile wall pipe that complies with ASTM F1803
  - b. PVC solid wall pipe that complies with ASTM D3034, ASTM F679, AWWA C900, AWWA C905, or ASTM D2241 and cell class 12454 defined by ASTM D1784.
  - c. HDPE solid wall pipe that complies with AASHTO M 326 and ASTM F714
  - d. Polyethylene large-diameter-profile wall sewer and drain pipe that complies with ASTM F894.

#### 13-12.02E Rock

Rock must comply with Rock Grading for 7-inch-Thick Layer under section 72-4.02.

#### 13-12.02E Pumping System

Pumping system must:

- 1. Comply with section 74-2.02B
- 2. Be equipped with secondary containment
- 3. Be free of fuel and oil leaks
- 4. Meet intake screen regulatory requirements (maximum 0.2 inches diameter)

#### 13-12.02F Seepage Pumping System

Many temporary diversion systems experience minor seepage in the dewatered work area. If this occurs, the water should be addressed through sump pumps at your expense, as part of the temporary stream diversion system. Only very minor volumes of water may be addressed with seepage pumping.

Seepage Pumping system must:

- 1. Comply with section 74-2.02B
- 2. Ensure discharge water conform with PLAC's or treated on site.
- 3. Be free of fuel and oil leaks

#### 13-12.02G Discharge Water Energy Dissipation and Erosion Control

Discharge water from pumps, pipes, ditches, or other conveyances must have BMPs to dissipate the flows and velocity of water discharged from the temporary diversion system if erosion would otherwise occur.

Energy dissipation measures:

- 1. May be plastic sheeting, flared end sections, rubber matting, or other materials appropriate for the design hydraulics.
- 2. Must be anchored, to prevent movement by expected flows.
- 3. Must be removed when the TCDS is removed.

#### **13-12.03 CONSTRUCTION**

#### 13-12.03A General

Construction, use and removal of the temporary creek diversion system is restricted to the time period from June 1 to October 15. If the work cannot be completed during the initial restricted time period, remove temporary creek diversion system, restore the creek to original flow condition, and reconstruct the temporary creek diversion system after June 1 of the following year. No work is allowed within the stream except during the restricted time period.

Do not use motorized vehicles and equipment in areas of flowing and standing water for the construction or removal of the temporary creek diversion system. Comply with section 13-4.03.

Remove vegetation to ground level and clear away debris.

Place temporary or permanent fill as allowed by PLACs.

Place rock at outlet of diversion pipe. Comply with section 72-4.03 except motorized vehicles and equipment must not be used in areas of flowing and standing water.

Do not construct or reconstruct diversion system if the 72-hour forecasts predict a 50% or greater chance of rain in the project area.

Stop allwork and remove all material and equipment from the creek between upstream and downstream cofferdams if the 72-hour forecasts predict a 50% or greater chance of rain in the project area and the predicted rainfall is estimated to produce a flow rate exceeding the design capacity of the TCDS.

The temporary creek diversion system must be constructed asshown on the plans and as described in the environmental commitments.

Lap and join all joints between the edges of impermeable plastic membrane with commercial quality waterproof tape with minimum 4-inch lapping at the edges.

Seal all openings or penetrations through the impermeable plastic membrane with commercial quality waterproof tape.

The TCDS must be water tight to keep the work area dry for construction and prevent the creation of pollutants. The contractor is responsible for maintaining all portions of the TCDS and fixing leaks as soon as they are discovered.

The contractor is responsible for contacting water agencies that discharge to the construction area, to ensure unexpected water is not discharged during construction which could compromise the TCDS.

#### 13-12.03B Maintenance

Prevent leaks in the temporary creek diversion system. Provide seepage pumps as necessary and keep the work area dry to prevent the creation of sediment laden water.

Repair holes, rips and voids in the impermeable plastic membrane with commercial quality waterproof tape. Replace impermeable plastic membrane when patches or repairs compromise the impermeability of the material.

Repair TCDS within 24 hours after the damage occurs.

Prevent debris from entering the TCDS and/or creek.

Remove and replace immediately gravel, gravel-filled bags, impermeable plastic membrane, or plastic pipes contaminated by construction activities.

Remove sediment deposits and debris from TCDS as needed. If removed sediment is deposited within project limits, it must be stabilized and not subject to erosion by wind or water, in accordance with section 19-1.01 and 19-2.03 B

#### 13-12.03C Removal

When no longer required, remove all components of temporary creek diversion system. Return the creek bed and banks to the original condition.

Do not excavate the native creek material. Backfill ground disturbance, including holes and depressions caused by the installation and removal of the TCDS with gravel. Maintain the original line and grade of the creek bed.

#### 13-12.04 PAYMENT

Not Used

#### ^^^^^

#### 14 ENVIRONMENTAL STEWARDSHIP

#### Add to the 1st paragraph of section 14-6.03A:

This project is within or near habitat for the regulated species shown in the following table:

#### **Regulated Species**

rtogulatou opooloo
Birds of Prey and Migratory Birds
Yosemite Toad
Sierra-Nevada Yellow-legged Frog
Willow Flycatcher
Long-Eared Owl
California Spotted Owl

#### Replace the 2nd paragraph of section 14-6.03B with:

The Department anticipates nesting or attempted nesting by migratory and nongame birds from March 31st to August 31st.

#### Add to section 14-6.03D(1):

Survey the job site for regulated species and submit a preconstruction survey report within 15 days before starting work. An additional survey for the Yosemite toad and Sierra Nevada yellow-legged from must be conducted 48 hours before construction starts At a minimum, the survey must consist of one night-time survey and one daytime survey, which can be completed on the same day.

The biologist must be present during installation and removal of the temporary path across Wolf Creek.

The preconstruction survey report must include one of the following:

- 1. Detailed observations and locations where regulated species were observed
- 2. Statement that no regulated species were observed

Submit an initial monitoring report as an informational submittal within 12 hours after starting ground-disturbing activities.

Submit a biological resource incident report within 24 hours of the incident.

The incident report must include:

- 1. Description of any take of regulated species or any violation of a biological resource PLAC
- 2. Species name and number taken
- 3. Details of required notifications with contact information
- 4. Corrective actions proposed or taken
- 5. Disposition of taken species

Submit a final monitoring report no later than 20 days after completion of the project. If the report requires revisions, the Department provides comments. Submit a revised report within 7 days of receiving comments. The final monitoring report must be a cumulative report including:

- 1. Start and end dates of construction
- 2. Project impacts on the regulated species
- 3. Species protection measures and implementation details
- 4. Incidental take details, including species name, number taken, people contacted, contact information, and disposition of taken species
- 5. Assessment of the effectiveness of the species protection measures in mitigating project impacts
- 6. Recommendations for improving species protection measures

#### Replace Reserved in section 14-6.03D(3) with:

Prepare and present a biological resource information program to familiarize personnel with regulated species and habitats, related laws and regulations, and species protection measures and protocols.

The biological resource information program must include:

- 1. Identification of the job site, ESAs, and species protection areas
- 2. Description of the regulated species and its general ecology
- 3. Description of habitats used by the regulated species and their locations
- 4. Requirements for protecting regulated species
- 5. Definition and consequences of take of regulated species
- 6. Response plan for encounters with the regulated species or a species that looks like one
- 7. Permit requirements for touching or moving a regulated species
- 8. Requirements for species protection
- 9. Description of avoidance and minimization measures
- 10. Handout materials about the regulated species, its habitats, and species protection measures

A Contractor-supplied biologist must develop the program and present the biological resource training.

Submit an outline of your program within 7 days after Contract approval. If the submittal is rejected, submit a revised outline within 7 days of receiving the rejection.

Notify the Engineer at least 7 days before the 1st training session. Submit an attendance list with the printed and signed name of each attendee within 2 business days after each session. Submit a separate attendance list for each subsequent training session for new personnel.

Personnel who must complete biological resource training include laborers, tradesmen, material suppliers, equipment maintenance staff, supervisors, foremen, office staff, food vendors, and other workers who stay at the job site longer than 30 minutes.

Provide a handout that describes the regulated species, their habitats, and protection measures as listed in species protection or in PLACs.

Distribute the handout to each attendee. Display and maintain the handout at all construction field offices and on all information boards.

#### Replace the 2nd paragraph of section 14-8.02 with:

Do not operate construction equipment or run equipment engines from 7:00 p.m. to 7:00 a.m. or on Sundays at the job site except to:

- 1. Service traffic-control facilities
- 2. Service construction equipment

#### Add to section 14-8.02:

Use newer equipment with improved muffling and ensure that all equipment items have the manufacturers' recommended noise abatement measures, such as mufflers, engine enclosures, and engine vibration isolators intact and operational. Inspect all construction equipment at periodic intervals to ensure proper maintenance and functioning of noise control devices.

To the degree possible, utilize construction methods or equipment that will reduce the volume of noise generated.

Turn off idling equipment when not in use longer than a few minutes.

#### Add to the end of section 14-9.02:

The US EPA has established the National Emission Standards for Hazardous Air Pollutants (NESHAP). Under the Health & Safety Code § 39658(b)(1), your demolition and rehabilitation activities must comply with 40 CFR 61, Subpart M (National Emission Standard for Asbestos).

An Asbestos survey has not been conducted; however, asbestos is not expected to be encountered. If asbestos is encountered, removal and disposal is change order work.

You must notify the Great Basin Unified Air Pollution Control District of your demolition activities even if the activities will not disturb asbestos-containing material.

You may obtain the notification form, submittal instructions, and other information from:

Great Basin Unified A.P.C.D. 157 Short Street Bishop, CA 93514

http://www.gbuapcd.org/asbestos/index.htm

Instead of the 10 working days specified at the website, submit a notification form to the Great Basin Unified Air Pollution Control District at least 15 days before starting demolition or rehabilitation activities.

Submit a copy of the notification form and the necessary attachments as informational submittals before starting demolition or rehabilitation activities.

If you discover unanticipated asbestos-containing material during the demolition or rehabilitation activities, immediately stop work in that area and notify the Engineer. The Department will use other forces to remove and dispose of the material. Do not resume work in the area until authorized.

Notify the Great Basin Unified Air Pollution Control District of a change to your demolition or rehabilitation activities, including a revised work plan or the discovery of unanticipated asbestos-containing materials, within 2 days of the change or discovery.

#### Add to section 14-11.04:

Submit a site specific Fugitive Dust Control Plan / Fugitive Dust Plan (FDP) for all proposed work, meeting the requirements of Dust Rules and approved by Great Basin Unified Air Pollution Control District (Great Basin Unified APCD), to the Great Basin Unified APCD before start of any work. Provide the Engineer with four (4) copies of the Great Basin Unified APCD approved FDP before starting any work that may generate dust.

Prepare an amendment to the FDP when there is a change in construction activities not included in the FDP, when the Contractor's activities violate a condition of Great Basin Unified APCD, or when ordered by the Engineer. Amendments must identify additional dust control practices or revised operations, including those areas or activities not identified in the initially approved FDP. Amendments to the FDP must be prepared and submitted for review and approval within a time approved by the Engineer. At a minimum, the FDP must be amended annually.

Keep one (1) copy of the approved FDP and approved amendments at the project site. Make the FDP available upon request by a representative of the Great Basin Unified APCD, California Air Resource Board, or United States Environmental Protection Agency. Requests by the public must be directed to the Engineer.

Provide all notices to Great Basin Unified APCD and create and maintain all records as required by Dust Rules. Copies of all related records must be submitted to the Engineer within thirty (30) calendar days of completion of the work.

Implement the measures contained in the FDP to control dust.

Control dust using measures that include the following:

- 1. Stabilize unpaved areas subject to vehicular traffic by keeping adequately wetted (water at least twice a day), or covered with material that contains less than 0.25 percent asbestos.
- 2. The speed of vehicles and equipment traveling across unpaved areas must not be more than 15 mph unless the road surface and surrounding area is sufficiently stabilized to prevent vehicles and equipment going faster from causing dust that is visible from crossing job site limits.
- 3. Stockpiles and disturbed areas not subject to vehicular traffic must be located in the plan and stabilized by being kept adequately wetted, or covered with material that contains less than 0.25 percent asbestos.
- 4. Conduct activities so that no dirt or mud tracking is visible on any paved roadway open to the public.
- 5. Use rock track out pads and wheel wash stations at all points of egress from unpaved construction areas.
- 6. Use a dedicated water truck for each piece of earthmoving equipment (e.g., scrapers, dozers, excavators, loaders, haul trucks, backhoes, compactors, graders, etc),
- 7. Pre-wet excavations to depths of cuts.

Dust control measures that will be required to mitigate dust may impact your productivity during construction activities.

#### Replace the 1st paragraph of section 14-11.14A with:

Wood removed from the existing bridge is treated wood waste.

#### **Add section 14-11.14F:**

#### 14-11.14F Payment

Payment for handling, storing, transporting, and disposing of treated wood waste is included in the payment for bridge removal.

^^^^^

#### 15 EXISTING FACILITIES

Delete the 7th paragraph of section 15-1.03B.

^^^^^^^

## DIVISION III EARTHWORK AND LANDSCAPE 17 GENERAL

#### Add to section 17-2.03A:

Stumps within two feet of the grading plane must be removed completely.

#### Replace the 4th paragraph in section 17-2.03A with:

Clear and grub vegetation only within the excavation and embankment slope lines, and grading limits.

^^^^^

#### 19 EARTHWORK

#### Add to section 19-1.03A:

Double handling of earthwork materials may be required.

Expect to encounter boulders during excavation operations.

When temporary pedestrian path is no longer required restore the ground to its original condition.

#### Add to section 19-1.04:

Payment for double handling of earthwork materials is included in the payment for roadway excavation.

#### Add to the end of section 19-3.01A:

Structure backfill includes constructing the geocomposite drain system. The systems must comply with section 68-7.

#### Add to the beginning of section 19-3.03B(1):

For abutments at locations with structure excavation (Type D), ground or surface water is expected to be encountered but seal course concrete is not needed.

#### Replace 1st sentence in the 6th paragraph in section 19-3.03B(4) with:

If cofferdams are used, remove them completely after completing substructure construction.

#### Add to section 19-3.04:

Structure excavation for abutments at locations not shown as structure excavation (Type D) and where ground or surface water is encountered is paid for as structure excavation (bridge).

Pervious backfill material placed within the limits of payment for bridges is paid for as structure backfill (bridge). Pervious backfill material placed within the limits of payment for retaining walls is paid for as structure backfill (retaining wall).

#### Add to section 19-5.03A:

Remove all rocks greater than 8 inches in greatest dimension from native soil by scarifying to a depth of 12 inches below the finish grade in areas to support foundation systems, pavements, or slabs-on-grade.

#### Replace the 1st paragraph in section 19-5.03B with:

Compact earthwork to a relative compaction of at least 95 percent for at least a depth of:

- 1. 1 foot below the grading plane for the width between the outer edges of shoulders
- 2. 2.5 feet below the finished grade for the width of the traveled way plus 3 feet on each side

#### Add to section 19-6.02A:

All embankment material must be weed free.

#### Add to section 19-7.02A:

Obtaining imported borrow includes the following:

- 1. Constructing an access road if required.
- 2. Clearing and grubbing the material site.
- 3. Selecting material within the source.
- 4. Screening and wasting from 30 to 60 percent of the finer material.
- 5. Washing materials so that the imported borrow complies with the sand equivalent requirements.

#### Add to section 19-7.02C:

Imported borrow placed within 4 feet of the finished grade must have an R-value of at least 50.

Strip materials that adversely affect the imported borrow properties.

Obtain imported borrow from the mandatory local material source on Wolf Creek Road approximately 1.25 miles north of the Dixon Mine Road/Wolf Creek Road intersection. Material is located on the west side of the road. Material must meet Standard Specification requirements for imported borrow except for the minimum R value and sand equivalent value.

The Department has arranged for you to obtain material from the mandatory source at no additional cost to you for material removed from the site and used in the work. You are required to test, load, haul and place the material at your cost.

After obtaining imported borrow, grade the borrow sites and associated haul roads such that sites drain and blend in with the surrounding area. Remove any equipment on the areas before grading.

### DIVISION IV SUBBASES AND BASES

#### **26 AGGREGATE BASES**

^^^^^^

#### Add to section 26-1.01:

Temporary aggregate base must comply with section 16-1 and the Contract for a permanent aggregate base of the same class.

Once the temporary path is removed re-grade and restore the ground to its original condition.

^^^^^^

## DIVISION VI STRUCTURES 49 PILING

#### Add to section 49-1.03:

Expect difficult pile installation due to boulders, rocks, and groundwater

Piles may have to be pre-drilled to depths of 12 feet below existing abutment grade.

#### Add to section 49-2.01A(3)(a):

Before installing driven piles, submit a Pile and Driving Data Form for each pile type for each of the support locations or control zones shown in the following table:

		Support location or
Bridge no.	Pile type	control zone
31C0021	HP 10 x 57	Abutment 1
31C0021	HP 10 x 57	Abutment 2

### CALIFORNIA DEPARTMENT OF TRANSPORTATION TRANSPORTATION LABORATORY

### PILE AND DRIVING DATA FORM

Structure Name :	Contract No.: Project:		
	Pile Driving Contractor or		
	Subcontractor	_(Pile Driven By)	
		(The Birren By)	
l	Manufacturer: Model:_		
1 1	Type:Serial No.:_		
_	Min Rated Energy: at Length of Stroke _		
Ram Hammer	Max Rated Energy: at Length of Stroke _		
1 1	Ram Weight:	kips	
	Modifications		
	Modifications:		
d Anvil ५			
——		·	
l	Material:		
Capblock	Material:in Area:	in <sup>2</sup>	
(Hammer	Modulus of Elasticity - E:	ksi	
Cushion)	Coefficient of Restitution - e:		
	ш. ¬		
\	Helmet		
Pile Cap	Bonnet Anvil Block Weight:	kips	
	Anvil Block		
	Drivehead		
	Material:		
Pile	Thickness:in Area:	in <sup>2</sup>	
Cushion	Modulus of Elasticity - E:	ksi	
	Coefficient of Restitution - e:		
l ——	DI E		
1 1 1	Pile Type:		
1 1 1	Length (In Leads):		
Pile	Wall Thickness:		
'"	Cross Sectional Area:	$\operatorname{in}^2$	
1 1 1	Design Pile Capacity:		
1 1 1	Description of Splice:		
1 1 1			
	Tip Treatment Description:		
DISTRIBUTE:	Note: If mandrel or follower is used to drive the pile, a	ttach separate	
Translab,	manufacturer's detail sheet(s) including weight and dimensions.		
Foundation Testing	., 5		
Translab,	Submitted By:		
Geotechnical Design	Date: Phone No.:		
II	I none no		
Resident Engineer			

^^^^^^^

#### 50 PRESTRESSING CONCRETE

Replace the 2nd paragraph of section 50-1.01C(3) with:

For initial review, submit 6 copies.

^^^^^

#### 51 CONCRETE STRUCTURES

#### Replace the 2nd paragraph of section 51-1.01C(1) with:

Submit a deck placement plan for concrete bridge decks. Include in the placement plan your method and equipment for ensuring that the concrete bridge deck is kept damp by misting immediately after finishing the concrete surface.

#### Add to section 51-1.02B:

For the portions of structures shown in the following table, concrete must contain at least 675 pounds of cementitious material per cubic yard:

Bridge name and no.	Portion of structure
31C0021	Bridge deck, abutments and
	wingwalls

Concrete for concrete bridge decks must contain polymer fibers. Each cubic yard of concrete must contain at least 1 pound of microfibers and at least 3 pounds of macrofibers.

Concrete for concrete bridge decks must contain a shrinkage reducing chemical admixture. Each cubic yard of concrete must contain at least 3/4 gallon of a shrinkage reducing admixture. If you use the maximum dosage rate shown on the Authorized Material List for the shrinkage reducing admixture, your submitted shrinkage test data does not need to meet the shrinkage limitation specified.

#### Replace the 2nd paragraph of section 51-1.03H with:

Cure the top surface of bridge decks by (1) misting and (2) the water method using a curing medium under section 90-1.03B(2). After strike off, immediately and continuously mist the deck with an atomizing nozzle that forms a mist and not a spray. Continue misting until the curing medium has been placed and the application of water for the water method has started. At the end of the curing period, remove the curing medium and apply curing compound on the top surface of the bridge deck during the same work shift under section 90-1.03B(3). The curing compound must be curing compound no. 1.

Delete th	e 4th paragraph of section 51-1	.03H.

#### **52 REINFORCEMENT**

#### Add to section 52-2.01A(3):

#### 52-2.01A(3)(c) Certificates

Submit a certificate of compliance for each shipment of dual-coated bar reinforcing steel. Include the following with the submittal:

- 1. Certification that the reinforcement complies with ASTM A1055
- 2. All certifications specified in ASTM A1055

#### Add to section 52-2.01B:

You may use dual-coated bar reinforcing steel complying with ASTM A1055 as an alternative to epoxy-coated reinforcement or epoxy-coated prefabricated reinforcement. Bar reinforcing steel to be dual-coated must be deformed, Grade 60 bars complying with ASTM A706.

Dual-coated bar reinforcement must be the same bar size and must be placed at the same spacing as described for epoxy-coated reinforcement and epoxy-coated prefabricated reinforcement.

#### Add to section 52-2.01C:

Do not bend bar reinforcing steel complying with ASTM A1055 after coating application if used as an alternative to epoxy coated prefabricated reinforcement.

Job site and PC plant practices for substituted bar reinforcement must comply with appendix X1 of ASTM A1055, except replace "should" with "must."

#### Add to section 52-2.02A(3)(c):

For low carbon, chromium-steel bar reinforcement, submit a certificate of compliance for each shipment. Include with the submittal:

- 1. Certification that the reinforcement complies with ASTM A1035.
- 2. All certifications specified in ASTM A1035

#### Add to section 52-2.02B:

You may use low carbon, chromium-steel bar reinforcement complying with ASTM A1035 as an alternative to epoxy-coated reinforcement at the following locations:

- 1. abutments
- 2. bridge rail footing

Low carbon, chromium-steel bar reinforcement must be the same bar size and must be placed at the same spacing as described for epoxy-coated reinforcement.

^^^^^

#### **60 EXISTING STRUCTURES**

#### Add to section 60-2.01A:

Remove the following structure:

Bridge no./Structure name	Description of work
31C0002	Timber bridge and timber abutments

#### Add to section 60-2.02A(1):

Provide protective covers preventing material, equipment, and debris from falling into the Creek.

#### Replace #6 in the 2nd paragraph in section 60-2.02A(3) with:

6. Methods for preventing material, equipment, and debris from falling onto the water

## DIVISION VIII MISCELLANEOUS CONSTRUCTION 72 SLOPE PROTECTION

^^^^^^

Replace the 1st paragraph of section 72-2.03A with:

Excavate the slope and footing trench for the rock slope protection.

## DIVISION IX TRAFFIC CONTROL DEVICES 83 RAILINGS AND BARRIERS

^^^^^^

Replace item 1 in the list in the 2nd paragraph of section 83-2.02C(1)(a) with:

1. wood line posts.

Replace item 2 in the list in the 2nd paragraph of section 83-2.02C(1)(a) with:

2. Wood blocks for line posts.

#### Replace Reserved in section 83-2.02C(3) with:

The offset from the face of the Type WB-31 transition railing to the hinge point must be at least 3'-6".

The offset from the face of the adjacent midwest guardrail system to the hinge point must be transitioned from the offset at the Type WB-31 transition railing to 4'-0" using a ratio of 6:1.

#### Replace Reserved in section 83-2.04B with:

#### 83-2.04B(1) General

#### 83-2.04B(1)(a) Summary

Section 83-2.04B includes specifications for constructing alternative in-line terminal systems.

#### 83-2.04B(1)(b) Definitions

Not Used

#### 83-2.04B(1)(c) Submittals

Submit a certificate of compliance for alternative in-line terminal systems.

#### 83-2.04B(1)(d) Quality Assurance

Obtain the Department-authorized manufacturer's drawing and the manufacturer's check list for the assembly and installation of the alternative in-line terminal system from the manufacturer's representative or distributor. Notify the Engineer of the type of alternative in-line terminal system to be installed at each location before starting installation activities. Complete, sign, and date the check list for each installed terminal system and submit a copy of the completed and signed check list for each installed location. The Engineer signs and dates the completed check lists, verifying the terminal system at each location was assembled and installed under the manufacturer's instructions and as described.

#### 83-2.04B(2) Materials

Alternative in-line terminal systems must be one of the following or a Department-authorized equal:

1. Type SKT-W-MGS for wood post terminal system - Type SKT-MGS terminal system must be a SKT 350 sequential kinking terminal with a system length of 53'-1-1/2", manufactured by Road Systems, Inc., located in Big Spring, Texas, and must include items detailed for Type SKT-MGS terminal system, as shown. The SKT 350 sequential kinking terminal can be obtained from the following distributors:

Address	Telephone no.
UNIVERSAL INDUSTRIAL SALES	(801) 785-0505
PO BOX 699	, ,
PLEASANT GROVE UT 84062	
GREGORY INDUSTRIES INC	(330) 477-4800
4100 13TH ST SW	, ,
CANTON OH 44708	

2. Type X-Lite terminal system – Type X -Lite terminal system must be a 31" X-Lite Guard Rail End Terminal manufactured by Barrier Systems, Inc., located in Vacaville, CA, and must include items detailed for Type 31" X-Lite terminal system, as shown. The 31" X-Lite Guard Rail End Terminal can be obtained from the following distributor:

Address	Telephone no.
STATEWIDE SAFETY AND SIGNS	(800) 770-2644
INC	
130 GROBRIC COURT	
FAIRFIELD CA 94533	

3. Type 31" X-Tension terminal system - Type 31" X-Tension terminal system must be a 31" X-Tension Guard Rail End Terminal manufactured by Barrier Systems, Inc., located in Vacaville, CA, and must include items detailed for Type 31" X-Tension terminal system, as shown. The 31" X-Tension Guard Rail End Terminal can be obtained from the following distributor:

Address	Telephone no.
STATEWIDE SAFETY AND SIGNS	(800) 770-2644
INC 130 GROBRIC COURT	
FAIRFIELD, CA 94533	

4. Type Soft-Stop terminal system - Type Soft-Stop terminal system must be a soft-stop terminal with a system length of 50'-9½" for test level 3 and a system length of 38'-3½" for test level 2, manufactured by Trinity Highway Products, LLC, and must include items detailed for soft-stop terminal system, as shown. The soft-stop terminal can be obtained from the manufacturer:

Address	Telephone no.
TRINITY HIGHWAY PRODUCTS LLC PO BOX 99	(800) 772-7976
CENTERVILLE UT 84012	

#### 83-2.04B(3) Construction

Install alternative in-line terminal systems under the manufacturer's installation instructions.

Identify each terminal system by painting the type of terminal system in 2-inch-high, neat, black letters and figures on the backside of the rail element between system posts number 4 and 5. Paint must be metallic acrylic resin type spray paint. Before applying terminal system identification, the surface to receive terminal system identification must be free of all dirt, grease, oil, salt, or other contaminants by washing the surface with detergent or other suitable cleaner. Rinse thoroughly with fresh water and allow to fully dry.

For Type SKT-W-MGS terminal system, install the soil tube with soil plate attached at Post 1, breakaway cable terminal post at Post 2, and controlled release terminal posts at Posts 3 through 8.Drive the soil tubes with or without pilot holes, or place them in drilled holes. Backfill the space around the soil tubes with selected earth that is free of rock. Place the earth in 4-inch-thick layers. Moisten and thoroughly compact each layer. Coat the inside surfaces of the soil tubes to receive wood terminal posts with grease that will not melt or run at a temperature of 149 degrees F or less. Insert the posts into the tubes by hand. Do not drive the posts. You may slightly round the post edges to facilitate insertion.

For Type 31" X-Lite terminal system, connect one 13'- 6 1/2" rail element to Post 7 and the Midwest Guardrail System. Use W6 x 8.5 or W6 x 9 steel posts for all crimped posts and line posts. Drive posts or place them in drilled holes. Backfill the space around the crimped posts, post 2 with attached soil plate, and lines posts with selected earth that is free of rock. Place the earth in 4-inch-thick layers. Moisten and thoroughly compact each layer. All blocks must be wood or plastic.

For Type 31" X-Tension terminal system, drive the steel post and soil anchor with or without pilot holes, or place them in drilled holes. Backfill the space around the steel post and soil anchor with selected earth that is free of rock. Place the earth in 4-inch-thick layers. Moisten and thoroughly compact each layer. Insert wood terminal posts into drilled holes by hand and backfill in the same manner as the steel post and soil anchor. Do not drive wood terminal posts. All blocks must be wood or plastic.

For Type Soft-Stop terminal system, use W6 x 8.5 steel yielding terminal posts for Posts 1 and 2 and standard W6 x 8.5 steel posts for the other posts. Drive all posts or place them in drilled holes. Backfill the space around the posts with selected earth that is free of rock. Moisten and thoroughly compact each layer. For the terminal with a system length of 50'-91/2", all blocks must be wood or plastic and must be 8 or 12 inches deep.

#### 83-2.04B(4) Payment

Not Used

Replace 7 in the 2nd paragraph of section 83-2.05A(3) with:

^^^^^^

## DIVISION XI MATERIALS 90 CONCRETE

#### Add to section 90-1.01C:

#### 90-1.01C(11) Polymer Fibers

Submit fiber manufacturer's product data and instructions for use.

Submit a certificate of compliance for each shipment and type of fibers.

### Replace the row for bridge deck concrete in the table in the 1st paragraph of section 90-1.02A with:

Bridge deck concrete	0.032

#### Add to section 90-1.02l(2)(a):

For concrete at Bridge No. 31C0021, the mortar strength of the fine aggregate relative to the mortar strength of Ottawa sand must be a minimum of 100 percent under California Test 515.

#### Add to section 90-1.02l(2)(b):

Concrete at bridge slab and bridge railing is exposed to deicing chemicals.

#### Add to section 90-1.02:

#### 90-1.02K Polymer Fibers

Fibers must comply with ASTM D 7508. Microfibers must be from 1/2 to 2 inches long. Macrofibers must be from 1 to 2-1/2 inches long.

# REVISED STANDARD SPECIFICATIONS APPLICABLE TO THE 2015 EDITION OF THE STANDARD SPECIFICATIONS

# REVISED STANDARD SPECIFICATIONS DATED 07-21-17

## ORGANIZATION

Revised standard specifications are under headings that correspond with the main-section headings of the *Standard Specifications*. A main-section heading is a heading shown in the table of contents of the *Standard Specifications*. A date under a main-section heading is the date of the latest revision to the section.

Each revision to the *Standard Specifications* begins with a revision clause that describes or introduces a revision to the *Standard Specifications*. For a revision clause that describes a revision, the date on the right above the clause is the publication date of the revision. For a revision clause that introduces a revision, the date on the right above a revised term, phrase, clause, paragraph, or section is the publication date of the revised term, phrase, clause, paragraph, or section. For a multiple-paragraph or multiple-section revision, the date on the right above a paragraph or section is the publication date of the paragraphs or sections that follow.

Any paragraph added or deleted by a revision clause does not change the paragraph numbering of the *Standard Specifications* for any other reference to a paragraph of the *Standard Specifications*.

^^^^^

# DIVISION I GENERAL PROVISIONS 1 GENERAL

07-21-17

01-20-17

Delete item 1 in the list in the 12th paragraph of section 1-1.01.

#### Add to the 1st table of section 1-1.06:

		07-21-17
APCD	air pollution control district	
AQMD	air quality management district	
CISS	cast-in-steel shell	
CSL	crosshole sonic logging	
CSS	cement stabilized soil	
GGL	gamma-gamma logging	

## Replace the row for LTDS in the 1st table in section 1-1.06 with:

	07-21	1-17
LTAS	long term allowable strength	

## Replace plant establishment period and its definition in section 1-1.07B with:

01-20-17

**plant establishment period:** Number of working days shown on the *Notice to Bidders* for plant establishment work.

#### Add to section 1-1.07B:

01-20-17

**permanent erosion control establishment period:** Number of working days shown on the *Notice to Bidders* for permanent erosion control establishment work.

07-21-17

**traffic break:** Traffic operation performed by a California Highway Patrol officer or other law enforcement officer to slow or stop traffic within the traveled way.

#### Replace the 1st row of the table in section 1-1.11 with:

07-21-17

Authorized Facility Audit List	http://www.dot.ca.gov/hq/esc/Translab/ OSM/documents/smdocuments/Interne t_auditlisting.pdf	 
Authorized Material List	http://www.dot.ca.gov/hq/esc/approved _products_list/	 
Authorized Material Source List	http://www.dot.ca.gov/hq/esc/Translab/ authorized_material_source_list/	 
Authorized Material Systems List	http://www.dot.ca.gov/hq/esc/Translab/ authorized_systems_list/	 
Authorized Laboratory List	http://www.dot.ca.gov/hq/esc/Translab/ authorized_laboratories_list/	 

12-02-16

Delete the row for Bidders' Exchange in the table of section 1-1.11.

^^^^^

### 2 BIDDING

07-21-17

Replace the headings and paragraphs of section 2 with:

12-02-16

## **2-1.01 GENERAL**

Section 2 includes specifications related to bid eligibility and the bidding process.

## 2-1.02 BID INELIGIBILITY

A firm that has provided architectural or engineering services to the Department for this contract before bid submittal for this contract is prohibited from any of the following:

- 1. Submitting a bid
- 2. Subcontracting for a part of the work
- 3. Supplying materials

#### 2-1.03 CONTRACTOR REGISTRATION

No contractor or subcontractor may be listed on a bid proposal for a public works project unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 [with limited exceptions from this requirement for bid purposes only under Labor Code section 1771.1(a)].

01-20-17

#### 2-1.04 PREBID OUTREACH MEETING

Section 2-1.04 applies if a mandatory prebid meeting is shown on the *Notice to Bidders*.

The Department will conduct a meeting to provide small businesses, including DVBEs and DBEs, the opportunity to meet and interact with prospective bidders in an effort to increase their participation in the performance of contracts.

Each bidder must attend the meeting. The bidder's representative must be a company officer, project superintendent, or project estimator. For a joint venture, one of the parties must attend the mandatory prebid meeting.

The Department does not accept a bid from a bidder who did not attend the meeting.

A sign-in sheet will be used to identify the attendees. Each bidder must include the name and title of the company representative attending the meeting.

The Department may hold a single prebid meeting for more than one contract. Sign the sign-in sheet for the contract you intend to bid on. If you are bidding on multiple contracts, sign each sign-in sheet for each contract you intend to bid on. The sign-in sheets, with the names of all companies in attendance at each prebid meeting, will be made available at the website shown on the *Notice to Bidders* for bidder inquiries.

The successful bidder is required to report each small business hired to work on this Contract as a result of the meeting.

#### 2-1.05 RESERVED

12-02-16

## 2-1.06 BID DOCUMENTS

#### 2-1.06A General

The *Bid* book includes bid forms and certifications, including forms not submitted through the electronic bidding service.

The *Notice to Bidders and Special Provisions* includes the *Notice to Bidders*, revised standard specifications, and special provisions.

The *Bid* book, including *Bid* book forms not available through the electronic bidding service, *Notice to Bidders and Special Provisions*, project plans, and any addenda to these documents may be accessed at the Department's Office of Construction Contract Awards website.

The *Standard Specifications* and *Standard Plans* may be viewed at the Department's Office of Construction Contract Awards website and may be purchased at the Publication Distribution Unit.

## 2-1.06B Supplemental Project Information

The Department makes supplemental information available as specified in the special provisions.

Logs of test borings are supplemental project information.

07-21-17

If an *Information Handout* or electronic design files are available, you may view them at the Contract Plans and Special Provisions link at the Department's Office of Construction Contract Awards website.

Electronic design files contain design information such as cross sections, digital models, and roadway design alignments and profiles.

12-02-16

If rock cores are available, you may view them by sending a request to Coreroom@dot.ca.gov.

If other supplemental project information is available for inspection, you may view it by phoning in a request.

Make your request at least 7 days before viewing. Include in your request:

- 1. District-County-Route
- 2. Contract number
- 3. Viewing date
- 4. Contact information, including telephone number

For rock cores, also include the bridge number in your request.

If bridge as-built drawings are available:

- 1. For a project in District 1 through 6 or 10, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357
- 2. For a project in District 7, 8, 9, 11, or 12, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357, and they are available at the Office of Structure Maintenance and Investigations, Los Angeles, CA, telephone (213) 897-0877

As-built drawings may not show existing dimensions and conditions. Where new construction dimensions are dependent on existing bridge dimensions, verify the field dimensions and adjust the dimensions of the work to fit the existing conditions.

## 2-1.06C-2-1.06D Reserved

#### 2-1.07 JOB SITE AND DOCUMENT EXAMINATION

Examine the job site and bid documents. Notify the Department of apparent errors and patent ambiguities in the plans, specifications, and Bid Item List. Failure to do so may result in rejection of a bid or rescission of an award.

Bid submission is your acknowledgment that you have examined the job site and bid documents and are satisfied with:

- 1. General and local conditions to be encountered
- 2. Character, quality, and scope of work to be performed
- 3. Quantities of materials to be furnished
- 4. Character, quality, and quantity of surface and subsurface materials or obstacles
- 5. Requirements of the contract

#### 2-1.08 RESERVED

## 2-1.09 BID ITEM LIST

Submit a bid based on the bid item quantities shown on the Bid Item List.

#### 2-1.10 SUBCONTRACTOR LIST

On the Subcontractor List form, list each subcontractor that will perform work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.).

For each subcontractor listed, the Subcontractor List form must show:

- 1. Business name and the location of its place of business.
- 2. California contractor license number for a non-federal-aid contract.
- 3. Public works contractor registration number.

- 4. Portion of work it will perform. Show the portion of the work by:
  - 4.1. Bid item numbers for the subcontracted work
  - 4.2. Percentage of the subcontracted work for each bid item listed
  - 4.3. Description of the subcontracted work if the percentage of the bid item listed is less than 100 percent

#### 2-1.11 RESERVED

#### 2-1.12 DISADVANTAGED BUSINESS ENTERPRISES

#### 2-1.12A General

Section 2-1.12 applies to a federal-aid contract.

Under 49 CFR 26.13(b):

The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated damages; and/or
- (4) Disqualifying the contractor from future bidding as non-responsible.

Include this assurance in each subcontract you sign with a subcontractor.

## 2-1.12B Disadvantaged Business Enterprise Goal

## 2-1.12B(1) General

Section 2-1.12B applies if a DBE goal is shown on the Notice to Bidders.

The Department shows a goal for DBEs to comply with the DBE program objectives provided in 49 CFR 26.1.

Make work available to DBEs and select work parts consistent with the available DBEs, including subcontractors, suppliers, service providers, and truckers.

Meet the DBE goal shown on the *Notice to Bidders* or demonstrate that you made adequate good faith efforts to meet this goal.

You are responsible to verify at bid opening the DBE firm is certified as a DBE by the California Unified Certification Program and possesses the work codes applicable to the type of work the firm will perform on the Contract.

Determine that selected DBEs perform a commercially useful function for the type of work the DBE will perform on the Contract as provided in 49 CFR 26.55(c)(1)–(4). Under 49 CFR 26.55(c)(1)–(4), the DBE must be responsible for the execution of a distinct element of work and must carry out its responsibility by actually performing, managing, and supervising the work.

All DBE participation will count toward the Department's federally mandated statewide overall DBE goal.

Credit for materials or supplies you purchase from DBEs will be evaluated on a contract-by-contract basis and counts toward the goal in the following manner:

- 1. 100 percent if the materials or supplies are obtained from a DBE manufacturer.
- 2. 60 percent if the materials or supplies are obtained from a DBE regular dealer.

3. Only fees, commissions, and charges for assistance in the procurement and delivery of materials or supplies if they are obtained from a DBE that is neither a manufacturer nor a regular dealer. 49 CFR 26.55 defines *manufacturer* and *regular dealer*.

You receive credit toward the goal if you employ a DBE trucking company that is performing a commercially useful function. The Department uses the following factors in determining whether a DBE trucking company is performing a commercially useful function:

- The DBE must be responsible for the management and supervision of the entire trucking operation
  for which it is responsible on a particular contract, and there cannot be a contrived arrangement for
  the purpose of meeting DBE goals.
- The DBE must itself own and operate at least one fully licensed, insured, and operational truck used on the contract.
- The DBE receives credit for the total value of the transportation services it provides on the Contract using trucks it owns, insures, and operates using drivers it employs.
- The DBE may lease trucks from another DBE firm, including an owner-operator who is certified as a DBE. The DBE who leases trucks from another DBE receives credit for the total value of the transportation services the lessee DBE provides on the Contract.
- The DBE may lease trucks without drivers from a non-DBE truck leasing company. If the DBE leases trucks from a non-DBE truck leasing company and uses its own employees as drivers, it is entitled to credit for the total value of these hauling services.
- A lease must indicate that the DBE has exclusive use of and control over the truck. This does not
  preclude the leased truck from working for others during the term of the lease with the consent of the
  DBE, so long as the lease gives the DBE absolute priority for use of the leased truck. Leased trucks
  must display the name and identification number of the DBE.

[49 CFR 26.55(d)]

#### 2-1.12B(2) DBE Commitment Submittal

Submit DBE information under section 2-1.33.

Submit a copy of the quote from each DBE shown on the DBE Commitment form that describes the type and dollar amount of work shown on the form. Submit a DBE Confirmation form for each DBE shown on the DBE Commitment form to establish that it will be participating in the Contract in the type and dollar amount of work shown on the form. If a DBE is participating as a joint venture partner, submit a copy of the joint venture agreement.

#### 2-1.12B(3) DBE Good Faith Efforts Submittal

You can meet the DBE requirements by either documenting commitments to DBEs to meet the Contract goal or by documenting adequate good faith efforts to meet the Contract goal. An adequate good faith effort means that the bidder must show that it took all necessary and reasonable steps to achieve a DBE goal that, by their scope, intensity, and appropriateness to the objective, could reasonably be expected to meet the DBE goal.

If you have not met the DBE goal, complete and submit the DBE Good Faith Efforts Documentation form under section 2-1.33 showing that you made adequate good faith efforts to meet the goal. Only good faith efforts directed toward obtaining participation by DBEs are considered.

Submit good faith efforts documentation within the specified time to protect your eligibility for award of the contract in the event the Department finds that the DBE goal has not been met.

Refer to 49 CFR 26 app A for guidance regarding evaluation of good faith efforts to meet the DBE goal.

The Department considers DBE commitments of other bidders in determining whether the low bidder made good faith efforts to meet the DBE goal.

#### 2-1.13-2-1.14 RESERVED

#### 2-1.15 DISABLED VETERAN BUSINESS ENTERPRISES

#### 2-1.15A General

Section 2-1.15 applies to a non-federal-aid contract.

Take necessary and reasonable steps to ensure that DVBEs have the opportunity to participate in the Contract.

Comply with Mil & Vet Code § 999 et seg.

## 2-1.15B Projects \$5 Million or Less

Section 2-1.15B applies to a project with an estimated cost of \$5 million or less.

Make work available to DVBEs and select work parts consistent with the available DVBE subcontractors and suppliers.

Meet the goal shown on the Notice to Bidders.

Complete and submit the Certified DVBE Summary form under section 2-1.33. List all DVBE participation on this form.

If a DVBE joint venture is used, submit the joint venture agreement with the Certified DVBE Summary form.

List each 1st-tier DVBE subcontractor on the Subcontractor List form regardless of its percentage of the total bid.

### 2-1.15C Projects More Than \$5 Million

#### 2-1.15C(1) General

Section 2-1.15C applies to a project with an estimated cost of more than \$5 million.

The Department encourages bidders to obtain DVBE participation to ensure the Department achieves its State-mandated overall DVBE goal.

If you obtain DVBE participation:

- 1. Complete and submit the Certified DVBE Summary form under section 2-1.33. List all DVBE participation on this form.
- List each 1st-tier DVBE subcontractor on the Subcontractor List form regardless of its percentage of the total bid.

If a DVBE joint venture is used, submit the joint venture agreement with the Certified DVBE Summary form

#### 2-1.15C(2) DVBE Incentive

The Department grants a DVBE incentive to each bidder who achieves a DVBE participation of 1 percent or greater (Mil & Vet Code 999.5 and Code of Regs § 1896.98 et seq.).

To receive this incentive, submit the Certified DVBE Summary form under section 2-1.33.

Bidders other than the apparent low bidder, the 2nd low bidder, and the 3rd low bidder may be required to submit the Certified DVBE Summary form if the bid ranking changes. If the Department requests a Certified DVBE Summary form from you, submit the completed form within 4 business days of the request.

#### 2-1.15C(3) Incentive Evaluation

The Department applies the small business and non–small business preference during bid verification and proceeds with the evaluation specified below for the DVBE incentive.

The DVBE incentive is a reduction, for bid comparison only, in the submitted total bid by the lesser of the following amounts:

- 1. Percentage of the DVBE achievement rounded to 2 decimal places of the verified total bid of the low bidder
- 2. 5 percent of the verified total bid of the low bidder
- 3. \$250,000

The Department applies the DVBE incentive and determines whether the bid ranking changes.

A non–small business bidder cannot displace a small business bidder. However, a small business bidder with a higher DVBE achievement can displace another small business bidder.

The Department proceeds with awarding the contract to the new low bidder and posts the new verified bid results at the Department's website.

#### 2-1.16-2-1.17 RESERVED

## 2-1.18 SMALL BUSINESS AND NON-SMALL BUSINESS SUBCONTRACTOR PREFERENCES

#### 2-1.18A General

Section 2-1.18 applies to a non-federal-aid contract.

The Department applies small business preferences and non–small business preferences under Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq.

Any contractor, subcontractor, supplier, or service provider who qualifies as a small business is encouraged to apply for certification as a small business by submitting its application to the Department of General Services. Office of Small Business and DVBE Services.

Contract award is based on the total bid, not the reduced bid.

#### 2-1.18B Small Business Preference

The Department allows a bidder certified as a small business by the Department of General Services, Office of Small Business and DVBE Services, a preference if:

- 1. Bidder submitted a completed Request for Small Business Preference or Non–Small Business Preference form with its bid
- 2. Low bidder did not request the preference or is not certified as a small business

The Bidder's signature on the Request for Small Business Preference or Non–Small Business Preference form certifies that the Bidder is certified as a small business at the date and time of bid or has submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department of General Services by 5:00 p.m. on the bid opening date.

The Department of General Services determines whether a bidder was certified on the bid opening date. The Department of Transportation confirms the Bidder's status as a small business before applying the small business preference.

The small business preference is a reduction for bid comparison in the total bid submitted by the small business contractor by the lesser of the following amounts:

- 1. 5 percent of the verified total bid of the low bidder
- 2. \$50,000

If the Department determines that a certified small business bidder is the low bidder after the application of the small business preference, the Department does not consider a request for non–small business preference.

#### 2-1.18C Non-Small Business Subcontractor Preference

The Department allows a bidder not certified as a small business by the Department of General Services, Office of Small Business and DVBE Services, a preference if:

- Bidder submitted a completed Request for Small Business Preference or Non–Small Business Preference form with its bid
- 2. Certified Small Business Listing for the Non–Small Business Preference form shows that you are subcontracting at least 25 percent to certified small businesses

Each listed subcontractor and supplier must be certified as a small business at the date and time of bid or must have submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department of General Services by 5:00 p.m. on the bid opening date.

The non–small business subcontractor preference is a reduction for bid comparison in the total bid submitted by the non–small business contractor requesting the preference by the lesser of the following amounts:

- 1. 5 percent of the verified total bid of the low bidder
- 2. \$50.000

#### 2-1.19-2-1.26 RESERVED

#### 2-1.27 CALIFORNIA COMPANIES

Section 2-1.27 applies to a non-federal-aid contract.

Under Pub Cont Code § 6107, the Department gives preference to a *California company*, as defined, for bid comparison purposes over a nonresident contractor from any state that gives or requires a preference to be given to contractors from that state on its public entity construction contracts.

Complete a California Company Preference form.

The California company's reciprocal preference amount is equal to the preference amount applied by the state of the nonresident contractor with the lowest responsive bid unless the California company is eligible for a small business preference or a non–small business subcontractor preference, in which case the preference amount is the greater of the two, but not both.

If the low bidder is not a California company and a California company's bid with reciprocal preference is equal to or less than the lowest bid, the Department awards the contract to the California company on the basis of its total bid.

#### 2-1.28-2-1.30 RESERVED

#### 2-1.31 OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

You may opt out of the payment adjustments for price index fluctuations specified in section 9-1.07. To opt out, submit a completed Opt Out of Payment Adjustments for Price Index Fluctuations form under section 2-1.33.

## 2-1.32 RESERVED

#### 2-1.33 BID DOCUMENT COMPLETION AND SUBMITTAL

#### 2-1.33A General

Complete the forms in the *Bid* book.

Use the forms provided by the Department except as otherwise specified for a bidder's bond.

Do not fax forms except for the copies of forms with the public works contractor registration number submitted after the time of bid. Fax these copies to (916) 227-6282.

Failure to submit the forms and information as specified may result in a nonresponsive bid.

If an agent other than the authorized corporate officer or a partnership member signs the bid, file a Power of Attorney with the Department either before opening bids or with the bid. Otherwise, the bid may be nonresponsive.

Complete and submit the *Bid* book under the *Electronic Bidding Guide* at the Department's Office of Construction Contract Awards.

Your authorized digital signature is your confirmation of and agreement to all certifications and statements contained in the *Bid* book.

On forms and certifications that you submit through the electronic bidding service, you agree that each form and certification where a signature is required is deemed as having your signature.

### 2-1.33B Bid Form Submittal Schedules

## 2-1.33B(1) General

The *Bid* book includes forms specific to the contract. The deadlines for the submittal of the forms vary depending on the requirements of each contract. Determine the requirements of the contract and submit the forms based on the applicable schedule specified in section 2-1.33B.

Bid forms and information on the form that are due after the time of bid may be submitted at the time of bid.

## 2-1.33B(2) Federal-Aid Contracts

## 2-1.33B(2)(a) General

Section 2-1.33B(2) applies to a federal-aid contract.

## 2-1.33B(2)(b) Contracts with a DBE Goal

## 2-1.33B(2)(b)(i) General

Section 2-1.33B(2)(b) applies if a DBE goal is shown on the *Notice to Bidders*.

## 2-1.33B(2)(b)(ii) Non-Informal-Bid Contract

For a non-informal-bid contract, submit the bid forms according to the schedule shown in the following table:

## Bid Form Submittal Schedule for a Non-Informal Bid Federal-Aid Contract with a DBE Goal

Form	Submittal deadline
Bid to the Department of Transportation	Time of bid except for the public works contractor registration number
Copy of the Bid to the Department of Transportation as submitted at the time of bid with the public works contractor registration number	10 days after bid opening
Subcontractor List	Time of bid except for the public works contractor registration number
Copy of the Subcontractor List as submitted at the time of bid with the public works contractor registration number	10 days after bid opening
Small Business Status	Time of bid
Opt Out of Payment Adjustments for Price Index Fluctuations <sup>a</sup>	Time of bid
DBE Commitment	No later than 4 p.m. on the 5th day after bid opening <sup>b</sup>
DBE Confirmation	No later than 4 p.m. on the 5th day after bid opening <sup>b</sup>
DBE Good Faith Efforts Documentation	No later than 4 p.m. on the 5th day after bid opening <sup>b</sup>

<sup>&</sup>lt;sup>a</sup>Submit only if you choose the option.

12-02-16

## 2-1.33B(2)(b)(iii) Informal-Bid Contract

For an informal-bid contract, submit the bid forms according to the schedule shown in the following table:

03-03-17

## Bid Form Submittal Schedule for an Informal-Bid Federal-Aid Contract with a DBE Goal

Form	Submittal deadline		
Bid to the Department of Transportation	Time of bid		
Subcontractor List	Time of bid		
Small Business Status	Time of bid		
Opt Out of Payment Adjustments for Price Index Fluctuations <sup>a</sup>	Time of bid		
DBE Commitment	No later than 4 p.m. on the 5th day after bid opening <sup>b</sup>		
DBE Confirmation	No later than 4 p.m. on the 5th day after bid opening <sup>b</sup>		
DBE Good Faith Efforts Documentation	No later than 4 p.m. on the 5th day after bid opening <sup>b</sup>		

<sup>&</sup>lt;sup>a</sup>Submit only if you choose the option.

<sup>&</sup>lt;sup>b</sup>If the last day for submitting the bid form falls on a Saturday or holiday, it may be submitted on the next business day with the same effect as if it had been submitted on the day specified.

<sup>&</sup>lt;sup>b</sup>If the last day for submitting the bid form falls on a Saturday or holiday, it may be submitted on the next business day with the same effect as if it had been submitted on the day specified.

## 2-1.33B(2)(c) Contracts without a DBE Goal

## 2-1.33B(2)(c)(i) General

Section 2-1.33B(2)(c) applies if a DBE goal is not shown on the *Notice to Bidders*.

## 2-1.33B(2)(c)(ii) Non-Informal-Bid Contract

For a non-informal-bid contract, submit the bid forms according to the schedule shown in the following table:

## Bid Form Submittal Schedule for a Non-Informal-Bid Federal-Aid Contract without a DBE Goal

Form	Submittal deadline	
Bid to the Department of Transportation	Time of bid except for the public works contractor registration number	
Copy of the Bid to the Department of Transportation as submitted at the time of bid with the public works contractor registration number	10 days after bid opening	
Subcontractor List	Time of bid except for the public works contractor registration number	
Copy of the Subcontractor List as submitted at the time of bid with the public works contractor registration numbers	10 days after bid opening	
Small Business Status	Time of bid	
Opt Out of Payment Adjustments for Price Index Fluctuations <sup>a</sup>	Time of bid	

<sup>&</sup>lt;sup>a</sup>Submit only if you choose the option.

## 2-1.33B(2)(c)(iii) Informal-Bid Contract

For an informal-bid contract, submit the bid forms according to the schedule shown in the following table:

## Bid Form Submittal Schedule for an Informal-Bid Federal-Aid Contract without a DBE Goal

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Form	Submittal deadline		
Bid to the Department of Transportation	Time of bid		
Subcontractor List	Time of bid		
Small Business Status	Time of bid		
Opt Out of Payment Adjustments for Price Index Fluctuations <sup>a</sup>	Time of bid		

<sup>&</sup>lt;sup>a</sup>Submit only if you choose the option.

## 2-1.33B(2)(d)-2-1.33B(2)(h) Reserved

#### 2-1.33B(3) Non-Federal-Aid Contracts

## 2-1.33B(3)(a) General

Section 2-1.33B(3) applies to non-federal-aid contracts.

## 2-1.33B(3)(b) Contracts with a DVBE Goal

## 2-1.33B(3)(b)(i) General

Section 2-1.33B(3)(b) applies if a DVBE goal is shown on the *Notice to Bidders*.

#### 2-1.33B(3)(b)(ii) Non-Informal-Bid Contract

For a non-informal-bid contract, submit the bid forms according to the schedule shown in the following table:

## Bid Form Submittal Schedule for a Non-Informal-Bid Non-Federal-Aid Contract with a DVBE Goal

Form	Submittal deadline	
Bid to the Department of Transportation	Time of bid except for the public works contractor registration number for a joint-venture contract	
For a joint-venture contract, copy of the Bid to the Department of Transportation as submitted at the time of bid with the public works contractor registration number	10 days after bid opening	
Subcontractor List	Time of bid	
Opt Out of Payment Adjustments for Price Index Fluctuations <sup>a</sup>	Time of bid	
Certified DVBE Summary	No later than 4 p.m. on the 4th business day after bid opening	
California Company Preference	Time of bid	
Request for Small Business Preference or Non– Small Business Preference <sup>a</sup>	Time of bid	
Certified Small Business Listing for the Non– Small Business Preference <sup>a</sup>	No later than 4 p.m. on the 2nd business day after bid opening	

<sup>&</sup>lt;sup>a</sup>Submit only if you choose the option or preference.

## 2-1.33B(3)(b)(iii) Informal-Bid Contract

For an informal-bid contract, submit the bid forms according to the schedule shown in the following table:

## Bid Form Submittal Schedule for an Informal-Bid Non-Federal-Aid Contract with a DVBE Goal

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Form	Submittal deadline		
Bid to the Department of Transportation	Time of bid		
Subcontractor List	Time of bid		
Opt Out of Payment Adjustments for Price Index Fluctuations <sup>a</sup>	Time of bid		
Certified DVBE Summary	Time of bid		
California Company Preference	Time of bid		
Request for Small Business Preference or Non– Small Business Preference <sup>a</sup>	Time of bid		
Certified Small Business Listing for the Non–Small Business Preference <sup>a</sup>	Time of bid		

<sup>&</sup>lt;sup>a</sup>Submit only if you choose the option or preference.

## 2-1.33B(3)(c) Contracts without a DVBE Goal

## 2-1.33B(3)(c)(i) General

Section 2-1.33B(3)(c) applies if a DVBE goal is not shown on the *Notice to Bidders*.

## 2-1.33B(3)(c)(ii) Non-Informal-Bid Contract

For a non-informal-bid contract, submit the bid forms according to the schedule shown in the following table:

## Bid Form Submittal Schedule for a Non-Informal-Bid Non-Federal-Aid Contract without a DVBE Goal

Form	Submittal deadline	
Bid to the Department of Transportation	Time of bid except for the public works contractor registration number for a joint-venture contract	
For a joint-venture contract, copy of the Bid to the Department of Transportation as submitted at the time of bid with the public works contractor registration number	10 days after bid opening	
Subcontractor List	Time of bid	
Opt Out of Payment Adjustments for Price Index Fluctuations <sup>a</sup>	Time of bid	
California Company Preference	Time of bid	
Certified DVBE Summary <sup>b</sup>	No later than 4 p.m. on the 4th business day after bid opening	
Request for Small Business Preference or Non– Small Business Preference <sup>a</sup>	Time of bid	
Certified Small Business Listing for the Non–Small Business Preference <sup>a</sup>	No later than 4 p.m. on the 2nd business day after bid opening	

<sup>&</sup>lt;sup>a</sup>Submit only if you choose the option or preference.

## 2-1.33B(3)(c)(iii) Informal-Bid Contract

For an informal-bid contract, submit the bid forms according to the schedule shown in the following table:

## Bid Form Submittal Schedule for an Informal-Bid Non-Federal-Aid Contract without a DVBE Goal

Form	Submittal deadline
Bid to the Department of Transportation	Time of bid
Subcontractor List	Time of bid
Opt Out of Payment Adjustments for Price Index Fluctuations <sup>a</sup>	Time of bid
Certified DVBE Summary <sup>b</sup>	Time of bid
Request for Small Business Preference or Non– Small Business Preference <sup>a</sup>	Time of bid
Certified Small Business Listing for the Non–Small Business Preference <sup>a</sup>	Time of bid

<sup>&</sup>lt;sup>a</sup>Submit only if you choose the option or preference.

## 2-1.33B(3)(d)-2-1.33B(3)(h) Reserved

## 2-1.33B(4)-2-1.33B(9) Reserved

## 2-1.34 BIDDER'S SECURITY

Submit one of the following forms of bidder's security equal to at least 10 percent of the bid:

- 1. Cash
- 2. Cashier's check
- 3. Certified check
- 4. Signed bidder's bond by an admitted surety insurer
- 5. Electronic bidder's bond by an admitted surety insurer submitted using an electronic registry service approved by the Department

<sup>&</sup>lt;sup>b</sup>Submit only if you obtain DVBE participation or you are the apparent low bidder, 2nd low bidder, or 3rd low bidder and you choose to receive the specified incentive.

<sup>&</sup>lt;sup>b</sup>Submit only if you obtain DVBE participation or you are the apparent low bidder, 2nd low bidder, or 3rd low bidder and you choose to receive the specified incentive.

Submit cash, cashier's check, certified check, or bidder's bond to the Department's Office of Construction Contract Awards before the bid opening time.

Submit an electronic bidder's bond with the electronic bid.

If using a bidder's bond, you may use the form in the *Bid* book. If you do not use the form in the *Bid* book, use a form containing the same information.

### 2-1.35-2-1.39 RESERVED

#### 2-1.40 BID WITHDRAWAL

Bids are not filed with the Department until the date and time of bid opening.

A bidder may withdraw or revise a bid after it has been submitted to the electronic bidding service if this is done before the bid opening date and time.

#### 2-1.41-2-1.42 RESERVED

#### 2-1.43 BID OPENING

The Department publicly opens and reads bids at the time and place shown on the Notice to Bidders.

#### 2-1.44-2-1.45 RESERVED

#### 2-1.46 DEPARTMENT'S DECISION ON A BID

The Department's decision on the bid amount is final.

The Department may reject:

- 1. All bids
- 2. A nonresponsive bid

07-21-17

3. A bid from any entity that is a parent, affiliate, or subsidiary, or that is under common ownership, control, or management with any other entity submitting a bid on the project

12-02-16

#### **2-1.47 BID RELIEF**

The Department may grant bid relief under Pub Cont Code § 5100 et seq. Submit any request for bid relief to the Office Engineer. The Relief of Bid Request form is available at the Department's website.

## 2-1.48 RESERVED

## 2-1.49 SUBMITTAL FAILURE HISTORY

The Department considers a bidder's past failure to submit documents required after bid opening in determining a bidder's responsibility.

#### 2-1.50 BID RIGGING

Section 2-1.50 applies to a federal-aid contract.

The US Department of Transportation (DOT) provides a toll-free hotline to report bid rigging activities. Use the hotline to report bid rigging, bidder collusion, and other fraudulent activities. The hotline number is (800) 424-9071. The service is available 24 hours 7 days a week and is confidential and anonymous. The hotline is part of the DOT's effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General.

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## **5 CONTROL OF WORK**

07-21-17 Replace section 5-1.12 with:

01-20-17

## 5-1.12 ASSIGNMENT

The performance of the Contract or any Contract part may be assigned only with prior written consent from the Department. To request consent, submit a Contractor Action Request - Assignment of Contract Performance form. The Department does not consent to any requested assignment that would relieve you or your surety of the responsibility to complete the work or any part of the work.

If you assign the right to receive Contract payments, the Department accepts the assignment upon the Engineer's receipt of a Contractor Action Request - Assignment of Contract Monies, Assignee Change of Name/Address form. Assigned payments remain subject to deductions and withholds described in the Contract. The Department may use withheld payments for work completion whether the payments are assigned or not.

#### Add to section 5-1.13B:

07-21-17

## 5-1.13B(3) Use of Joint Checks

You may use a joint check between the Contractor or lower-tier subcontractor and a DBE subcontractor purchasing materials from a material supplier if you obtain prior approval from the Department for your proposed use of joint checks upon submittal of a DBE Joint Check Agreement Request form.

To use a joint check, the following conditions must be met:

- 1. All parties, including the Contractor, must agree to the use of a joint check
- 2. Entity issuing the joint check acts solely to guarantee payment
- 3. DBE must release the check to the material supplier
- 4. Department must authorize the request before implementation
- 5. Any party to the agreement must provide requested documentation within 10 days of the Department's request for the documentation
- 6. Agreement to use a joint check must be short-term, not to exceed 1 year, allowing sufficient time needed to establish or increase a credit line with the material supplier

A request for a joint check agreement may be initiated by any party.

If a joint check is used, the DBE remains responsible for all elements of 49 CFR 26.55(c)(1).

Failure to comply with section 5-1.13B(3) disqualifies DBE participation and results in no credit and no payment to the Contractor for DBE participation.

A joint check may not be used between the Contractor or subcontractor and a DBE regular dealer, bulk material supplier, manufacturer, wholesaler, broker, trucker, packager, manufacturer's representative, or other persons who arrange or expedite transactions.

01-20-17

Delete item 1 in the list in the paragraph of section 5-1.23C.

#### Replace section 5-1.36 with:

01-20-17

#### 5-1.36 PROPERTY AND FACILITY PRESERVATION

#### 5-1.36A General

Preserve and protect:

- 1. Highway improvements and facilities
- 2 Adjacent property
- 3. Waterways
- 4. ESAs
- 5. Lands administered by other agencies
- 6. Railroads and railroad equipment
- 7. Nonhighway facilities, including utilities
- 8. Survey monuments
- 9. Department's instrumentation
- 10 Temporary work
- 11. Roadside vegetation not to be removed

Comply with Govt Code § 4216 et seq. Notify the Engineer at least 3 business days before you contact the regional notification center. Failure to contact the notification center prohibits excavation.

Immediately report damage to the Engineer.

If you cause damage, you are responsible.

The Department may make a temporary repair to restore service to a damaged facility.

Install suitable safeguards to preserve and protect facilities from damage.

Install temporary facilities, such as sheet piling, cribbing, bulkheads, shores, or other supports, necessary to support existing facilities or to support material carrying the facilities.

#### 5-1.36B Railroad Property

If working on or adjacent to railroad property, do not interfere with railroad operations.

For an excavation on or affecting railroad property, submit work plans showing the system to be used to protect the railroad facilities. Instead of the 15 days specified in section 5-1.23B, allow 65 days for the review of the plans.

If the Contract does not include an agreement with a railroad company, do not allow personnel or equipment on railroad property.

Prevent material, equipment, and debris from falling onto railroad property.

#### 5-1.36C Nonhighway Facilities

## 5-1.36C(1) General

Before starting work that could damage or interfere with underground infrastructure, locate the infrastructure described in the Contract, including laterals and other appurtenances, and determine the presence of other underground infrastructure inferred from visible facilities, such as buildings, meters, and junction boxes.

Underground infrastructure described in the Contract may be in different locations from those described, and additional infrastructure may exist.

Upon discovering an underground main or trunk line not described in the Contract, immediately notify the Engineer and the infrastructure owner. The Engineer orders the locating and protecting of the infrastructure. The locating and protecting is change order work. If ordered, repair infrastructure damage. If the damage is not due to your negligence, the repair is change order work.

Immediately notify the Engineer of a delay due to the presence of main-line underground infrastructure not described in the Contract or in a substantially different location.

Notify the Engineer if the infrastructure described in the Contract cannot be found. If after giving the notice, you find the infrastructure in a substantially different location from that described, finding the infrastructure is change order work.

## 5-1.36C(2) Nonhighway Facility Protection

Reserved

## 5-1.36C(3) Nonhighway Facility Rearrangement

The Department may rearrange a nonhighway facility during the Contract. Rearrangement of a nonhighway facility includes installation, relocation, alteration, or removal of the facility.

The Department may authorize facility owners and their agents to enter the highway to perform rearrangement work for their facilities or to make connections or repairs to their property. Coordinate activities to avoid delays.

If necessary rearrangement of underground infrastructure is not described in the Contract, the Engineer may order you to perform the work. The rearrangement is change order work.

Immediately notify the Engineer of a delay due to a rearrangement different from that described in the Contract.

If you want infrastructure rearrangement different from that described in the Contract:

- 1. Notify the Engineer
- 2. Make an arrangement with the infrastructure owner
- 3. Obtain authorization for the rearrangement
- 4. Pay the infrastructure owner any additional cost

The Department does not adjust time or payment for a rearrangement different from that described the Contract.

#### 5-1.36D Survey Monuments

Protect survey monuments on and off the highway. Upon discovery of a survey monument not identified and located by the Department, immediately:

- 1. Stop work near the monument
- 2. Notify the Engineer

Do not resume work near the monument until authorized.

#### 5-1.36E Landscape

If you damage plants not to be removed:

- 1. Dispose of them unless the Engineer authorizes you to reduce them to chips and spread the chips within the highway at locations designated by the Engineer
- 2. Replace them

Replace plants with plants of the same species.

Replace trees with 24-inch-box trees.

Replace shrubs with no. 15-container shrubs.

Replace ground cover plants with plants from flats. Replace *Carpobrotus* ground cover plants with plants from cuttings. Plant ground cover plants 1 foot on center.

If a plant establishment or permanent erosion control establishment period is specified, replace plants before the start of the plant establishment or permanent erosion control establishment period; otherwise, replace plants at least 30 days before Contract acceptance.

Water each plant immediately after planting. Saturate the backfill soil around and below the roots or the ball of earth around the roots of each plant. Water as necessary to maintain plants in a healthy condition until Contract acceptance.

07-21-17

## 5-1.36F Irrigation Facilities

Keep existing irrigation facilities in place that are described to be removed, relocated, or modified until the Engineer determines they are no longer needed.

Maintain the existing water supply. If the existing water supply is interrupted for more than 3 consecutive days, provide an alternative water supply. Water the existing plants in the area irrigated from that water supply, including those maintained by the Department, as necessary to maintain healthy plant growth.

If you and the Department irrigate existing plants from the same water supply, furnish enough water to the Department for watering plantings on and off the highway as necessary to maintain a healthy condition through Contract acceptance.

If you damage irrigation facilities not to be removed:

- 1. Remove and dispose of them.
- 2. Repair and replace damaged facilities within 10 days.
- 3. Use similar commercial-quality components from the same manufacturer or components that are compatible with the existing irrigation system if authorized.
- 4. After completing the repair or replacement of the facilities, perform an operational test in the presence of the Engineer. If you repair or replace the remote control valves, conduct the test with the irrigation controller in the automatic mode.

#### Notify the Engineer:

- 1. At least 4 business days before shutting off the water supply to any portion of the existing irrigation system
- 2. Immediately after restoring the water supply to any portion of the existing irrigation system

#### Add to the end of the 1st paragraph of section 5-1.39C(1):

01-20-17

or permanent erosion control establishment

## Replace section 5-1.43E with:

01-20-17

5-1.43E Alternative Dispute Resolution

5-1.43E(1) General

5-1.43E(1)(a) General

Section 5-1.43E applies to a contract with 100 or more original working days.

The ADR process must be used for the timely resolution of disputes that arise out of the work.

You must comply with section 5-1.43E to pursue a claim, file for arbitration, or file for litigation.

The ADR process is not a substitute for submitting an RFI or a potential claim record.

Do not use the ADR process for disputes between you and subcontractors or suppliers that have no grounds for a legal action against the Department. If you fail to comply with section 5-1.43 for a potential claim on behalf of a subcontractor or supplier, you release the Department of the subcontractor's or supplier's potential claim.

Do not use the ADR process for quantification of disputes for overhead expenses or costs. For a dispute for overhead expenses or costs, comply with section 9-1.17D.

Each party and the DRA or DRB must complete the Dispute Resolution Advisor Agreement form or Dispute Resolution Board Agreement form and comply with the provisions of the agreement. For these forms, go to the Department's Division of Construction website.

No DRA- or DRB-related meetings are allowed until each party and the DRA or DRB, execute the agreement. However, each party and the DRA or DRB, may agree to sign and execute the agreement at the 1st meeting.

## 5-1.43E(1)(b) Definitions

dispute meeting: Traditional and informal dispute meeting.

**DRA:** 1-member board established by the parties to assist in resolving disputes.

**DRB:** 3-member board established by the parties to assist in resolving disputes.

party: You or the Department.

- 1. **the parties:** You and the Department jointly.
- 2. each party: You and the Department severally.

outside technical services: Consultants with no prior direct involvement in the Contract.

## 5-1.43E(1)(c) Establishment of Procedures

Upon selecting the DRA or DRB, the parties must meet with the DRA or DRB to establish and agree to procedures for:

- 1. Submitting documents
- 2. Conducting hearings
- 3. Providing recommendations
- 4. Associated tasks

The established procedures must comply with the Contract and the Dispute Resolution Advisor Agreement or Dispute Resolution Board Agreement. The procedures need not comply with laws of evidence.

## 5-1.43E(1)(d) Progress Meetings

The parties must periodically meet with the DRA or DRB at the job site so the DRA or DRB members can keep abreast of construction activities and become familiar with the work in progress.

The meetings must be held at the start of job site activities and at least once every 3 months after that.

The parties must attend each meeting.

The parties may agree to waive the scheduled meetings when the only work remaining is plant establishment work or permanent erosion control establishment work.

#### 5-1.43E(1)(e) Dispute Meetings

You must follow the traditional dispute meeting process to pursue a potential claim.

Either party may refer a dispute to the DRA or DRB. To request a dispute meeting, a party must submit a copy of the referral and supporting documentation to the DRA or DRB. The documentation must describe the dispute in individual discrete segments such that resolved and unresolved segments are

differentiated. The party must include an estimate of the cost of the affected work and impacts to the work completion date.

A copy of all documents submitted to the DRA or DRB must be simultaneously submitted to the other party.

The Department furnishes the DRA or DRB with the Contract documents and provides meeting facilities at no cost to you.

Neither party may meet with or discuss Contract issues with the DRA or DRB members unless the other party is present.

If the dispute involves a subcontractor, the subcontractor's superintendent or project manager must attend the meeting.

Only the following persons are allowed to participate and present information at the meeting:

- 1. Engineer
- 2. Department's area construction engineer
- 3. Department's structure representative.
- 4. Your superintendent
- 5. Your project manager
- 6. Either party's employees that have direct knowledge of the dispute and direct involvement in the project
- 7. Consultants directly involved in the development of the estimate or construction
- 8. Subcontractor's superintendent or project manager if the dispute involves a subcontractor

The following persons are not allowed to attend the meeting:

- 1. Attorneys
- 2. Claim consultants
- 3. Outside technical services not employed by either party unless requested by the DRA or DRB

If the DRA or DRB needs outside technical services to help the DRA or DRB make a recommendation, the parties must agree to the services before they are provided. If the parties and the DRA or DRB agree, the technical services may be provided by technical staff who works for either party.

During a dispute meeting, each party presents its position, makes rebuttals, furnishes relevant documents, and responds to DRA or DRB questions and requests. The following is not allowed:

- 1. Testimony under oath
- 2. Cross-examination
- 3. Reporting of the procedures by a shorthand reporter or by electronic means

If either party fails to attend a dispute meeting, all documents submitted by the nonattending party is considered as the nonattending party's entire position, and the DRA or DRB and the attending party may proceed with the dispute process.

#### 5-1.43E(1)(f) Informal Dispute Meetings

The parties may resolve small and uncomplicated disputes using an informal process. The parties may use this process only if the parties and the DRA or DRB agree its use is appropriate for resolving the dispute.

The informal dispute meeting process is independent from the traditional process. The Department does not grant time extensions for the traditional dispute process if the informal dispute process is used.

Each party furnishes the DRA or DRB a 1-page brief description of the dispute with supporting documentation and any additional information requested by the DRA or DRB.

In an informal dispute meeting, each party presents its position and receives the DRA's or DRB's recommendation orally on the same day the dispute is heard. The DRA or DRB furnishes a 1-page report confirming the recommendation within 5 business days.

Either party may ask for clarification of the DRA's or DRB's recommendation at the dispute meeting.

If the dispute remains unresolved, the parties must notify the DRA or DRB within 5 business days after receipt of the DRA's or DRB's written confirmation of the recommendation.

The DRA or DRB will not be bound by its informal recommendation if a dispute is later heard in a traditional dispute meeting.

If the dispute is not resolved using the informal dispute meeting process, the parties must comply with the traditional dispute meeting specifications.

## 5-1.43E(1)(g) Recommendations

Recommendations resulting from the ADR process are nonbinding.

If the parties resolve the dispute with the aid of the DRA's or DRB's recommendation, the parties must implement the resolution.

## 5-1.43E(1)(h) Completion of Alternative Dispute Resolution

All ADR activities must be completed before Contract acceptance. Accelerated timeframes may be used if the parties and the DRA or DRB agree.

If a dispute becomes an unresolved claim after Contract acceptance, comply with section 9-1.17D(2).

Neither party may call the DRA or DRB members who served on the Contract as a witness in arbitration or other proceedings that may arise from the Contract.

The parties must indemnify and hold harmless the DRA or DRB members from and against all claims, damages, losses, and expenses, including attorney's fees, arising out of and resulting from the findings and recommendations of the DRA or DRB.

#### 5-1.43E(1)(i) Payment

Pay the DRA or each DRB member \$2,000 per day for the DRA's or DRB's participation at each on-site meeting except if the DRA or a DRB member serves on more than 1 Department DRA or DRB, the \$2,000 must be divided evenly among the contracts.

On-site meetings include:

- 1. Initial project meeting
- 2. Progress meetings
- 3. Dispute meetings

The payment includes full compensation for on-site time, travel expenses, transportation, lodging, travel time, and incidentals for each day or portion thereof the DRA or DRB member is at a DRA or DRB meeting.

Before a DRA or DRB member spends any time reviewing the plans or specifications, evaluating positions, preparing recommendations, completing forms, or performs any other off-site DRA- or DRB-related tasks, the parties must agree to pay for the tasks. Pay the DRA or DRB member \$200 per hour for these tasks. This payment includes full compensation for incidentals such as expenses for telephone, fax, and computer services.

The Department reimburses you for 1/2 of the invoiced costs to the DRA or DRB and 1/2 of the costs of any outside technical services. Submit a change order bill and associated invoices with the original supporting documents in the form of a canceled check or bank statement to receive reimbursement. Do not add mark-ups to the change order bill.

The Department does not pay for (1) any DRA- or DRB-related work performed after Contract acceptance or (2) your cost of preparing for or attending ADR resolution meetings.

## 5-1.43E(2) Dispute Resolution Advisor

#### 5-1.43E(2)(a) General

Section 5-1.43E(2) applies to a contract with a total bid from \$3 million to \$10 million.

## 5-1.43E(2)(b) DRA Selection

Within 30 days after Contract approval, the parties must select the DRA using the following procedure:

- Each party nominates 3 DRA member candidates. Each candidate must be (1) on the Department's Dispute Resolution Advisor Candidates List at the Department's Division of Construction website or (2) must:
  - 1.1. Be knowledgeable in the type of construction and contract documents anticipated by the Contract
  - 1.2. Have completed training by the Dispute Resolution Board Foundation
  - 1.3. Have served on at least 3 dispute resolution boards on a Department contract as a member or at least 2 dispute resolution boards on a Department contract as the chairman
  - 1.4. Have no prior direct involvement on the Contract
  - 1.5. Have no financial interest in the Contract or with the parties, subcontractors, suppliers, consultants, or associated legal or business services within 6 months before award and during the Contract except for payments for Department DRA or DRB services or payments for retirement or pensions from either party not tied to, dependent on, or affected by the net worth of the party
- 2. The parties must request a disclosure statement from each nominated DRA candidate and must furnish the them to the other party. Each statement must include:
  - 2.1. Resume of the candidate's experience
  - 2.2. Declaration statement that describes past, present, anticipated, and planned professional or personal relationships with each of the following:
    - 2.2.1. Each party involved in the Contract
    - 2.2.2. Each parties' principals
    - 2.2.3. Each parties' counsel
    - 2.2.4. Associated subcontractors and suppliers
- 3. The parties must select 1 of the 6 candidates to be the DRA. If the parties cannot agree on 1 candidate, each party must select 1 of the 3 nominated by the other and the DRA is decided between the 2 candidates by a coin toss.

#### 5-1.43E(2)(c) DRA Replacement

The services of the DRA may end at any time with a notice of at least 15 days if either of the following occurs:

- 1. DRA resigns.
- 2. Either party replaces the DRA for failing to comply with the required employment or financial disclosure conditions of the DRA as described in the Contract and the Dispute Resolution Advisor Agreement.

A DRA replacement is selected the same way as the original DRA. The selection of a replacement DRA must start upon determination of the need for a replacement and must be completed within 15 days. The Dispute Resolution Advisor Agreement must be amended to reflect the change of the DRA.

#### 5-1.43E(2)(d) DRA Traditional Dispute Meeting

If you choose to pursue a potential claim, refer the dispute to the DRA within 5 business days after receiving the Engineer's response to your Supplemental Potential Claim Record. The dispute meeting must be held no later than 25 days after the DRA receives the referral unless the parties otherwise agree.

At least 10 days before the scheduled dispute meeting, each party must furnish the DRA documentation that supports its position and any additional information requested by the DRA.

If the DRA requests additional information within 5 business days after the dispute meeting, the party receiving the request must furnish this information within 5 business days after receiving the request.

The DRA furnishes a written recommendation within 10 days after the dispute meeting unless the parties agree to allow more time.

Within 5 business days after receiving the DRA's recommendation, either party may request clarification of any part of the recommendation. Only 1 request for clarification from each party is allowed per dispute.

Within 10 days after receiving the DRA's recommendation, each party must furnish a written response to the DRA indicating acceptance or rejection of the recommendation. If a party rejects the recommendation and has new information that supports its position, the party may request reconsideration. The reconsideration request must be made within 10 days after receiving the DRA's recommendation. Only 1 reconsideration request from each party is allowed per dispute.

If the parties accept the DRA's recommendation but cannot agree on the time or payment adjustment within 30 days after accepting the recommendation, either party may request that the DRA recommend an adjustment.

## 5-1.43E(3) Dispute Resolution Board

## 5-1.43E(3)(a) General

Section 5-1.43E(3) applies to a contract with a total bid of over \$10 million.

## 5-1.43E(3)(b) DRB Member Selection

Within 45 days after Contract approval, the parties must select DRB members and establish the DRB using the following procedure:

- 1. Each party nominates a DRB member candidate. Each candidate must be (1) on the Department's Dispute Resolution Candidates List at the Department's Division of Construction website or (2) must:
  - 1.1. Be knowledgeable in the type of construction and contract documents anticipated by the Contract
  - 1.2. Have completed training by the Dispute Resolution Board Foundation
  - 1.3. Have no prior direct involvement on the Contract
  - 1.4. Have no financial interest in the Contract or with the parties, subcontractors, suppliers, consultants, or associated legal or business services within 6 months before award and during the Contract except for payments for Department DRA or DRB services or payments for retirement or pensions from either party not tied to, dependent on, or affected by the net worth of the party
- 2. The parties must request a disclosure statement from each nominated DRB member candidate and must each furnish it to the other party. Each statement must include:
  - 2.1. Resume of the candidate's experience
  - 2.2. Declaration statement that describes past, present, anticipated, and planned professional or personal relationships with each of the following:
    - 2.2.1. Each party involved in the Contract
    - 2.2.2. Each parties' principals
    - 2.2.3. Each parties' counsel
    - 2.2.4. Associated subcontractors and suppliers
- 3. The parties are allowed:
  - 3.1. One-time objection to the other's candidate without stating a reason
  - 3.2. Objection to any of the other's subsequent candidates based on a specific breach of the candidate's responsibilities or qualifications under items 1 and 2 above
- 4. If either party objects to the other's candidate, the party whose candidate was objected to must nominate another DRB candidate within 15 days.
- 5. The 1st candidate from a party that receives no objection becomes that party's DRB member.
- 6. Each party furnishes written notification to the selected DRB member.

- 7. Within 15 days after their notifications, the selected DRB members recommend to the parties the 3rd DRB member candidate and furnish that candidate's disclosure statement.
- 8. Within 15 days after the recommendation, each party must notify the first 2 DRB members whether the party approves or disapproves of the recommended 3rd DRB member candidate.
- 9. If the 2 DRB members cannot agree on the 3rd DRB candidate, they will submit a list of candidates to the parties for the final selection and approval.
- 10. If (1) the 2 DRB members do not recommend a 3rd DRB candidate within 15 days of notification of their selections, (2) the parties do not agree on the 3rd DRB member candidate within 15 days after the recommendation, or (3) the parties do not agree on any of the candidates on the list furnished by the first 2 selected DRB members, each party must select 3 candidates from the current list of arbitrators certified by the Public Works Contract Arbitration Committee established by Pub Cont Code § 10245 et seq. who will be willing to serve as a DRB member. The first 2 selected DRB members must select the 3rd member in a blind draw of these 6 candidates.
- 11. The 3 DRB members then decide which of the 3 will act as the DRB chairman. If the parties do not agree with the selected chairman, the 3rd member will act as the DRB chairman.

## 5-1.43E(3)(c) DRB Member Replacement

The services of a DRB member may end at any time with a notice of at least 15 days if any of the following occurs:

- 1. A member resigns
- 2. The Department replaces its selected member
- 3. You replace your selected member
- 4. The Department's and your selected members replace the 3rd member
- 5. Either party replaces any member for failing to comply with the required employment or financial disclosure conditions of the DRB membership as described in the Contract and in the Dispute Resolution Board Agreement.

Replacing any DRB member must be accomplished by written notification to the DRB and the other party with substantiation for replacing the member.

A replacement DRB member is selected the same way as the original DRB member. The selection of a replacement DRB member must start upon determination of the need for a replacement and must be completed within 15 days. The Dispute Resolution Board Agreement must be amended to reflect the change to the DRB.

## 5-1.43E(3)(d) DRB Traditional Dispute Meeting

If you choose to pursue a potential claim, refer the dispute to the DRB within 21 days after receiving the Engineer's response to your Supplemental Potential Claim Record unless a facilitated dispute resolution is included in the signed original partnering charter, in which case, make the referral within 41 days after receiving the response. The dispute meeting must be held no sooner than 30 days and no later than 60 days after the DRB receives the referral unless the parties otherwise agree.

At least 15 days before the scheduled dispute meeting, each party must provide the DRB documentation that supports its position and any additional information requested by the DRB.

If the DRB requests additional information at the dispute meeting, the party receiving the request must provide this information within 10 days after receiving the request.

The DRB furnish a written recommendation report within 30 days after the dispute meeting unless the parties agree to allow more time.

Within 10 days after receiving the DRB's recommendation report, either party may request clarification of any part of the recommendation. Only 1 request for clarification from each party is allowed per dispute.

Within 30 days after receiving the DRB's recommendation, each party must furnish a written response to the DRB indicating acceptance or rejection of the recommendation. If a party rejects the recommendation, the party must include a list of specific reasons for the rejection. If a party has new information that

supports its position, the party may request a reconsideration. The reconsideration request must be made within 30 days after receiving the DRB's recommendation. Only 1 request for reconsideration from each party is allowed per dispute.

If the parties accept the DRB's recommendation but cannot agree on the time or payment adjustment within 60 days after accepting the recommendation, either party may request that the DRB recommend an adjustment.

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## **6 CONTROL OF MATERIALS**

07-21-17

Replace METS website in the last sentence in the last paragraph of section 6-2.01B with:

07-21-17

Authorized Facility Audit List website

Replace METS website in the last sentence in the last paragraph of section 6-2.01C with:

07-21-17

Authorized Material List website

Replace *METS* website in the last sentence in the last paragraph of section 6-2.01D with:

07-21-17

Authorized Material Source List website

7 LEGAL RELATIONS AND RESPONSIBILITY TO THE PUBLIC 07-15-16

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Replace the paragraphs in section 7-1.02l(2) with:

05-06-16

Under 2 CA Code of Regs § 11105:

- 1. During the performance of this contract, the recipient, contractor, and its subcontractors shall not deny the contract's benefits to any person on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contractor shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination.
- 2. Contractor shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code, § 12900 et seq.), the regulations promulgated thereunder (Cal. Code Regs., tit. 2, § 11000 et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Gov. Code, §§ 11135-11139.5), and the regulations or standards adopted by the awarding state agency to implement such article.

- 3. Contractor or recipient shall permit access by representatives of the Department of Fair Employment and Housing and the awarding state agency upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or Agency shall require to ascertain compliance with this clause.
- 4. Recipient, contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement.
- 5. The contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the contract.

Under 2 CA Code of Regs § 11122:

## STANDARD CALIFORNIA NONDISCRIMINATION CONSTRUCTION CONTRACT SPECIFICATIONS (GOV. CODE SECTION 12990)

These specifications are applicable to all state contractors and subcontractors having a construction contract or subcontract of \$5,000 or more.

- 1. As used in the specifications:
  - a. "Act" means the Fair Employment and Housing Act.
  - b. "Administrator" means Administrator, Office of Compliance Programs, California Department of Fair Employment and Housing, or any person to whom the Administrator delegates authority;
- 2. Whenever the contractor or any subcontractor subcontracts a portion of the work, it shall include in each subcontract of \$5,000 or more the nondiscrimination clause in this contract directly or through incorporation by reference. Any subcontract for work involving a construction trade shall also include the Standard California Construction Contract Specifications, either directly or through incorporation by reference.
- 3. The contractor shall implement the specific nondiscrimination standards provided in paragraphs 6(a) through (e) of these specifications.
- 4. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the contractor has a collective bargaining agreement, to refer members of any group protected by the Act shall excuse the contractor's obligations under these specifications, Government Code section 12990, or the regulations promulgated pursuant thereto.5. In order for the nonworking training hours of apprentices and trainees to be counted, such apprentices and trainees must be employed by the contractor during the training period, and the contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor or the California Department of Industrial Relations.
- 5. In order for the nonworking training hours of apprentices and trainees to be counted, such apprentices and trainees must be employed by the contractor during the training period, and the contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor or the California Department of Industrial Relations.
- 6. The contractor shall take specific actions to implement its nondiscrimination program. The evaluation of the contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The contractor must be able to demonstrate fully its efforts under steps a. through e. below:
  - a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and at all facilities at which the contractor's employees are assigned to work. The contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the contractor's obligations to maintain such a working environment.
  - b. Provide written notification within seven days to the director of the DFEH when the referral process of the union or unions with which the contractor has a collective bargaining agreement has impeded the contractor's efforts to meet its obligations.

- c. Disseminate the contractor's equal employment opportunity policy by providing notice of the policy to unions and training, recruitment and outreach programs and requesting their cooperation in assisting the contractor to meet its obligations; and by posting the company policy on bulletin boards accessible to all employees at each location where construction work is performed.
- d. Ensure all personnel making management and employment decisions regarding hiring, assignment, layoff, termination, conditions of work, training, rates of pay or other employment decisions, including all supervisory personnel, superintendents, general foremen, on-site foremen, etc., are aware of the contractor's equal employment opportunity policy and obligations, and discharge their responsibilities accordingly.
- e. Ensure that seniority practices, job classifications, work assignments, and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the equal employment opportunity policy and the contractor's obligations under these specifications are being carried out.
- 7. Contractors are encouraged to participate in voluntary associations that assist in fulfilling their equal employment opportunity obligations. The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on equal employment opportunity in the industry, ensures that the concrete benefits of the program are reflected in the contractor's workforce participation, and can provide access to documentation that demonstrates the effectiveness of actions taken on behalf of the contractor. The obligation to comply, however, is the contractor's.
- 8. The contractor is required to provide equal employment opportunity for all persons. Consequently, the contractor may be in violation of the Fair Employment and Housing Act (Government Code section 12990 et seq.) if a particular group is employed in a substantially disparate manner.
- 9. The contractor shall not use the nondiscrimination standards to discriminate against any person because race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status.
- 10. The contractor shall not enter into any subcontract with any person or firm decertified from state contracts pursuant to Government Code section 12990.
- 11. The contractor shall carry out such sanctions and penalties for violation of these specifications and the nondiscrimination clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Government Code section 12990 and its implementing regulations by the awarding agency. Any contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Government Code section 12990.
- 12. The contractor shall designate a responsible official to monitor all employment related activity to ensure that the company equal employment opportunity policy is being carried out, to submit reports relating to the provisions hereof as may be required by OCP and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, status, (e.g., mechanic, apprentice trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in any easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

#### Add to the end of the 2nd sentence in the 1st paragraph of section 7-1.02K(1):

04-22-16

, and hauling and delivery of ready-mixed concrete.

## Add between the 4th and 5th paragraphs of section 7-1.02K(3):

04-22-16

Submitted certified payrolls for hauling and delivering ready-mixed concrete must be accompanied by a written time record. The time record must include:

- 1. Truck driver's full name and address
- 2. Name and address of the factory or batching plant
- 3. Time the concrete was loaded at the factory or batching plant
- 4. Time the truck returned to the factory or batching plant
- 5. Truck driver's signature certifying under penalty of perjury that the information contained in this written time record is true and correct

#### Add between the 9th and 10th paragraphs of section 7-1.03:

07-15-16

If a height differential of more than 0.04 foot is created by construction activities at a joint transverse to the direction of traffic on the traveled way or a shoulder subject to public traffic, construct a temporary taper at the joint with a slope complying with the requirements shown in the following table:

**Temporary Tapers** 

Height differential	Slope (horizontal:vertical)	
(foot)	Taper use of 14 days or less	Taper use of more than 14 days
Greater than 0.08	100:1 or flatter	200:1 or flatter
0.04-0.08	70:1 or flatter	70:1 or flatter

For a taper on existing asphalt concrete or concrete pavement, construct the taper with minor HMA under section 39-2.07.

Grind existing surfaces to accommodate a minimum taper thickness of 0.10 foot under either of the following conditions:

- 1. HMA material such as rubberized HMA, polymer-modified bonded wearing course, or open-graded friction course is unsuitable for raking to a maximum 0.02 foot thickness at the edge
- 2. Taper will be in place for more than 14 days

For a taper on a bridge deck or approach slab, construct the taper with polyester concrete under section 60-3.04B.

The completed surface of the taper must be uniform and must not vary more than 0.02 foot from the lower edge of a 12-foot straightedge when placed on its surface parallel and perpendicular to traffic.

If authorized, you may use alternative materials or methods to construct the required taper.

## Replace § 337.15 in the 3rd item in the list in the paragraph of section 7-1.06B with:

05-06-16

§ 337.1

#### Add between the 1st and 2nd paragraphs of section 7-1.11A:

02-12-16

Comply with 46 CFR 381.7(a)–(b).

#### ^^^^^

## 8 PROSECUTION AND PROGRESS

01-20-17

Add between establishment and are in the introductory clause of the 3rd paragraph of section 8-1.10A:

01-20-17

or permanent erosion control establishment

## Replace the table in the 3rd paragraph of section 8-1.10A with:

07-15-16

**Liquidated Damages** 

Total bid		Liquidated damages
From over	То	per day
\$0	\$60,000	\$1,400
\$60,000	\$200,000	\$2,900
\$200,000	\$500,000	\$3,200
\$500,000	\$1,000,000	\$3,500
\$1,000,000	\$2,000,000	\$4,000
\$2,000,000	\$5,000,000	\$4,800
\$5,000,000	\$10,000,000	\$6,800
\$10,000,000	\$20,000,000	\$10,000
\$20,000,000	\$50,000,000	\$13,500
\$50,000,000	\$100,000,000	\$19,200
\$100,000,000	\$250,000,000	\$25,300

## Replace the 4th paragraph of section 8-1.10A with:

01-20-17

If all work except plant establishment or permanent erosion control establishment is complete and the total number of working days have expired, liquidated damages are \$950 per day.

## 9 PAYMENT

07-21-17

^^^^^

## Replace the introductory clause in the 2nd paragraph of section 9-1.02B(3) with:

07-21-17

If imported topsoil, soil amendment, wood mulch, or compost is measured by volume:

## Replace the 1st paragraph of section 9-1.11B with:

01-20-17

The TRO quantity does not include the number of working days to complete plant establishment or permanent erosion control establishment work.

## Replace the 3rd and 4th paragraphs of section 9-1.11D with:

01-20-17

For a contract without plant establishment or permanent erosion control establishment work, the Department pays you the balance due for the TRO item total as specified in section 9-1.17B.

For a contract with plant establishment or permanent erosion control establishment work, the Department pays you the balance due for the TRO item total in the 1st progress payment after all non–plant establishment or non–permanent erosion control establishment work is completed.

Replace may withhold in the 1st paragraph of section 9-1.16E(4) with:

01-15-16

withholds

# DIVISION II GENERAL CONSTRUCTION 10 GENERAL

^^^^^^

04-15-16 **Replace section 10-1.02B with:** 

04-15-16

#### 10-1.02B Traffic Elements

Before starting the operational test of a traffic management system that directly impacts traffic, the system must be ready for operation, and all signs, pavement delineation, and pavement markings must be in place at the system's location.

If maintaining existing traffic management system elements during construction is shown on the Bid Item List, a list of the systems shown within the project limits and their operational status is included in the *Information Handout*. Before starting job site activities, conduct a preconstruction operational status check of the existing system's elements and each element's communication status with the transportation management center to which it communicates. If an existing system element is discovered and has not been identified, the Department adds the element to the list of systems. The pre- and postconstruction operational status check of the discovered elements is change order work.

If maintaining existing traffic management system elements during construction is not shown on the Bid Item List and an existing system element is discovered during the work, notify the Engineer. The Engineer orders a pre- and postconstruction operational status check of the discovered elements. The status check of the discovered elements is change order work.

Conduct the status check with the Engineer and an electrical representative from the traffic operations office of the district in which the work is located. The Department provides you a list of the preconstruction operational status-check results, including:

- 1. Existing traffic management system elements and their locations within the project limits
- 2. Fully functioning elements
- 3. Nonoperational elements

Before Contract acceptance, conduct a postconstruction operational status check of all elements shown on the list with the Engineer and an electrical representative from the traffic operations office of the district in which the work is located.

## Replace 10-3 of section 10 with:

04-15-16

#### 10-2-10-3 RESERVED

## ^^^^^

## 12 TEMPORARY TRAFFIC CONTROL

07-21-17

#### Replace the 4th paragraph of section 12-3.02B with:

01-20-17

Retroreflective cone sleeves must be permanently affixed, double-band, sleeves consisting of 2 white retroreflective bands. The top band must be 6 inches wide and placed a maximum of 4 inches from the top of the cone. The lower band must be 4 inches wide and placed 2 inches below the bottom of the top band. You may use traffic cones with double-band retroreflective cone sleeves during daylight hours.

#### Replace section 12-3.32 with:

04-15-16

#### 12-3.32 PORTABLE CHANGEABLE MESSAGE SIGNS

12-3.32A General

## 12-3.32A(1) Summary

Section 12-3.32A includes specifications for placing portable changeable message signs.

#### 12-3.32A(2) Definitions

Reserved

#### 12-3.32A(3) Submittals

If requested, submit a certificate of compliance for each PCMS.

Submit your cell phone number before starting the first activity that requires a PCMS.

## 12-3.32A(4) Quality Assurance

Reserved

#### 12-3.32B Materials

Each PCMS must have a message board, controller unit, power supply, and a structural support system. The unit must be assembled to form a complete self-contained PCMS that can be delivered to the job site and placed into immediate operation. The sign unit must be capable of operating at an ambient air temperature from -4 to 158 degrees F and must be unaffected by mobile radio transmissions other than those required to control the PCMS.

A PCMS must be permanently mounted on a trailer, truck bed, or truck cab under the manufacturer's instructions. The PCMS must be securely mounted on the support vehicle such that it remains attached during any impact to the vehicle. If it is mounted on a trailer, the trailer must be capable of being leveled and plumbed.

A minimum of 3 feet of retroreflective material must be permanently affixed on all 4 sides of the trailer. The retroreflective material need not be continuous but must be visible on the same plane.

The sign panel must be capable of displaying a 3-line message with at least 7 characters per line. The characters must be at least 18 inches in height where the useable shoulder area is at least 15 feet wide. To prevent encroachment onto the traveled way where the useable shoulder area is less than 15 feet wide, you may use a smaller message panel with at least 12-inch-high characters.

The message displayed on the sign must be visible from a distance of 1,500 feet and legible from a distance of 750 feet at noon on a cloudless day and during the night by persons with 20/20 vision or vision corrected to 20/20.

The characters on a sign panel may be 10 inches in height if:

- 1. PCMS is mounted on a service patrol truck or other incident response vehicle or used for traffic control operations on a highway facility where the posted speed limit is less than 40 mph
- 2. Message is legible from a distance of at least 650 feet at noon on a cloudless day and during the night by persons with 20/20 vision or vision corrected to 20/20

A matrix sign must provide a complete alphanumeric selection.

A PCMS must automatically adjust its brightness under varying light conditions to maintain the legibility of the message. The sign must be equipped with an automatic-dimming mode that automatically compensates for the influence of temporary light sources or abnormal lighting conditions. The sign must have 3 or more manual dimming modes of different intensities.

During the hours of darkness, a matrix sign not using lamps must be either internally or externally illuminated.

The controller must be an all solid-state unit containing the necessary circuitry for the storage of at least 5 preprogrammed messages. The controller must be installed at a location that allows the operator to perform all functions from a single position. The controller must have a keyboard entry system that allows the operator to generate an infinite number of additional messages in addition to the preprogrammed stored messages. The keyboard must be equipped with a security lockout feature to prevent unauthorized use of the controller.

The controller must have:

- Nonvolatile memory that stores keyboard-created messages during periods when the power is not activated
- Variable display rate that allows the operator to match the information display to the speed of approaching traffic
- 3. Screen upon which messages may be reviewed before being displayed on the sign

The flashing-off time must be adjustable from within the control cabinet.

#### 12-3.32C Construction

Place a PCMS as far from the traveled way as practicable where it is legible to approaching traffic without encroaching on the traveled way. Where the vertical roadway curvature restricts the sight distance of approaching traffic, place the sign on or before the crest of the curvature where it is most visible to the approaching traffic. Where the horizontal roadway curvature restricts the sight distance of approaching traffic, place the sign at or before the curve where it is most visible to approaching traffic. Where practicable, place the sign behind guardrail or Type K temporary railing.

Make a taper consisting of 9 traffic cones placed 25 feet apart to delineate the location of a PCMS except where the sign is placed behind guardrail or Type K temporary railing.

When in full operation, the bottom of a sign must be at least 7 feet above the roadway in areas where pedestrians are anticipated and 5 feet above the roadway elsewhere, and the top of the sign must be not more than 14.5 feet above the roadway.

Operate the PCMS under the manufacturer's instructions.

Keep the PCMS clean to provide maximum visibility.

If multiple signs are needed, place each sign on the same side of the road at least 1,000 feet apart on freeways and expressways and at least 500 feet apart on other types of highways.

If more than one PCMS is simultaneously visible to traffic, only 1 sign may display a sequential message at any time. Do not use dynamic message displays, such as animation, rapid flashing, dissolving, exploding, scrolling, horizontal movement, or vertical movement of messages. The message must be centered within each line of the display.

You may use an additional PCMS if more than 2 phases are needed to display a message.

Display only messages shown or ordered.

Repeat the entire message continuously in not more than 2 phases of at least 3 seconds per phase. The sum of the display times for both of the phases must be a maximum of 8 seconds. If more than 2 phases are needed to display a message, use an additional PCMS.

You must be available by cell phone during activities that require a sign. Be prepared to immediately change the displayed message if ordered. You may operate the sign with a 24-hour timer control or remote control if authorized.

After the initial placement, move a sign from location to location as ordered.

When a PCMS is not in use, move it to an area at least 15 feet from the edge of the traveled way or remove it from the job site away from traffic.

## 12-3.32D Payment

Not Used

## Add to section 12-4.02A(2):

07-21-17

**Construction Zone Enhanced Enforcement Program (COZEEP):** Program that provides California Highway Patrol officers to monitor the movement of traffic within the work zone.

## Add between the 1st sentence and 2nd sentences in the 1st paragraph of section 12-4.02A(3)(a):

07-15-16

For a project in District 7, submit the request at least 15 days before the proposed closure date.

## Add to the end of section 12-4.02A(3)(a):

07-21-17

Submit a traffic break request using LCS to show the location and time of the requested traffic break.

## Replace unauthorized closures or in the last paragraph of section 12-4.02A(3)(b) with:

07-21-17

authorized and unauthorized closures and

## Add to section 12-4.02A(3):

07-21-17

## 12-4.02A(3)(d) Traffic Break Schedule

Every Monday by noon, submit a traffic break request for the next week. Support for a traffic break is based on local California Highway Patrol staffing levels and may not be available for the date or time requested.

Traffic break requests are limited to the hours when a shoulder or lane closure is allowed.

Cancel a traffic break request using LCS at least 48 hours before the start time of the traffic break.

The Department notifies you through LCS of authorized and unauthorized traffic breaks.

The Department does not adjust time or payment if (1) a California Highway Patrol officer is unavailable for the requested date or time or (2) your request is not authorized.

## Replace section 12-4.02C(2) with:

01-15-16

## 12-4.02C(2) Lane Closure System 12-4.02C(2)(a) General

The Department provides LCS training. Request the LCS training at least 30 days before submitting the 1st closure request. The Department provides the training within 15 days after your request.

LCS training is web-based or held at a time and location agreed upon by you and the Engineer. For web-based training, the Engineer provides you the website address to access the training.

With 5 business days after completion of the training, the Department provides LCS accounts and user IDs to your assigned, trained representatives.

Each representative must maintain a unique password and current user information in the LCS.

04-15-16

The project is not accessible in LCS after Contract acceptance.

01-20-17

## 12-4.02C(2)(b) Status Updates for Authorized Closures

Update the status of authorized closures using the LCS Mobile web page.

For a stationary closure on a traffic lane, use code:

- 1. 10-97 immediately before you place the 1st cone on the traffic lane
- 2. 10-98 immediately after you remove all of the cones from the traffic lane

For a stationary closure on the shoulder, use code:

- 1. 10-97 immediately before you place the 1st cone after the last advance warning sign
- 2. 10-98 immediately after you remove the last cone before the advance warning signs

For a moving closure, use code:

- 1. 10-97 immediately before the actual start time of the closure
- 2. 10-98 immediately after the actual end time of the closure

For closures not needed on the authorized date, use code 10-22 within 2 hours after the authorized start time.

If you are unable to access the LCS Mobile web page, immediately notify the Engineer of the closure's status.

## Add to the end of section 12-4.02C(7):

07-21-17

### 12-4.02C(7)(d) Traffic Breaks

You may request a traffic break for special operations, such as:

- Installation, removal, or replacement of an overhead power line or other utility cable across the highway
- 2. Falsework adjustment
- 3. Installation or removal of traffic control devices in areas without a standard-width shoulder
- 4. Transportation of large equipment across the highway
- 5. Access to median areas for workers or equipment

If the Department authorizes the traffic break, the Engineer notifies you and arranges the traffic break with the California Highway Patrol through COZEEP. The duration of a traffic break must not exceed 5 minutes or as authorized.

Two California Highway Patrol officers per vehicle are required for traffic breaks occurring any time from 2200 to 0600 hours.

A minimum of 2 California Highway Patrol vehicles will be assigned to conduct a traffic break.

Place a PCMS approximately 2,000 feet upstream of the work area or as agreed upon by the Engineer. The PCMS must comply with section 12-3.32 except the PCMS must not be trailer mounted. Monitor the traffic during the traffic break. If a queue develops, reposition the PCMS truck far enough upstream of the traffic break to provide real-time notification to motorists before they approach the traffic queue.

#### Add to the end of section 12-4.02D:

07-21-17

The Department does not pay for furnishing, placing, relocating, and removing PCMSs used for a traffic break.

The Department deducts the full cost of COZEEP support provided for the traffic break.

The hourly rate for each California Highway Patrol officer providing COZEEP support is \$115. This rate includes full compensation for each hour or portion thereof that the officer provides the support. Markups are not added to any expenses associated with COZEEP support.

The minimum number of hours for an officer is 4 hours, except if a closure is already in place and the Engineer authorizes your request for an on-duty officer to conduct a traffic break, the minimum number of hours for an officer is 1 hour.

For a cancellation less than 48 hours before the scheduled start time of COZEEP support, except for a cancellation due to adverse weather or extenuating circumstances, the Department deducts:

- 1. Minimum of \$50 per California Highway Patrol officer if the officer is notified before the start time
- 2. Maximum of 4 hours of pay per officer if the officer is not notified before the start time

## Replace section 12-4.04 with:

07-21-17

## 12-4.04 TEMPORARY PEDESTRIAN ACCESS ROUTES

12-4.04A General

12-4.04A(1) Summary

Section 12-4.04 includes specifications for providing, maintaining, and removing temporary pedestrian access routes.

A temporary pedestrian access route includes temporary traffic control devices as shown except for temporary railing (Type K) and temporary crash cushions.

# 12-4.04A(2) Definitions

Reserved

# 12-4.04A(3) Submittals

If work activities require the closure of a pedestrian route and a temporary pedestrian access route is not shown, submit a work plan for a temporary pedestrian access route. The work plan must:

- 1. Describe the activities, processes, equipment, and materials that will be used to provide the temporary access route
- 2. Show the locations of the routes and the placement of traffic control devices for each stage of work
- 3. Include a time-scaled logic diagram displaying the sequence and duration of the planned activities for each stage of work
- 4. Be sealed and signed by an engineer who is registered as a civil engineer in the State

# 12-4.04A(4) Quality Assurance

Reserved

#### 12-4.04B Materials

The walkway surface must be slip resistant and surfaced with minor HMA or commercial-quality, bituminous material, commercial-quality concrete, or wood.

A handrail with a circular cross section must have an outer diameter from 1-1/4 to 2 inches. A handrail with a noncircular cross section must have a perimeter from 4 to 6-1/4 inches and a maximum cross-section dimension of 2-1/4 inches.

Fasteners must be rounded to prevent injury to a pedestrian's fingers, hands, and arms and to eliminate sharp edges that could catch on clothing.

A detectable warning surface must be on the Authorized Material List for detectable warning surfaces and match yellow color no. 33538 of FED-STD-595.

Temporary traffic control devices used to channelize pedestrians must:

- 1. Be free of sharp or rough edges
- 2. Have a continuous detectable edging at least 6 inches high and at no more than 2 inches above the walkway surface
- 3. Be at least 32 inches in height
- 4. Have smooth connection points between devices to allow for a handrail
- 5. Have a top and bottom surface in the same vertical plane

# 12-4.04C Construction

Notify the Engineer 5 business days before closing an existing pedestrian route.

If work activities require the closure of a pedestrian route and a temporary pedestrian access route is not shown, provide a temporary pedestrian access route near the traveled way. You may route pedestrians using the existing sidewalk or by constructing a temporary access route.

If a bid item for a temporary pedestrian access route is not shown on the Bid Item List, then providing a temporary pedestrian access route is change order work.

Construct a temporary pedestrian access route such that:

- 1. Walkway surface is firm and stable and free of irregularities
- 2. Cross slope of the pedestrian route is at most 50:1 (horizontal:vertical)
- 3. Longitudinal slope of the pedestrian route is at most 20:1 (horizontal:vertical)
- 4. Walkway, landings, blended transitions, and curb ramps are at least 60 inches wide except where not feasible, the width must be at least 48 inches wide with a 60-by-60-inch passing space at least every 200 feet

- 5. Lateral joints or gaps between surfaces are less than 1/2 inch wide
- 6. Discontinuities in surface heights are less than 1/2 inch and beveled if greater than 1/4 inch with a slope no greater than 2:1 (horizontal:vertical)
- 7. Ramps have:
  - 7.1. Longitudinal slope of at most 12:1 (horizontal:vertical)
  - 7.2. Rise less than 30 inches
  - 7.3. Protective edging at least 2 inches high on each side and handrails at a height from 34 to 38 inches above the walkway surface if the rise is greater than 6 inches
- 8. Curb ramps have:
  - 8.1. Longitudinal slope of at most 12:1 (horizontal:vertical)
  - 8.2. Protective edging at least 2 inches high on each side if the curb ramp does not have flares and the rise is greater than 6 inches
- 9. Pedestrians are channelized when routed off existing pedestrian routes

Construct handrails such that they are continuous, smooth and free of sharp or rough edges.

Provide an overhead covering to protect pedestrians from falling objects and drippings from overhead structures.

If the temporary access route is next to traffic or work activities, place a temporary barrier to separate the route from vehicles and equipment.

Install a detectable warning surface at locations where a curb ramp, landing, or blended transition connects to a street. Install the warning surface such that it extends a minimum of 36 inches in the direction of travel and for the full width of the landing, blended transition, or curb ramp, excluding the flares.

Maintain the temporary pedestrian access route clear of obstructions. Do not allow traffic control devices, equipment, or construction materials to protrude into the walkway. Maintain a continuous unobstructed path connecting all pedestrian routes, parking lots, and bus stops located within the project limits.

Remove the temporary pedestrian access route when the Engineer determines it is no longer needed.

Provide a temporary pedestrian access route through falsework under section 16-2.02.

#### 12-4.04D Payment

Not Used

#### Replace the last sentence in the 1st paragraph of section 12-6.03A with:

01-20-17

On multilane roadways, freeways, expressways, and 2-lane roadways with shoulders 4 feet or more in width, the temporary pavement delineation must also include edge line delineation for traveled ways open to traffic.

#### Replace the 1st sentence in the 3rd paragraph of section 12-6.03A with:

07-15-16

When the Engineer determines the temporary pavement delineation is no longer required for the direction of traffic, remove the temporary pavement delineation, including any underlying adhesive for temporary pavement markers, from the final layer of surfacing and from the pavement to remain in place.

#### Replace the introductory clause in the 1st paragraph of section 12-6.03C with:

01-20-17

On multilane roadways, freeways, expressways, and 2-lane roadways with shoulders 4 feet or more in width open to traffic where edge lines are obliterated and temporary pavement delineation to replace those edge lines is not shown, provide temporary pavement delineation for:

^^^^^

#### 13 WATER POLLUTION CONTROL

01-20-17

# Replace construction phase and its definition in section 13-1.01B with:

01-20-17

**construction phase:** Phase that includes (1) the highway construction phase for building roads and structures, (2) the plant establishment, permanent erosion control establishment, and maintenance phase for placing vegetation for final stabilization, and (3) the suspension phase for suspension of work activities or a winter shutdown. The construction phase starts at the start of job site activities and ends at Contract acceptance.

# Replace *General Industrial Permit* in the 2nd item in the list in the paragraph of section 13-1.01C(3) with:

05-06-16

**Industrial General Permit** 

#### Add to the list in the paragraph of section 13-1.01C(3):

01-20-17

3. Copy of the plans for an offsite drying facility if you will be drying liquid residue from concrete grooving or grinding activities before disposal. The facility may include temporary lined ponds or other measures to prevent the liquid residue from infiltrating the soil. The plans must be sealed and signed by an engineer who is registered as a civil engineer in the State.

#### Replace section 13-1.01C(5) with:

01-20-17

#### 13-1.01C(5) Disposal Documentation

At least 15 days before starting concrete grooving or grinding activities, submit a copy of one of the following documents from the disposal facility that will receive the grooving or grinding residue:

- 1. RWQCB permit allowing the facility to manage and dispose of the residue
- 2. Written approval from the RWQCB authorizing the facility to receive the residue
- 3. Local, state, or federal permits if the facility is located outside the State

Within 5 business days of completing concrete grooving or grinding activities, submit the disposal receipts and weight tickets as informational submittals.

# Replace the 2nd paragraph of section 13-1.01D(2) with:

05-06-16

Discharges from manufacturing facilities, such as batch plants and crushing plants, must comply with the discharge requirements in the NPDES General Permit for Storm Water Discharges Associated with Industrial Activities; Order No. 2014-0057-DWQ, CAS000001 (Industrial General Permit), issued by the SWRCB. For the Industrial General Permit, go to the SWRCB website.

# Replace General Industrial Permit in the 3rd paragraph of section 13-1.01D(2) with:

05-06-16

Industrial General Permit

# Add to the list in the 2nd paragraph of section 13-1.03B:

01-20-17

7. Offsite drying facilities for drying wastes before disposal

# Add between *Unit* and *the* in the 1st sentence in the 3rd paragraph of section 13-3.01A:

01-20-17

or on federal or tribal lands

# Replace the paragraph in section 13-3.01C(1) with:

01-20-17

Submit the documents shown with an *X* in the following table:

**Submittal Requirements** 

Document	Risk	Risk level	Risk level	EPA	Lake Tahoe
	level 1	2	3		Hydrologic Unit
SWPPP	Х	Х	Х	Χ	X
Construction Site Monitoring Program	Х	X	X	Χ	Xa
Job site monitoring reports	X	X	X	Χ	X
Sampling and analysis plan	X	X	X	Χ	X
Sampling and analysis plan for	Х	Х	Х	Χ	X
nonvisible pollutants					
Sampling and analysis plan for pH and		X	X		X
turbidity					
NAL reports		X	X		X
Receiving water monitoring trigger			X	-	
reports					
Rain Event Action Plan		X	X		X
Annual Certification	Х	X	X	Χ	X
Stormwater Annual Report	Х	Х	Х	Χ	X

<sup>&</sup>lt;sup>a</sup>For a project in the Lake Tahoe Hydrologic Unit, this program is referred to as the Construction Site Monitoring and Reporting Program

# Add between *Unit* and *discharges* in the 1st paragraph of section 13-3.01D(2):

01-20-17

or on federal or tribal lands

#### Replace the 2nd paragraph of section 13-3.01D(2) with:

09-02-16

For a project in the Lake Tahoe Hydrologic Unit, discharges of stormwater from the project must comply with the NPDES General Permit for General Waste Discharge Requirements and National Pollutant Discharge Elimination System General Permit for Storm Water Discharges Associated with Construction Activity in the Lake Tahoe Hydrologic Unit, Counties of Alpine, El Dorado, and Placer, (Order No. R6T-2016-0010 and NPDES No. CAG616002). You may view the General Permit for the Lake Tahoe Hydrologic Unit at the Construction Storm Water Program page of the SWRCB website.

#### Add to the end of section 13-3.01D(2):

01-20-17

A project on federal or tribal lands must comply with the permit issued by the US EPA for National Pollutant Discharge Elimination System General Permit for Discharges from Construction Activities. This permit governs stormwater and nonstormwater discharges from work activities at the job site. This permit may be viewed at the US EPA website.

#### Add to the beginning of section 13-3.03:

1-20-17

Post a sign or other notice at a safe, publicly accessible location close to the job site. The notice must include the NPDES tracking number and a contact name and phone number for obtaining additional project information. Locate the sign or notice such that it is visible from the part of the highway nearest the work activities.

# Replace the 2nd paragraph of section 13-4.03D(3) with:

01-20-17

Collect concrete waste simultaneously with the waste-producing activity. Concrete waste includes grout, dust, debris, residue, and slurry from demolition, saw cutting, coring, grooving, or grinding activities.

#### Add to the end of section 13-4.03D(3):

01-20-17

Dispose of liquid residue from concrete grooving or grinding activities at an appropriately permitted disposal facility.

If authorized, you may transport liquid grooving or grinding residue to a contractor-support facility for drying.

#### Replace section 13-5.02C with:

01-20-17

#### Section 13-5.02C Temporary Mulch

Temporary mulch must comply with the specifications for wood mulch in section 20.

# Replace the 1st paragraph of section 13-5.03C with:

01-20-17

Spread temporary mulch as specified for spreading wood mulch in section 20.

#### Replace the 2nd paragraph of section 13-8.01D(2) with:

09-02-16

For a project within the Lake Tahoe Hydrologic Unit, the design, installation, operation, and monitoring of the temporary ATS and monitoring of the treated effluent must comply with Attachment E of the NPDES General Permit for General Waste Discharge Requirements and National Pollutant Discharge Elimination System General Permit for Storm Water Discharges Associated with Construction Activity in the Lake Tahoe Hydrologic Unit, Counties of Alpine, El Dorado, and Placer, (Order No. R6T-2016-0010 and NPDES No. CAG616002). You may view the General Permit for the Lake Tahoe Hydrologic Unit at the Construction Storm Water Program page of the SWRCB website.

# Replace high-visibility fence at each occurrence in section 13-10.02 with:

01-20-17

temporary high-visibility fence

# ^^^^^

# 14 ENVIRONMENTAL STEWARDSHIP

07-21-17 Add to section 14-6.02:

07-21-17

biological resource incident: Take of a regulated species or violation of a biological resource PLAC.

**invasive species:** Species whose presence in the environment causes economic or environmental harm or harm to human health.

07-21-17

Delete regulated fish and its definition in section 14-6.02.

# Replace February 15 to September 1 in the 2nd paragraph of section 14-6.03B with:

07-21-17

February 1 to September 30

### Replace the 1st paragraph of section 14-6.03C with:

07-21-17

Protect all life stages of regulated fish in streams and conduct work activities to allow free passage of migratory fish.

#### Replace *listed* in the 2nd paragraph of section 14-6.03C with:

SP-80

07-21-17

regulated

Special Provisions Contract No. CD2019-01

# Replace item 4 in the list in the 2nd paragraph of section 14-6.03D(1) with:

07-21-17

4. Immediately notify the Engineer of any take of regulated species or violation of a biological resource PLAC

# Add to the list in the 3rd paragraph of section 14-6.03D(1):

07-21-17

10. Details of any take of regulated species or violation of a biological resource PLAC

# Add between the 1st and 2nd sentences in the 4th paragraph of section 14-6.03D(1) with:

07-21-17

If required under PLACs, the Department sends the biologist's statement of qualifications to regulatory agencies for review and approval before hiring. Allow 30 days for the regulatory agencies' review.

07-21-17

Delete the 1st sentence of the 5th paragraph of section 14-6.03D(1).

# Add between is and authorized in the last paragraph of section 14-6.03D(1):

07-21-17

approved by regulatory agencies

#### Add between the 2nd and 3rd sentences in the 3rd paragraph of section 14-10.01:

01-20-17

Do not perform solid waste management in the median area unless there is construction activity present. Perform solid waste management monthly during the plant establishment period.

#### Replace the last paragraph of section 14-11.03 with:

01-20-17

Dispose of hazardous waste within 90 days of the start of generation. Use a hazardous waste manifest and a transporter registered with the DTSC to transport the waste to an appropriately permitted hazardous waste management facility. The transporter must have completed the California Highway Patrol's Basic Inspection of Terminals Program with a satisfactory rating.

# Replace the 2nd paragraph of section 14-11.13G(3) with:

01-20-17

You may dispose of nonhazardous debris at a facility equipped to recycle the debris if you make all arrangements with the recycling facility's operator and perform any facility-required testing of the debris.

#### Replace section 14-11.16 with:

07-21-17

# 14-11.16 ASBESTOS-CONTAINING CONSTRUCTION MATERIALS IN BRIDGES

Reserved

^^^^^

# **16 TEMPORARY FACILITIES**

01-20-17

# Replace the heading of section 16-2.03 with:

01-20-17

#### TEMPORARY HIGH-VISIBILITY FENCES

# Replace section 16-2.03A(1) with:

01-20-17

#### 16-2.03A(1) Summary

Section 16-2.03 includes specifications for constructing temporary high-visibility fences.

Constructing a temporary high-visibility fence includes the installation of any signs specified in the special provisions.

01-20-17

Delete the 2nd paragraph of section 16-2.04A(1)(a).

^^^^^^^

# DIVISION III EARTHWORK AND LANDSCAPE 19 EARTHWORK

07-21-17

Add between the 2nd and 3rd paragraphs of section 19-1.01A:

07-21-17

If paleontological resources mitigation is specified in the special provisions under section 14-7.04, performing earthwork activities includes:

- 1. Paleontological resources training for your staff and subcontractors
- 2. Submittals of your schedule of subsurface-disturbing activities and updated schedules
- 3. Coordination and work with the Department's mitigation team

Replace section 19-4 with:

01-20-17

#### 19-4 ROCK EXCAVATION

19-4.01 GENERAL 19-4.01A General 19-4.01A(1) Summary

Section 19-4 include general specifications for performing rock excavation.

19-4.01A(2) Definitions

flyrock: Rock that becomes airborne due to blasting.

near-field blasting: Blasting within 30 feet of a building, highway facility, or utilities.

#### 19-4.01A(3) Submittals

Reserved

# 19-4.01A(4) Quality Assurance

Reserved

#### 19-4.01B Materials

Not Used

#### 19-4.01C Construction

Excavate rock by blasting, controlled blasting, using chemical expanders or hydraulic splitters, or another authorized method.

#### 19-4.01D Payment

The payment quantity for any type of rock excavation is measured as specified for roadway excavation.

#### 19-4.02 PRESPLITTING

#### 19-4.02A General

#### 19-4.02A(1) Summary

Section 19-4.02 includes specifications for presplitting rock to form rock excavation slopes in conjunction with blasting or controlled blasting.

#### 19-4.02A(2) Definitions

**presplitting:** Establishing a free surface or shear plane in rock along the specified excavation slope by the controlled use of explosives and blasting accessories in appropriately aligned and spaced drilled holes.

# 19-4.02A(3) Submittals

Submit a copy of the explosive manufacturer's instructions as an informational submittal before using any column-type explosive for presplitting.

# 19-4.02A(4) Quality Assurance

Reserved

#### 19-4.02B Materials

The maximum diameter of explosive used in a presplit hole must not be greater than 50 percent of the diameter of the presplit hole.

Standard cartridge explosives prepared and packaged by explosive manufacturing firms must be used in the presplit holes. The explosives must consist of one of the following:

- 1. Fractional portions of standard cartridges to be affixed to a detonating cord in the field
- 2. Solid column explosives joined and affixed to a detonating cord in the field

Stemming materials must be dry, free-running material complying with the gradation requirements shown in the following table when tested under California Test 202:

Sieve size	Percentage passing
3/8"	100
No. 8	10

#### 19-4.02C Construction

Presplit the rock to form rock excavation slopes.

Before drilling the presplitting holes, remove overburden soil and weathered rock along the top of the excavation for a distance of at least 50 feet beyond the production hole drilling limits or to the end of the excavation. Expose fresh rock to an elevation equal to the bottom of the adjacent lift of the presplitting holes being drilled.

Drill slope holes for presplitting along the line of the planned slope. The drilled holes must be from 2-1/2 to 3 inches in diameter. Use the proper drilling equipment and techniques to ensure that no hole deviates (1) from the planned slope by more than 12 inches or (2) from parallel to an adjacent hole by more than 67 percent of the planned horizontal spacing between holes.

The Department does not pay for drilling more than 3 feet below finished grade unless additional drilling is ordered. The additional drilling is change order work.

The length of presplit holes for an individual lift must not exceed 20 feet, unless you can demonstrate to the Engineer that you can stay within the specified tolerances and produce a uniform slope. The length of holes may then be increased to a maximum of 60 feet if authorized.

Space the presplit holes a maximum of 3 feet on centers. Adjust the spacing to produce a uniform shear face between holes.

The Engineer may order you to drill auxiliary holes along the presplit line. These holes must not be loaded or stemmed. Except for spacing, the auxiliary drill holes must comply with the specifications for presplit holes. This work is change order work.

Place the adjacent line of production holes inside the presplit lines such that you avoid damage to the presplit face.

If necessary to reduce shatter and overbreak of the presplit surface, drill the 1st line of production holes parallel to the slope line at the top of the cut and at each bench level thereafter. Immediately stop blasting activities if the presplit surface is damaged.

Do not drill production holes within 8 feet of a presplit plane unless authorized. The bottom of the production holes must not be lower than the bottom of the presplit holes.

You may use a construction working bench offset by 24 inches from the bottom of each lift to drill the next lower presplitting pattern.

Adjust the drilling to compensate for any drift of previous levels and for the offset at the start of new levels to maintain the specified slope plane.

If the drilling and blasting methods do not produce a uniform slope and shear face without overbreak and within the specified tolerances, drill, blast, and excavate in short sections, up to 100 feet, until you achieve the desired results.

If you use a fractional portion of a standard explosive cartridge, firmly affix the cartridge to a length of detonating cord equal to the depth of the drill hole. Ensure the cartridge does not slip down the detonating cord or cock across the hole and bridge the flow of stemming material. Space the cartridges along the length of the detonating cord at a maximum of 30 inches on center. Adjust the spacing as needed to achieve the desired results.

If you use a solid column-type explosive, assemble and affix the column to the detonating cord under the explosive manufacturer's instructions.

The bottom charge of a presplit hole may be larger than the line charges but must not cause overbreak. Place the top charge of the presplitting hole far enough below the collar to avoid overbreaking the surface.

Before placing the charge, clear the hole of any obstructions for the hole's entire depth. Ensure that placing of the charge does not cause caving of material from the walls of the holes.

The Engineer may order the use of stemming materials as necessary to achieve a satisfactory presplit face. Stemmed presplit holes must be completely filled to the collar.

Simultaneously detonate charges in each presplitting pattern.

The tolerances specified in section 19-2.03G do not apply to presplit surfaces of excavation slopes where presplitting is required. The presplit face must not deviate more than 1 foot from the plane passing through adjacent drill holes, except where the character of the rock is such that irregularities are unavoidable. The average plane of the completed slopes must not deviate more than 1 foot from the plan slopes. These tolerances are measured perpendicular to the plane of the slope. No portion of the slope may encroach on the roadbed.

If equally satisfactory presplit slopes are obtained, you may either presplit the slope face before drilling for production blasting or presplit the slope face and production blast at the same time, provided that the presplitting drill holes are fired with zero delay. Detonation of the production holes must be delayed from the detonation of the presplit line and must start at the row of holes farthest from the new slope line and progressing in steps to the row of holes nearest the presplit line. Detonation of the production holes must result in a minimum 50 ms delay between detonation of the presplit holes and detonation of the row of production holes nearest the presplit line. The presplitting holes must extend either to the end of the excavation or for a distance of not less than 50 feet beyond the limits of the production holes to be detonated.

#### **19-4.02D Payment**

The payment quantity for drill hole (presplitting) is the theoretical slope length determined from the elevation taken before detonating each lift and a plane 3 feet below finished grade. For holes that comply with the specified slope and tolerances, except alignment within the plane of the slope, the payment quantity is 75 percent of the theoretical slope length.

The Department does not pay for holes that do not show a hole trace for approximately 50 percent of the drilled length.

#### **19-4.03 BLASTING**

#### 19-4.03A General

#### 19-4.03A(1) Summary

Section 19-4.03 includes specifications for excavating rock by blasting.

Blasting activities must comply with federal, State, and local blasting regulations, including 8 CA Code of Regs Ch 4, Subchapter 7, Group 18, "Explosive Materials."

#### 19-4.03A(2) Definitions

Reserved

# 19-4.03A(3) Submittals

Submit 3 copies of your blasting safety plan. The plan must include:

- 1. References to applicable federal, State, and local codes and regulations
- 2. Copies of permits required for blasting activities
- Business name, contractor license number, address, and telephone number of the blasting subcontractor
- 4. Proof of current liability insurance and bonding
- 5. Name, address, telephone number, copies of applicable licenses, and resume of:
  - 5.1. Blaster-in-charge
  - 5.2. Personnel responsible for blast design, loading, and conducting blasting operations
  - 5.3. Safety officer for the blasting subcontractor
- 6. Name, address, and telephone number of the local fire station and law enforcement agencies
- 7. Detailed description of:
  - 7.1. Location where explosives will be stored

- 7.2. Security measures to protect and limit access to the explosives
- 7.3. Means for transporting explosives
- 7.4. List of personnel allowed to handle the explosives
- 8. Exclusion zone and limited-entry zone for nonblast-related operations and personnel surrounding loading and blasting operations
- 9. Details of warning signals used to alert employees on the job site of an impending blast and to indicate the blast is completed and the area is safe to enter
- 10. Procedures for conducting blasting operations
- 11. Measures to protect blasting operations and personnel from lightning
- 12. Emergency evacuation procedures for areas where explosives may be present
- 13. Methods for recognizing, handling, and resolving misfires, including:
  - 13.1. Who will be notified
  - 13.2. How the blast zone will be secured until the misfire is resolved
  - 13.3. Identification of equipment that may be needed to resolve misfires
- 14. Details of signs to be used around blasting zones, including:
  - 14.1. Timing of when signs will be posted for a specific blast
  - 14.2. Name and telephone number of the person responsible for placing the signs
  - 14.3. Roadway signs for compliance with the California MUTCD, Chapter 6H, Typical Application 2
- 15. Traffic control details for:
  - 15.1. Loading and blasting operations
  - 15.2. Misfire event or other blast-related phenomenon that causes a transportation corridor to remain closed to the public
- 16. Description of the possible generation of noxious gas and details of the safeguards to be used to protect employees, work zones adjacent to the shot, private property, and the public
- 17. Procedure to report and resolve complaints for blast-related accidents
- 18. Copies of each SDS and manufacturer data sheets of explosives, caps, primers, initiators, and other compounds

If the plan requires revisions, the Department provides comments. Submit a revised plan after receiving the comments. Submit 3 copies of the revised blasting safety plan after authorization.

#### 19-4.03A(4) Quality Assurance

Reserved

#### 19-4.03B Materials

Not Used

#### 19-4.03C Construction

You may use hydraulic splitters, pneumatic hammers, blasting, or another authorized roadway excavation method to fracture rock and construct stable final rock cut faces.

#### 19-4.03D Payment

Not Used

#### 19-4.04 CONTROLLED BLASTING

# 19-4.04A General

# 19-4.04A(1) Summary

Section 19-4.04 includes specifications for excavating rock by controlled blasting.

Blasting activities must comply with federal, State, and local blasting regulations, including 8 CA Code of Regs Ch 4, Subchapter 7, Group 18, "Explosives and Pyrotechnics," and 22 CA Code of Regs, Division 4.5, Ch 33, "Best Management Practices for Perchlorate Materials."

#### 19-4.04A(2) Definitions

**controlled blasting:** Using explosives and blasting accessories in predetermined spaced and aligned drilled holes.

# 19-4.04A(3) Submittals 19-4.04A(3)(a) General

Reserved

# 19-4.04A(3)(b) Blasting Safety Plan

Submit 3 copies of your blasting safety plan. The plan must include:

- 1. References to applicable federal, State, and local codes and regulations
- 2. Copies of permits required for blasting activities
- 3. Business name, contractor license number, address, and telephone number of the blasting subcontractor
- 4. Proof of current liability insurance and bonding
- 5. Name, address, telephone number, copies of applicable licenses, and resume of:
  - 5.1. Blaster-in-charge.
  - 5.2. Personnel responsible for blast design, loading, and conducting blasting operations.
  - 5.3. Safety officer for the blasting subcontractor.
  - 5.4 Blast monitoring consultant.
  - 5.5 Blasting consultant if the project involves near-field blasting activities. Include a list of controlled blasting projects worked on by the blasting consultant.
- 6. Name, address, and telephone number of the local fire station and law enforcement agencies
- 7. Detailed description of:
  - 7.1. Location where explosives will be stored
  - 7.2. Security measures to protect and limit access to the explosives
  - 7.3. Means for transporting explosives
  - 7.4. List of personnel allowed to handle the explosives
- 8. Exclusion zone and limited-entry zone for nonblast-related operations and personnel surrounding loading and blasting operations
- 9. Details of warning signals used to alert employees on the job site of an impending blast and to indicate the blast is completed and the area is safe to enter
- 10. Procedures for conducting blasting operations
- 11. Measures to protect blasting operations and personnel from lightning
- 12. Emergency evacuation procedures for areas where explosives may be present
- 13. Methods for recognizing, handling, and resolving misfires, including:
  - 13.1. Who will be notified
  - 13.2. How the blast zone will be secured until the misfire is resolved
  - 13.3. Identification of equipment that may be needed to resolve misfires
- 14. Details of signs to be used around blasting zones, including:
  - 14.1. Timing of when signs will be posted for a specific blast
  - 14.2. Name and telephone number of the person responsible for placing the signs
  - 14.3. Roadway signs for compliance with the California MUTCD, Chapter 6H, Typical Application 2
- 15. Traffic control details for:
  - 15.1. Loading and blasting operations
  - 15.2. Misfire event or other blast-related phenomenon that causes a transportation corridor to remain closed to the public
- 16. Description of the possible generation of noxious gas and details of the safeguards to be used to protect employees, work zones adjacent to the shot, private property, and the public
- 17. Procedure to report and resolve complaints for blast-related accidents
- 18. Copies of each SDS and manufacturer data sheets of explosives, caps, primers, initiators, and other compounds

If the blasting safety plan requires revisions, the Department provides comments. Submit a revised plan after receiving comments. Submit 3 copies of the revised plan after authorization.

#### 19-4.04A(3)(c) Controlled Blasting Plan

Submit 3 copies of your controlled blasting plan for each blast. The plan must include details on how each blast will be controlled and the following:

- 1. Blast identification by numerical and chronological sequence
- 2. Location, referenced to stationing, offset distance, date, and time of the blast
- 3. Drawings showing drill hole pattern, spacing, burden, and initiation sequence
- 4. Typical cross-sections through the zone to be blasted
- 5. Groundwater level, if present, within the prism to be blasted
- 6. Initiation-sequence diagram showing the actual firing time of each delay
- 7. Type of material to be blasted
- 8. Number of drill holes
- 9. Diameter, depth, and spacing of holes
- 10. Height or length of stemming
- 11. Types and characteristics of explosives, including the explosive's density, relative strength, and date of manufacture
- 12. Type of caps and delay periods and their date of manufacture
- 13. Total amount of explosives to be used
- 14. Total amount of explosives detonating within any 8 ms period
- 15. Powder factor (pounds of explosive per cubic yard of material blasted)
- 16. Method of firing
- 17. Direction and distance to nearest building or structure
- 18. Type of instrumentation and method for monitoring vibration and noise from the blasting activities
- 19. Location and placement of the instrumentation
- 20. Measures to limit noise and flyrock
- 21. Measures to limit overbreak
- 22. Name of the blasting subcontractor
- 23. Name and signature of the blaster-in-charge
- 24. Drawings showing the spacing and proximity of shot guards relative to the blast location

If you revise the controlled blasting plan to adjust for site conditions or the Department provides comments, submit a revised plan before starting controlled blasting. Submit 3 copies of the revised plan after authorization.

#### 19-4.04A(3)(d) Preblast and Postblast Surveys

Submit a preblast survey of all structures, including buildings, within 330 feet of controlled blasting locations at least 15 days before starting the blasting activities. Submit the preblast survey with the controlled blasting plan.

The preblast survey must include:

- 1. Written report, sketches, and photographs or video with the date and time displayed on the image
- 2. Name of the person who performed the survey
- 3. Names of the property owner and occupants
- 4. Property address
- 5. Date and time of the inspection
- 6. Description of the structure or other improvements, including culverts and bridges
- 7. Detailed description of the existing condition of the walls, ceiling, and floor of each interior room, including any attic or basement
- 8. Detailed description of the existing condition of the foundations, exterior walls, roofs, doors, windows, and porches
- 9. Detailed description of the existing condition of garages, outbuildings, sidewalks, driveways, and swimming pools
- 10. Detailed listing of highway sign posts, light fixtures, and overhead power lines
- 11. Survey of wells or other private water supplies, including the total depth and existing water surface levels
- 12. Identification of sites conducting procedures, processes, or operations that may be sensitive to blasting activities
- 13. Scaled map or aerial photo showing the location of the structures and properties surveyed and the location of all proposed blasting sites

If blasting activities are suspended for 45 days or more, perform another preblast survey and submit the survey at least 15 days before resuming blasting activities.

Submit a postblast survey of the same buildings and other structures as in the preblast survey within 15 days after completing blasting activities. The postblast survey must include all items included in the preblast survey.

# 19-4.04A(3)(e) Vibration and Noise Monitoring Report

Submit a vibration and noise monitoring report for each controlled blast shot. The report must include:

- 1. Identification of the blasting seismograph used to record each blast shot
- 2. Name of the blast monitoring consultant
- 3. Distance and direction of the recording stations from the blast area
- 4. Type of ground at the recording station and type of material on which the instrumentation sits
- 5. Maximum particle velocity in each component and the resultant peak particle velocity of each shot
- 6. Copy of the seismograph readings with the date and signature of the blast monitoring consultant
- 7. Noise levels recorded in dB (C-network or Linear network) units

#### 19-4.04A(3)(f) Video Recording

Submit a video recording of each controlled blast on a DVD or other Engineer-authorized data-storage device. Identify each video or section of the video with an index to identify each blast.

# 19-4.04A(3)(g) Blasting Complaint Report

Submit a report for each blasting complaint, including:

- 1. Name and address of the complainant
- 2. Date, time, and nature of the complaint
- 3. Dated photo or videotape of the physical damage
- 4. Name of the person who received the complaint
- 5. Record of the complaint investigation
- 6. Resolution of the complaint

# 19-4.04A(3)(h) Postblast Report

Submit a postblast report within 48 hours of a controlled blast. The report must include all data required in the controlled blasting plan for that shot and the following information:

- 1. Description of site conditions, loading, and time of blast
- 2. Description of weather conditions at time of blast including wind direction and cloud cover
- 3. Drillers boring record
- 4. Copy of vibration and noise monitoring report
- 5. Copy of documented complaints arising from the blast

# 19-4.04A(4) Quality Assurance

# 19-4.04A(4)(a) General

Reserved

#### 19-4.04A(4)(b) Blaster-In-Charge for Controlled Blasting

Assign a blaster-in-charge to supervise all controlled blasting activities. The blaster-in-charge must have at least 10 years of experience in performing or supervising similar blasting activities and must be a licensed blaster.

#### 19-4.04A(4)(c) Blast Monitoring Consultant for Controlled Blasting

Assign a blast monitoring consultant to monitor blasting-generated vibrations and noise near buildings and other structures that may be subject to damage. The monitoring consultant must be responsible for collecting and interpreting the vibration and noise data. The blast monitoring consultant must:

1. Not be employed by the blasting contractor or other subcontractor on the project

- 2. Have a minimum 2-year associate's degree in science or engineering
- 3. Have at least 5 years of documented experience in collecting and interpreting ground vibrations and noise data

#### 19-4.04A(4)(d) Blasting Consultant for Controlled Blasting

Assign a blasting consultant to oversee near-field blasting activities. The blasting consultant must:

- 1. Be an engineering geologist or civil engineer who is licensed in the State
- 2. Have at least 10 years of experience providing specialized blasting services in near-field blasting
- 3. Not be employed by the blasting contractor, explosive manufacturer, or explosive distributor

#### 19-4.04B Materials

Each seismograph used to record controlled blasting activities must be capable of:

- 1. Recording particle velocities for 3 mutually perpendicular components of vibration and an instantaneous resultant peak vector sum in the range generally found for controlled blasting
- 2. Continuously measuring, recording, and reporting vibrations along 3 primary axes
- 3. Measuring and recording vibration frequencies ranging from 2 to 300 Hz
- 4. Providing a printed record of each event showing a plot of peak particle velocity versus vibration frequencies
- 5. Measuring and recording airblast noise levels

The seismograph's noise transducer must be detachable from the main unit to allow its placement at elevations with a clear line of sight between the transducer and the blast.

#### 19-4.04C Construction

# 19-4.04C(1) General

At least 7 days before starting or resuming controlled blasting activities, provide written notification to the occupants of the buildings within 330 feet of the blasting. Notify the occupants of pending blasting activities on the day of blasting.

Do not perform blasts within 1,200 feet of concrete placed within the previous 72 hours.

Before firing any blast, confirm that the groundwater conditions are consistent with the shot design and explosive type to be used.

Before firing any blast in areas where flyrock may result in personal injury or damage to property or the work, cover the rock to be blasted with blasting mats, soil, or other equally serviceable material to prevent flyrock.

If blasting causes flyrock, suspend blasting activities. The blasting consultant must review the job site to determine the cause of the flyrock problem and submit a revised controlled blasting plan that prevents flyrock.

Do not use drill cuttings as stemming in controlled blasting activities.

Keep vibration levels below a peak particle velocity of 2 inches per second at the nearest building, highway facility, or utility.

Limit noise from airblast overpressure levels to below 128 dB (C-scale or linear network) at the nearest building.

Control ground vibrations and noise created from blasting by using properly designed delay sequencing and charge weights for shots.

Provide 3 seismographs to record controlled blasting activities. Record each blast shot using the seismographs. Video record each blast from a safe location with a clear view of the blast area, activities, and progression.

Notify the Engineer no later than the start of the next day's work shift of any blasting complaint received.

#### 19-4.04D Payment

Not Used

#### 19-4.05-19-4.08 RESERVED

# Replace LTDS in the paragraph of section 19-6.01C with:

07-21-17

**LTAS** 

# Replace the 7th paragraph of section 19-10.03A with:

01-20-17

Do not stockpile material on the geosynthetic or place more geosynthetic than can be covered within 72 hours.

Do not operate equipment or vehicles directly on geosynthetic, except you may operate vehicles and equipment on geogrid if one of the following conditions is met:

- 1. Vehicles and equipment are:
  - 1.1. Equipped with rubber tires
  - 1.2 Operated under 10 mph
  - 1.3 Operated in a manner to avoid sudden braking and sharp turns
- 2. At least 0.35 feet of AB has been placed, spread, and compacted on the geogrid

#### Replace the 2nd heading of section 19-10.03 with:

01-20-17

# 19-10.03B Subgrade Enhancement Geotextile

# 20 LANDSCAPE

**^^^^^^^^** 

07-21-17

# Replace the 1st paragraph of section 20-1.01D(2) with:

01-20-17

The Engineer performs progress inspections:

- 1. After marking plant locations
- 2. Before cultivating work starts
- 3. Before pressure testing of irrigation pipe on the supply side of control valves
- 4. Before testing of low voltage control and neutral conductors
- 5. During irrigation system functional tests
- 6. Before planting the plants
- 7. Before completion of planting work
- 8. Before the start of plant establishment work
- 9. Once a month during the plant establishment period

#### Delete oil or in the 4th paragraph of section 20-1.02C.

# Replace the 3rd paragraph of section 20-2.01B(7) with:

07-21-17

Valve box covers must be labeled. Labels must:

- 1. Be predrilled plate plastic consisting of 2 layers of contrasting color
- 2. Be at least 1/8 inch thick
- 3. Have mechanically engraved inscriptions at least 1 inch high

Covers for valve boxes that contain remote control valves must be labeled with the controller and station.

Covers for valve boxes that contain irrigation equipment must be labeled with the standard abbreviation for that equipment.

# Replace section 20-2.01C(2) with:

07-21-17

#### 20-2.01C(2) Trenching and Backfilling

For a project with multiple water service points, excavate and backfill the trenches 1 service point at a time.

Remove rocks and debris encountered during trenching activity. The removal of rocks and debris is change order work.

Backfill each trench with material that is excavated from the trench. Each trench must have a uniform bearing throughout the entire length and must be free of jagged rubble, rock, broken concrete, asphalt concrete and sharp objects greater than 2 inches in greatest dimension.

Compact the backfill in the trench to a minimum relative compaction of 90 percent. If the trench backfill settles, place additional material and compact until the backfill is level with the surrounding grade.

Ensure conduit, supply line, and joints are not moved or damaged by backfill activity.

If trenching requires the removal of:

- 1. Plants:
  - 1.1 Remove plants as necessary under section 20-1.03C.
  - 1.2 If plants are to remain, adjust the trench alignment to minimize damage.
  - 1.3 If the supply line location interferes with the excavation of plant holes, relocate the plant hole away from the supply line.
  - 1.4 Where authorized by the Engineer, prune trees and shrubs as necessary to complete the trenching work.
- 2. Turf:
  - 2.1 Do not remove a width of more than 12 inches.
  - 2.2 Replace with sod under section 20-3.02C(3)(e).
- 3. Groundcover:
  - 3.1 Do not remove a width of more than 6 feet.
  - 3.2 Replace groundcover with plants from flats and plant at 12 inches on center under section 20-3.02C.
  - 3.3 You may rototill existing *Carpobrotus* and *Delosperma*. Backfill for the trenches must not contain plants longer than 6 inches. No replacement of *Carpobrotus* and *Delosperma* is required if removed by rototilling.
- 4. Existing surface:
  - Make a minimum 2-inch-deep saw cut along neat lines around the perimeter of the pavement to be removed at locations determined by the Engineer.

- 4.2 Place a minimum of 2 inches of sand bedding under and on top of supply lines and conduits.
- 4.3 Compact the backfill under the replacement surfacing to a minimum relative compaction of 95 percent.
- 4.4 Replace the structural section to match the removed materials. The surface must have the same uniform smoothness, color, and texture as the adjacent surface.

If trenching in areas to receive new surfacing:

- 1. Place a minimum of 2 inches of sand bedding under and on top of supply lines and conduits.
- 2. Compact the backfill under the new surfacing to a minimum relative compaction of 95 percent.

# Replace 86 in the 1st paragraph of section 20-2.01C(3) with:

87

04-15-16

#### Replace section 20-2.04A(4) with:

04-15-16

Perform conductors test. The test must comply with the specifications in section 87.

Where the conductors are installed by trenching and backfilling, perform the test after a minimum of 6 inches of backfill material has been placed and compacted over the conductors.

# Replace 5 in the 1st paragraph of section 20-2.04C(2) with:

07-21-17

10

# Add between the 1st and 2nd paragraphs of section 20-2.04C(2):

07-21-17

Tie a 24-inch loop of wire at all changes of direction that are greater than 45 degrees. Until the loops after all the connections are made.

# Replace the 1st paragraph of section 20-2.04C(4) with:

04-15-16

Splice low voltage control and neutral conductors under section 87, except do not use Method B.

#### Replace the 3rd paragraph of section 20-2.05B with:

07-15-16

The impeller must be glass reinforced nylon on a tungsten carbide shaft.

# Replace 86 in the 2nd paragraph of section 20-2.06C with:

04-15-16

87

#### Replace section 20-2.07B(5) with:

04-15-16

#### 20-2.07B(5) PVC Pipe Conduit Sleeve

PVC pipe conduit sleeves must be schedule 40 complying with ASTM D1785.

Fittings must be schedule 80.

# Replace the 9th paragraph of section 20-2.07C(1) with:

07-21-17

Place Type G pavement markers with retroreflective face facing away from the oncoming traffic under section 81-3 on paved shoulders or dikes at irrigation conduit locations where authorized.

07-21-17

Delete the 2nd paragraph of section 20-2.07C(2)(a).

# Replace section 20-2.07C(3) with:

07-21-17

#### 20-2.07C(3) PVC Pipe Conduit Sleeve

Where PVC pipe conduit sleeves 2 inches or less in outside diameter are installed under surfacing, you may install by directional boring under section 20-2.07C(2)(b).

Cap ends of conduit until used.

07-21-17

# Delete the 4th and 5th paragraph of section 20-2.08C(4).

#### Replace sections 20-2.09B and 20-2.09C with:

07-15-16

# 20-2.09B Materials 20-2.09B(1) General

Swing joints must match the inlet connection size of the riser.

Where shown, a sprinkler assembly must include a check valve.

Threaded nipples for swing joints and risers must be schedule 80, PVC 1120 or PVC 1220 pipe, and comply with ASTM D1785. Risers for sprinkler assemblies must be UV resistant.

Fittings for sprinkler assemblies must be injection-molded PVC, schedule 40, and comply with ASTM D2466.

Flexible hose for sprinkler assemblies must be leak-free, non-rigid and comply with ASTM D2287, cell Type 6564500. The hose must comply with ASTM D2122 and have the thickness shown in the following table:

Nominal hose diameter	Minimum wall thickness
(inch)	(inch)
1/2	0.127
3/4	0.154
1	0.179

Solvent cement and fittings for flexible hose must comply with section 20-2.08B(5).

#### 20-2.09B(2) Pop-Up Sprinkler Assemblies

Each pop-up sprinkler assembly must include a body, nozzle, swing joint, pressure reducing device, fittings, and sprinkler protector where shown.

#### 20-2.09B(3) Riser Sprinkler Assemblies

Each riser sprinkler assembly must include a body, flexible hose, threaded nipple, nozzle, swing joint (except for a Type V riser), pressure reducing device, fittings, and riser support where shown.

# 20-2.09B(4) Tree Well Sprinkler Assemblies

Each tree well sprinkler assembly must include a threaded nipple, nozzle, swing joint, fittings, perforated drainpipe, and drain grate.

The perforated drainpipe must be commercial-grade, rigid PVC pipe with holes spaced not more than 6 inches on center on 1 side of the pipe.

The drain grate must be a commercially-available, 1-piece, injection-molded grate manufactured from structural foam polyolefins with UV light inhibitors. Drain grate must be black.

Gravel for filling the drainpipe must be graded such that 100 percent passes the 3/4-inch sieve and 100 percent is retained on the 1/2-inch sieve. The gravel must be clean, washed, dry, and free from clay or organic material.

#### 20-2.09C Construction

Where shown, install a flow shut-off device under the manufacturer's instructions, unless you use equipment with a preinstalled flow shut-off device.

Where shown, install a pressure reducing device under the manufacturer's instructions, unless you use equipment with a preinstalled pressure reducing device.

Install pop-up and riser sprinkler assembly:

- 1. From 6-1/2 to 8 feet from curbs, dikes, and sidewalks
- 2. At least 10 feet from paved shoulders
- 3. At least 3 feet from fences and walls

If sprinkler assembly cannot be installed within these limits, the location will be determined by the Engineer.

Set sprinkler assembly riser on slopes perpendicular to the plane of the slope.

#### Replace the paragraph of section 20-2.10B(3) with:

07-15-16

Each check valve must be one of the following:

- 1. Schedule 80 PVC with a factory setting to withstand a minimum 7-foot head on risers
- 2. Class 200 PVC if used on a nonpressurized plastic irrigation supply line
- 3. Internal to the sprinkler body with a factory setting to withstand a minimum 7-foot head

07-21-17

Delete item 3 in the list in the paragraph of section 20-2.10B(4).

# Replace the paragraph of section 20-2.10C(3) with:

Install check valves as necessary to prevent low-head drainage.

07-15-16

#### Replace the paragraphs of section 20-3.01B(10) with:

07-15-16

Each plant stake for vines must be nominal 1 by 1 inch and 18 inches long.

Each plant stake for trees must be nominal 2 by 2 inches or nominal 2 inches in diameter and long enough to keep the tree in an upright position.

# Replace the paragraph of section 20-3.01B(11) with:

07-15-16

Each plant tie for vines must be extruded vinyl-based tape, 1 inch wide and at least 8 mils thick.

Each plant tie for trees must be a (1) minimum 3/4-inch-wide, UV-resistant, flexible vinyl tie complying with ASTM D412 for tensile and elongation strength, or (2) lock-stitch, woven polypropylene with a minimum 900 lb tensile strength.

# Add between the 7th and 8th paragraphs of section 20-3.02C(3)(b):

07-15-16

Spread the vine shoots and tie them with a plant tie to each stake above the crossing point.

#### Replace the 8th paragraph of section 20-3.02C(3)(b) with:

07-15-16

Tie trees to the stakes with 2 tree ties, 1 tie to each stake. Each tie must form a figure eight by crossing the tie between the tree and the stake. Install ties at the lowest position that will support the tree in an upright position. Install the ties such that they provide trunk flexibility but do not allow the trunk to rub against the stakes. Wrap each end of the tie 1-1/2 turns around the stake and securely tie or nail it to the stake.

#### Replace the 1st paragraph of section 20-5.02C(1) with:

07-15-16

Where edging is used to delineate the limits of inert ground cover or wood mulch areas, install the edging before installing the inert ground cover or wood mulch.

07-15-16

Delete AND MULCHES in the heading of section 20-5.03.

07-15-16

Delete and mulches in the paragraph of section 20-5.03A(1)(a).

#### Replace the paragraph of section 20-5.03A(3)(a) with:

07-15-16

Before installing inert ground cover, remove plants and weeds to the ground level.

#### Add to the beginning of section 20-5.03A(3)(b):

Excavate to the depth shown.

07-21-17

07-15-16

# Delete or mulch at each occurrence in sections 20-5.03A(3)(c) and 20-5.03A(3)(d).

# Add to the end of section 20-5.03B(2)(c):

07-21-17

You may use rock with superficial chipping or jagged edges if the rock is placed such that the chipped areas and jagged edges are submerged in the concrete.

# Add to the 2nd paragraph of section 20-5.03B(3):

07-21-17

Rock that is exposed on the finished surface must be round, smooth, clean and without jagged edges or chipped areas showing.

#### Replace section 20-5.03E with:

07-15-16

#### 20-5.03E Reserved

#### Replace section 20-5.04 with:

07-15-16

# 20-5.04 WOOD MULCH 20-5.04A General

# 20-5.04A(1) Summary

Section 20-5.04 includes specifications for placing wood mulch.

#### 20-5.04A(2) Definitions

Reserved

# 20-5.04A(3) Submittals

Submit a certificate of compliance for wood mulch.

Submit a 2 cu ft mulch sample with the mulch source shown on the bag. Obtain authorization before delivering the mulch to the job site.

# 20-5.04A(4) Quality Assurance

Reserved

#### 20-5.04B Materials

#### 20-5.04B(1) General

Mulch must not contain more than 0.1 percent of deleterious materials such as rocks, glass, plastics, metals, clods, weeds, weed seeds, coarse objects, sticks larger than the specified particle size, salts, paint, petroleum products, pesticides or chemical residues harmful to plant or animal life.

# 20-5.04B(2) Tree Bark Mulch

Tree bark mulch must be derived from cedar, Douglas fir, or redwood species.

The mulch must be ground such that at least 95 percent of the material by volume is less than 2 inches long in any dimension and no more than 30 percent by volume is less than 1 inch long in any dimension.

# 20-5.04B(3) Wood Chip Mulch

Wood chip mulch must:

- 1. Be derived from clean wood
- 2. Not contain leaves or small twigs
- 3. Contain at least 95 percent by volume of wood chips with a width and thickness from 1/16 to 3/8 inch and a length from 1/2 to 3 inches

#### 20-5.04B(4) Shredded Bark Mulch

Shredded bark mulch must:

- 1. Be derived from trees
- 2. Be a blend of loose, long, thin wood, or bark pieces
- 3. Contain at least 95 percent by volume of wood strands with a width and thickness from 1/8 to 1-1/2 inches and a length from 2 to 8 inches

# 20-5.04B(5) Tree Trimming Mulch

Tree trimming mulch must:

- 1. Be derived from chipped trees and may contain leaves and small twigs
- 2. Contain at least 95 percent by volume of material less than 3 inches long for any dimension and not more than 30 percent by volume of material less than 1 inch long for any dimension

# 20-5.04B(6)-20-5.04B(11) Reserved

#### 20-5.04C Construction

Before placing wood mulch, remove plants and weeds to the ground level.

Maintain the planned flow lines, slope gradients, and contours of the job site. Grade the subgrade to a smooth and uniform surface.

Place mulch after the plants have been planted.

Place mulch in the plant basin at the rate described. Mulch must not come in contact with the plant crown and stem.

Place mulch as shown in areas outside of plant basins to a uniform thickness.

Spread mulch from the outside edge of the plant basin to the adjacent edges of shoulders, paving, retaining walls, dikes, edging, curbs, sidewalks, walls, fences, and existing plantings. If the plant is 12 feet or more from the adjacent edges of any of these elements, spread the mulch 6 feet beyond the outside edge of the plant basin.

Do not place mulch within 4 feet of:

- 1. Flow line of earthen drainage ditches
- 2. Edge of paved ditches
- 3. Drainage flow lines

#### **20-5.04D Payment**

The payment quantity for wood mulch is the volume measured in the vehicle at the point of delivery.

^^^^^

#### 21 EROSION CONTROL

07-21-17

# Replace the paragraph of section 21-1.01 with:

01-20-17

Section 21-1 includes general specifications for applying permanent erosion control measures.

#### Replace section 21-2.02K with:

01-20-17

#### **21-2.02K Compost**

Compost must be derived from one or a combination of the following types of materials:

- 1. Green material consisting of chipped, shredded, or ground vegetation or clean, processed, recycled wood products
- 2. Biosolids
- 3. Manure
- 4. Mixed food waste

Compost must not be derived from mixed municipal solid waste and must not contain paint, petroleum products, pesticides, or other chemical residues harmful to plant or animal life. Metal concentrations in compost must not exceed the maximum listed under 14 CA Code of Regs § 17868.2.

Process compost materials under 14 CA Code of Regs § 17868.3.

The quality characteristics of compost must have the values shown in the following table:

#### Compost

	Composi				
Quality characteristic	Test method <sup>a</sup>	Requirement			
рН	TMECC 04.11-A	6–8.5			
Soluble salts (dS/m)	TMECC 04.10-A	0–10			
Moisture content (% wet weight)	TMECC 03.09-A	30–60			
Organic matter content (% dry weight)	TMECC 05.07-A	30–70			
Maturity (seed emergence) (% relative to positive control)	TMECC 05.05-A	80 or above			
Maturity (seedling vigor) (% relative to positive control)	TMECC 05.05-A	80 or above			
Stability (mg CO <sub>2</sub> -C/g OM per day)	TMECC 05.08-B	8 or below			
Pathogen Salmonella (most probable number per 4 grams dry weight basis)	TMECC 07.01-B	< 3			
Pathogen Fecal coliform (most probable number per gram dry weight basis)	TMECC 07.01-B	< 1,000			
Physical contaminants (% dry weight) Plastic, glass, and metal	TMECC 02.02-C	combined total: < 0.5 <sup>b</sup>			
Physical contaminants (% dry weight) Sharps	TMECC 02.02-C	None detected			

<sup>&</sup>lt;sup>a</sup> TMECC refers to *Test Methods for the Examination of Composting and Compost*, published by the United States Department of Agriculture and the United States Compost Council (USCC).

The particle size for fine, medium, and coarse compost must comply with the requirements shown in the following table:

<sup>&</sup>lt;sup>b</sup> Film plastic can be no more than 0.1% of the combined total.

**Compost Gradation** 

Quality characteristic	Test method <sup>a</sup>		entage sing
,		Min	Max
Fine compost (dry weight): 2-inch sieve 3/8-inch sieve	TMECC 02.02-B	98 95	
Max particle length: 2"  Medium compost (dry weight):  Pass 2-inch sieve  Pass 3/8-inch sieve (min 25%	TMECC 02.02-B	90 40	 75
retained)  Max particle length: 6"			
Coarse compost (dry weight): Pass 2-inch sieve Pass 3/8-inch sieve (min 60% retained) Max particle length: 6"	TMECC 02.02-B	95 	 40

<sup>&</sup>lt;sup>a</sup> TMECC refers to *Test Methods for the Examination of Composting and Compost*, published by the United States Department of Agriculture and the United States Compost Council (USCC).

# Replace the 1st and 2nd paragraphs of section 21-2.02Q with:

01-20-17

Compost sock must be a mesh tube filled with compost and must have a functional longevity of 1 year.

The mesh tube must be composed of a natural biodegradable product, such as cotton, jute, sisal, burlap, wood-based yarn, or coir. The tube must have one of the following diameters:

- 1. From 8 to 9 inches
- 2. 12 inches in diameter

The mesh tube must be clean, evenly woven, and free of encrusted concrete or other contaminating materials, cuts, tears, broken or missing yarns, and thin, open, or weak places.

07-21-17

# Delete and compost socks in the 4th paragraph of section 21-2.02R.

# Replace the 2nd sentence in the 1st paragraph of section 21-2.03B with:

07-21-17

Apply duff to the edge of the shoulder backing. When shoulder backing is absent, do not apply duff within 3 feet of the edge of pavement.

#### Replace item 3 in the list in the 2nd paragraph of section 21-2.03F with:

07-21-17

Apply seed to the edge of the shoulder backing. When shoulder backing is absent, do not apply seed within 3 feet of the edge of pavement.

# Add to the end of the paragraph of section 21-2.03l:

07-21-17

Apply compost to the edge of the shoulder backing. When shoulder backing is absent, do not apply compost within 3 feet of the edge of pavement.

# Replace items 2 and 3 in the list in the 2rd paragraph of section 21-2.03Q with:

07-21-17

- 2. Fasten compost sock to soil surface.
- 3. Remove sock and stakes if ordered. Cut sock and empty contents in place. This work is change order work.

# Add between the 2nd and 3rd paragraphs of section 21-2.04:

07-21-17

The payment quantity for bid items paid for by volume is the volume measured in the vehicle at the point of delivery.

07-21-17

Delete the 5th paragraph of section 21-2.04.

Replace section 21-3 with:

01-20-17

#### 21-3 PERMANENT EROSION CONTROL ESTABLISHMENT WORK

Reserved

# DIVISION IV SUBASES AND BASES 23 GENERAL

^^^^^^

01-20-17

Replace the headings and paragraphs in section 23 with:

07-15-16

#### 23-1 GENERAL

# 23-1.01 GENERAL

#### 23-1.01A Summary

Section 23 includes general specifications for constructing subbases and bases.

#### 23-1.01B Definitions

Reserved

# 23-1.01C Submittals

Submit a QC plan for the types of subbases or bases where described.

#### 23-1.01D Quality Assurance

#### 23-1.01D(1) General

# 23-1.01D(1)(a) General

Take samples under California Test 125.

#### 23-1.01D(1)(b) Test Result Disputes

You and the Engineer must work together to avoid potential conflicts and to resolve disputes regarding test result discrepancies. Notify the Engineer within 5 business days of receiving the test result if you dispute the test result.

01-20-17

If you or the Engineer dispute each other's test results, submit your test results and copies of paperwork including worksheets used to determine the disputed test results. An independent third party performs referee testing. Before the independent third party participates in a dispute resolution, it must be qualified under AASHTO re:source program and the Department's Independent Assurance Program. The independent third party must have no prior direct involvement with this Contract. By mutual agreement, the independent third party is chosen from:

- 1. Department laboratory in a district or region not in the district or region the project is located
- 2. Transportation Laboratory
- 3. Laboratory not currently employed by you or your material producer

07-15-16

If split acceptance samples are not available, the independent third party uses any available material representing the disputed material for evaluation.

If the independent third party determines the Department's test results are valid, the Engineer deducts the independent third party testing costs from payments. If the independent third party determines your test results are valid, the Department pays the independent third party testing costs.

# 23-1.01D(2) Quality Control

# 23-1.01D(2)(a) General

Provide a QC manager when the quantity of subbase or base is as shown in the following table:

QC Manager Requirements

40a.a.a.gooqao.	
Subbase or base	Requirement
Stabilized soil (sq yd)	≥ 20,000
Aggregate subbases (cu yd)	≥ 20,000
Aggregate bases (cu yd)	≥ 20,000
CTB (cu yd)	≥ 10,000
Lean concrete base (cu yd)	≥ 2,000
Rapid strength concrete base (cu yd)	≥ 1,000
Lean concrete base rapid setting (cu yd)	≥ 1,000
Concrete base (cu yd)	≥ 1,000
Treated permeable bases (cu yd)	≥ 2,000
Reclaimed pavements (sq yd)	≥ 10,000

Provide a testing laboratory to perform quality control tests. Maintain sampling and testing equipment in proper working condition.

You are not entitled to compensation for the suspension of work resulting from noncompliance with quality control requirements, including those identified within the QC plan.

# 23-1.01D(2)(b) Quality Control Plan

The QC plan must describe the organization and procedures used to:

- 1. Control the production process
- 2. Determine if a change to the production process is needed
- 3. Implement a change

The QC plan must include action and suspension limits and details of corrective action to be taken if any process is outside of those limits. Suspension limits must not exceed specified acceptance criteria.

The QC plan must describe how test results will be submitted including times for sampling and testing for each quality characteristic.

# 23-1.01D(2)(c) Qualifications

Testing laboratories and testing equipment must comply with the Department's Independent Assurance Program.

Personnel performing sampling and testing must be qualified under the Department's Independent Assurance Program for the sampling and testing performed.

# 23-1.01D(3) Department Acceptance

Reserved

**23-1.02 MATERIALS** 

Not Used

23-1.03 CONSTRUCTION

Not Used

**23-1.04 PAYMENT** 

Not Used

23-2-23-7 RESERVED

^^^^^^

24 STABILIZED SOILS

07-21-17
Add to section 24-1.01C(1):

Submit a stabilized soil quality control plan.

Add to section 24-1.01D(1):

07-15-16

07-15-16

Construct test pads for compaction tests by scraping away material to the depth ordered. If a compaction test fails, corrective action must include the layers of material already placed above the test pad elevation.

Replace section 24-1.01D(2) with:

07-15-16

24-1.01D(2) Quality Control 24-1.01D(2)(a) General

Reserved

# 24-1.01D(2)(b) Quality Control Plan

Reserved

# 24-1.01D(2)(c) Qualifications

Reserved

# 24-1.01D(2)(d) Preparing Basement Material

After preparing an area for soil stabilization, verify the surface grades.

# 24-1.01D(2)(e) Mixing

Except for clods larger than 1 inch, randomly test the adequacy of the mixing with a phenolphthalein pH indicator solution.

#### Add to the end of footnote a in the table in section 24-1.01D(3):

07-21-17

For cement stabilized soil, see section 24-3.03D.

# Replace the 1st paragraph of section 24-1.03C with:

07-15-16

The Engineer orders the application rate as pounds of stabilizing agent per square yard of basement material to be stabilized.

07-15-16

# Delete section 24-2.01D(1)(c)

# Replace 250 in the 2nd sentence in the 2nd paragraph of section 24-2.01D(2)(c) with:

500

07-15-16

# Add to section 24-2.01D(2):

07-15-16

#### 24-2.01D(2)(d) Quality Control Testing

Lime stabilized soil quality control must include testing the quality characteristics at the frequencies shown in the following table:

**QC Testing Frequencies** 

Quality characteristic	Test method	Sampling location	Minimum frequency
Ground surface temperature before adding lime and full depth ground temperature during mixing operations		Each temperature location	1 test per 20,000 sq ft, minimum 1 per day
Lime application rate	Calibrated tray or equal	Roadway	1 test per 40,000 sq ft, minimum 2 per day
Gradation on mixed material	California Test 202	Roadway	1 per 500 cu yd, minimum 1 per day
Moisture content	California Test 226	Roadway	1 per 500 cu yd on each layer, each day during mixing and mellowing periods, minimum 1 per day
Relative compaction	California Test 231	Roadway	1 per 500 cu yd on each layer, minimum 1 per day

# Replace section 24-3 with:

07-21-17

# 24-3 CEMENT STABILIZED SOIL

#### 24-3.01 GENERAL

#### 24-3.01A Summary

Section 24-3 includes specifications for constructing CSS by mixing basement material with cement and water.

#### 24-3.01B Definitions

Reserved

#### 24-3.01C Submittals

Submit cement samples under California Test 125. Include the mill analysis.

Submit a certificate of compliance under section 90-1.01C(3).

#### 24-3.01D Quality Assurance

# 24-3.01D(1) General

#### 24-3.01D(1)(a) General

Stop CSS activities and immediately notify the Engineer if either of the following occurs:

- 1. Any quality control test result does not comply with the specifications
- 2. Visual inspection shows noncompliant CSS

If CSS activities are stopped, before resuming activities:

- 1. Notify the Engineer of the adjustments you will make
- 2. Reprocess, remedy, or replace the noncompliant CSS until it complies with specifications
- 3. Construct a 1,000 square yard test strip of CSS demonstrating ability to comply with the specifications
- 4. Obtain the Engineer's authorization

# 24-3.01D(1)(b) Preparing Basement Material

For every 1,000 sq yd of basement material to be cement stabilized:

1. Test the relative compaction under California Test 231

2. Test the moisture content under California Test 226

# 24-3.01D(1)(c) Applying Cement

The Engineer determines the final application rate based on ASTM D1633, Method A, except:

- 1. Test specimens must be compacted under ASTM D1557, Method A or B.
- 2. Test specimens must be cured by sealing each specimen with 2 layers of plastic at least 4 mil thick. The plastic must be tight around the specimen. Seal all seams with duct tape to prevent moisture loss. Sealed specimens must be placed in an oven for 7 days at 100 ± 5 degree F. At the end of the curing period, specimens must be removed from the oven and air-cooled. Duct tape and plastic wrap must be removed before capping. Specimens must not be soaked before testing.

The application rate is ordered as pounds of cement per square yard of basement material to be stabilized.

Before applying cement, measure and record the air temperature and in situ moisture content of the basement material to be stabilized.

The Engineer verifies the application rate using a calibrated tray or equal once per 40,000 sq ft of stabilized basement material, or twice per day, whichever is greater.

# 24-3.01D(2) Quality Control 24-3.01D(2)(a) General

Reserved

# 24-3.01D(2)(b) Mixing

During mixing operations, measure and record the air temperature for the basement material to be stabilized.

For each day of mixing, test the in-place moisture content under California Test 231, Part 1, Section E and verify moisture content under California Test 226. Sample immediately after mixing.

After mixing, maintain the in-place moisture of the basement material to be stabilized within a range of 1 percent below to 2 percent above the optimum moisture determined under California Test 216. Determine in-place moisture content under California Test 231. During compaction and finish grading, add water to the surface to prevent drying until the next layer of mixed material is placed, or until you apply curing treatment.

#### 24-3.01D(2)(c) Compaction

After compaction, determine in-place wet density under California Test 231 and moisture content under California Test 226, at the same locations. Perform one test per 1,000 sq yd of CSS. Test in 0.50-foot depth intervals from the bottom of the CSS layer regardless of the layer thickness. Convert wet density to dry density and calculate relative compaction under California Test 216 on a dry density basis.

# 24-3.01D(2)(d) Quality Control Testing

Cement stabilized soil quality control must include testing the quality characteristics at the frequencies shown in the following table:

**QC Testing Frequencies** 

Quality characteristic	Test method	Sampling location	Minimum frequency
Air temperature before adding cement to basement material		Each temperature location	1 test per 20,000 sq ft, minimum 1 per day
Moisture content of basement material before adding cement	California Test 226	Roadway	1 per 1000 sq yd per layer, minimum 1 per day
Cement application rate	Calibrated tray or equal	Roadway	1 test per 20,000 sq ft, minimum 2 per day
Gradation on mixed material	California Test 202	Roadway	1 per 1000 sq yd per layer, minimum 1 per day
Moisture content of mixed material	California Test 226	Roadway	1 per 1000 sq yd per layer, minimum 1 per day
Moisture content of compacted material at time of relative compaction testing	California Test 231	Roadway	1 per 1000 sq yd per layer, minimum 1 per day
Relative compaction	California Test 231	Roadway	1 per 1000 sq yd per layer, minimum 1 per day

#### **24-3.02 MATERIALS**

Cement must comply with section 90-2.01A, Type II or Type V portland cement.

#### 24-3.03 CONSTRUCTION

#### 24-3.03A General

Remove standing water from the basement material.

Apply cement at air temperatures above 40 degrees F and rising. Do not apply cement to frozen basement material.

During compaction and finish grading, add water to the surface to prevent drying until the next layer of mixed material is placed, or until you apply curing treatment.

Do not scarify surfaces of intermediate or final layers of CSS.

# 24-3.03B Applying Cement

Apply cement uniformly over the area to be stabilized using a vane spreader.

Do not apply dry cement in windy conditions that will result in dust outside the treatment area.

#### 24-3.03C Mixing

You may mix cement and the basement material off the job site.

Complete initial mixing work within 30 minutes of the application of cement.

After mixing, maintain the in-place moisture of the basement material to be stabilized within a range of 1 percent below to 2 percent above the optimum moisture.

Before compaction, the CSS, except rock, must within the percentage passing limits for the sieve sizes shown in the following table:

# **Cement Stabilized Soil Gradation**

Sieve sizes	Percentage passing
2"	100
3/4"	98-100
No. 4	55-100

# 24-3.03D Compaction

Complete initial compaction of a layer within 2 hours of initial mixing of cement.

Complete all compaction of a layer within 4 hours of mixing of cement.

Compact the CSS to at least 97 percent relative compaction.

# 24-3.03E Finish Grading

Maintain the moisture content of the CSS to within a range of 1 percent below and 2 percent above the optimum moisture content through the entire finish grading operation.

Finish rolling of trimmed surfaces must be performed within 2 hours of completion of compacting.

The finished surface of the CSS must not vary more than 0.05 foot above or below the grade established by the Engineer unless the CSS is to be covered by material paid for by the cubic yard, in which case the finished surface may not vary above the grade established by the Engineer.

Fill areas of finished CSS that are lower than the grade established by the Engineer with material specified for the subsequent layer.

#### 24-3.03F Curing

#### 24-3.03F(1) General

Choose the method of curing and apply the chosen cure method on the same day as completing compaction and any trimming and finish grading.

Do not trim CSS after curing.

# 24-3.03F(2) Subsequent Pavement Layer

For CSS you may cure by placing a subsequent pavement layer over the finished CSS.

You may place subsequent pavement layers any time after finish grading if the CSS is sufficiently stable to support the required construction equipment without marring or permanently distorting the surface.

#### **24-3.04 PAYMENT**

The Department does not adjust the unit price for an increase or decrease in cement quantity.

The Department does not pay for subsequent layer material used to fill low areas of cement stabilized soil.

^^^^^

# **25 AGGREGATE SUBBASES**

07-21-17

Add to the beginning of section 25:

25-1 GENERAL

Replace Reserved in section 25-1.01C with:

07-15-16

07-21-17

Submit an aggregate subbase QC plan.

# Replace Reserved in section 25-1.01D(2) with:

07-15-16

25-1.01D(2)(a) General

Reserved

25-1.01D(2)(b) Quality Control Plan

Reserved

25-1.01D(2)(c) Qualifications

Reserved

# 25-1.01D(2)(d) Quality Control Testing

AS quality control must include testing the quality characteristics at the frequencies shown in the following table:

QC Testing Frequencies				
Quality characteristic	Test method	Sampling location	Minimum frequency	
R-value	California Test 301	Stockpiles, transportation units, windrows, or roadways	1 test before beginning work and every 2000 cu yd thereafter <sup>a</sup>	
Aggregate gradation	California Test 202	Stockpiles, transportation units, windrows, or roadways	1 per 500 cu yd but at least one per	
Sand equivalent	California Test 217	Stockpiles, transportation units, windrows, or roadways	day of placement	
Relative compaction	California Test 231	Roadway	1 per 500 sq yd on each layer	

<sup>&</sup>lt;sup>a</sup>Additional R-value frequency testing will not be required when the average of 4 consecutive sand equivalent tests is 4 or more above the specified operating range value.

# Add between the 2nd and 3rd paragraphs of section 25-1.01D(3):

07-15-16

The Engineer takes aggregate subbase samples for R-value, aggregate gradation, and sand equivalent from any of the following locations:

- 1. Windrow
- 2. Roadway

07-15-16

Delete for each noncompliant test result in the 4th paragraph of section 25-1.01D(3).

07-15-16

Delete a in the 5th paragraph of section 25-1.01D(3).

# Add to the end of section 25:

#### 25-2-25-10 RESERVED

07-21-17

# ^^^^^

# **26 AGGREGATE BASES**

07-21-17

Add to the beginning of section 26:

07-21-17

# 26-1 GENERAL

# Replace Reserved in section 26-1.01C with:

07-15-16

Submit an aggregate base QC plan.

# Replace Reserved in section 26-1.01D(1) with:

07-15-16

Aggregate samples must not be treated with lime, cement, or chemicals before testing for durability index. Aggregate from untreated reclaimed processed AC, PCC, LCB, or CTB is not considered treated.

# Replace Reserved in section 26-1.01D(2) with:

07-15-16

# 26-1.01D(2)(a) General

Reserved

# 26-1.01D(2)(b) Quality Control Plan

Reserved

# 26-1.01D(2)(c) Qualifications

Reserved

# 26-1.01D(2)(d) Quality Control Testing

AB quality control must include testing the quality characteristics at the frequencies shown in the following table:

**QC Testing Frequencies** 

Quality characteristic	Test method	Sampling location	Minimum frequency
R-value	California Test 301	Stockpiles,	1 test before starting work and
		transportation units,	every 2,000 cu yd thereafter <sup>a</sup>
		windrows, or	
		roadways	
Aggregate gradation	California Test 202	Stockpiles,	1 per 500 cu yd but at least
		transportation units,	one per day of placement
		windrows, or	
		roadways	
Sand equivalent	California Test 217	Stockpiles,	
		transportation units,	
		windrows, or	
		roadways	
Durability index <sup>b</sup>	California Test 229	Stockpiles,	1 per project
		transportation units,	
		windrows, or	
		roadways	
Relative compaction	California Test 231	Roadway	1 per 500 sq yd on each layer

<sup>&</sup>lt;sup>a</sup>Additional R-value frequency testing will not be required when the average of 4 consecutive sand equivalent tests is 29 or greater for Class 2 AB or 25 or greater for Class 3 AB.

# Add between requirements, and and in the 1st paragraph of section 26-1.01D(3):

durability,

07-15-16

# Add between the 2nd and 3rd paragraphs of section 26-1.01D(3):

07-15-16

The Engineer takes aggregate base samples for R-value, aggregate gradation, sand equivalent, and durability index from any of the following locations:

- 1. Windrow
- 2. Roadway

07-15-16

Delete the 3rd paragraph of section 26-1.01D(3).

07-21-17

Add to the end of section 26:

26-2-26-10 RESERVED

^^^^^

<sup>&</sup>lt;sup>b</sup>Applies if section 26-1.02 contains an applicable requirement for durability index

#### 27 CEMENT TREATED BASES

07-21-17

# Add to the beginning of section 27:

27-1 GENERAL

07-21-17

#### Add to section 27-1.01C:

07-15-16

Submit cement treated base QC plan.

#### Replace the headings and paragraphs in section 27-1.01D with:

07-15-16

# 27-1.01D Quality Assurance 27-1.01D(1) General

After the CTB has been spread on the subgrade and before initial compaction, the cement content of the completed mixture of CTB must not vary from the specified cement content by more than 0.6 percent of the weight of the dry aggregate when tested under California Test 338.

For Class A CTB, compaction is tested under California Test 312 or 231.

The relative compaction of CTB must be at least 95 percent. Each layer of CTB may be tested for compaction, or all layers may be tested together at the option the Engineer. If all layers are tested together, you are not relieved of the responsibility to achieve the required compaction in each layer placed.

# 27-1.01D(1)(a) Aggregate

When tested under California Test 301, aggregate for Class B CTB must have (1) an R-value of at least 60 before mixing with cement and (2) an R-value of at least 80 when aggregate is mixed with an amount of cement that does not exceed 2.5 percent by weight of the dry aggregate.

Before sand equivalent testing, aggregate samples must not be treated with lime, cement, or chemicals.

If the aggregate gradation test results, the sand equivalent test results, or both comply with contract compliance requirements but not operating range requirements, you may continue placing CTB for the remainder of the work day. Do not place additional CTB until you demonstrate to the Engineer that the CTB to be placed complies with the operating range requirements.

If the aggregate gradation test results, sand equivalent test results, or both do not comply with contract compliance requirements, remove the CTB or request a payment deduction. If your request is authorized, \$2.50/cu yd is deducted. If CTB is paid for by weight, the Engineer converts tons to cubic yards for the purpose of reducing payment for noncompliant CTB left in place. An aggregate gradation and a sand equivalent test represents up to (1) 500 cu yd or (2) 1 day's production if less than 500 cu yd.

#### 27-1.01D(1)(b) Road-Mixed Cement Treated Base Moisture Content

Just before initial compaction the moisture content of the completed mixture must be at least the optimum moisture content less 1 percent. The moisture content is determined under California Test 226 and optimum moisture content is determined under California Test 312.

# 27-1.01D(1)(c) Plant-Mixed Cement Treated Base Moisture Content

At the point of delivery to the work, the moisture content of the completed mixture must be at least the optimum moisture content less 1 percent. The moisture content is determined under California Test 226 and optimum moisture content under California Test 312.

# 27-1.01D(2) Quality Control 27-1.01D(2)(a) General

Reserved

# 27-1.01D(2)(b) Quality Control Plan

Reserved

# 27-1.01D(2)(c) Qualifications

Reserved

# 27-1.01D(2)(d) Quality Control Testing

CTB quality control must include testing the quality characteristics at the frequencies shown in the following table:

**QC Testing Frequencies** 

Quality characteristic	Test method	Sampling location	Minimum frequency
Aggregate gradation	California Test 202 modified	Stockpiles, plant, transportation units, windrow, or roadway	1 per 500 cu yd but at
Sand equivalent	California Test 217	Stockpiles, plant, transportation units, windrow, or roadway	least one per day of placement
R-value <sup>a</sup>	California Test 301	Stockpiles, plant, transportation units, windrows, or roadway	1 test before starting work and every 2000 cu yd thereafter <sup>b</sup>
Optimum moisture content	California Test 312	Plant, transportation units, windrow, or roadway	1 per day of placement
Moisture content	California Test 226	Roadway	1 per 500 cu yd but at least one per day of placement
Cement content	California Test 338	Windrows or roadway	1 per 1000 cu yd but at least one per day of placement
Relative compaction	California Test 312 or 231	Roadway	1 per 2000 sq yd but at least one per day of placement
Compressive strength <sup>c</sup>	California Test 312	Windrow or roadways	1 per day of placement

<sup>&</sup>lt;sup>a</sup>R-value is required for Class B CTB only

# 27-1.01D(3) Department Acceptance

The Department's acceptance testing includes testing the CTB quality characteristics shown in the following table:

<sup>&</sup>lt;sup>b</sup>Additional R-value frequency testing will not be required while the average of 4 consecutive sand equivalent tests is 4 or more above the specified operating range value.

<sup>&</sup>lt;sup>c</sup>Compressive strength is required for Class A CTB only when specified

**CTB Requirements for Acceptance** 

Quality characteristic	Test method	
Aggregate gradation	California Test 202 modified	
Sand equivalent	California Test 217	
R-value <sup>a</sup>	California Test 301	
Optimum moisture content California Test 312		
Moisture content	California Test 226	
Cement content	California Test 338	
Relative compaction	California Test 312 or 231	
Compressive strength <sup>b</sup> California Test 312		

<sup>&</sup>lt;sup>a</sup>R-value is required for Class B CTB only

The Engineer takes samples for aggregate gradation and sand equivalent from any of the following locations:

- 1. Plant
- 2. Truck
- 3. Windrow, for road-mixed only
- 4. Roadbed, for road-mixed only

#### Add to section 27-1.02:

07-15-16

Water must comply with section 90-1.02D.

# Add to section 27-1.03F:

07-15-16

The relative compaction of CTB must be at least 95 percent.

# Add to the end of section 27:

07-21-17

# 27-2-27-10 RESERVED

# ^^^^^

# **28 CONCRETE BASES**

07-15-16

Replace the headings and paragraphs in section 28-1.01D with:

07-15-16

# 28-1.01D Quality Assurance

#### 28-1.01D(1) General

Aggregate samples must not be treated with lime, cement, or chemicals before testing for sand equivalent.

Stop concrete base activities and immediately notify the Engineer whenever:

1. Any QC or QA test result does not comply with the specifications

<sup>&</sup>lt;sup>b</sup>Compressive strength is required for Class A CTB only when specified

2. Visual inspection shows a noncompliant concrete base

If concrete base activities are stopped, before resuming activities:

- 1. Notify the Engineer of the adjustments you will make
- 2. Remedy or replace the noncompliant concrete base
- 3. Field qualify or construct a new test strip as specified for the concrete base involved to demonstrate compliance with the specifications
- 4. Obtain authorization

# 28-1.01D(2) Quality Control 28-1.01D(2)(a) General

Reserved

# 28-1.01D(2)(b) Quality Control Plan

Reserved

### 28-1.01D(2)(c) Qualifications

Reserved

# 28-1.01D(3) Department Acceptance

Reserved

#### Add to section 28-2.01C(1):

07-15-16

Submit a lean concrete base QC plan.

# Replace the headings and paragraphs in section 28-2.01D with:

07-15-16

# 28-2.01D Quality Assurance 28-2.01D(1) General 28-2.01D(1)(a) General

The molds for compressive strength testing under ASTM C31 or ASTM C192 must be 6 by 12 inches.

If the aggregate gradation test results, sand equivalent test results or both comply with the contract compliance requirements but not the operating range requirements, you may continue placing LCB for the remainder of the work day. Do not place additional LCB until you demonstrate the LCB to be placed complies with the operating range requirements.

#### 28-2.01D(1)(b) Qualifications

Field qualification tests and calculations must be performed by an ACI certified "Concrete Laboratory Technician, Grade I.

#### 28-2.01D(1)(c) Aggregate Qualification Testing

Qualify the aggregate for each proposed aggregate source and gradation. The qualification tests include (1) a sand equivalent and (2) an average 7-day compressive strength under ASTM C39 of 3 cylinders manufactured under ASTM C192 except cure cylinders in molds without lids after initial curing.

For the compressive strength test, the cement content for each cylinder must be 300 lb/cu yd. The 7-day average compressive strength must be at least 610 psi. The cement must be Type II portland cement.

LCB must have from 3 to 4 percent air content during aggregate qualification testing.

#### 28-2.01D(1)(d) Field Qualification Testing

Before placing LCB, you must perform field qualification testing and obtain authorization for each mix design. Retest and obtain authorization for changes to the authorized mix designs.

Notify the Engineer at least 5 business days before field qualification. Perform the field qualification at the job site or an authorized location.

Field qualification testing includes tests for compressive strength, air content, and penetration or slump.

For compressive strength field qualification testing:

- Prepare 12 cylinders under ASTM C31 except final cure cylinders in molds without lids from a single batch.
- 2. Perform 3 tests; each test consists of determining the average compressive strength of 2 cylinders at 7 days under ASTM C39. The average compressive strength for each test must be at least 530 psi

If you submitted a notice to produce LCB qualifying for a transverse contraction joint waiver, manufacture additional specimens and test the LCB for compressive strength at 3 days. Prepare the compressive strength cylinders under ASTM C31 except final cure cylinders in molds without lids at the same time using the same material and procedures as the 7-day compressive strength cylinders except do not submit 6 additional test cylinders. The average 3-day compressive strength for each test must be not more than 500 psi.

28-2.01D(2) Quality Control 28-2.01D(2)(a) General

Reserved

28-2.01D(2)(b) Quality Control Manager

Reserved

# 28-2.01D(2)(c) Quality Control Testing

Test the LCB under the test methods and at the locations and frequencies shown in the following table:

**LCB Sampling Location and Testing Frequencies** 

Quality characteristic	Test method	Sampling location	Minimum sampling and testing frequency
			teating irequency
Sand equivalent	ASTM D2419	Source	
Aggregate gradation	ASTM C136	Source	
Air content	ASTM C231		1 per 500 cubic yards
Penetration <sup>a</sup>	ASTM C360		but at least 1 per day of
Slump <sup>a</sup>	ASTM C143	Job site	production
Compressive strength	ASTM C39 <sup>b</sup>		

<sup>&</sup>lt;sup>a</sup>Test for either penetration or slump

#### 28-2.01D(3) Department Acceptance

The Department accepts LCB based on compliance with the requirements shown in the following table:

LCB Requirements for Acceptance

Quality characteristic	Test method	Requirement
Compressive strength (min, psi at 7 days)	ASTM C39 a	530 b

<sup>&</sup>lt;sup>a</sup> Cylinders prepared under ASTM C31 except final cure cylinders in molds without lids.

<sup>&</sup>lt;sup>b</sup>Prepare cylinders under ASTM C31 except final cure cylinders in molds without lids.

<sup>&</sup>lt;sup>b</sup> A compressive strength test represents up to (1) 1,000 cu yd or (2) 1 day's production if less than 1,000 cu yd.

### Replace section 28-2.01D(4) in item 3 of the 5th paragraph in section 28-2.03D with:

section 28-2.01D(1)(c)

07-15-16

# Replace the 1st paragraph in section 28-2.03F with:

07-15-16

After finishing LCB, cure LCB with pigmented curing compound under section 90-1.03B(3) and 40-1.03I. Apply curing compound:

- 1. In 2 separate applications
- 2. Before the atmospheric temperature falls below 40 degrees F
- 3. At a rate of 1 gal/150 sq ft for the first application
- 4. At a rate of 1 gal/200 sq ft for the second application

### Replace Reserved in section 28-3.01C(3) with:

07-15-16

Submit a rapid strength concrete base QC plan.

# Replace the headings and paragraphs in section 28-3.01D with:

07-15-16

28-3.01D Quality Assurance 28-3.01D(1) General 28-3.01D(1)(a) General

At the preconstruction meeting be prepared to discuss the project specifications and methods of performing each item of work. Items discussed must include the processes for:

- 1. Production
- 2. Transportation
- 3. Placement
- 4. QC plan, if specified in the special provisions
- 5. Contingency plan
- 6. QC sampling and testing
- 7. Acceptance criteria

Beams for modulus of rupture testing must be fabricated and tested under California Test 524. The beams may be fabricated using an internal vibrator under ASTM C31. For each test, 3 beam must be fabricated and the test results averaged. No single test represents more than that day's production or 130 cu yd, whichever is less.

For early age testing, beams must be cured so the monitored temperatures in the beams and the test strip are always within 5 degrees F. The internal temperatures of the RSC base and early age beams must be monitored and recorded at intervals of at least 5 minutes. Thermocouples or thermistors connected to strip-chart recorders or digital data loggers must be installed to monitor the temperatures. Temperature recording devices must be accurate to within ±2 degrees F. Until early age testing is completed, internal temperatures must be measured at 1 inch from the top, 1 inch from the bottom, and no closer than 3 inches from any edge.

For other age testing, beams must be cured under California Test 524 except beams must be placed into sand at a time that is the earlier of either from 5 to 10 times the final set time, or 24 hours.

RSC base must have an opening age modulus of rupture of not less than 400 psi and a 7-day modulus of rupture of not less than 600 psi.

# 28-3.01D(1)(b) Preconstruction Meeting

Reserved

28-3.01D(1)(c) Test Strip

Reserved

28-3.01D(2) Quality Control 28-3.01D(2)(a) General

Reserved

28-3.01D(2)(b) Quality Control Manager

Reserved

# 28-3.01D(2)(c) Quality Control Testing

Test the rapid strength concrete base under the test methods and at the locations and frequencies shown in the following table:

Rapid Strength Concrete Base Sampling Location and Testing Frequencies

Quality characteristic	Test method	Sample Location	Minimum testing frequency <sup>a</sup>
Cleanness value	California Test 227		1 per 500 cubic yards but at
Sand equivalent	California Test 217	Source	least 1 per shift
Aggregate gradation	California Test 202		
Air content	California Test 504		1 per 130 cu yd but at least 1 per shift
Yield	California Test 518		1 per shift
Slump or penetration	ASTM C143 or California		1 per 2 hours of placement
	Test 533	Job site	
Density	California Test 518	JOD SILE	1 per shift
Aggregate moisture	California Test 223 or		1 per shift
meter calibration <sup>b</sup>	California Test 226		
Modulus of rupture	California Test 524		1 per 130 cu yd but at least 1 per
			shift

<sup>&</sup>lt;sup>a</sup>Test at the most frequent interval.

Notify the Engineer at least 2 business days before any sampling and testing. Submit testing results within 15 minutes of testing completion. Record inspection, sampling, and testing on the forms accepted with the QC plan and submit them within 48 hours of completion of each day of production and within 24 hours of 7-day modulus of rupture tests.

During the placement of RSC base, fabricate beams and test for the modulus of rupture:

- 1. At opening age
- 2. At 7 days after placing the first 30 cu yd
- 3. At least once every 130 cu vd
- 4. Within the final truckload

Opening age tests must be performed in the presence of the Engineer.

# 28-3.01D(3) Department Acceptance

The Department accepts RSC base based on compliance with the requirements shown in the following table:

<sup>&</sup>lt;sup>b</sup>Check calibration of the plant moisture meter by comparing moisture meter readings with California Test 223 or California Test 226 test results.

**RSC Base Requirements for Acceptance** 

Quality characteristic	Test method	Requirement
Modulus of rupture (min, psi at 7 days)	California Test 524	600

The Engineer adjust payment for RSC base for the 7-day modulus of rupture as follows:

- 1. Payment for a base with a modulus of rupture of 600 psi or greater is not adjusted.
- 2. Payment for a base with a modulus of rupture of less than 600 and greater than or equal to 550 psi is reduced by 5 percent.
- 3. Payment for a base with a modulus of rupture of less than 550 and greater than or equal to 500 psi is reduced by 10 percent.
- 4. Payment for a base with a modulus of rupture of less than 500 psi is not adjusted and no payment is made. Remove and replace this base.

# Add to section 28-4.01C(1):

07-15-16

Submit a lean concrete base rapid setting QC plan.

#### Replace the headings and paragraphs in section 28-4.01D with:

07-15-16

28-4.01D Quality Assurance 28-4.01D(1) General 28-4.01D(1)(a) General

For compressive strength testing, prepare 6 cylinders under California Test 540. Test cylinders must be 6 by 12 inches. As an alternative to rodding, a vibrator may be used under California Test 524. Test cylinders under California Test 521 and perform 3 tests with each test consisting of 2 cylinders. The test result is the average from the 2 cylinders.

#### 28-4.01D(1)(b) Field Qualification

Before placing lean concrete base rapid setting, you must perform field qualification testing and obtain authorization for each mix design. Retest and obtain authorization for changes to authorized mixed designs.

Proposed mix designs must be field qualified before you place the base represented by those mix designs. The technician performing the field test must hold current ACI certification as a Concrete Field Testing Technician-Grade I.

Notify the Engineer at least 5 days before field qualification. Perform field qualification within the job site or a location authorized.

Field qualification testing includes compressive strength, air content, and penetration or slump in compliance with the table titled "Lean Concrete Base Rapid Setting Requirements."

Field qualification must comply with the following:

- 1. Test for compressive strength at opening age and 7 days of age
- 2. At opening age, the compressive strength for each test must be at least 180 psi and the average strength for the 3 tests must be at least 200 psi
- 3. At 7 days age, the compressive strength for each test must be at least 600 psi and the average strength for the 3 tests must be at least 725 psi

# 28-4.01D(2) Quality Control 28-4.01D(2)(a) General

Reserved

# 28-4.01D(2)(b) Quality Control Manager

Reserved

#### 28-4.01D(2)(c) Quality Control Testing

Test the base under the test methods and at the locations and frequencies shown in the following table:

**LCB Rapid Setting Sampling Location and Testing Frequencies** 

202 Rapid Cotting Camping 200ation and 100ting 110 quotions			
Quality characteristic	Test method	Sampling location	Minimum sampling and testing frequency
Sand equivalent	ASTM D2419	Source	1 per 500 cu yd, minimum 1 per day
Aggregate gradation	ASTM C136	Source	of production
Air content	ASTM C231		
Penetration <sup>a</sup>	ASTM C360		1 per 4 hours of placement work, plus
Slump <sup>a</sup>	ASTM C143	Job site	one in the last hour of placement work
Compressive strength	California Test 521		one in the last flour of placement work

<sup>&</sup>lt;sup>a</sup>Test either penetration or slump

During placement of lean concrete base rapid setting, fabricate cylinders and test compressive strength for opening age and 7 days. Opening age tests must be performed in the presence of the Engineer.

### 28-4.01D(3) Department Acceptance

The Department accepts LCB rapid setting based on compliance with the requirement shown in the following table:

**LCB Rapid Setting Requirements for Acceptance** 

Quality characteristic	Test method	Requirement
Compressive strength (min, psi at 7 days)	California Test 521 <sup>a</sup>	725

<sup>&</sup>lt;sup>a</sup>Cylinders made under California Test 540

#### Replace the 2nd and 3rd paragraphs in section 28-4.03A with:

07-15-16

Concrete paving operations with equipment not supported by the base may start before opening age. Do not open pavement for traffic before opening age of the LCB rapid setting.

Any other paving operations must start after the final set time of the base. The base must have a compressive strength of at least 450 psi under California Test 521 before:

- 1. Placing HMA
- 2. Placing other base material
- 3. Operating equipment on the base

# Replace Reserved in section 28-5.01C with:

07-15-16

Submit a concrete base QC plan.

# Replace the headings and paragraphs in section 28-5.01D(2) with:

07-15-16

28-5.01D(2) Quality Control 28-5.01D(2)(a) General

Reserved

28-5.01D(2)(b) Quality Control Manager

Reserved

# 28-5.01D(2)(c) Quality Control Testing

Test the concrete base under the test methods and at the locations and frequencies shown in the following table:

**Concrete Base Sampling Location and Testing Frequencies** 

Quality characteristic	Test method	Sample location	Minimum testing frequency <sup>a</sup>
Cleanness value	California Test 227		1 per 500 cubic yards but at
Sand equivalent	California Test 217	Source	least 1 per shift
Aggregate gradation	California Test 202		
Air content	California Test 504		1 per 500 cu yd but at least 1 per shift
Yield	California Test 518		1 per shift
Slump or penetration	ASTM C143 or California		1 per 2 hours of placement
	Test 533	Job site	
Density	California Test 518	JOD SILE	1 per shift
Aggregate moisture	California Test 223 or		1 per shift
meter calibration <sup>b</sup>	California Test 226		
Modulus of rupture	California Test 524		1 per 500 cu yd but at least 1 per
			shift

<sup>&</sup>lt;sup>a</sup>Test at the most frequent interval.

#### 28-5.01D(3) Department Acceptance

The Department accepts a concrete base based on compliance with the requirements shown in the following table:

**Concrete Base Requirements for Acceptance** 

Quality characteristic	Test method	Requirement
Modulus of rupture (min, psi at 28 days)	California Test 523	570

Acceptance for the modulus of rupture is on a lot basis. The Department provides the molds and machines for the modulus of rupture acceptance testing. Provide any material and labor the Engineer may require for the testing.

^^^^^

<sup>&</sup>lt;sup>b</sup>Check calibration of the plant moisture meter by comparing moisture meter readings with California Test 223 or California Test 226 test results.

#### 29 TREATED PERMEABLE BASES

07-15-16

# Replace the headings and paragraphs in section 29-1.01 with:

07-15-16

#### 29-1.01 GENERAL

#### 29-1.01A Summary

Section 29-1 includes general specifications for constructing treated permeable bases.

#### 29-1.01B Definitions

Reserved

#### 29-1.01C Submittals

Submit a treated permeable base quality control plan.

### 29-1.01D Quality Assurance

29-1.01D(1) General

Reserved

29-1.01D(2) Quality Control

29-1.01D(2)(a) General

Reserved

#### 29-1.01D(2)(b) Quality Control Plan

Reserved

# 29-1.01D(2)(c) Qualifications

Reserved

# 29-1.01D(3) Department Acceptance

Reserved

#### Replace the headings and paragraphs in section 29-2.01D with:

07-15-16

#### 29-2.01D Quality Assurance

# 29-2.01D(1) General

The Engineer determines the asphalt content of the asphalt mixture under California Test 382. The bitumen ratio, pounds of asphalt per 100 lb of dry aggregate, must not vary more than 0.5 lb of asphalt above or below the quantity designated by the Engineer. Samples used to determine the bitumen ratio are obtained from trucks at the plant or from the mat behind the paver before rolling. If the sample is taken from the mat behind the paver, the bitumen ratio must not be less than the quantity designated by the Engineer, less 0.7 lb of asphalt per 100 lb of dry aggregate.

# 29-2.01D(2) Quality Control

29-2.01D(2)(a) General

Reserved

#### 29-2.01D(2)(b) Quality Control Testing

ATPB quality control must include testing the quality characteristics at the frequencies shown in the following table:

**QC Testing Frequencies** 

Quality characteristic	Test method	Sampling location	Minimum frequency
Gradation	California Test 202	Stockpiles or plant	1 for every 4 hours of production but at least one per day of placement
Cleanness value	California Test 227	Stockpiles or plant	1 for every 4 hours of production but at least one per day
Percentage of crushed particles	California Test 205	Stockpiles or plant	1 test before production and one every 5,000 cu yd thereafter
Los Angeles rattler loss at 500 rev	California Test 211	Stockpiles or plant	1 test before production and one every 5,000 cu yd thereafter
Film stripping	California Test 302	Plant	1 test before production and one every 5000 cu yd thereafter
Asphalt content of the asphalt mixture	California Test 382	Plant, transportation units, windrows, or roadway	1 for every 4 hours of production but at least one per day

#### 29-2.01D(3) Department Acceptance

The Department accepts ATPB based on aggregate gradation, cleanness value, percent of crushed particles, Los Angeles rattler, film stripping and asphalt content requirements specified in section 29-2.02 and section 29-2.01D(1).

The Engineer takes samples for aggregate gradation, cleanness value, percent of crushed particles, Los Angeles rattler, and film stripping from the plant.

The Engineer takes samples for asphalt content of the asphalt mixture from any of the following locations:

- 1. Plant
- 2. Truck
- 3. Windrow
- 4. Roadbed

# Replace the headings and paragraphs in section 29-3.01 with:

07-15-16

#### 29-3.01 GENERAL

#### 29-3.01A Summary

Section 29-3 includes specifications for constructing cement treated permeable bases.

29-3.01B Definitions

Reserved

29-3.01C Submittals

Reserved

29-3.01D Quality Assurance

29-3.01D(1) General

Reserved

# 29-3.01D(2) Quality Control 29-3.01D(2)(a) General

Reserved

# 29-3.01D(2)(b) Quality Control Testing

CTPB quality control must include testing the quality characteristics at the frequencies shown in the following table:

**QC Testing Frequencies** 

Quality characteristic	Test method	Sampling location	Minimum frequency
Gradation	California Test	Stockpiles or plant	1 for every 4 hours of
	202		production but at least one
			per day of placement
Cleanness value	California Test	Stockpiles or plant	1 for every 4 hours of
	227		production but at least one
			per day
Los Angeles rattler	California Test	Stockpiles or plant	1 test before production and
loss at 500 rev	211		one every 5,000 cu yd
			thereafter
Soundness	California Test	Stockpiles or plant	1 test before production and
	214		one every 5,000 cu yd
			thereafter

# 29-3.01D(3) Department Acceptance

The Department accepts CTPB based on aggregate gradation, cleanness value, Los Angeles rattler and soundness requirements in section 29-3.02.

The Engineer takes samples for aggregate gradation, cleanness value, Los Angeles rattler and soundness from the plant.

#### Add to section 29-3.02A:

07-15-16

Water must comply with section 90-1.02D.

Replace 3rd in the 2nd paragraph in section 29-3.03 with:

07-15-16

4th

^^^^^

# **30 RECLAIMED PAVEMENT**

07-15-16

Replace section 30-1.01C(2)(c) in the 1st paragraph of section 30-3.01C(2)(c) with:

07-15-16

section 30-1.01C(3)(c)

#### Replace the table in section 30-3.02A with:

07-15-16

FDR—Foamed Asphalt Quality Characteristic Requirements

Quality characteristic	Test method	Requirement
Moisture content before HMA paving	California Test 226	< 50% of OMC
Asphalt binder expansion ratio (min, %)	Note o	10
Asphalt binder half-life (seconds, min)	Note a	12
Gradation (%, passing) Sieve Size: 3 inch 2 inch 1-1/2 inch	California Test 202	100 95–100 85–100
Moisture content Maximum Minimum	California Test 226	OMC OMC - 2%
In-place wet density (lb/cu ft)	California Test 216	Report only
Relative compaction (min, %)	California Test 231	98
Indirect dry tensile strength (psi) <sup>b</sup>	California Test 371	90% of mix design value
Indirect wet tensile strength (psi) <sup>b</sup>	California Test 371	90% of mix design value
Tensile strength ratio (%)	California Test 371	90% of mix design value

<sup>&</sup>lt;sup>a</sup>Test at the foaming temperature and percentage of foaming water by dry weight of FDR—foamed asphalt material designated in the mix design. To test asphalt binder expansion ratio and half-life, use a pail of known volume and a dipstick calibrated for the pail. From the inspection nozzle on the asphalt binder spray bar, inject foamed asphalt into the pail without exceeding the pail's capacity. With the dipstick, immediately measure and record the level of foamed asphalt in the pail. Record the half-life in seconds from the time the injection of foamed asphalt in the pail is turned off to half the dip stick reading after peak. Calculate the expansion ratio as the volume of the foamed asphalt upon injection divided by the volume of the unfoamed asphalt binder.

<sup>b</sup>From material passing the 1-inch sieve, compact 6 specimens under California Test 304, Part 2. Cure the specimens at 100 °F for 72 hours and allow the specimens to cool to room temperature. Test 3 specimens for dry tensile strength under California Test 371. Test 3 specimens for wet tensile strength under California Test 371 after moisture conditioning.

97-15-16 section 30-4.01D(4)

Replace section 30-4.01D(1)(a) in the table in section 30-4.02A with:

07-15-16

section 30-4.01D(2)

# DIVISION V SURFACINGS AND PAVEMENTS 36 GENERAL

07-21-17 Replace section 36-3 with:

07-21-17

#### 36-3 PAVEMENT SMOOTHNESS

# **36-3.01 GENERAL**

# 36-3.01A Summary

Section 36-3 includes specifications for measuring the smoothness of pavement surfaces.

#### 36-3.01B Definitions

**area of localized roughness:** Moving average of the International Roughness Index values for each wheel path using a 25-foot continuous interval and a 250-mm filter.

**Mean Roughness Index:** Average of the International Roughness Index values for the left and right wheel paths for the same traffic lane using a fixed interval and a 250-mm filter.

wheel paths: Pair of lines 3 feet from and parallel to the edges of a traffic lane. Left and right wheel paths are based on the direction of travel.

#### 36-3.01C Submittals

# 36-3.01C(1) General

Reserved

#### 36-3.01C(2) Inertial Profiler Certification

At least 5 business days before starting initial profiling or changing the inertial profiler or operator, submit:

- 1. Inertial profiler certification issued by the Department
- 2. Operator certification for the inertial profiler issued by the Department
- 3. Manufacturer's instructions and test procedures for calibration and verification of the inertial profiler

Within 2 business days after cross-correlation testing, submit a ProVAL profiler certification analysis report for the test results to the Engineer and to the electronic mailbox address smoothness@dot.ca.gov.

#### 36-3.01C(3) Inertial Profiler Data

# 36-3.01C(3)(a) General

At least 15 days before inertial profiling, you must register with the Department's secure file sharing system. To obtain information on the registration process, send an e-mail with your contact information to smoothness@dot.ca.gov.

Within 2 business days after each day of profiling, submit the profile information to the Engineer and to the Department's secure file sharing system. After submitting the profile information to the Department's file sharing system, send a notification of your electronic submittal to the Engineer and to the above electronic mailbox address with the names of the files submitted.

The profiling information must include:

- 1. Raw profile data for each lane
- 2. ProVAL ride quality analysis report for the Mean Roughness Index of each lane in a PDF file. Report the following:
  - 2.1. Listing of Mean Roughness Index values for 0.1-mile segments or portions thereof
  - 2.2. Inputs, including the specified Mean Roughness Index threshold and fixed segment length
  - 2.3. Raw profile data name selections
  - 2.4. Areas exempt from inertial profile smoothness

- 3. ProVAL ride quality analysis report for the International Roughness Index of the left and right wheel paths of each lane in a PDF file. Report the following:
  - 3.1. Listing of areas of localized roughness
  - 3.2. Inputs, including the specified area of the localized roughness threshold and continuous segment length
  - 3.3. Raw profile data name selections
  - 3.4. Areas exempt from inertial profile smoothness
- 4. GPS data file for each lane. Submit the data file in GPS eXchange file format.
- 5. Manufacturer's recommended calibration and verification test results for the inertial profiler.
- 6. Inertial profiler's calibration and verification test results, including results for bounce, block, and the distance measurement instrument.
- 7. Completed Pavement Smoothness Inertial Profiler Submittal Record.

Submit Asphalt Concrete Pavement Smoothness Corrections Information or Concrete Pavement Smoothness Corrections Information with your final profiling information submittal.

Submit the raw profile data in an unfiltered electronic pavement profile file format. Use the following filenaming convention:

YYYYMMDD TTCCCRRR EA D L W B E X PT.PPF

where:

YYYY = year

MM = month, leading zero

DD = day of month, leading zero

TT = district, leading zero

CCC = county, 2- or 3-letter abbreviation as shown in section 1-1.08

RRR = route number with no leading zeros

EA = Contract number, excluding the district identification number, expressed as 6 characters

D = traffic direction, NB, SB, WB, or EB

L = lane number from left to right in the direction of travel

W = wheel path, L for left, R for right, or B for both

B = beginning station to the nearest foot, such as 10+20, or beginning post mile to the nearest hundredth, such as 25.06 with no leading zero

E = ending station to the nearest foot, such as 14+20, or ending post mile to the nearest hundredth, such as 28.06 with no leading zero

X = profile operation, *EXIST* for existing pavement, *INTER* for after prepaving smoothness correction, *MILL* for after milling, *PAVE* for after paving, and *CORR* for after final surface pavement correction

PT = type of pavement surface profiled, such as Type A HMA, RHMA-G, JPCP, or CRCP

If you are submitting multiple inertial profiler data files, compress the files into a .ZIP file format and submit them using the file-naming convention TT\_EA\_X\_YYYYMMDD.zip.

# 36-3.01C(3)(b) Smoothness Corrective Grinding Plan

At least 2 business days before performing corrective grinding for areas of localized roughness or areas exceeding the specified thresholds for the Mean Roughness Index, submit a corrective grinding plan as an informational submittal.

The corrective grinding plan must include:

- 1. Grinder manufacturer make and model
- 2. Grinder wheelbase in feet, measured from the front centerline to the back centerline of the single wheel or tandem wheel spread
- 3. Grinder head position in feet, measured relative to the centerline of the front single wheel or the front tandem wheel spread
- 4. Tandem wheel spreads in feet, for rear and front wheels as applicable
- 5. Tabular listing of the planned corrective grinding, including:
  - 5.1. Start and stop locations in stationing to the nearest foot
  - 5.2. Width of grind, such as left half lane, right half lane, or full width lane
  - 5.3. Corresponding grinder head depths to the nearest 0.01 inch
  - 5.4. Direction of grind, up to 2 passes per grind location, such as forward, reverse, forward-forward, reverse-reverse, forward-reverse, reverse-forward
  - 5.5. Distance from start or stop locations to the nearest semipermanent reference point
- 6. Forecasted improvement in terms of the Mean Roughness Index and area of localized roughness values

# 36-3.01C(4) Straightedge Measurements

Within 2 business days of measuring smoothness with a straightedge, submit a list of the areas requiring smoothness correction or a report stating there are no areas requiring smoothness correction. Identify the areas requiring smoothness correction by:

- 1. Location number
- 2. District-County-Route
- 3. Beginning station or post mile to the nearest 0.01 mile
- 4. For correction areas within a traffic lane:
  - 4.1. Lane direction. NB. SB. EB. or WB
  - 4.2. Lane number from left to right in the direction of travel
  - 4.3. Wheel path, L for left, R for right, or B for both
- 5. For correction areas not within a traffic lane:
  - 5.1. Identify the pavement area, such as shoulder, weigh station, or turnout
  - 5.2. Direction and distance from the centerline, *L* for left or *R* for right
- 6. Estimated size of correction area

# 36-3.01D Quality Assurance 36-3.01D(1) General

Reserved

#### 36-3.01D(2) Certifications

The inertial profiler must display a current certification decal showing the expiration date.

The operator must be certified for each model of inertial profiler operated.

The certifications issued by the Department for the inertial profiler and operator must not be more than 12 months old.

36-3.01D(3) Quality Control 36-3.01D(3)(a) General

Reserved

# 36-3.01D(3)(b) Smoothness

# 36-3.01D(3)(b)(i) General

Test pavement smoothness using an inertial profiler except use a 12-foot straightedge for the pavement at:

- 1. Traffic lanes less than 1,000 feet in length, including ramps, turn lanes, and acceleration and deceleration lanes
- 2. Horizontal curves with a centerline radius less than the following and within the superelevation transition of such curves:
  - 2.1. 150 feet for asphalt concrete pavements
  - 2.2. 300 feet for Portland cement concrete pavements
- 3. Areas within 12.5 feet of manholes
- 4. Shoulders
- 5. Weigh-in-motion areas
- 6. Miscellaneous areas such as medians, gore areas, turnouts, and maintenance pullouts

Where inertial profiler testing is required:

- 1. Determine the pavement smoothness for each traffic lane by obtaining the International Roughness Index for the left and right wheel paths in an individual lane
- 2. Determine the Mean Roughness Index and areas of localized roughness using FHWA's engineering software ProVAL

Where OGFC is required, test the pavement smoothness of the final HMA or concrete pavement surface before placing OGFC and after placing OGFC.

# 36-3.01D(3)(b)(ii) Inertial Profiler Calibration and Verification Tests

Notify the Engineer at least 2 business days before performing calibration and verification testing of the inertial profiler.

Conduct the following calibration and verification tests in the Engineer's presence each day before profiling:

- 1. Block test to verify the accuracy of the height sensor under California Test 387
- 2. Bounce test to verify the combined accuracy of the height sensor and accelerometer under California Test 387
- 3. Distance measurement instrument test to verify the accuracy of the distance measuring instrument under California Test 387
- 4. Manufacturer's recommended tests

Conduct a cross-correlation verification test of the inertial profiler in the Engineer's presence before performing the initial profiling. A verification test must be performed at least annually. Conduct 5 repeat runs of the inertial profiler on an authorized test section. The test section must be a 0.1-mile segment of existing concrete pavement if you are measuring new concrete pavement or existing asphalt concrete pavement if you are measuring new asphalt concrete pavement. Where micro-milled asphalt concrete surfaces are to be measured, the cross-correlation verification test may be performed on the initial 0.1-mile section of milled asphalt concrete surface. Calculate a cross-correlation to determine the repeatability of your device under California Test 387 using a ProVAL profiler certification analysis with a 3-foot maximum offset. The cross-correlation must be a minimum of 0.92.

#### 36-3.01D(3)(b)(iii) Performing, Analyzing, and Collecting Data

Operate the inertial profiler under the manufacturer's instructions and AASHTO R 57 at 1-inch recording intervals using a minimum 4-inch line laser sensor.

Establish semipermanent reference points for aligning inertial profiler runs and locating potential corrective grinding. Place semipermanent reference points at a frequency of 0.5 mile or less along the

edge of the traffic lane or roadway. Maintain semipermanent reference points until Department acceptance testing is completed.

Collect profiling data under AASHTO R 57 and analyze it using 250 mm and International Roughness Index filters.

While collecting the profile data to determine the International Roughness Index values, record semipermanent reference points and the beginning and end of the following locations in the raw profile data:

- 1. Bridge approach slabs
- 2. Bridges
- 3. Culverts visible on the roadway surface
- 4. Railroad crossings
- 5. At-grade intersections
- 6. Project limits
- 7. Change in pavement type

Profile the left and right wheel paths of each lane.

Determine the Mean Roughness Index for 0.1-mile fixed sections using the ProVAL ride quality analysis with a 250 mm filter. Calculate the Mean Roughness Index of each lane. A partial section equal or less than 0.05-mile length is to be included with the previous or the subsequent segment forming up to a 0.15-mile length. A partial section greater than 0.05 mile, but less than 0.10 mile, is a separate segment. Sections must comply with the Mean Roughness Index specifications for a full section. A weighted average calculation will be used for those partial sections that have been combined with previous or subsequent segments.

Determine the areas of localized roughness using ProVAL with the average International Roughness Index values for each wheel path using a 25-foot continuous interval and a 250 mm filter.

# 36-3.01D(4) Department Acceptance

The Department accepts pavement surfaces for smoothness based on compliance with the smoothness specifications for the type of pavement surface specified.

For areas that require pavement smoothness determined using a 12-foot straightedge, the pavement surface must not vary from the lower edge of the straightedge by more than:

- 1. 0.01 foot when the straightedge is laid parallel with the centerline
- 2. 0.02 foot when the straightedge is laid perpendicular to the centerline and extends from edge to edge of a traffic lane
- 3. 0.02 foot when the straightedge is laid within 24 feet of a pavement conform

Pavement located within 12.5 feet of the ends of bridges, approach slabs, culverts visible on the roadway surface, railroad crossings, at-grade intersections, and transverse surface joints with existing pavement must comply with Mean Roughness Index and 12-foot straightedge requirements. The requirements for areas of localized roughness do not apply to these areas.

For each 0.1-mile section, your International Roughness Index values must be within 10 percent of the Department's International Roughness Index values. The Engineer may order you to recalibrate your inertial profiler equipment and reprofile. If your results are inaccurate due to operator error, the Engineer may disqualify your inertial profiler operator.

# **36-3.02 MATERIALS**

Not Used

#### 36-3.03 CONSTRUCTION

Notify the Engineer of the start location by station and start time at least 2 business days before each day of profiling.

Before profiling, remove foreign objects from the pavement surface and mark the beginning and ending station on the pavement shoulder. The stationing must be the same when profiling more than one surface.

#### **36-3.04 PAYMENT**

Not Used

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#### 37 BITUMINOUS SEALS

01-20-17 Replace section 37 with:

07-15-16

# 37 SEAL COATS 37-1 GENERAL

#### **37-1.01 GENERAL**

#### 37-1.01A Summary

Section 37-1 includes general specifications for applying seal coats.

#### 37-1.01B Definitions

Reserved

#### 37-1.01C Submittals

At least 10 days before the preconstruction meeting submit a list of participants in the preconstruction meeting. Provide each participant's name, employer, title, and role in the production and placement of the seal coats.

At least 10 days before starting seal coat activities, submit the names of the authorized laboratories for quality control testing.

For each delivery of asphalt binder or asphaltic emulsion to the job site, submit a certificate of compliance and a copy of the specified test results.

For a seal coat that uses crumb rubber modifier, submit a Crumb Rubber Usage Report form monthly and at the end of project.

# 37-1.01D Quality Assurance

# 37-1.01D(1) General

For aggregate testing, quality control laboratories must be in compliance with the Department's Independent Assurance Program to be an authorized laboratory. Quality control personnel must be qualified under the Department's Independent Assurance Program.

01-20-17

For emulsion testing, quality control laboratories must participate in the AASHTO re:source proficiency sample program.

#### 37-1.01D(2) Preconstruction Meeting

Hold a preconstruction meeting within 5 days before start of seal coat work at a mutually agreed time and place with the Engineer and your:

- 1. Project superintendent
- 2. Project foreman
- 3. Traffic control foreman

Make arrangements for the conference facility. Preconstruction meeting participants must sign an attendance sheet provided by the Engineer. Be prepared to discuss:

- 1. Quality control testing
- 2. Acceptance testing
- 3. Seal coat placement
- 4. Proposed application rates for asphaltic emulsion or asphalt binder and aggregate.
- 5. Training on placement methods
- 6. Checklist of items for proper placement
- 7. Unique issues specific to the project, including:
  - 7.1. Weather
  - 7.2. Alignment and geometrics
  - 7.3. Traffic control requirements
  - 7.4. Haul distances
  - 7.5. Presence and absence of shaded areas
  - 7.6. Any other local conditions
- 8. Contingency plan for material deliveries, equipment breakdowns, and traffic handling
- 9. Who in the field has authority to adjust application rates and how adjustments will be documented
- 10. Schedule of sweepings

#### **37-1.02 MATERIALS**

Not Used

#### 37-1.03 CONSTRUCTION

#### 37-1.03A General

If seal coat activities affect access to public parking, residential property, or commercial property, post signs at 100-foot intervals on the affected streets. Signs must display *No Parking – Tow Away*. Signs must state the dates and hours parking or access will be restricted. Notify residents, businesses, and local agencies at least 24 hours before starting activities. The notice must:

- 1. Describe the work to be performed
- 2. Detail streets and limits of activities
- 3. Indicate dates and work hours
- 4. Be authorized

Asphaltic emulsion or asphalt binder for seal coats may be reheated if necessary. After loading the asphaltic emulsion or asphalt binder into a truck for transport to the job site, do not heat asphaltic emulsion above 160 degrees F and asphalt rubber binder above 425 degrees F. During reheating, circulate or agitate the asphaltic emulsion or asphalt binder to prevent localized overheating.

Except for fog seals, apply quick setting Grade 1 asphaltic emulsions at a temperature from 75 to 130 degrees F and apply quick setting Grade 2 asphaltic emulsions at a temperature from 110 to 185 degrees F.

You determine the application rates for asphaltic emulsion or asphalt binder and aggregate and the Engineer authorizes the application rates.

# 37-1.03B Equipment

A self-propelled distributor truck for applying asphaltic emulsion or asphalt binder must be equipped with:

- 1. Pressure-type system with insulated tanks with circulating unit
- 2. Spray bars:
  - 2.1. With minimum length of 9 feet and full-circulating type
  - 2.2. With full-circulating-type extensions if needed to cover a greater width
  - 2.3. Adjustable to allow positioning at various heights above the surface to be treated
  - 2.4. Operated by levers such that 1 or all valves may be quickly opened or closed in one operation
- 3. Devices and charts to provide for accurate and rapid determination and control of asphaltic emulsion or asphalt binder quantities being applied. Include an auxiliary wheel type meter that registers:
  - 3.1. Speed in ft/min
  - 3.2. Trip by count
  - 3.3. Total distance in feet
- 4. Distribution system:
  - 4.1. Capable of producing a uniform application of asphaltic emulsion or asphalt binder in controlled quantities ranging from 0.02 to 1 gal/sq yd of surface and at a pressure ranging from 25 to 75 psi
  - 4.2. Pumps that spray asphaltic emulsion or asphalt binder within 0.02 gal/sq yd of the set rate
  - 4.3. With a hose and nozzle for application of asphaltic emulsion to areas inaccessible to the spray
  - 4.4. With pressure gauges and a thermometer for determining temperatures of the asphaltic emulsion or asphalt binder

You may use cab-controlled valves for the application of asphaltic emulsion or asphalt binder. The valves controlling the flow from nozzles must act positively to provide a uniform unbroken application of asphaltic emulsion or asphalt binder.

Maintain distributor and storage tanks at all times to prevent dripping.

#### **37-1.04 PAYMENT**

Not Used

#### 37-2 CHIP SEALS

#### **37-2.01 GENERAL**

37-2.01A General

#### 37-2.01A(1) Summary

Section 37-2.01 includes general specifications for applying chip seals.

#### 37-2.01A(2) Definitions

Reserved

#### 37-2.01A(3) Submittals

At least 15 days before starting placement of chip seal, submit:

- 1. Samples for:
  - 1.1. Asphaltic emulsion chip seal, two 1-quart wide mouth plastic containers with screw top lid of asphaltic emulsion
  - 1.2. Polymer modified asphaltic emulsion chip seal, two 1-quart wide mouth plastic containers with screw top lid of polymer modified asphaltic emulsion
  - 1.3. Asphalt rubber binder chip seal, two 1-quart cans of base asphalt binder
  - 1.4. Asphalt rubber binder chip seal, five 1-quart cans of asphalt rubber binder
- 2. Asphaltic emulsion, polymer modified asphaltic emulsion, asphalt binder or asphalt rubber binder data as follows:
  - 2.1. Supplier and Type/Grade of asphaltic emulsion or asphalt binder
  - 2.2. Type of modifier used including polymer or crumb rubber or both

- 2.3. Percent of crumb rubber, if used as modifier
- 2.4. Copy of the specified test results for asphaltic emulsion or asphalt binder
- 3. 50 lb of uncoated aggregate
- 4. Aggregate test results for the following:
  - 4.1. Gradation
  - 4.2. Los Angeles Rattler
  - 4.3. Percent of crushed particles
  - 4.4. Flat and elongated particles
  - 4.5. Film stripping
  - 4.6. Cleanness value
  - 4.7. Durability
- 5. Vialit test results

Submit quality control test results for the quality characteristics within the reporting times allowance after sampling shown in the following table:

**Quality Control Test Result Reporting** 

-, <b>,</b>	
Quality characteristic	Maximum reporting time allowance
Los Angeles Rattler loss (max, %)	48 hours
Percent of crushed particles (min, %)	48 hours
Flat and elongated particles (max by weight at 3:1, %)	48 hours
Film stripping (max, %)	48 hours
Durability (min)	48 hours
Gradation (percentage passing)	24 hours
Cleanness value (min)	24 hours
Asphaltic emulsion spread rate (gal/sq yd)	24 hours

Within 3 days after taking asphaltic emulsion or asphalt binder quality control samples, submit the authorized laboratory's test results.

37-2.01A(4) Quality Assurance 37-2.01A(4)(a) General

Reserved

37-2.01A(4)(b) Quality Control 37-2.01A(4)(b)(i) General

Reserved

# 37-2.01A(4)(b)(ii) Aggregate

All tests must be performed on uncoated aggregate except for film stripping which must be performed on precoated aggregate.

For aggregate, the authorized laboratory must perform sampling and testing at the specified frequency and location for the following quality characteristics:

# **Aggregate Quality Control Requirements**

Quality characteristic	Test method	Minimum sampling and testing frequency	Location of sampling
Los Angeles Rattler loss (max, %) At 100 revolutions At 500 revolutions	California Test 211	1st day of production	See California Test 125
Percent of crushed particles Coarse aggregate (min, %) One-fractured face Two-fractured faces Fine aggregate (min, %) (Passing No. 4 sieve and retained on No. 8 sieve) One fractured face	AASHTO T 335	1st day of production	See California Test 125
Flat and elongated particles (max by weight at 3:1, %)	ASTM D4791	1st day of production	See California Test 125
Film stripping (max, %)	California Test 302	1st day of production	See California Test 125
Durability (min)	California Test 229	1st day of production	See California Test 125
Gradation (% passing)	California Test 202	2 per day	See California Test 125
Cleanness value (min)	California Test 227	2 per day	See California Test 125

# 37-2.01A(4)(b)(iii) Chip Seals

For a chip seal, the authorized laboratory must perform sampling and testing at the specified frequency and location for the following quality characteristics:

**Chip Seal Quality Control Requirements** 

omp ocal quanty control requirements					
Quality characteristic	Test method	Minimum sampling	Location of		
		and testing frequency	sampling		
Asphaltic emulsion binder spread rate (gal/sq yd)	California Test 339	1 per day per distributor truck	Pavement surface		

### 37-2.01A(4)(c) Department Acceptance

Department Acceptance shall not apply to identified areas where the existing surfacing before application of chip seal, contains defective areas as determined by the Engineer and Contractor. At least 7 days before starting placement of the chip seal, the Contractor shall submit a written list of existing defective areas, identifying the lane direction, lane number, starting and ending highway post mile locations, and defect type. The Engineer must agree on which of the identified areas are defective.

Defective areas are defined as one of the following:

- 1. Areas with wheel path rutting in excess of 3/8 inch when measured by placing a straightedge 12 feet long on the finished surface perpendicular to the center line and measuring the vertical distance between the finished surface and the lower edge of the straightedge
- 2. Areas exhibiting flushing

For a chip seal, acceptance is based on visual inspection for the following:

1. Uniform surface texture

- 2. Raveling, which consists of the separation of the aggregate from the asphaltic emulsion or asphalt binder
- 3. Flushing, which consists of the occurrence of a film of asphaltic material on the surface of the chip seal.
- 4. Streaking, which consists of alternating longitudinal bands of asphaltic emulsion or asphalt binder without uniform aggregate retention, approximately parallel with the lane line.

Areas of raveling, flushing or streaking that are greater than 0.5 sq ft shall be considered defective and must be repaired.

Raveling and streaking must be repaired by placing an additional layer of chip seal over the defective area.

For asphaltic emulsion or asphalt binder, acceptance is based on the Department's sampling and testing for compliance with the requirements for the quality characteristics specified.

For aggregate, acceptance is based on the Department's sampling and testing for compliance with the requirements shown in the following table:

**Chip Seal Aggregate Acceptance Criteria** 

Quality characteristic	Test method	Requirements
Los Angeles Rattler loss (max, %)		, sequinesses
At 100 revolutions	California Test 211	10
At 500 revolutions		40
Percent of crushed particles:	AASHTO T 335	
Coarse aggregate (min, %)		
One-fractured face		95
Two-fractured faces		90
Fine aggregate (min, %)		
(Passing No. 4 sieve and retained on No. 8 sieve)		
One fractured face		70
Flat and elongated particles (max by weight at 3:1, %)	ASTM D4791	10
Film stripping (max, %)	California Test 302	25
Durability (min)	California Test 229	52
Gradation (% passing by weight)	California Test 202	Aggregate Gradation
		table shown under
		Materials for the chip
		seal type specified.
Cleanness value (min)	California Test 227	80

If test results for the aggregate gradation do not comply with specifications, you may remove the chip seal represented by these tests or request that it remain in place with a payment deduction. The deduction is \$1.75 per ton for the aggregate represented by the test results.

If test results for aggregate cleanness value do not comply with the specifications, you may remove the chip seal represented by these tests or you may request that the chip seal remain in place with a pay deduction corresponding to the cleanness value shown in the following table:

**Chip Seal Cleanness Value Deductions** 

Cleanness value	Deduction	
80 or over	None	
79	\$2.00 /ton	
77–78	\$4.00 /ton	
75–76	\$6.00 /ton	

If the aggregate cleanness value is less than 75, remove the chip seal.

37-2.01B Materials

37-2.01B(1) General

Reserved

# 37-2.01B(2) Asphaltic Emulsions and Asphalt Binders

Reserved

# 37-2.01B(3) Aggregate

#### 37-2.01B(3)(a) General

Aggregate must be broken stone, crushed gravel, or both.

Aggregate must comply with the requirements shown in the following table:

**Chip Seal Aggregate Requirements** 

Quality characteristic	Test method	Requirements
Los Angeles Rattler loss (max, %)		
At 100 revolutions	California Test 211	10
At 500 revolutions		40
Percent of crushed particles	AASHTO T 335	
Coarse aggregate (min, %)		
One-fractured face		95
Two-fractured faces		90
Fine aggregate (min, %)		
(Passing No. 4 sieve and retained on No. 8 sieve)		
One fractured face		70
Flat and elongated particles (max by weight at 3:1, %)	ASTM D4791	10
Film stripping (max, %)	California Test 302	25
Durability (min)	California Test 229	52
Gradation (% passing by weight)	California Test 202	Aggregate Gradation
		table shown under
		Materials for the chip
		seal type specified.
Cleanness value (min)	California Test 227	80

The authorized laboratory must conduct the Vialit test using the proposed asphaltic emulsion or asphalt binder and aggregate for compliance with the requirements shown in the following table:

**Chip Retention Requirements** 

Citie Recontine Reconstruction				
Quality characteristic	Test method	Requirement		
Chip retention (%)	Vialit test method for aggregate in chip seals, French chip (Modified) <sup>a</sup>	95		

<sup>&</sup>lt;sup>a</sup>The asphaltic emulsion or asphalt binder must be within the field placement temperature range and application rate during specimen preparation. For asphalt binder cure the specimen for first 2 hours at 100 °F.

# 37-2.01B(3)(b) Precoated Aggregate

Precoating of aggregate must be performed at a central mixing plant. The plant must be authorized under the Department's *MPQP*.

When precoating aggregate, do not recombine fine materials collected in dust control systems.

Precoated aggregate must be preheated from 260 to 325 degrees F. Coat with any of the asphalts specified in the table titled "Performance Graded Asphalt Binder" in section 92. The asphalt must be from 0.5 to 1.0 percent by weight of dry aggregate. You determine the exact asphalt rate for precoating of aggregate.

Do not stockpile precoated aggregate.

#### 37-2.01C Construction

#### 37-2.01C(1) General

For chip seals on 2-lane, 2-way roadways, place a W8-7 (LOOSE GRAVEL) sign and a W13-1 (35) plaque at 2,000-foot maximum intervals along each side of the traveled way where aggregate is spread on a traffic lane and at public roads or streets entering the chip seal area. Place the 1st W8-7 sign in each direction where traffic first encounters the loose aggregate, regardless of which lane the aggregate is spread on. A W13-1 (35) plaque is not required where the posted speed limit is less than 40 mph.

For chip seals on freeways, expressways, and multilane conventional highways, place a W8-7, (LOOSE GRAVEL) sign and a W13-1 (35) plaque at 2,000-foot maximum intervals along the outside edge of the traveled way nearest to the lane worked on, at on ramps, and at public roads or streets entering the chip seal area. Place the 1st W8-7 sign where the aggregate starts with respect to the direction of travel on that lane. A W13-1 (35) plaque is not required where the posted speed limit is less than 40 mph.

Pilot cars must have cellular or radio contact with other pilot cars and personnel in the work zone. The maximum speed of the pilot cars convoying or controlling traffic through the traffic control zone must be 15 mph on 2-lane, two-way highways and 25 mph on multilane divided and undivided highways. Pilot cars must only use traffic lanes open to traffic.

On the days that closures are not allowed, you may use a moving closure to maintain the seal coat surface. The moving closure is only allowed during daylight hours when traffic will be the least inconvenienced and delayed. The Engineer determines the hours for the moving closure.

Maintain signs in place at each location until the final sweeping of the chip seal surface for that location is complete. Signs may be set on temporary portable supports with the W13-1 sign below the W8-7 sign or on barricades with the W13-1 sign alternating with the W8-7 sign.

Schedule chip seal activities so that the chip seals are placed on both lanes of the traveled way each work shift.

If traffic is routed over a surface where a chip seal application is intended, the chip seal must not be applied to more than half the width of the traveled way at a time, and the remaining width must be kept free of obstructions and open to traffic until the previously applied width is ready for traffic use.

Wherever maintenance sweeping of the chip seal surface is complete, place permanent traffic stripes and pavement markings within 10 days.

If you fail to place the permanent traffic stripes and pavement markings within the specified time, the Department withholds 50 percent of the estimated value of the chip seal work completed that has not received permanent traffic stripes and pavement markings.

#### 37-2.01C(2) Equipment

Equipment for chip seals must include and comply with the following:

- 1. Aggregate haul trucks must have:
  - 1.1. Tailgate that discharge aggregate
  - 1.2. Device to lock onto the rear aggregate spreader hitch
  - 1.3. Dump bed that will not push down on the spreader when fully raised
  - 1.4. Dump bed that will not spill aggregate on the roadway when transferred to the spreader hopper
  - 1.5. Tarpaulin to cover precoated aggregate when haul distance exceeds 30 minutes or ambient temperature is less than 65 degrees F
- 2. Self-propelled aggregate spreaders must have:

- 2.1. Aggregate hopper in the rear
- 2.2. Belt conveyor that carries the aggregate to the front
- 2.3. Spreading hopper capable of providing a uniform aggregate spread rate over the entire width of the traffic lane in 1 application.
- 3. Self-propelled power brooms must:
  - 3.1. Not be steel-tined brooms on emulsion chip seals
  - 3.2. Be capable of removing loose aggregate adjacent to barriers that prevent aggregate from being swept off the roadway, including curbs, gutters, dikes, berms, and railings
- 4. Pneumatic or foam filled rubber tired rollers must:
  - 4.1. Be an oscillating type at least 4 feet wide
  - 4.2. Be self-propelled and reversible
  - 4.3. Have tires of equal size, diameter, type, and ply
  - 4.4. Carry at least 3,000 lbs of load on each wheel
  - 4.5 Have tires with an air pressure of  $100 \pm 5$  psi or be foam filled

### 37-2.01C(3) Surface Preparation

Before applying chip seals, cover manholes, valve and monument covers, grates, or other exposed facilities located within the area of application, using a plastic or oil resistant construction paper secured by tape or adhesive to the facility being covered. Reference the covered facilities with enough control points to relocate the facilities after the application of the chip seal.

Immediately before applying chip seals, clean the surface to receive a chip seal by removing any extraneous material affecting adhesion of the chip seal with the existing surface and drying. Use self-propelled power brooms to clean the existing pavement.

# 37-2.01C(4) Placement

#### 37-2.01C(4)(a) General

Schedule the operations so that chip seals are placed on both lanes of the traveled way each work shift. At the end of the work shift, the end of the chip seals on both lanes must generally match.

#### 37-2.01C(4)(b) Applying Asphaltic Emulsions or Asphalt Binders

Prevent spraying on existing pavement not intended for chip seals or on previously applied chip seals using a material such as building paper. Remove the material after use.

Align longitudinal joints between chip seal applications with designated traffic lanes.

For asphaltic emulsion or asphalt binder, overlap longitudinal joints by not more than 4 inches. You may overlap longitudinal joints up to 8 inches if authorized.

For areas not accessible to a truck distributor bar apply:

- 1. Asphaltic emulsions by hand spraying
- 2. Asphalt binders with a squeegee or other authorized means

You may overlap the asphaltic emulsion or asphalt binder applications before the application of aggregate at longitudinal joints.

Do not apply the asphaltic emulsion or asphalt binder unless there is sufficient aggregate at the job site to cover the asphaltic emulsion or asphalt binder.

Discontinue application of asphaltic emulsion or asphalt binder early enough to comply with lane closure requirements. Apply to 1 lane at a time and cover the lane width entirely in 1 operation.

# 37-2.01C(4)(c) Spreading Aggregates

# 37-2.01C(4)(c)(i) General

Prevent vehicles from driving on asphaltic emulsion or asphalt binder before spreading aggregate.

Spread aggregate within 10 percent of your determined rate.

Spread aggregate at a uniform rate over the full lane width in 1 application. Apply to 1 lane at a time.

Sweep excess aggregate at joints before spreading adjacent aggregate.

Operate the spreader at speeds slow enough to prevent aggregate from rolling over after dropping.

If the spreader is not moving, aggregate must not drop. If you stop spreading and aggregate drops, remove the excess aggregate before resuming activities.

# 37-2.01C(4)(c)(ii) Precoated Aggregate Application

During transit, cover precoated aggregate with tarpaulins if the ambient air temperature is below 65 degrees F or the haul time exceeds 30 minutes.

When applied, precoated aggregate must be from 225 to 325 degrees F.

#### 37-2.01C(4)(d) Finishing

# 37-2.01C(4)(d)(i) General

Remove piles, ridges, or unevenly distributed aggregate. Repair permanent ridges, bumps, streaks or depressions in the finished surface. Spread additional aggregate and roll if aggregate is picked up by rollers or vehicles.

Chip seal joints between adjacent applications of a chip seal must be smooth, straight, uniform, and completely covered.

A coverage is 1 roller movement over the entire width of lane. A pass is 1 roller movement parallel to the chip seal application in either direction. Overlapping passes are part of the coverage being made and are not part of a subsequent coverage. Do not start a new coverage until completing the previous coverage.

Before opening to traffic, finish the chip seals in the following sequence:

- 1. Perform initial rolling consisting of 1 coverage with a pneumatic-tired roller
- 2. Perform final rolling consisting of 2 coverages with a pneumatic-tired roller
- 3. Sweep excess aggregate from the roadway and adjacent abutting areas
- 4. Apply a flush coat if specified
- 5. Remove covers from the facilities

# 37-2.01C(4)(d)(ii) Traffic Control With Pilot Car

For 2-lane 2-way roadways under 1-way traffic control, upon completion of final rolling, traffic must be controlled with pilot cars and routed over the new chip seal for a period of 2 to 4 hours before opening the lane to traffic not controlled with pilot cars.

For multilane roadways, when traffic is controlled with pilot cars, a maximum of 1 lane in the direction of travel must be open to traffic. Traffic must be controlled with pilot cars and be routed on the new chip seal surface of the lane for a minimum of 2 hours after completion of the initial sweeping and before opening the lane to traffic not controlled with pilot cars. Once traffic controlled with pilot cars is routed over the chip seal at a particular location, continuous control must be maintained at that location until the chip seal placement and sweeping on adjacent lanes to receive a chip seal is completed.

#### 37-2.01C(4)(d)(iii) Sweeping

Sweeping must be performed after the chip seal has set and there is no damage or dislodging of aggregate from the chip seal surface. As a minimum, sweeping is required at the following times:

- 1. On 2-lane 2-way roadways, from 2 to 4 hours after traffic, controlled with pilot cars, has been routed on the chip seal
- 2. On multilane roadways, from 2 to 4 hours after aggregate have been placed
- 3. In addition to previous sweeping, perform final sweeping immediately before opening any lane to public traffic, not controlled with pilot cars

#### 37-2.01C(4)(d)(iv) Excess Aggregate

Dispose of excess aggregate. If ordered, salvaging and stockpiling of excess aggregate is change order work.

### 37-2.01C(4)(e) Chip Seal Maintenance

Perform sweeping on the morning following the application of aggregate on any lane that has been open to traffic not controlled with pilot cars and before starting any other activities.

Chip seal surfaces must be maintained for 4 consecutive days from the day aggregate is applied. Maintenance must include sweeping to maintain a surface free of loose aggregate and to prevent formation of corrugations. Sweeping must not dislodge aggregate set in asphaltic emulsion or asphalt binder

After 4 consecutive days, excess aggregate must be removed from the paved areas.

# 37-2.01D Payment

If there is no bid item for traffic control system, furnishing and using a pilot car is included in the various items of the work involved in applying the chip seal.

The payment quantity for precoated aggregate is the weight measured after the aggregate is preheated and precoated with asphalt binder.

If recorded batch weights are printed automatically, the payment quantity for aggregate is the weight determined from the printed batch weights if:

- 1. Total weight for the precoated aggregate per batch is printed
- 2. Total asphalt binder weight per batch is printed
- Zero tolerance weight is printed before weighing the first batch and after weighing the last batch for each truckload
- 4. Time, date, mix number, load number, and truck identification are correlated with a load slip
- 5. Copy of the recorded batch weights is certified by a licensed weighmaster

#### 37-2.02 ASPHALTIC EMULSION CHIP SEALS

# 37-2.02A General

# 37-2.02A(1) Summary

Section 37-2.02 includes specifications for applying asphaltic emulsion chip seals. An asphaltic emulsion chip seal includes applying an asphaltic emulsion, followed by aggregate, and then a flush coat.

A double asphaltic emulsion chip seal is the application of an asphaltic emulsion followed by aggregate, applied twice in sequence and then a flush coat.

# 37-2.02A(2) Definitions

Reserved

#### 37-2.02A(3) Submittals

Immediately after sampling, submit two 1-quart plastic containers of asphaltic emulsion taken in the presence of the Engineer. Samples must be submitted in insulated shipping container.

37-2.02A(4) Quality Assurance 37-2.02A(4)(a) General

Reserved

37-2.02A(4)(b) Quality Control 37-2.02A(4)(b)(i) General

Reserved

# 37-2.02A(4)(b)(ii) Asphaltic Emulsions

Circulate asphaltic emulsion in the distributor truck before sampling. Take samples from the distributor truck at mid load or from a sampling tap or thief. Before taking samples, draw and dispose of 1 gallon. In the presence of the Engineer, take two 1-quart samples in a plastic container with lined sealed lid for acceptance testing.

For asphaltic emulsion, the authorized laboratory must perform quality control sampling and testing at the specified frequency and location for the following quality characteristics:

**Asphaltic Emulsion** 

Quality characteristic	Test method	Minimum sampling and testing frequency	Sampling location	
Saybolt Furol Viscosity, at 25 °C (Saybolt Furol seconds) Sieve Test (%) Storage stability, 1 day (%) Residue by distillation (%) Particle charge <sup>a</sup>	AASHTO T 59	Minimum 1 per day per delivery truck	Distributor truck	
Tests on Residue from Distillation Test:				
Penetration, 25 °C	AASHTO T 49	Minimum 1 per day per		
Ductility	AASHTO T 51	Minimum 1 per day per delivery truck	Distributor truck	
Solubility in trichloroethylene	AASHTO T 44	delivery truck		

<sup>&</sup>lt;sup>a</sup>If the result of the particle charge is inconclusive, the asphaltic emulsion must be tested for pH under ASTM E70. Grade QS1h asphaltic emulsion must have a minimum pH of 7.3. Grade CQS1h asphaltic emulsion must have a maximum pH of 6.7.

# 37-2.02A(4)(c) Department Acceptance

Aggregate acceptance is based on the Department's sampling and testing for compliance with the requirements shown in the following table:

# **Aggregate Gradation Acceptance Criteria**

Quality characteristic	Test method	Requirement		
Gradation (% passing by weight) Sieve size:		3/8"	5/16"	1/4"
3/4"				
1/2"		100		
3/8"	California Test 202	85–100	100	100
No. 4	Calliottila 1651 202	0–15	0–50	60–85
No. 8		0–5	0–15	0–25
No. 16			0–5	0–5
No. 30			0–3	0–3
No. 200		0–2	0–2	0–2

# 37-2.02B Materials

37-2.02B(1) General

Reserved

#### 37-2.02B(2) Asphaltic Emulsions

Reserved

# 37-2.02B(3) Aggregate

Aggregate gradation for an asphaltic emulsion chip seal must comply with the requirements shown in the following table:

**Asphaltic Emulsion Chip Seal Aggregate Gradation** 

Quality characteristic	Test method		equirement	
Gradation (% passing by weight) Sieve size:		3/8"	5/16"	1/4"
3/4"				
1/2"		100		
3/8"	California Test	85–100	100	100
No. 4	202	0–15	0–50	60–85
No. 8		0–5	0–15	0–25
No. 16			0–5	0–5
No. 30			0–3	0–3
No. 200		0–2	0–2	0–2

37-2.02C Construction 37-2.02C(1) General

Reserved

# 37-2.02C(2) Asphaltic Emulsions

Asphaltic emulsions must be applied within the application rate ranges shown in the following table:

**Asphaltic Emulsion Application Rates** 

Aggregate gradation	Application rate range
	(gal/sq yd)
3/8"	0.30-0.45
5/16"	0.25-0.35
1/4"	0.20-0.30

For double asphaltic emulsion chip seals, the asphaltic emulsions must be applied within the application rates shown in the following table:

**Asphaltic Emulsion Application Rates** 

Double chip seals	Application rate range	
	(gal/sq yd)	
1st application	0.30-0.45	
2nd application	0.20-0.30	

When applied, the temperature of the asphaltic emulsions must be from 130 to 180 degrees F.

Apply asphaltic emulsions when the ambient air temperature is from 65 to 110 degrees F and the pavement surface temperature is at least 80 degrees F.

Do not apply asphaltic emulsions when weather forecasts predict the ambient air temperature will fall below 39 degrees F within 24 hours after application.

# 37-2.02C(3) Spreading Aggregates

Aggregate must be spread within the spread rate ranges shown in the following table:

**Aggregate Spread Rates** 

Aggregate gradation	Spread rate range
	(lb/sq yd)
3/8"	20–30
5/16"	16–25
1/4"	12–20

For double asphaltic emulsion chip seals, aggregate must be spread within the spread rate ranges shown in the following table:

**Aggregate Spread Rates** 

Double chip seal	Spread rate range (lb/sq yd)
1st application	23–30
2nd application	12–20

Remove excess aggregate on the 1st application before the 2nd application of asphaltic emulsion.

You may stockpile aggregate for asphaltic emulsion chip seals if you prevent contamination. Aggregate must have a damp surface at spreading. If water visibly separates from the aggregate, do not spread. You may re-dampen aggregate in the delivery vehicle.

Spread aggregate before an asphaltic emulsion sets or breaks.

Do not spread aggregate more than 2,500 feet ahead of the completed initial rolling.

#### 37-2.02D Payment

Not Used

#### 37-2.03 POLYMER MODIFIED ASPHALTIC EMULSION CHIP SEALS

#### 37-2.03A General

# 37-2.03A(1) Summary

Section 37-2.03 includes specifications for applying polymer modified asphaltic emulsion chip seals. A polymer modified asphaltic emulsion chip seal includes applying a polymer modified asphaltic emulsion, followed by aggregate, and then a flush coat.

A double polymer modified asphaltic emulsion chip seal is the application of a polymer modified asphaltic emulsion followed by aggregate, applied twice in sequence and then a flush coat.

#### 37-2.03A(2) Definitions

Reserved

# 37-2.03A(3) Submittals

Immediately after sampling, submit two 1-quart cans of polymer modified asphaltic emulsion taken in the presence of the Engineer. A sample must be submitted in an insulated shipping container.

37-2.03A(4) Quality Assurance

37-2.03A(4)(a) General

Reserved

37-2.03A(4)(b) Quality Control 37-2.03A(4)(b)(i) General

Reserved

# 37-2.03A(4)(b)(ii) Polymer Modified Asphaltic Emulsions

Circulate polymer modified asphaltic emulsions in the distributor truck before sampling. Take samples from the distributor truck at mid load or from a sampling tap or thief. Before taking samples, draw and dispose of 1 gallon. In the presence of the Engineer, take two 1-quart samples for acceptance testing.

For polymer modified asphaltic emulsions, the authorized laboratory must perform quality control sampling and testing at the specified frequency and location for the following quality characteristics:

**Polymer Modified Asphaltic Emulsion** 

	topriaitio Eiliaioioii		
Quality characteristic	Test method	Minimum sampling and testing frequency	Sampling location
Saybolt Furol Viscosity, at 50 °C (Saybolt Furol			
seconds)			
Settlement, 5 days (max, %)			
Storage stability test, 1 day (max, %)	AASHTO T 59	Minimum 1	Distributor
Sieve test (max, %)		per day per	truck
Demulsibility (min, %)		delivery truck	lluck
Particle charge			
Ash content (max, %)	ASTM D3723		
Residue by evaporation (min, %)	California Test 331		
Tests on residue from evaporation test:			
Penetration, 25 °C	AASHTO T 49		
Penetration, 4 °C, 200g for 60 seconds	AASHTO T 49	Minimum 1	Distributor
Ductility, 25 °C (min, mm)	AASHTO T 51	per day per	Distributor truck
Torsional recovery (min, %)	California Test 332	delivery truck	uuck
Ring and Ball Softening Point (min, °F)	AASHTO T 53		

# 37-2.03A(4)(c) Department Acceptance

Aggregate acceptance is based on the Department's sampling and testing for compliance with the requirements shown in the following table:

Aggregate Gradation Acceptance Criteria

Quality characteristic	Test method	R	equirement	
Gradation (% passing by weight) Sieve size:		3/8"	5/16"	1/4"
3/4"			-	
1/2"		100	1	
3/8"	California Test	85–100	100	100
No. 4	202	0–15	0–50	60–85
No. 8		0–5	0–15	0–25
No. 16			0–5	0–5
No. 30			0–3	0–3
No. 200		0–2	0–2	0–2

37-2.03B Materials 37-2.03B(1) General

Reserved

# 37-2.03B(2) Polymer Modified Asphaltic Emulsions

A polymer modified asphaltic emulsion must include elastomeric polymer.

A polymer modified asphaltic emulsion must be Grade PMRS2, PMRS2h, PMCRS2, or PMCRS2h. Polymer content in percent by weight does not apply.

A polymer modified asphaltic emulsion must comply with section 94 and the quality characteristic requirements in the following table:

**Polymeric Asphaltic Emulsion** 

Quality characteristic	Test method	Requirement
Penetration, 4 °C, 200g for 60 seconds (min)	AASHTO T 49	6
Ring and Ball Softening Point (min, °F)	AASHTO T 53	135

# 37-2.03B(3) Aggregate

The aggregate gradation for a polymer modified asphaltic emulsion chip seal must comply with the requirements shown in the following table:

**Asphaltic Emulsion Chip Seal Aggregate Gradation** 

Quality characteristic	Test method	Requirement		
Gradation (% passing by weight) Sieve Size		3/8"	5/16"	1/4"
3/4"				-
1/2"	California Toot	100		-
3/8"	California Test	85–100	100	100
No. 4	202	0–15	0–50	60–85
No. 8		0–5	0–15	0–25
No. 16			0–5	0–5
No. 30			0–3	0–3
No. 200		0–2	0–2	0–2

#### 37-2.03C Construction

Polymer modified asphaltic emulsions must be applied within the application rate ranges shown in the following table:

**Polymer Modified Asphaltic Emulsion Application Rates** 

Aggregate gradation	Application rate range (gal/sq yd)
3/8"	0.30-0.45
5/16"	0.25-0.35
1/4"	0.20-0.30

For double polymer modified asphaltic emulsion chip seals, polymer modified asphaltic emulsions must be applied within the application rates shown in the following table:

**Polymer Modified Asphaltic Emulsion Application Rates** 

Double application	Application rate range
	(gal/sq yd)
1st application	0.30-0.45
2nd application	0.20-0.30

Apply polymer modified asphaltic emulsions when the ambient air temperature is from 60 to 105 degrees F and the pavement surface temperature is at least 80 degrees F.

Do not apply polymer modified asphaltic emulsions when weather forecasts predict the ambient air temperature will fall below 39 degrees F within 24 hours after application.

Aggregate must be spread within the spread rate ranges shown in the following table:

**Aggregate Spread Rates** 

7 1991 0 9 m to 0 p 1 0 m m 1 1 m to 0		
Chip seal type	Spread rate range	
	(lb/sq yd)	
3/8"	20–30	
5/16"	16–25	
1/4"	12–20	

For double chip seals, aggregate must be spread within spread rate ranges shown in the following table:

**Aggregate Spread Rates** 

33 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3		
Double application	Spread rate range	
	(lb/sq yd)	
1st application	23–30	
2nd application	12–20	

Remove excess aggregate on the 1st application before the 2nd application of asphaltic emulsion.

You may stockpile aggregate for the polymer modified asphaltic emulsion chip seals if you prevent contamination. Aggregate must have damp surfaces at spreading. If water visibly separates from the aggregate, do not spread. You may redampen aggregate in the delivery vehicle.

Spread aggregate before the polymer modified asphaltic emulsion sets or breaks.

Do not spread aggregate more than 2,500 feet ahead of the completed initial rolling.

## 37-2.03D Payment

Not Used

### 37-2.04 ASPHALT RUBBER BINDER CHIP SEALS

#### 37-2.04A General

## 37-2.04A(1) Summary

Section 37-2.04 includes specifications for applying asphalt rubber binder chip seals.

An asphalt rubber binder chip seal consists of applying asphalt rubber binder followed by heated aggregate precoated with asphalt binder followed by a flush coat.

#### 37-2.04A(2) Definitions

**crumb rubber modifier:** Combination of ground or granulated high natural scrap tire crumb rubber and scrap tire crumb rubber derived from waste tires described in Pub Res Code § 42703.

**descending viscosity reading:** Subsequent viscosity reading at least 5 percent lower than the previous viscosity reading.

**high natural scrap tire crumb rubber:** Material containing 40 to 48 percent natural rubber.

scrap tire crumb rubber: Any combination of vehicle tires or tire buffing.

## 37-2.04A(3) Submittals

At least 5 business days before use, submit the permit issued by the local air district for asphalt rubber binder field blending equipment and application equipment. If an air quality permit is not required by the local air district for producing asphalt rubber binder, submit verification from the local air district that an air quality permit is not required.

For each delivery of asphalt rubber binder ingredients to the job site, submit a certificate of compliance with a copy of the specified test results.

Submit a certified volume or weight slip for each delivery of asphalt rubber binder ingredients and asphalt rubber binder.

Submit a SDS for each asphalt rubber binder ingredient and the asphalt rubber binder.

At least 15 days before use, submit:

- 1. Samples of each asphalt rubber binder ingredient:
  - 1.1. 2 lbs of scrap tire crumb rubber
  - 1.2. 2 lbs of high natural scrap tire crumb rubber
  - 1.3. Two 1-quart cans of base asphalt binder
  - 1.4. Two 1-quart cans of asphalt modifier
- 2. Asphalt rubber binder formulation and data as follows:
  - 2.1. For asphalt modifier, include:
    - 2.1.1. Source of asphalt modifier
    - 2.1.2. Type of asphalt modifier
    - 2.1.3. Percentage of asphalt modifier by weight of asphalt binder
    - 2.1.4. Percentage of combined asphalt binder and asphalt modifier by weight of asphalt rubber binder
    - 2.1.5. Test results for the specified quality characteristics
  - 2.2. For crumb rubber modifier, include:
    - 2.2.1. Each source and type of scrap tire crumb rubber and high natural scrap tire crumb rubber
    - 2.2.2. Percentage of scrap tire crumb rubber and high natural scrap tire crumb rubber by total weight of asphalt rubber binder
    - 2.2.3. Test results for the specified quality characteristics
  - 2.3. For asphalt rubber binder, include minimum reaction time and temperature

Immediately after sampling, submit five 1-quart cans of asphalt rubber binder taken in the presence of the Engineer. Sample must be submitted in insulated shipping containers.

Submit notification 15 minutes before each viscosity test or submit a schedule of testing times.

Submit the log of asphalt rubber binder descending viscosity test results within 1 business day after sampling.

Submit asphalt rubber binder quality control viscosity test results within 1 business day after sampling.

## 37-2.04A(4) Quality Assurance

#### 37-2.04A(4)(a) General

The equipment used in producing asphalt rubber binder and the equipment used in spreading asphalt rubber binder must be permitted for use or exempted by the local air district.

## 37-2.04A(4)(b) Quality Control

## 37-2.04A(4)(b)(i) General

Reserved

## 37-2.04A(4)(b)(ii) Asphalt Modifiers

For asphalt modifiers, the authorized laboratory must perform quality control sampling and testing at the specified frequency for the following quality characteristics:

**Asphalt Modifier for Asphalt Rubber Binder** 

Quality characteristic	Test method	Frequency	
Viscosity	ASTM D445	1 nor object	
Flash point	ASTM D92	1 per shipment	
Molecular Analysis:			
Asphaltenes	ASTM D2007	1 per chipment	
Aromatics	ASTM D2007	1 per shipment	

# 37-2.04A(4)(b)(iii) Crumb Rubber Modifiers

Sample and test scrap tire crumb rubber and high natural scrap tire crumb rubber separately.

Perform quality control sampling and testing at the specified frequency for the following quality characteristics:

#### **Crumb Rubber Modifier**

Quality characteristic	Test method	Frequency
Scrap tire crumb rubber gradation	California Test 385	1 per 10,000
High natural scrap tire crumb rubber gradation	California Test 385	1 per 3,400 lb
Wire in CRM	California Test 385	
Fabric in CRM	California Test 385	1 per 10,000 lb
CRM particle length		i per ro,ooo ib
CRM specific gravity	California Test 208	
Natural rubber content in high natural scrap tire crumb rubber	ASTM D297	1 per 3,400 lb

# 37-2.04A(4)(b)(iv) Asphalt Rubber Binders

For asphalt rubber binders, the authorized laboratory must perform quality control sampling and testing at the specified frequency and location for the following quality characteristics:

## **Asphalt Rubber Binder Quality Control Requirements**

Quality characteristic	Test method	Sampling location	Frequency
Descending viscosity <sup>a</sup> at 375 °F (Pa•s x 10 <sup>-3</sup> )	ASTM D7741	Reaction vessel	1 per lot <sup>b</sup>
Viscosity at 375 °F (Pa•s x 10-3)	ASTM D7741	Distribution truck	15 minutes before use per lot <sup>b</sup>
Cone penetration at 25 °C (0.10 mm)	ASTM D217		
Resilience at 25 °C (% rebound)	ASTM D5329	Distribution truck	1 per lot <sup>b</sup>
Softening point (°C)	ASTM D36		

<sup>&</sup>lt;sup>a</sup>Start taking viscosity readings at least 45 minutes after adding crumb rubber modifier and continue taking viscosity readings every 30 minutes until 2 consecutive descending viscosity readings have been obtained and the final viscosity complies with the specification requirement.

Retain samples from each lot. Test samples for cone penetration, resilience, and softening point for the first 3 lots and if all 3 lots pass, the testing frequency may be reduced to once for every 3 lots.

If QC test results indicate that the asphalt rubber binder does not comply with the specifications, take corrective action and notify the Engineer.

37-2.04A(4)(c) Department Acceptance 37-2.04A(4)(c)(i) General

Reserved

<sup>&</sup>lt;sup>b</sup>A lot is defined in the MPQP.

## 37-2.04A(4)(c)(ii) Asphalt Modifiers

The Department accepts asphalt modifier based on compliance with the requirements shown in the following table:

**Asphalt Modifier for Asphalt Rubber Binder** 

Quality characteristic	Test method	Requirement	
Viscosity at 100 °C (m <sup>2</sup> /s x 10 <sup>-6</sup> )	ASTM D445	X ± 3 <sup>a</sup>	
Flash point (min, °C)	ASTM D92	207	
Molecular Analysis:			
Asphaltenes (max, % by mass)	ASTM D2007	0.1	
Aromatics (min, % by mass)	ASTM D2007	55	

<sup>&</sup>lt;sup>a</sup>The symbol "X" is the asphalt modifier viscosity.

# 37-2.04A(4)(c)(iii) Crumb Rubber Modifiers

Scrap tire CRM and high natural CRM are sampled and tested separately.

The Department accepts scrap tire CRM and high natural CRM based on compliance with the requirements shown in the following table:

**Crumb Rubber Modifier for Asphalt Rubber Binder** 

Quality characteristic	Test method	Requirement	
Wire in CRM (max, %)	California Test 385	0.01	
Fabric in CRM (max, %)	California Test 385	0.05	
CRM particle length (max, in)		3/16	
CRM specific gravity	California Test 208	1.1–1.2	
Natural rubber content in high natural CRM (%)	ASTM D297	40.0-48.0	

The Department accepts CRM gradation based on the requirements shown in the following table:

## **Crumb Rubber Modifier Gradation Requirements**

Quality characteristic	Test method	Requirement			
Gradation (% passing by weight) Sieve size:		Scrap tire crumb rubber		_	ral scrap tire rubber
		Operating	Contract	Operating	Contract
		range	compliance	range	compliance
No. 8	O a lifa mai a	100	100		
No. 10	California	95–100	90–100	100	100
No. 16	Test 385	35–85	32–88	92–100	85–100
No. 30		2–25	1–30	25–95	20–98
No. 50		0–10	0–15	6–35	2–40
No. 100		0–5	0–10	0–7	0–10
No. 200		0–2	0–5	0–3	0–5

If a test result for CRM gradation does not comply with the specifications, the Department deducts the corresponding amount for each gradation test as shown in the following table:

Material	Gradation test result <sup>a</sup>	Deduction
Scrap tire crumb rubber	Operating range < TR < Contract compliance	\$250
Scrap tire crumb rubber	TR > Contract compliance	\$1,100
High natural scrap tire crumb rubber	Operating range < TR < Contract compliance	\$250
High natural scrap tire crumb rubber	TR > Contract compliance	\$600

aTest Result = TR

Each gradation test for scrap tire crumb rubber represents 10,000 lb or the quantity used in that day's production, whichever is less.

Each gradation test for high natural scrap tire crumb rubber represents 3,400 lb or the quantity used in that day's production, whichever is less.

## 37-2.04A(4)(c)(iv) Asphalt Rubber Binders

For Department acceptance testing, take a sample of asphalt rubber binder in the Engineer's presence every 5 lots or once a day, whichever is greater. Each sample must be in five 1-quart cans with an open top and friction lid.

For an asphalt rubber binder, acceptance is based on the Department's sampling and testing for compliance with the requirements shown in the following table:

## **Asphalt Rubber Binder**

Quality characteristic	Test method	Requirement
Cone penetration at 25 °C (0.10 mm)	ASTM D217	25–60
Resilience at 25 °C (% rebound)	ASTM D5329	18–50
Softening point (°C)	ASTM D36	55–88
Viscosity at 375 °F (Pa•s x 10 <sup>-3</sup> ) <sup>a</sup>	ASTM D7741	1,500–2,500

<sup>&</sup>lt;sup>a</sup>Prepare sample for viscosity test under California Test 388.

## 37-2.04A(4)(c)(v) Precoated Aggregate

The Department accepts precoated aggregate based on compliance with the requirements shown in the following table:

**Precoated Aggregate Gradation Acceptance Criteria** 

Quality Characteristic	Test method	Requirement
1/2" gradation (% passing by weight)	California Test 202	
Sieve size:		
3/4"		100
1/2"		85–90
3/8"		0–30
No. 4		0–5
No. 8		
No. 200		0–1
3/8" gradation (% passing by weight)	California Test 202	
Sieve size:		
3/4"		100
1/2"		95–100
3/8"		70–85
No. 4		0–15
No. 8		0–5
No. 200		0–1

#### 37-2.04B Materials

## 37-2.04B(1) General

Reserved

## 37-2.04B(2) Asphalt Binders

Asphalt binder used as the base binder for asphalt rubber binder must comply with the specifications for asphalt binder. Do not modify asphalt binder with polymer.

## 37-2.04B(3) Asphalt Modifiers

An asphalt modifier must be a resinous, high flash point, and aromatic hydrocarbon. An asphalt modifier must comply with the requirements shown in the following table:

**Asphalt Modifier for Asphalt Rubber Binder** 

Quality characteristic	Test method	Requirement
Viscosity at 100 °C (m <sup>2</sup> /s x 10 <sup>-6</sup> )	ASTM D445	X ± 3 <sup>a</sup>
Flash point (min, CL.O.C., °C)	ASTM D92	207
Molecular analysis:		
Asphaltenes by mass (max, %)	ASTM D2007	0.1
Aromatics by mass (min, %)	ASTM D2007	55

<sup>&</sup>lt;sup>a</sup>X denotes the proposed asphalt modifier viscosity from 19 to 36. A change in X requires a new asphalt rubber binder submittal.

## 37-2.04B(4) Crumb Rubber Modifiers

The CRM to be used must be on the Authorized Materials List for crumb rubber modifier.

The CRM must be ground or granulated at ambient temperature.

Scrap tire crumb rubber and high natural scrap tire crumb rubber must be delivered to the asphalt rubber binder production site in separate bags.

Steel and fiber must be separated. If steel and fiber are cryogenically separated, it must occur before grinding and granulating. Cryogenically-produced CRM particles must be large enough to be ground or granulated.

The CRM must be dry, free-flowing particles that do not stick together. A maximum of 3 percent calcium carbonate or talc by weight of CRM may be added. The CRM must not cause foaming when combined with the asphalt binder and asphalt modifier.

The CRM must comply with the requirements shown in the following table:

**Crumb Rubber Modifier for Asphalt Rubber Binder** 

Quality characteristic	Test method	Requirement
Wire in CRM (max, %)	California Test 385	0.01
Fabric in CRM (max, %)	California Test 385	0.05
CRM particle length (max, in)		3/16
CRM specific gravity	California Test 208	1.1–1.2

The CRM must comply with the requirements shown in the following table:

**Crumb Rubber Modifier Requirements** 

		Requirement		
Quality characteristic	Test method	Scrap tire crumb rubber	High natural scrap tire	
			crumb rubber	
Acetone extract (%)		6.0–16.0	4.0–16.0	
Rubber hydrocarbon (min, %)		42.0–65.0	50.0	
Natural rubber content (%)	ASTM D297	22.0–39.0	40.0–48.0	
Carbon black content (%)		28.0–38.0		
Ash content (max, %)		8.0		

Scrap tire crumb rubber gradation must comply with the gradation requirements shown in the following table:

**Scrap Tire Crumb Rubber Gradation** 

Quality characteristic	Test method	Requirement			
Gradation (% passing by weight) Sieve size:		Gradation limit	Operating range	Contract compliance	
No. 8		100	100	100	
No. 10	California	98–100	95–100	90–100	
No. 16	Test 385	45–75	35–85	32–88	
No. 30		2–20	2–25	1–30	
No. 50		0–6	0–10	0–15	
No. 100		0–2	0–5	0–10	
No. 200		0	0–2	0–5	

High natural scrap tire crumb rubber gradation must comply with the gradation requirements shown in the following table:

**High Natural Scrap Tire Crumb Rubber Gradation** 

Quality characteristic	Test method	-	Requirement			
Gradation (% passing by weight) Sieve size:	mouned	Gradation limit	Operating range	Contract compliance		
No. 10	O-1:f:-	100	100	100		
No. 16	California	95–100	92-100	85–100		
No. 30	Test 385	35–85	25–95	20–98		
No. 50		10–30	6–35	2–40		
No. 100		0–4	0–7	0–10		
No. 200		0–1	0–3	0–5		

# 37-2.04B(5) Asphalt Rubber Binders

An asphalt rubber binder must be a combination of:

- 1. Asphalt binder
- 2. Asphalt modifier
- 3. Crumb rubber modifier

Asphalt rubber binder blending equipment must be authorized under the Department's MPQP.

The blending equipment must allow the determination of weight percentages of each asphalt rubber binder ingredient.

An asphalt rubber binder must be  $79 \pm 1$  percent by weight asphalt binder and  $21 \pm 1$  percent by weight of CRM. The minimum percentage of CRM must be 20.0 percent and lower values must not be rounded up.

The CRM must be  $75 \pm 2$  percent by weight scrap tire crumb rubber and  $25 \pm 2$  percent by weight high natural scrap tire crumb rubber.

An asphalt modifier and asphalt binder must be blended at the production site. An asphalt modifier must be from 2.5 to 6.0 percent by weight of the asphalt binder in the asphalt rubber binder. The asphalt rubber binder supplier determines the exact percentage.

If blended before adding CRM, the asphalt binder must be from 375 to 440 degrees F when an asphalt modifier is added and the mixture must circulate for at least 20 minutes. An asphalt binder, asphalt modifier, and CRM may be proportioned and combined simultaneously.

The blend of an asphalt binder and an asphalt modifier must be combined with the CRM at the asphalt rubber binder production site. The asphalt binder and asphalt modifier blend must be from 375 to 440 degrees F when the CRM is added. Combined ingredients must be allowed to react at least 45 minutes at temperatures from 375 to 425 degrees F except the temperature must be at least 10 degrees F below the flash point of the asphalt rubber binder.

After reacting, the asphalt rubber binder must comply with the requirements shown in the following table:

#### **Asphalt Rubber Binder**

Quality characteristic	Test method	Requirement
Cone penetration at 25 °C (0.10 mm)	ASTM D217	25–60
Resilience at 25 °C (% rebound)	ASTM D5329	18–50
Softening point (°C)	ASTM D36	55–88
Viscosity at 375 °F (Pa•s x 10 <sup>-3</sup> ) <sup>a</sup>	ASTM D7741	1,500-2,500

<sup>&</sup>lt;sup>a</sup>Prepare sample for viscosity test under California Test 388.

Maintain asphalt rubber binder at a temperature from 375 to 415 degrees F.

Stop heating unused asphalt rubber binder 4 hours after the 45-minute reaction period. Reheating asphalt rubber binder that cools below 375 degrees F is a reheat cycle. Do not exceed 2 reheat cycles. If reheating, the asphalt rubber binder must be from 375 to 415 degrees F before use.

During reheating, you may add CRM. The CRM must not exceed 10 percent by weight of the asphalt rubber binder. Allow added CRM to react for at least 45 minutes. Reheated asphalt rubber binder must comply with the specifications for asphalt rubber binder.

## 37-2.04B(6) Precoated Aggregate

Before precoating with asphalt binder, aggregate for an asphalt rubber binder chip seal must comply with the gradation requirements shown in the following table:

## **Asphalt Rubber Binder Chip Seal Aggregate Gradation**

Quality characteristic	Test method	Requi	rement
Gradation (% passing by weight) Sieve size:		1/2"	3/8"
3/4"		100	100
1/2"	California Test	85–90	95–100
3/8"	202	0–30	70–85
No. 4		0–5	0–15
No. 8			0–5
No. 200		0–1	0–1

# 37-2.04C Construction 37-2.04C(1) General

Reserved

#### 37-2.04C(2) Equipment

Distributor trucks must be equipped with:

- 1. Mixing and heating unit
- 2. Observation platform on the rear of the truck for an observer on the platform to see the nozzles and unplug them if needed

#### 37-2.04C(3) Asphalt Rubber Binder Application

Apply the asphalt rubber binder when the ambient temperature is from 60 to 105 degrees F and the pavement surface temperature is at least 55 degrees F.

Do not apply the asphalt rubber binder unless enough aggregate is available at the job site to cover the asphalt rubber binder within 2 minutes. Intersections, turn lanes, gore points, and irregular areas must be covered within 15 minutes.

Do not apply asphalt rubber binder when pavement is damp or during high wind conditions. If authorized, you may adjust the distributor bar height and distribution speed and use shielding equipment during high wind conditions.

When applied, the temperature of the asphalt rubber binder must be from 385 to 415 degrees F.

Apply the asphalt rubber binder at a rate from 0.55 to 0.65 gal/sq yd. You may reduce the application rate by 0.050 gal/sq yd in the wheel paths.

## 37-2.04C(4) Precoated Aggregate Spreading

Spread aggregate at a rate from 28 to 40 lb/sq yd. Do not spread aggregate more than 200 feet ahead of the completed initial rolling.

# 37-2.04C(5) Rolling and Sweeping

Perform initial rolling within 90 seconds of spreading aggregate. If authorized for final rolling, you may use a steel-wheeled roller weighing from 8 to 10 tons in static mode only.

Perform a final sweeping before Contract acceptance. The final sweeping must not dislodge aggregate.

#### 37-2.04D Payment

Asphalt rubber binder is measured as specified for asphalt binder.

#### 37-2.05 STRESS ABSORBING MEMBRANE INTERLAYERS

#### 37-2.05A General

Section 37-2.05 includes specifications for placing stress absorbing membrane interlayers (SAMI).

Comply with section 37-2.04 except a flush coat is not required.

Traffic must not be allowed on a SAMI.

#### 37-2.05B Materials

For a SAMI, aggregate must comply with the 3/8-inch gradation.

#### 37-2.05C Construction

If a SAMI is overlaid in the same work shift, section 37-2.01C(4)(e) does not apply.

Final sweeping is not required for a SAMI.

## 37-2.05D Payment

Not Used

#### 37-2.06 MODIFIED ASPHALT BINDER CHIP SEALS

Reserved

#### **37-2.07 SCRUB SEALS**

Reserved

#### 37-3 SLURRY SEALS AND MICRO-SURFACINGS

#### 37-3.01 GENERAL

#### 37-3.01A General

#### 37-3.01A(1) Summary

Section 37-3.01 includes general specifications for applying slurry seals and micro-surfacings.

#### 37-3.01A(2) Definitions

Reserved

#### 37-3.01A(3) Submittals

At least 15 days before starting placement of a slurry seal or micro-surfacing, submit:

- 1. Samples for:
  - 1.1. Asphaltic emulsion slurry seal, two 1-quart wide mouth plastic containers with screw top lid of asphaltic emulsion
  - 1.2 Polymer modified asphaltic emulsion slurry seal, two 1-quart wide mouth plastic containers with screw top lid of polymer modified asphaltic emulsion
  - 1.3. Micro-surfacing, two 1-quart wide mouth plastic containers with screw top lid of micro-surfacing emulsion
- Asphaltic emulsion, polymer modified asphaltic emulsion, or micro-surfacing emulsion data as follows:
  - 2.1. Supplier and Type/Grade of asphaltic emulsion
  - 2.2. Type of modifier polymer for polymer modified asphaltic emulsion or micro-surfacing emulsion
  - 2.3. Copy of the specified test results for asphaltic emulsion, polymer modified asphaltic emulsion, or micro-surfacing emulsion
- 3. 50 lb of aggregate
- 4. Aggregate test results for the followings:
  - 4.1. Gradation
  - 4.2. Los Angeles Rattler
  - 4.3. Percent of crushed particles
  - 4.4 Sand equivalent
  - 4.5 Durability

At least 10 days before starting placement of a slurry seal or micro-surfacing, submit a laboratory report of test results and the proposed mix design from an authorized laboratory. The authorized laboratory must sign the laboratory report and mix design.

The report must include:

- 1. Test results used in the mix design compared with specification requirements
- 2. Proportions based on the dry weight of aggregate, including ranges, for:
  - 2.1. Aggregate
  - 2.2. Water
  - 2.3. Additives
  - 2.4. Mineral filler
  - 2.5. Slurry seal emulsion or micro-surfacing emulsion residual asphalt content
- 3. Recommended changes to the proportions based on heating the mixture to 100 degrees F and mixing for 60 seconds, if atmospheric temperatures during application will be 90 degrees F or above, for:
  - 3.1. Water
  - 3.2. Additives
  - 3.3. Mineral filler
- 4. Quantitative moisture effects on the aggregate's unit weight determined under ASTM C29M

If the mix design consists of the same materials covered by a previous laboratory report, you may submit the previous laboratory report that must include material testing data performed within the previous 12 months for authorization.

If you change any of the materials in the mix design, submit a new mix design and laboratory report at least 10 days before starting slurry seal or micro-surfacing work.

Submit a certificate of compliance as specified for asphaltic emulsion in section 94-1.01C with each shipment of asphaltic emulsion, polymer modified asphaltic emulsion or micro-surfacing emulsion.

Submit quality control test results for the quality characteristics within the reporting times allowance after sampling shown in the following table:

**Quality Control Test Reporting Requirements** 

Quality characteristic	Maximum reporting time allowance
Los Angeles Rattler loss (max, %)	2 business days
Percent of crushed particles (min, %)	2 business days
Durability (min)	2 business days
Resistance of fine aggregate to	
degradation by abrasion in the Micro-	2 business days
Deval Apparatus (% loss by weight)	
Gradation (% passing by weight)	48 hours
Sand equivalent (min)	48 hours
Moisture content (%)	48 hours

Within 3 days after taking asphaltic emulsion, polymer modified asphaltic emulsion or micro-surfacing emulsion quality control samples, submit the authorized laboratory's test results.

# 37-3.01A(4) Quality Assurance

#### 37-3.01A(4)(a) General

Your authorized laboratory must be able to perform International Slurry Surfacing Association tests and mix design.

# 37-3.01A(4)(b) Quality Control 37-3.01A(4)(b)(i) General

Reserved

# 37-3.01A(4)(b)(ii) Aggregate

For aggregate, the authorized laboratory must perform sampling and testing at the specified frequency and location for the following quality characteristics:

## **Aggregate Quality Control**

Overlite calcana et aniati a	To at you attack	Minimo	l sastion of
Quality characteristic	Test method	Minimum	Location of
		sampling and	sampling
		testing frequency	
Los Angeles Rattler loss (max, %)	O-11511-044	1st day of	See California
At 500 revolutions	California Test 211	production	Test 125
Percent of crushed particles (min, %)	AASHTO T 335	1st day of	See California
		production	Test 125
Sand equivalent (min)	California Test 217	1 per working	See California
, ,		stockpile per day	Test 125
Resistance of fine aggregate to	ASTM D7428		
degradation by abrasion in		1 per working	See California
the Micro-Deval Apparatus (% loss		stockpile per day	Test 125
by weight)		, , , , , , , , , , , , , , , , , , , ,	
Gradation (% passing by weight)	California Test 202	1 per working	See California
		stockpile per day	Test 125
Moisture content, from field stockpile	AASHTO T 255 <sup>a</sup>	1 per working	See California
(%)		stockpile per day	Test 125

<sup>&</sup>lt;sup>a</sup>Test aggregate moisture at field stockpile every 2 hours if you are unable to maintain the moisture content to within a maximum daily variation of ±0.5 percent.

## 37-3.01A(4)(b)(iii) Slurry Seals and Micro-surfacings

Reserved

# 37-3.01A(4)(c) Department Acceptance

Slurry Seal and micro-surfacing acceptance is based on:

- 1. Visual inspection for the following:
  - 1.1. Uniform surface texture throughout the work limits.
  - 1.2. Marks in the surface:
    - 1.2.1. Up to 4 marks in the completed slurry seal or micro-surfacing surface that are up to 1 inch wide and up to 6 inches long per 1000 square feet of slurry seal or micro-surfacing placed.
    - 1.2.2. No marks in the completed slurry seal or micro-surfacing surface that are over 1 inch wide or 6 inches long.
  - 1.3. Excessive raveling consisting of the separation of the aggregate from the asphaltic emulsion, polymer modified asphaltic emulsion or micro-surfacing emulsion.
  - 1.4. Bleeding consists of the occurrence of a film of asphaltic material on the surface of the slurry seal or micro-surfacing.
  - 1.5. Delaminating of slurry seal or micro-surfacing from the existing pavement.
  - 1.6. Rutting or wash-boarding.
- 2. Department's sampling and testing for compliance with the requirements for aggregate shown in the following table:

## **Aggregate Gradation Acceptance Criteria**

Quality characteristic	Test method	Requirements		ts
Gradation (% passing by weight) Sieve Size:		Type I	Type II	Type III
3/8"			100	100
No. 4	California Test	100	94–100	70–90
No. 8	202	90–100	65–90	45–70
No. 16		60–90	40–70	28–50
No. 30		40–65	25–50	19–34
No. 200		10–20	5–15	5–15

An aggregate gradation test represents 300 tons or 1 day's production, whichever is less.

If test results for aggregate gradation do not comply with the specifications, you may remove the slurry seal or micro-surfacing represented by the test results or request it remain in place with a payment deduction. If your request is authorized, the Department deducts:

- 1. \$1.75 per ton of slurry seal for each noncompliant aggregate gradation
- 2. \$2.00 per ton of micro-surfacing for each noncompliant aggregate gradation

#### 37-3.01B Materials

## 37-3.01B(1) General

Additional water must not cause separation of the asphaltic emulsion, polymer modified asphaltic emulsion or micro-surfacing emulsion from the aggregate before placement.

You may use an additive that does not adversely affect the slurry seal or micro-surfacing.

## 37-3.01B(2) Aggregate

Aggregate must be rock dust. Aggregate must be free from vegetable matter, deleterious substances, caked or clay lumps, and oversized particles.

Aggregate for a slurry seal and micro-surfacing must comply with the gradations shown in the following table:

**Aggregate Gradation** 

9994				
Quality characteristic	Test method	Requirements		
Gradation (% passing by weight)		Type I	Type II	Type III
Sieve size:				
3/8"			100	100
No. 4	California	100	94–100	70–90
No. 8	Test 202	90–100	65–90	45–70
No. 16		60–90	40–70	28–50
No. 30		40–65	25–50	19–34
No. 200		10–20	5–15	5–15

# 37-3.01C Construction

#### 37-3.01C(1) General

Before applying slurry seals or micro-surfacings, cover manholes, valve and monument covers, grates, and other exposed facilities located within the area of application using plastic or oil resistant construction paper secured by tape or adhesive to the facility being covered. Reference the covered facilities with enough control points to relocate the facilities after application of the slurry seals or micro-surfacings.

## 37-3.01C(2) Proportioning

Proportion slurry seal and micro-surfacing ingredients in compliance with the authorized mix design.

# 37-3.01C(3) Mixing and Spreading Equipment

# 37-3.01C(3)(a) General

Mixing and spreading equipment for slurry seals and micro-surfacings must proportion the asphaltic emulsions, water, aggregate, and any additives by volume and mix them in continuous pug mill mixers.

Introduce emulsions into the mixer with a positive displacement pump. If you use a variable-rate pump, the adjusting unit must be sealed in its calibrated position.

Introduce water into the mixer through a meter that measures gallons.

Choose a truck mounted mixer-spreader or continuous self-loading mixer spreader.

## 37-3.01C(3)(b) Truck Mounted Mixer Spreaders

Truck mounted mixer spreaders must comply with:

- 1. Rotating and reciprocating equipment must be covered with metal guards.
- 2. Proportion aggregate using a belt feeder with an adjustable cutoff gate. The Engineer verifies the height of the gate opening.
- 3. Belt feeder must have a depth monitor device. The depth monitor device must automatically shut down power to the belt feeder when the aggregate depth is less than 70 percent of the target depth.
- 4. Separate monitor device must detect the revolutions of the belt feeder. This device must automatically shut down power to the belt feeder if it detects no revolutions. If the belt feeder is an integral part of the equipment's drive chain, the monitor device is not required.
- 5. Aggregate belt feeder must be connected directly to the drive on the emulsion pump. The aggregate feeder drive shaft must have a revolution counter reading the nearest 0.10 revolution for microsurfacing, and nearest 1 revolution for slurry seal.
- 6. Emulsion storage must be equipped with a device that automatically shuts down power to the emulsion pump and aggregate belt feeder when the level of stored emulsion is lowered. To allow for normal fluctuations, there may be a delay of 3 seconds between detection of low emulsion storage levels or low aggregate depths and automatic power shut down.
- 7. Emulsion storage must be located immediately before the emulsion pump.
- 8. Emulsion storage tank must have a temperature indicator at the pump suction level. The indicator must be accurate to ±5 degrees F.
- 9. No-flow and revolution warning devices must be in working condition. Low-flow indicators must be visible while walking alongside the equipment.

#### 37-3.01C(3)(c) Continuous Self-Loading Mixer Spreaders

Continuous self-loading mixer spreaders must be automatically sequenced and self-propelled. The mixing machine must deliver each material to a double shafted mixer and discharge the mixed material on a continuous flow basis. The mixing machines must have sufficient storage capacity to maintain a continuous supply of material to the proportioning controls. The mixing machine operators must have full control of forward and reverse speeds during placement.

#### 37-3.01C(3)(d) Spreader Boxes

The spreader boxes used to spread slurry seals and micro-surfacings must be:

- 1. Capable of spreading the slurry seal or micro-surfacing a minimum of 12 feet wide and preventing the loss of slurry seal or micro-surfacing.
- 2. Equipped with flexible rubber belting on each side. The belting must contact the pavement to prevent the loss of slurry seal or micro-surfacing from the box.
- 3. Equipped to uniformly apply the slurry seal or micro-surfacing on superelevated sections and shoulder slopes. Micro-surfacing spreader box must be equipped with reversible motor driven augers.
- 4. Equipped with a series of strike-off devices at its rear.
  - 4.1. The leading strike off device must be:

- 4.1.1. Fabricated of a suitable material such as steel or stiff rubber
- 4.1.2. Designed to maintain close contact with the pavement during spreading
- 4.1.3. Capable of obtaining the specified thickness
- 4.1.4. Capable of being adjusted to the various pavement cross sections
- 4.2. The final strike-off device must be:
  - 4.2.1. Fabricated of flexible material that produces a uniform texture in the finished surface
  - 4.2.2. Cleaned daily and changed if longitudinal scouring occurs in the slurry seal of microsurfacing
- 5. Clean and free of slurry seal or micro-surfacing at the start of each work shift.

## 37-3.01C(3)(e) Shoulder Equipment

Spread the slurry seal or micro-surfacing on shoulders with a device such as an edge box that forms clean and straight joints and edges.

#### 37-3.01C(3)(f) Equipment Calibration

Equipment calibration must comply with the *MPQP*. Notify the Engineer at least 5 business days before calibrating.

If the Department authorizes a truck or continuous mixer spreader, its calibration is valid for 6 months provided you:

- 1. Use the same truck or continuous mixer spreader verified with a unique identifying number
- 2. Use the same materials in compliance with the authorized mix design
- 3. Do not perform any repair or alteration to the proportioning systems

Calibrate the adjustable cut-off gate settings of each truck or continuous mixer spreader on the project to achieve the correct delivery rate of aggregate and emulsion per revolution of the aggregate feeder under the MPQP.

Checks must be performed for each aggregate source using an authorized vehicle scale.

Individual checks of the aggregate belt feeder's delivery rate to the pug mill mixer must not vary more than 2 percent from the average of 3 runs of at least 3 tons each.

Before using a variable-rate emulsion pump, the pump must be calibrated and sealed in the calibrated condition under the *MPQP*.

Individual checks of the emulsion pump's delivery rate to the pug mill mixer must not vary more than 2 percent from the average of 3 runs of at least 500 gal each.

## 37-3.01C(4) Surface Preparation

Immediately before applying slurry seals or micro-surfacings, clean the surface to receive slurry seals or micro-surfacings by removing any extraneous material affecting adhesion of the slurry seal or micro-surfacing with the existing surface. Use self-propelled power brooms or other methods such as flushing to clean the existing pavement.

#### 37-3.01C(5) Placement

# 37-3.01C(5)(a) General

If truck-mounted mixer-spreaders are used, keep at least 2 operational spreaders at the job site during placement.

Spread slurry seals and micro-surfacings uniformly and do not spot, rehandle, or shift the mixture. However in areas inaccessible to spreading equipment, spread the slurry seal or micro-surfacing mixtures with hand tools or other authorized methods. If placing with hand tools, lightly dampen the area first.

You may fog the roadway surface with water ahead of the spreader box. The fog spray must be adjusted for pavement:

- 1. Temperature
- 2. Surface texture
- 3. Dryness

You determine the application rates for slurry seals or micro-surfacings and the Engineer authorizes the application rates. Spread within 10 percent of authorized rate.

The mixtures must be uniform and homogeneous after spreading, and there must not be separation of the emulsion and aggregate after setting.

# 37-3.01C(5)(b) Weather Conditions

Only place slurry seals or micro-surfacings if both the pavement and air temperatures are at least 50 degrees F and rising. The expected high temperature must be at least 65 degrees F within 24 hours after placement.

Do not place slurry seals or micro-surfacings if rain is imminent or the air temperature is expected to be below 36 degrees F within 24 hours after placement.

## 37-3.01C(5)(c) Joints

Transverse and longitudinal joints must be:

- 1. Uniform
- 2. Straight
- 3. Neat in appearance
- 4. Without material buildup
- 5. Without uncovered areas

Transverse joints must be butt-type joints.

Prevent double placement at transverse joints over previously placed slurry seals or micro-surfacings.

Place longitudinal joints:

- 1. On centerlines, lane lines, edge lines, or shoulder lines
- 2. With overlaps not more than 4 inches

You may request other longitudinal joint patterns if they do not adversely affect the slurry seals or microsurfacings.

The maximum difference between the pavement surface and the bottom edge of a 12-foot straightedge placed perpendicular to the longitudinal joint must be 0.04 foot.

#### 37-3.01C(5)(d) Finished Surfaces

Finished slurry seals or micro-surfacings must be smooth and free of irregularities such as scratch or tear marks. You may leave up to 4 marks that are up to 1 inch wide and 6 inches long per 75 linear feet of slurry seal or micro-surfacing placed. Do not leave any marks that are over 1 inch wide or 6 inches long.

## 37-3.01C(5)(e) Maintenance Sweeping

Sweep the slurry seals or micro-surfacings 24 hours after placement without damaging the slurry seals or micro-surfacings. For 4 days afterwards, sweep the slurry seals or micro-surfacings daily unless determined otherwise by the Engineer.

#### 37-3.01C(5)(f) Repair of Early Distress

The slurry seals or micro-surfacings must not show bleeding, raveling, separation, or other distresses for 15 days after placing. If bleeding, raveling, delaminating, rutting, or wash-boarding occurs after placing the slurry seals or micro-surfacings, make repairs using an authorized method.

#### **37-3.01D Payment**

Not Used

#### 37-3.02 SLURRY SEALS

## 37-3.02A General

#### 37-3.02A(1) Summary

Section 37-3.02 includes specifications for applying slurry seals.

Applying a slurry seal consists of spreading a mixture of asphaltic emulsion or polymer modified asphaltic emulsion, aggregate, additives, and water on a surface or pavement.

## 37-3.02A(2) Definitions

Reserved

#### 37-3.02A(3) Submittals

Immediately after sampling, submit two 1-quart wide mouth plastic containers of asphaltic emulsion or polymer modified asphaltic emulsion taken in the presence of the Engineer. Samples must be submitted in insulated shipping containers.

## 37-3.02A(4) Quality Assurance

## 37-3.02A(4)(a) General

Reserved

#### 37-3.02A(4)(b) Quality Control

## 37-3.02A(4)(b)(i) General

Take samples of asphaltic emulsion and polymer modified asphaltic emulsion from the tank truck at mid load or from a sampling tap or thief. Before taking samples, draw and dispose of 1 gallon. In the presence of the Engineer take two 1-quart samples in wide mouth plastic containers with lined, sealed lids for acceptance testing.

## 37-3.02A(4)(b)(ii) Asphaltic Emulsion

For asphaltic emulsions, the authorized laboratory must perform quality control sampling and testing at the specified frequency and location for the following quality characteristics:

**Asphaltic Emulsion** 

Quality characteristic	Test method	Minimum sampling and testing frequency	Sampling location	
Saybolt Furol Viscosity, at 25  °C (Saybolt Furol seconds)  Sieve Test (%)  Storage stability, 1 day (%)  Residue by distillation (%)	AASHTO T 59	Minimum 1 per day per delivery truck	Delivery truck	
Particle charge <sup>a</sup>				
Tests on Residue from Distillation Test:				
Penetration, 25 °C	AASHTO T 49	Minimum 1 per dev per		
Ductility	AASHTO T 51	Minimum 1 per day per delivery truck	Delivery truck	
Solubility in tricloroethylene	AASHTO T 44	delivery truck		

<sup>&</sup>lt;sup>a</sup>If the result of the particle charge is inconclusive, the asphaltic emulsion must be tested for pH under ASTM E70. Grade QS1h asphaltic emulsion must have a minimum pH of 7.3. Grade CQS1h asphaltic emulsion must have a maximum pH of 6.7.

## 37-3.02A(4)(b)(iii) Polymer Modified Asphaltic Emulsion

For polymer modified asphaltic emulsions, the authorized laboratory must perform quality control sampling and testing at the specified frequency and location for the following quality characteristics:

**Polymer Modified Asphaltic Emulsion** 

Quality characteristic	Test method	Minimum sampling and testing frequency	Sampling Location	
Tests on emulsion:				
Saybolt Furol Viscosity at 25 °C	AASHTO T 59			
(Saybolt Furol seconds)		Minimum 1 nor		
Sieve test (%)	AASHTO T 59	Minimum 1 per day per delivery	Delivery truck	
Storage stability after 1 day (%)	AASHTO T 59	truck		
Residue by evaporation (min, %)	California Test 331	liuck		
Particle charge	AASHTO T 59			
Tests on residue by evaporation:				
Penetration at 25 °C	AASHTO T 49			
Ductility at 25 °C (min, mm)	AASHTO T 51			
Torsional recovery (min, %)	California Test 332	Minimum 1 per		
Or		day per delivery truck	Delivery truck	
Polymer content based on residual asphalt (min, %)	California Test 401			

## 37-3.02A(4)(c) Department Acceptance

For a slurry seal asphaltic emulsion and polymer modified asphaltic emulsion, acceptance is based on the Department's sampling and testing for compliance with the requirements for the quality characteristics specified.

Aggregate acceptance is based on the Department's sampling and testing for compliance with the requirements shown in the following table:

**Aggregate Acceptance Criteria** 

Quality characteristic	Test method	Requirement
Los Angeles Rattler loss (max, %) At 500 revolutions	California Test 211 <sup>a</sup>	35
Percent of crushed particles (min, %)	California Test 205	95
Durability (min)	California Test 229	55
Sand equivalent (min) Type I Type II	California Test 217	45 55
Type III		60

<sup>&</sup>lt;sup>a</sup>California Test 211 must be performed on the source aggregate before crushing.

A sand equivalent test represents 300 tons or 1 day's production, whichever is less.

If test results for sand equivalent do not comply with the specifications, you may remove the slurry seal represented by the test results or request it remain in place with a payment deduction. If your request is authorized, the Department deducts \$1.75 per ton of slurry seal for each noncompliant sand equivalent test.

37-3.02B Materials 37-3.02B(1) General Reserved

## 37-3.02B(2) Asphaltic Emulsions

An asphaltic emulsion must comply with the requirements in Section 94. The asphaltic emulsion must be Grade CQS1h.

## 37-3.02B(3) Polymer Modified Asphaltic Emulsions

A polymer modified asphaltic emulsion must:

- 1. Consist of an elastomeric polymer mixed with an asphaltic material uniformly emulsified with water and an emulsifying or stabilization agent.
- 2. Use either neoprene polymer or butadiene and styrene copolymer. The polymer must be homogeneous and milled into the asphaltic emulsion at the colloid mill.
- 3. Be Grade PMCQS1h and must comply with the requirements shown in the following table:

**Polymer Modified Asphaltic Emulsion Requirements** 

Folymer Modified Aspiratic Linuis	non requirements	
Quality characteristic	Test method	Requirement
Tests on emulsion:		
Saybolt Furol Viscosity at 25 °C (Saybolt Furol	AASHTO T 59	15–90
seconds)		
Sieve test (%)	AASHTO T 59	0-0.3
Storage stability after 1 day (%)	AASHTO T 59	0–1
Residue by evaporation (min, %)	California Test 331	60
Particle charge	AASHTO T 59	Positive
Tests on residue by evaporation:		
Penetration at 25 °C	AASHTO T 49	40–90
Ductility at 25 °C (min, mm)	AASHTO T 51	400
Torsional recovery (min, %)	California Test 332	18
Or		
Polymer content based on residual asphalt (min, %)	California Test 401	2.5

#### 37-3.02B(4) Aggregate

Aggregate must comply with the quality characteristic requirements shown in the following table:

**Aggregate Requirements** 

Quality characteristic	Test method	Requirement
Los Angeles Rattler loss (max, %) At 500 revolutions	California Test 211 <sup>a</sup>	35
Percent of crushed particles (min, %)	California Test 205	95
Durability (min)	California Test 229	55
Sand equivalent (min)		
Type I	California Test 217	45
Type II	Camorna 163(217	55
Type III		60

<sup>&</sup>lt;sup>a</sup>California Test 211 must be performed on the source aggregate before crushing. The aggregate supplier must certify that the crushed aggregate being used on the project is manufactured from the source aggregate complying with the LA rattler requirements.

## 37-3.02B(5) Slurry Seal Mix Design

The slurry seal mix design, using project source aggregate, an asphaltic emulsion, and set-control agents if any, must comply with the requirements shown in the following table:

**Slurry Seal Mix Design Requirements** 

Quality characteristic	Test method <sup>a</sup>	Requirement
Consistency (max, mm)	Technical Bulletin 106	30
Wet stripping	Technical Bulletin 114	Pass
Compatibility	Technical Bulletin 115	Pass <sup>b</sup>
Cohesion test, within 1 hour (min, kg-mm)	Technical Bulletin 139	200
Wet track abrasion (max, g/m²)	Technical Bulletin 100	810

<sup>&</sup>lt;sup>a</sup>Test methods are by the International Slurry Surfacing Association.

The mix design must have the percent of asphaltic residue, based on percentage by weight of the dry aggregate, within the ranges shown in the following table:

Slurry seal type	Residue range
Type I	10–16
Type II	7.5–13.5
Type III	6.5-12.0

Determine the exact percentage based on the design asphalt binder content and the asphalt residual content of the asphaltic emulsion furnished.

## 37-3.02C Construction

## 37-3.02C(1) General

Reserved

## 37-3.02C(2) Proportioning

After proportioning, slurry seal mixtures must be workable.

#### 37-3.02C(3) Mixing and Spreading Equipment

Reserved

#### 37-3.02C(4) Placement

The slurry seal spread rates must be within the ranges shown in the following table:

Slurry Seal Spread Rates

Slurry seal type	Application range
	(lb of dry aggregate/sq yd)
Type I	8–12
Type II	10–18
Type III	20–25

Within 4 hours after placement, slurry seals must be set enough to allow traffic without pilot cars. Protect slurry seals from damage until it has set and will not adhere or be picked up by vehicle tires. Slurry seals must not exhibit distress from traffic such as bleeding, raveling, separation or other distresses.

## 37-3.02D Payment

The payment quantity for slurry seal is the weight determined by combining the weights of the aggregate and asphaltic emulsion or polymeric asphaltic emulsion. The payment quantity for slurry seal does not include the weights of the added water and set-control additives.

<sup>&</sup>lt;sup>b</sup>Mixing test must pass at the maximum expected air temperature at the job site during placement.

#### 37-3.03 MICRO-SURFACINGS

#### 37-3.03A General

## 37-3.03A(1) Summary

Section 37-3.03 includes specifications for applying micro-surfacings.

Applying a micro-surfacing consists of spreading a mixture of a micro-surfacing emulsion, water, additives, mineral filler, and aggregate on the pavement.

#### 37-3.03A(2) Definitions

Reserved

## 37-3.03A(3) Submittals

Immediately after sampling, submit two 1-quart wide mouth plastic containers of micro-surfacing emulsion taken in the presence of the Engineer. Samples must be submitted in insulated shipping container.

# 37-3.03A(4) Quality Assurance

37-3.03A(4)(a) General

Reserved

## 37-3.03A(4)(b) Quality Control

#### 37-3.03A(4)(b)(i) General

Reserved

## 37-3.03A(4)(b)(ii) Micro-surfacing Emulsions

Take samples from the truck tank at mid load from a sampling tap or thief. Before taking samples, draw and dispose of 1 gallon. In the presence of the Engineer, take two 1-quart wide mouth plastic containers for acceptance testing.

For a micro-surfacing emulsion, the authorized laboratory must perform quality control sampling and testing at the specified frequency and location for the quality characteristics shown in the following table:

#### **Micro-Surfacing Emulsion**

Quality characteristic	Test method	Minimum sampling and testing frequency	Sampling location
Tests on emulsion:			
Saybolt Furol Viscosity, at 25°C (Saybolt Furol seconds) Storage stability, 1 day (max, %) <sup>a</sup> Sieve test (max, %)	- AASHTO T 59	Minimum 1 per day per delivery truck	Delivery truck
Residue by evaporation (min, %)	California Test 331	Minimum 1 per day per delivery truck	Delivery truck
Tests on residue from evaporation test:			
Penetration at 25 °C	AASHTO T 49	Minimum 1 per day	Delivery truck
Softening point (min, °C)	AASHTO T 53	per delivery truck	Delivery truck

<sup>&</sup>lt;sup>a</sup>Storage stability test will be run if the storage exceeds 48 hours

## 37-3.03A(4)(c) Department Acceptance

For micro-surfacing emulsions, acceptance is based on the Department's sampling and testing for compliance with the requirements shown in the following table:

Micro-surfacing Emulsion Acceptance Criteria

Quality characteristic	Test method	Requirement	
Tests on emulsion:	Tests on emulsion:		
Saybolt Furol Viscosity at 25 °C	AASHTO T 59	15–90	
(Saybolt Furol seconds)			
Sieve test (%)	AASHTO T 59	0.30	
Storage stability, 1 day (max, %)	AASHTO T 59	0–1	
Settlement <sup>a</sup> , 5 days (max, %)	ASTM D244	5	
Residue by evaporation (min, %)	California Test 331	62	
Tests on residue by evaporation:			
Penetration at 25 °C	AASHTO T 49	40–90	
Softening point (min, °C)	AASHTO T 53	57	

<sup>&</sup>lt;sup>a</sup>Settlement test on emulsion is not required if used within 48 hours of shipment.

Acceptance of aggregate, except mineral filler, is based on the Department's sampling and testing for compliance with the requirements shown in the following table:

Aggregate Acceptance Criteria

Quality characteristic	Test method	Requirement
Los Angeles Rattler loss (max, %) At 500 revolutions	California Test 211ª	35
Percent of crushed particles (min, %)	California Test 205	95
Durability (min)	California Test 229	65
Sand equivalent (min)	California Test 217	
Type II		65
Type III		65

<sup>&</sup>lt;sup>a</sup>California Test 211 must be performed on the aggregate before crushing. The aggregate supplier must certify that the crushed aggregate being used on the project is manufactured from the source aggregate complying with the LA rattler requirements.

An aggregate sand equivalent test represents 300 tons or 1 day's production, whichever is less.

If the test results for aggregate sand equivalent do not comply with the specifications, you may remove the micro-surfacing represented by the test results or request it remain in place with a payment deduction. If your request is authorized, the Department deducts \$2.00 per ton of micro-surfacing for each noncompliant aggregate sand equivalent test.

## 37-3.03B Materials

## 37-3.03B(1) General

Reserved

## 37-3.03B(2) Micro-surfacing Emulsions

A micro-surfacing emulsion must be a homogeneous mixture of asphalt, an elastomeric polymer and an emulsifier solution.

Add an elastomeric polymer modifier to asphalt or emulsifier solution before emulsification. An elastomeric polymer solid must be a minimum of 3 percent by weight of the micro-surfacing emulsion's residual asphalt.

A micro-surfacing emulsion must comply with the requirements shown in the following table:

**Micro-surfacing Emulsion Requirements** 

Quality characteristic	Test method	Requirement
Tests on emulsion:		
Saybolt Furol Viscosity at 25 °C (Saybolt Furol seconds)	AASHTO T 59	15–90
Sieve test (%)	AASHTO T 59	0.30
Storage stability, 1 day (max, %)	AASHTO T 59	0–1
Settlement <sup>a</sup> , 5 days (max, %)	ASTM D244	5
Residue by evaporation (min, %)	California Test 331	62
Tests on residue by evaporation:		
Penetration at 25 °C	AASHTO T 49	40–90
Softening point (min, °C)	AASHTO T 53	57

<sup>&</sup>lt;sup>a</sup>Settlement test on emulsion is not required if used within 48 hours of shipment.

## 37-3.03B(3) Aggregate

Aggregate must comply with the quality characteristic requirements shown in the following table:

## **Aggregate Requirements**

Quality characteristic	Test method	Requirement
Los Angeles Rattler loss (max, %) At 500 revolutions	California Test 211 <sup>a</sup>	35
Percent of crushed particles (min, %)	California Test 205	95
Durability (min)	California Test 229	65
Sand equivalent (min)	California Test 217	
Type II		65
Type III		65

<sup>&</sup>lt;sup>a</sup>California Test 211 must be performed on the source aggregate before crushing. The aggregate supplier must certify that the crushed aggregate being used on the project is manufactured from the source aggregate complying with the LA rattler requirements.

## 37-3.03B(4) Mineral Fillers

If a mineral filler is used, it must be type I or type II Portland cement. A mineral filler used during mix design must be used during production.

#### 37-3.03B(5) Micro-Surfacing Mix Designs

The micro-surfacing mix design must have the material proportion limits shown in the following table:

**Micro-surfacing Mix Design Proportion Limits** 

	p
Material	Proportion limits
Micro-surfacing emulsion asphalt residual content (%	5.5–10.5
of dry weight of aggregate)	
Water and additives	As Required
Mineral filler (% of dry weight of aggregate)	0–3

The micro-surfacing mix design must comply with the requirements shown in the following table:

Micro-surfacing Mix Design Requirements

Quality characteristics	Test method <sup>a</sup>	Requirement
Wet cohesion		
At 30 minutes (set) (min, kg-cm)	Technical Bulletin 139	12
At 60 minutes (traffic) (min, kg-cm)		20
Excess asphalt (max, g/m²)	Technical Bulletin 109	540
Wet stripping (min, %)	Technical Bulletin 114	90
Wet track abrasion loss	Technical Bulletin 100	
6-day soak (max, g/m²)	rechnical Bulletin 100	810
Displacement		
Lateral (max, %)	Technical Bulletin 147A	5
Specific gravity after 1000 cycles of 57 kg	Technical Bulletin 147A	2.10
(max)		
Classification compatibility (min, grade points)	Technical Bulletin 144	(AAA, BAA) 11
Mix time at 25 °C (min)	Technical Bulletin 113	Controllable to 120
		seconds

<sup>&</sup>lt;sup>a</sup>Test methods are by the International Slurry Surfacing Association.

## 37-3.03B(6) Tack Coats

If there is a bid item for tack coat, you must coat the pavement surface with an asphaltic emulsion mixed with additional water before applying a micro-surfacing. The maximum ratio of water to asphaltic emulsion must be 2 to 1. Apply the tack coat at a rate from 0.08 to 0.15 gal/sq yd. The exact rate must be authorized.

You determine the grade of slow-setting or quick setting asphaltic emulsion to be used.

#### 37-3.03C Construction

# 37-3.03C(1) General

Reserved

## 37-3.03C(2) Proportioning

Field conditions may require adjustments to the proportions within the authorized mix design during construction.

#### 37-3.03C(3) Mixing and Spreading Equipment

## 37-3.03C(3)(a) General

Reserved

#### 37-3.03C(3)(b) Scratch Course Boxes

Spread the scratch courses with the same type of spreader box used to spread micro-surfacings except use an adjustable steel strike-off device instead of a final strike-off device.

## 37-3.03C(3)(c) Wheel Path Depression Boxes

Each wheel path depression box must have adjustable strike-off device between 5 and 6 feet wide to regulate depth. The wheel path depression box must also have devices such as hydraulic augers capable of:

- 1. Moving the mixed material from the rear to the front of the filling chamber
- 2. Guiding larger aggregate into the deeper section of the wheel path depression
- 3. Forcing the finer material towards the outer edges of the spreader box

#### 37-3.03C(4) Test Strips

If micro-surfacing placement will require more than 1 day, you must construct a test strip. The test strip must be:

- 1. From 300 to 450 feet long
- 2. The same as the full production micro-surfacing
- 3. On 1 of the application courses specified at an authorized location
- 4. At the same time of day or night the full production micro-surfacing is to be applied

If multiple application courses are specified, you may construct test strips over 2 days or nights.

The Engineer evaluates the test strip after traffic has used it for 12 hours. If the Engineer determines the mix design or placement procedure is unacceptable, make modifications and construct a new test strip for the Engineer's evaluation.

# 37-3.03C(5) Placement 37-3.03C(5)(a) General

Reserved

## 37-3.03C(5)(b) Repair Wheel Path Depressions

If repairing wheel path depressions is shown in plans, fill wheel path depressions and irregularities with micro-surfacing material before spreading micro-surfacing. If the depressions are less than 0.04 foot deep, fill with a scratch course. If the depressions are 0.04 foot deep or more, fill the depressions using a wheel path depression box.

Spread scratch courses by adjusting the steel strike-off of a scratch course box until it is directly in contact with the pavement surface.

Spread micro-surfacings with a wheel path depression box leaving a slight crown at the surface. Use multiple applications to fill depressions more than 0.12 foot deep. Do not apply more than 0.12 foot in a single application.

Allow traffic to compact each filled wheel path depression for a minimum of 12 hours before placing additional micro-surfacings.

#### 37-3.03C(5)(c) Micro-surfacing Pavement Surfaces

The micro-surfacing spread rates must be within the ranges shown in the following table:

Micro-surfacing type	Application range (lb of dry aggregate/sq yd)	
Type II	10–20	
Type III <sup>a</sup>	20–32	
Type III <sup>b</sup>	30–32	

<sup>&</sup>lt;sup>a</sup>Over asphalt concrete pavement

Within 2 hours after placement, micro-surfacings must be set enough to allow traffic without pilot cars. Protect the micro-surfacings from damage until it has set and will not adhere or be picked up by vehicle tires. Micro-surfacings must not exhibit distress from traffic such as bleeding, raveling, separation or other distresses.

### 37-3.03D Payment

The payment quantity for micro-surfacing is the weight determined by combining the weights of the aggregate and micro-surfacing emulsion. The payment quantity for micro-surfacing does not include the weights of added water, mineral filler, and additives.

#### 37-3.04 RUBBERIZED AND MODIFIED SLURRY SEALS

Reserved

<sup>&</sup>lt;sup>b</sup>Over concrete pavement and concrete bridge decks

#### 37-4 FOG SEALS AND FLUSH COATS

#### 37-4.01 GENERAL

#### 37-4.01A General

## 37-4.01A(1) Summary

Section 37-4.01 includes general specifications for applying fog seals and flush coats.

# 37-4.01A(2) Definitions

Reserved

#### 37-4.01A(3) Submittals

At least 15 days before use, submit:

- 1. Sample of asphaltic emulsion in two 1-quart plastic container with lined, sealed lid
- 2. Asphaltic emulsion information and test data as follows:
  - 2.1. Supplier
  - 2.2. Type/Grade of asphalt emulsion
  - 2.3. Copy of the specified test results for asphaltic emulsion

## 37-4.01B Materials

Not Used

#### 37-4.01C Construction

## 37-4.01C(1) General

Reserved

#### 37-4.01C(2) Weather Conditions

Only place a fog seal or flush coat if both the pavement and ambient temperatures are at least 50 degrees F and rising. Do not place a fog seal or flush coat within 24 hours of rain or within 24 hours of forecast rain or freezing temperatures.

## **37-4.01D Payment**

Not Used

#### **37-4.02 FOG SEALS**

#### 37-4.02A General

#### 37-4.02A(1) Summary

Section 37-4.02 includes specifications for applying fog seals.

Applying a fog seal includes applying a diluted slow-setting or quick setting asphaltic emulsion.

#### 37-4.02A(2) Definitions

Reserved

## 37-4.02A(3) Submittals

Immediately after sampling, submit two 1-quart plastic container of asphaltic emulsion taken in the presence of the Engineer. Samples must be submitted in insulated shipping container.

# 37-4.02A(4) Quality Assurance

## 37-4.02A(4)(a) General

Reserved

# 37-4.02A(4)(b) Quality Control

## 37-4.02A(4)(b)(i) General

Reserved

## 37-4.02A(4)(b)(ii) Asphaltic Emulsions

Circulate asphaltic emulsions in the distributor truck before sampling. Take samples from the distributor truck at mid load or from a sampling tap or thief. Before taking samples, draw and dispose of 1 gallon. In the presence of the Engineer, take asphalt emulsion sample in two 1-quart plastic container with lined, sealed lid.

For asphaltic emulsions, the authorized laboratory must perform quality control sampling and testing at the specified frequency and location for the following quality characteristics:

**Asphaltic Emulsion** 

Quality characteristic	Test Method	Minimum sampling and testing frequency	Sampling location	
Saybolt Furol Viscosity, at 25 °C (Saybolt Furl seconds) Sieve Test (%) Storage stability, 1 day (%) Residue by distillation (%) Particle charge <sup>a</sup>	AASHTO T 59	Minimum 1 per day per delivery truck	Distributor truck	
Tests on Residue from Distillation Test:				
Penetration, 25 °C	AASHTO T 49	Minimum 1 per day per		
Ductility	AASHTO T 51	Minimum 1 per day per delivery truck	Distributor truck	
Solubility in tricloroethylene	AASHTO T 44	delivery truck		

<sup>&</sup>lt;sup>a</sup>If the result of the particle charge is inconclusive, the asphaltic emulsion must be tested for pH under ASTM E70. Grade QS1h asphaltic emulsion must have a minimum pH of 7.3. Grade CQS1h asphaltic emulsion must have a maximum pH of 6.7.

## 37-4.02A(4)(b)(iii) Asphaltic Emulsion Spread Rates

For fog seals, the authorized laboratory must perform sampling and testing at the specified frequency and location for the following quality characteristics:

## Fog Seal Quality Control Requirements

Quality characteristic	Test method	Minimum sampling and testing frequency	Location of sampling
Asphaltic emulsion spread rate (gal/sq yd)	California Test 339	2 per day	Pavement surface

#### 37-4.02A(4)(c) Department Acceptance

Fog seal acceptance is based on:

- 1. Visual inspection for the following:
  - 1.1. Uniform surface texture throughout the work limits
  - 1.2. Flushing consisting of the occurrence of a film of asphaltic material on the surface
  - 1.4 Streaking consisting of alternating longitudinal bands of asphaltic emulsion approximately parallel with the lane line
- 2. The Department's sampling and testing for compliance with the requirements for the quality characteristics specified in section 94 for asphaltic emulsion
- 3. Department's sampling and testing for compliance with the requirements for fog seal shown in the following table:

#### Fog Seal Acceptance Criteria

Quality Characteristic	Test Method	Requirement
Asphaltic emulsion spread rate (gal/sq yd)	California Test 339	TV ± 10%

#### 37-4.02B Materials

You determine the grade of slow-setting or guick setting asphaltic emulsion to be used.

#### 37-4.02C Construction

Apply asphaltic emulsions for fog seals at a residual asphalt rate from 0.02 to 0.06 gal/sq yd.

If additional water is added to the asphaltic emulsions, the resultant mixture must not be more than 1 part asphaltic emulsion to 1 part water. You determine the dilution rate.

If the fog seals become tacky, sprinkle water as required.

If fog seals and chip seals are on the same project, the joint between the seal coats must be neat and uniform.

## 37-4.02D Payment

The Department does not adjust the unit price for an increase or decrease in the asphaltic emulsion quantity.

#### **37-4.03 FLUSH COATS**

#### 37-4.03A General

## 37-4.03A(1) Summary

Section 37-4.03 includes specifications for applying flush coats.

Applying a flush coat includes applying a fog seal coat followed by sand.

## 37-4.03A(2) Definitions

Reserved

## 37-4.03A(3) Submittals

At least 15 days before use, submit:

- 1. Proposed target X values for sand gradation.
- 2. Gradation test results for sand

Submit quality control test results for sand gradation within 2 business days of sampling.

#### 37-4.03A(4) Quality Assurance

#### 37-4.03A(4)(a) General

Reserved

# 37-4.03A(4)(b) Quality Control

For sand, the authorized laboratory must perform sampling and testing at the specified frequency and location for the following quality characteristics:

# **Sand Quality Control**

Quality characteristic	Test method	Minimum sampling and testing frequency	Location of sampling
Gradation (% passing by weight)	California Test 202	1 per day	See California Test 125

# 37-4.03A(4)(c) Department Acceptance

Flush coat acceptance is based on fog seal acceptance and the following:

- 1. Visual inspection for uniform application of sand.
- 2. Sand acceptance is based on the Department's sampling and testing for compliance with the requirements shown in the following table:

#### **Sand Gradation Acceptance Criteria**

Quality characteristic	Test method	Requirement
Gradation (% passing by weight) Sieve size: 3/8" No. 4	-	100 93–100
No. 4 No. 8 No. 16 No. 30	California Test 202	61–99 X ± 13 X ± 12
No. 50		X ± 9
No.100 No. 200		1–15 0–10

NOTE: "X" is the gradation that you propose to furnish for the specific sieve size.

## 37-4.03B Material

## 37-4.03B(1) General

Reserved

#### 37-4.03B(2) Sand

Sand must be free from deleterious coatings, clay balls, roots, bark, sticks, rags, and other extraneous material.

Sand for a flush coat must comply with the gradations shown in the following table:

#### Sand Gradation

Quality characteristic	Test method	Requirement
Gradation (% passing by weight) Sieve size: 3/8" No. 4 No. 8 No. 16 No. 30 No. 50 No.100 No. 200	California Test 202	100 93–100 61–99 X±13 X±12 X±9 1–15 0–10

NOTE: "X" is the gradation that you propose to furnish for the specific sieve size.

Fine aggregate sizes must be distributed such that the difference between the total percentage passing the No. 16 and No. 30 sieves is from 10 to 40, and the difference between the percentage passing the No. 30 and No. 50 sieves is from 10 to 40.

#### 37-4.03C Construction

## 37-4.03C(1) General

During flush coat activities, close adjacent lanes to traffic. Do not track asphaltic emulsion on existing pavement surfaces.

Apply sand immediately after applying asphaltic emulsions.

Spread sand aggregate with a mechanical device that spreads sand at a uniform rate over the full width of a traffic lane in a single application. Spread sand at a rate from 2 to 6 lb/sq yd. You determine the application rates for sand and the Engineer authorizes the application rate.

## 37-4.03C(2) Sweeping

Sweep loose sand material remaining on the surface 24 hours after application.

# 37-4.03D Payment

The Department does not adjust the unit price for an increase or decrease in the sand cover (seal) quantity.

#### **37-5 PARKING AREA SEALS**

#### 37-5.01 GENERAL

## **37-5.01A Summary**

Section 37-5 includes specifications for applying parking area seals. Sealing a parking area consists of spreading a mixture of asphaltic emulsion, aggregate, polymer, and water.

## 37-5.01B Definitions

Reserved

#### 37-5.01C Submittals

At least 15 days before starting placement, submit a 20 lb sample of the aggregate to be used.

At least 10 days before starting placement, submit:

- 1. Name of the authorized laboratory to perform testing and mix design.
- 2. Laboratory report of test results and a proposed mix design. The report and mix design must include the specific materials to be used and show a comparison of test results and specifications. The mix design report must include the quantity of water allowed to be added at the job site. The authorized laboratory performing the tests must sign the original laboratory report and mix design.
- 3. Manufacturer's data for oil seal primer and polymer.

If the mix design consists of the same materials covered by a previous laboratory report, you may submit the previous laboratory report that must include material testing data performed within the previous 12 months for authorization.

If you request substitute materials, submit a new laboratory report and mix design at least 10 days before starting placement.

Submit a certificate of compliance for the parking area seal material.

Immediately after sampling, submit two 1-quart plastic containers of parking area seal taken in the presence of the Engineer. Samples must be submitted in insulated shipping containers.

#### 37-5.01D Quality Assurance

37-5.01D(1) General

Reserved

37-5.01D(2) Quality Control 37-5.01D(2)(a) General

Reserved

## 37-5.01D(2)(b) Asphaltic Emulsions

For an asphaltic emulsion, the authorized laboratory must perform quality control sampling and testing at the specified frequency and location for the following quality characteristics:

**Asphaltic Emulsion** 

Quality characteristic	Test Method	Minimum sampling and testing frequency	Sampling location
Saybolt Furol Viscosity, at 25 °C (Saybolt Furol seconds) Sieve Test (%) Storage stability, 1 day (%) Residue by distillation (%) Particle charge <sup>a</sup>	- AASHTO T 59	Minimum 1 per day per delivery truck	Distributor truck
Tests on Residue from Distillation Test			
Penetration, 25 °C	AASHTO T 49	Minimum 1 nor day	
Ductility	AASHTO T 51	Minimum 1 per day per delivery truck	Distributor truck
Solubility in trichloroethylene	AASHTO T 44	per delivery truck	

<sup>&</sup>lt;sup>a</sup>If the result of the particle char is inconclusive, the asphaltic emulsion must be tested for pH under ASTM E70. Grade QS1h asphaltic emulsion must have a minimum pH of 7.3. Grade CQS1h asphaltic emulsion must have a maximum pH of 6.7.

## 37-5.01D(2)(c) Sand

For sand, the authorized laboratory must perform sampling and testing at the specified frequency and location for the following quality characteristics:

# **Sand Quality Control**

Quality characteristic	Test method	Minimum sampling and testing frequency	Location of sampling
Gradation (% passing by weight)	California Test 202	One per project	See California Test 125

## 37-5.01D(2)(d) Parking Area Seals

For a parking area seal, the authorized laboratory must perform quality control sampling and testing at the specified frequency for the following quality characteristics:

Parking Area Seal Requirements

· unitary and a countrol unitarity			
Quality characteristic	Test method	Frequency	
Mass per liter (kg)	ASTM D244		
Cone penetration (mm)	California Test 413		
Nonvolatile (%)	ASTM D2042ª	One per project	
Nonvolatile soluble in trichloroethylene (%)	ASTIVI D2042*		
Wet track abrasion (g/m²)	ASTM D3910		
Dried film color			
Viscosity (KU) <sup>b</sup>	ASTM D562		

 $<sup>^{</sup>a}$ Weigh 10 g of homogenous material into a previously tarred, small can. Place in a constant temperature oven at 165 ± 5  $^{\circ}$ C for 90 ± 3 minutes. Cool, reweigh, and calculate nonvolatile components as a percent of the original weight.

# 37-5.01D(3) Department Acceptance

Parking area seal acceptance is based on:

1. Visual inspection for:

bKrebs units

- 1.1. Uniform surface texture throughout the work limits
- 1.2 Marks in the surface:

- 1.2.1. Up to 4 marks in the completed parking area seal that are up to 1 inch wide and up to 6 inches long per 1,000 square feet of parking area seal placed.
- 1.2.2. No marks in the completed parking area seal surface that are over 1 inch wide or 6 inches long.
- 1.2. Raveling consisting of the separation of the aggregate from the asphaltic emulsion
- 1.3. Bleeding consisting of the occurrence of a film of asphaltic material on the surface of the parking area seal
- 1.4 Delaminating of the parking area seal from the existing pavement
- 1.5 Rutting or wash-boarding
- 2. The Department's sampling and testing of aggregate for compliance with 100 percent passing no. 16 sieve under California Test 202
- 3. The Department's sampling and testing for compliance with the requirements shown in the following table:

Parking Area Seal Acceptance Criteria

Quality characteristic	Test method	Requirement
Mass per liter (min, kg)	ASTM D244	1.1
Cone penetration (mm)	California Test 413	340–700
Nonvolatile (min, %)	ASTM D2042a	50
Nonvolatile soluble in trichloroethylene (%)	ASTIVI DZU4Z"	10–35
Wet track abrasion (max, g/m²)	ASTM D3910	380
Dried film color		Black
Viscosity (min, KU) <sup>b</sup>	ASTM D562	75

 $<sup>^{</sup>a}$ Weigh 10 g of homogenous material into a previously tared, small ointment can. Place in a constant temperature oven at 165 ± 5  $^{\circ}$ C for 90 ± 3 minutes. Cool, reweigh, and calculate nonvolatile components as a percent of the original weight.

#### **37-5.02 MATERIALS**

#### **37-5.02A General**

Aggregate must be clean, hard, durable, uncoated, and free from organic and deleterious substances. One hundred percent of the aggregate must pass the no. 16 sieve.

Asphaltic emulsion must be either Grade SS1h or CSS1h, except the values for penetration at 25 degrees C for tests on residue from distillation must be from 20 to 60.

Polymer must be either neoprene, ethylene vinyl acetate, or a blend of butadiene and styrene.

Oil seal primer must be a quick-drying emulsion with admixtures. Oil seal primer must be manufactured to isolate the parking area seal from pavement with residual oils, petroleum grease, and spilled gasoline.

Crack sealant must comply with section 37-6.

Water must be potable and not separate from the emulsion before the material is placed.

## 37-5.02B Mix Design

The proposed mix design for a parking area seal must comply with the requirements shown in the following table:

bKrebs units

## Parking Area Seal Mix Design Requirements

Quality characteristic	Test method	Requirement
Mass per liter (min, kg)	ASTM D244	1.1
Cone penetration (mm)	California Test 413	340–700
Nonvolatile (min, %)	ASTM D2042a	50
Nonvolatile soluble in trichloroethylene (%)	ASTIVI DZU4Z"	10–35
Wet track abrasion (max, g/m²)	ASTM D3910	380
Dried film color		Black
Viscosity (min, KU) <sup>b</sup>	ASTM D562	75

 $<sup>^{</sup>a}$ Weigh 10 g of homogenous material into a previously tarred, small ointment can. Place in a constant temperature oven at 165  $\pm$  5  $^{\circ}$ C for 90  $\pm$  3 minutes. Cool, reweigh, and calculate nonvolatile components as a percent of the original weight.

A parking area seal must contain a minimum of 2 percent polymer by volume of undiluted asphaltic emulsion.

#### 37-5.02C Proportioning

Parking area seal ingredients must be mixed at a central plant. The plant must include mechanical or electronic controls that consistently proportion the ingredients. Mix an asphaltic emulsion with the other ingredients mechanically.

Store the parking area seal in a tank equipped with mixing or agitation devices. Keep stored materials thoroughly mixed. Protect stored materials from freezing conditions.

#### 37-5.03 CONSTRUCTION

#### 37-5.03A General

Request that the Engineer shut off the irrigation control system at least 5 days before placing the seal. Do not water plants adjacent to the seal at least 24 hours before and after the seal coat placement.

#### 37-5.03B Surface Preparations

If cracks in the existing pavement are from 1/4 to 1 inch wide, treat the cracks under section 37-6. Do not place the parking area seals until the Engineer determines that the crack treatments are cured.

If cracks in the existing pavement are greater than 1 inch wide, the Engineer orders the repair. This work is change order work.

After any crack treatment and before placing parking area seals, clean the pavement surface, including removal of oil and grease spots. Do not use solvents.

If cleaning the pavement with detergents, thoroughly rinse with water. Allow all water to dry before placing parking area seals.

You must seal oil and grease spots that remain after cleaning. Use an oil seal primer and comply with the manufacturer's instructions.

If the existing pavement has oil and grease spots that do not come clean and sealing is insufficient, the Engineer orders the repair of the pavement. This work is change order work.

Before placing the parking area seals, dampen the pavement surface using a distributor truck. Place the seal on the damp pavement but do not place it with standing water on the pavement.

## 37-5.03C Placement

If adding water at the job site based on the manufacturer's instructions for consistency and spreadability, do not exceed 15 percent by volume of undiluted asphaltic emulsion.

bKrebs units

Place the parking area seals in 1 or more application. The seals must be uniform and smooth, free of ridges or uncoated areas.

If placing in multiple applications, allow the last application to thoroughly dry before the subsequent application.

Do not allow traffic on the parking area seals for at least 24 hours after placement.

Do not stripe over the parking area seals until it is dry.

#### **37-5.04 PAYMENT**

The payment quantity for parking area seal is the weight determined by combining the weights of the aggregate and asphaltic emulsion. The payment quantity for parking area seal does not include the added water and set-control additive.

#### **37-6 CRACK TREATMENTS**

#### 37-6.01 GENERAL

#### 37-6.01A Summary

Section 37-6 includes specifications for treating cracks in asphalt concrete pavement.

#### 37-6.01B Definitions

Reserved

#### 37-6.01C Submittals

If your selected crack treatment material is on the Authorized Material List for flexible pavement crack treatment material, submit a certificate of compliance including:

- Manufacturer's name
- 2. Production location
- 3. Brand or trade name
- 4. Designation
- 5. Batch or lot number
- 6. Crack treatment material type
- 7. Contractor or subcontractor name
- 8. Contract number
- 9. Lot size
- 10. Shipment date
- 11. Manufacturer's signature

If your selected crack treatment material is not on the Authorized Material List for flexible pavement crack treatment material, submit a sample and test results from each batch or lot 20 days before use. Testing must be performed by an authorized laboratory and test results must show compliance with the specifications. Test reports must include the information specified for the certificate of compliance submittal. Each hot-applied crack treatment material sample must be a minimum of 3 lb and submitted in a silicone release container. Each cold-applied crack treatment material sample must be a minimum of 2 quarts and submitted in a plastic container.

At least 10 days before the start of work, submit sand gradation test results under California Test 202.

Submit the following with each delivery of crack treatment material to the job site:

- 1. Manufacturer's heating and application instructions
- 2. Manufacturer's SDS
- 3. Name of the manufacturer's recommended detackifying agent

## 37-6.01D Quality Assurance

## 37-6.01D(1) General

Hot-applied crack treatment material must be sampled at least once per project in the Engineer's presence. Collect two 3-pounds-minimum samples of crack treatment material from the dispensing wand into silicone release boxes.

Cold-applied crack treatment material must be sampled at least once per project in the Engineer's presence. Collect 2 samples of crack treatment material from the dispensing wand into 1-quart containers.

## 37-6.01D(2) Quality Control

Reserved

## 37-6.01D(3) Department Acceptance

Crack treatment acceptance is based on:

- 1. Visual inspection for uniform filling of cracks throughout the work limits including:
  - 1.2. Crack treatment is not more than a 1/4 inch below the specified level
  - 1.3. Sealant failures
  - 1.4. Crack re-opening
  - 1.5. Crack overbanding is less than 3 inches wide
- 2. The Department's sampling and testing for compliance with the requirements shown in the following table:

Crack Treatment Acceptance Criteria

Quality obaractoristica	Test method <sup>b</sup>	•	Requirement			
Quality characteristic	Quality characteristic <sup>a</sup> Test method <sup>b</sup> Tyl	Type 1	Type 2	Type 3	Type 4	Type 5
Softening point (min, °C)	ASTM D36	102	96	90	84	84
Cone penetration at 77 °F (max)	ASTM D5329	35	40	50	70	90
Resilience at 77 °F, unaged (%)	ASTM D5329	20–60	25–65	30–70	35–75	40–80
Flexibility (°C) <sup>c</sup>	ASTM D3111	0	0	0	-11	-28
Tensile adhesion (min, %)	ASTM D5329	300	400	400	500	500
Specific gravity (max)	ASTM D70	1.25	1.25	1.25	1.25	1.25
Asphalt compatibility	ASTM D5329	Pass	Pass	Pass	Pass	Pass
Sieve test (% passing)	See note d	100	100	100	100	100

<sup>&</sup>lt;sup>a</sup>Cold-applied crack treatment material residue collected under ASTM D6943, Method B and sampled under ASTM D140 must comply with the grade specified.

#### **37-6.02 MATERIALS**

37-6.02A General

Reserved

#### 37-6.02B Crack Treatment Material

A crack treatment material must comply with the requirements shown in the following table:

<sup>&</sup>lt;sup>b</sup>Except for viscosity, cure each specimen at a temperature of 23 ± 2 °C and a relative humidity of 50 ± 10 percent for 24 ± 2 hours before testing.

 $<sup>^{</sup>c}$ For the flexibility test, the specimen size must be 6.4  $\pm$  0.2 mm thick by 25  $\pm$  0.2 mm wide by 150  $\pm$  0.5 mm long. The test mandrel diameter must be 6.4  $\pm$  0.2 mm. The bend arc must be 180 degrees. The bend rate must be 2  $\pm$  1 seconds. At least 4 of 5 test specimens must pass at the specified test temperature without fracture, crazing, or cracking.

<sup>&</sup>lt;sup>d</sup>For hot-applied crack treatment, dilute with toluene and sieve through a no. 8 sieve. For cold-applied crack treatment, sieve the material as-received through a no. 8 sieve. If the manufacturer provides a statement that added components passed the no. 16 sieve before blending, this requirement is void.

#### **Crack Treatment Material**

Quality observatoristica	Toot mothodb	Test method <sup>b</sup> Requirement				
Quality characteristic <sup>a</sup> Test method <sup>b</sup>	Type 1	Type 2	Type 3	Type 4	Type 5	
Softening point (min, °C)	ASTM D36	102	96	90	84	84
Cone penetration at 77 °F (max)	ASTM D5329	35	40	50	70	90
Resilience at 77 °F, unaged (%)	ASTM D5329	20–60	25–65	30–70	35–75	40–80
Flexibility (°C) <sup>c</sup>	ASTM D3111	0	0	0	-11	-28
Tensile adhesion (min, %)	ASTM D5329	300	400	400	500	500
Specific gravity (max)	ASTM D70	1.25	1.25	1.25	1.25	1.25
Asphalt compatibility	ASTM D5329	Pass	Pass	Pass	Pass	Pass
Sieve test (% passing)	See note d	100	100	100	100	100

<sup>&</sup>lt;sup>a</sup>Cold-applied crack treatment material residue collected under ASTM D6943, Method B and sampled under ASTM D140 must comply with the grade specifications.

<sup>d</sup>For hot-applied crack treatment, dilute with toluene and sieve through a no. 8 sieve. For cold-applied crack treatment, sieve the material as-received through a no. 8 sieve. If the manufacturer provides a statement that added components passed the no. 16 sieve before blending, this requirement is void.

A crack treatment material must be delivered to the job site with the information listed below. If crack treatment material is delivered to the job site in containers, each container must be marked with the following information.

- 1. Manufacturer's name
- 2. Production location
- 3. Brand or trade name
- 4. Designation
- 5. Crack treatment trade name
- 6. Batch or lot number
- 7. Maximum heating temperature
- 8. Expiration date for cold application only

Hot-applied crack treatment must be delivered to the job site premixed in cardboard containers with meltable inclusion liners or in a fully meltable package.

Cold-applied crack treatment must have a minimum shelf life of 3 months from the date of manufacture.

## 37-6.02C Sand

Sand applied to tacky crack treatment material must be clean, free of clay, and comply with the gradation shown in the following table:

#### **Sand Gradation**

Quality characteristic	Test method	Requirement
Gradation (% passing by weight)		
Sieve size:		
No. 4	California Test 202	100
No. 50		0–30
No. 200		0–5

<sup>&</sup>lt;sup>b</sup>Except for viscosity, cure each specimen at a temperature of 23 ± 2 °C and a relative humidity of 50 ± 10 percent for 24 ± 2 hours before testing.

 $<sup>^{</sup>c}$ For the flexibility test, the specimen size must be 6.4  $\pm$  0.2 mm thick by 25  $\pm$  0.2 mm wide by 150  $\pm$  0.5 mm long. The test mandrel diameter must be 6.4  $\pm$  0.2 mm. The bend arc must be 180 degrees. The bend rate must be 2  $\pm$  1 seconds. At least 4 of 5 test specimens must pass at the specified test temperature without fracture, crazing, or cracking.

#### 37-6.03 CONSTRUCTION

Treat cracks from 1/4 to 1 inch in width for the entire length of the crack. Fill or repair cracks wider than 1 inch as ordered. Filling cracks wider than 1 inch is change order work.

If treating cracks on a traffic lane adjacent to a shoulder, treat the cracks on the shoulder.

For hot-applied crack treatment material, rout cracks or saw cut to form a reservoir.

Cracks must be clean and dry before treating. Before treating, blast cracks with oil-free compressed air at a pressure of at least 90 psi.

If the pavement temperature is below 40 degrees F or if there is evidence of moisture in the crack, use a hot air lance immediately before applying crack treatment. The hot air lance must not apply flame directly on the pavement.

Heat and apply hot-applied crack treatment material under with the manufacturer's instructions.

Apply cold-applied crack treatment material with a distributor kettle, a piston, or a diaphragm barrel pump that can deliver from 50 to 75 psi. The application line must have a pressure gauge and a filter. The pressure in the application line must not exceed 20 psi. The pressure gauge must have a regulator. Use a high-pressure hose with a 1/2-inch NPT swivel connection and a dispensing wand.

Apply crack treatment with a nozzle inserted into the crack. Fill the crack flush. If after 2 days the crack treatment is more than 1/4 inch below the specified level, the sealant fails, or the crack re-opens, re-treat the crack.

Immediately remove crack treatment material that is spilled or deposited on the pavement surface.

Before opening to traffic, apply sand or the manufacturer's recommended detackifying agent to tacky crack treatment material on the traveled way.

Sweep up excess sand before opening to traffic.

#### **37-6.04 PAYMENT**

The payment quantity for crack treatment is the length measured in lane miles along the edge of each paved lane parallel to the pavement's centerline. The payment for a lane includes crack treatment of the adjacent shoulder.

37-7-37-10 RESERVED

^^^^^

#### 39 ASPHALT CONCRETE

07-21-17

Replace SP-2 at each occurrence in section 39 with:

01-15-16

MS-2

Replace the 3rd paragraph of section 39-2.01A(1) with:

07-15-16

WMA technologies must be on the Authorized Material List for WMA authorized technologies.

# Add between the 3rd and 4th paragraphs of section 39-2.01A(1):

04-15-16

For HMA that uses asphalt binder containing crumb rubber modifier, submit a Crumb Rubber Usage Report form monthly and at the end of the project.

# Replace the table in the 4th paragraph of section 39-2.01A(1) with:

07-21-17

Test method	Year of publication		
AASHTO M 17	2011 (2015)		
AASHTO M 323	2013		
AASHTO R 30	2002 (2015)		
AASHTO R 59	2011 (2015)		
AASHTO T 27	2014		
AASHTO T 49	2014		
AASHTO T 59	2013		
AASHTO T 96	2002 (2010)		
AASHTO T 164	2014		
AASHTO T 176	2008		
AASHTO T 209	2012		
AASHTO T 269	2014		
AASHTO T 275	2007 (2012)		
AASHTO T 283	2014		
AASHTO T 304	2011		
AASHTO T 305	2014		
AASHTO T 308	2010		
AASHTO T 312	2014		
AASHTO T 313	2012 (2016)		
AASHTO T 315	2012 (2016)		
AASHTO T 324	2014		
AASHTO T 329	2013		
AASHTO T 335	2009		
ASTM D36/D36M	2014 <sup>ε1</sup>		
ASTM D92	2012b		
ASTM D217	2010		
ASTM D297	2013		
ASTM D445	2014		
ASTM D1856	2009 (Reapproved 2015)		
ASTM D2007	2011		
ASTM D2074	2007 (Reapproved 2013)		
ASTM D2995	1999 (Reapproved 2009)		
ASTM D4791	2010		
ASTM D5329	2009		
ASTM D7741/D7741M	2011 <sup>ε1</sup>		
Asphalt Institute MS-2	7th edition (2015)		

# Replace items 1 and 2 in the 1st paragraph of section 39-2.01A(3)(b)(i) with:

07-21-17

1. Mix design documentation on a Contractor Hot Mix Asphalt Design Data form dated within 12 months of the submittal for the JMF verification.

Design Data form that was submitted for the JMF verification, if applicable. Add to item 8 in the 4th paragraph of section 39-2.01A(3)(b)(i): 07-15-16 , except lime supplier and source Replace the headings and paragraphs of section 39-2.01A(3)(i) with: 01-15-16 39-2.01A(3)(i) Reserved Replace the 2nd sentence in the 3rd paragraph of section 39-2.01A(4)(b) with: 01-15-16 Submit 3 parts and keep 1 part. 07-21-17 Delete item 3 in the 5th paragraph of section 39-2.01A(4)(b). Replace AASHTO Materials Reference Laboratory in the paragraph of section 39-2.01A(4)(f)(i) with: 01-20-17 AASHTO re:source 07-21-17 Delete the 6th paragraph of section 39-2.01A(4)(i)(i). Add between single and test in the 7th paragraph of section 39-2.01A(4)(i)(i): 07-15-16 aggregate or HMA Replace Engineer may accept in the introductory clause of the 3rd paragraph of section 39-2.01A(4)(i)(ii) with: 07-21-17 Engineer must accept Replace AASHTO Materials Reference Laboratory in the 2nd paragraph of section 39-2.01A(4)(i)(iv) with: 01-20-17

2. JMF verification on a Caltrans Hot Mix Asphalt Verification form and the Contractor Hot Mix Asphalt

AASHTO re:source

#### Replace the 1st paragraph of section 39-2.01B(2)(a) with:

07-21-17

The HMA mix design must comply with the superpave HMA mix design as described in MS-2 Asphalt Mix Design Methods by the Asphalt Institute.

# Replace the 1st paragraph of section 39-2.01B(2)(b) with:

07-15-16

If the proposed JMF indicates that the aggregate is being treated with dry lime or lime slurry with marination, or the HMA with liquid antistrip, then testing the untreated aggregate under AASHTO T 283 and AASHTO T 324 is not required.

If HMA treatment is required or being used by the Contractor, determine the plasticity index of the aggregate blend under California Test 204.

#### Add between aggregate and with dry lime in the 3rd and 4th paragraphs of section 39-2.01B(2)(b):

07-15-16

blend

# Replace the 9th through 11th paragraphs of section 39-2.01B(8)(a) with:

07-15-16

HMA must be produced at the temperatures shown in the following table:

**HMA Production Temperatures** 

HMA compaction	Temperature (°F)	
HMA		
Density based	≤ 325	
Method	305–325	
HMA with WMA technology		
Density based	240–325	
Method	260–325	

### Replace section 39-2.01B(11) with:

07-21-17

#### 39-2.01B(11) Miscellaneous Areas and Dikes

For miscellaneous areas and dikes:

- 1. Choose the aggregate gradation from:
  - 1.1. 3/8-inch Type A HMA aggregate gradation
  - 1.2. 1/2-inch Type A HMA aggregate gradation
  - 1.3. dike mix aggregate gradation
- 2. Choose asphalt binder Grade PG 64-10, PG 64-16 or PG 70-10.
- 3. Minimum asphalt binder content must be:
  - 3.1. 6.40 percent for 3/8-inch Type A HMA aggregate gradation
  - 3.2. 5.70 percent for 1/2-inch Type A HMA aggregate gradation
  - 3.3. 6.00 percent for dike mix aggregate gradation

If you request and the Engineer authorizes, you may reduce the minimum asphalt binder content.

Aggregate gradation for dike mix must be within the TV limits for the specified sieve size shown in the following table:

Dike Mix Aggregate Gradation (Percentage Passing)

<u> </u>				
Sieve size	Target value limit	Allowable tolerance		
1/2"	100			
3/8"		95 - 100		
No. 4	73–77	TV ± 10		
No. 8	58–63	TV ± 10		
No. 30	29–34	TV ± 10		
No. 200		0 - 14		

For HMA used in miscellaneous areas and dikes, sections 39-2.01A(3), 39-2.01A(4), 39-2.01B(2), 39-2.01B(4)(c), and 39-2.01B(5)–(10) do not apply.

# Replace item 4 in the 2nd paragraph of section 39-2.01C(1) with:

07-15-16

- 4. For method compaction:
  - 4.1. The temperature of the HMA and the HMA produced with WMA water injection technology in the windrow does not fall below 260 degrees F
  - 4.2. The temperature of the HMA produced using WMA additive technology in the windrow does not fall below 250 degrees F

# Add to the list in the 7th paragraph of section 39-2.01C(1):

07-21-17

- 4. Marks
- 5. Tearing
- 6. Irregular texture

07-15-16

Delete item 3 in the 8th paragraph of section 39-2.01C(1).

#### Replace the 1st paragraph of section 39-2.01C(2)(c) with:

07-21-17

For method compaction, each paver spreading HMA must be followed by at least one of each of the following 3 types of rollers:

- 1. Breakdown roller must be a vibratory roller specifically designed to compact HMA. The roller must be capable of at least 2,500 vibrations per minute and must be equipped with amplitude and frequency controls. The roller's gross static weight must be at least 7.5 tons.
- 2. Intermediate roller must be an oscillating-type pneumatic-tired roller at least 4 feet wide. Pneumatic tires must be of equal size, diameter, type, and ply. The tires must be inflated to 60 psi minimum and maintained so that the air pressure does not vary more than 5 psi.
- 3. Finishing roller must be a steel-tired, 2-axle tandem roller. The roller's gross static weight must be at least 7.5 tons.

# Replace planning in the 3rd paragraph of section 39-2.01C(3)(d) with:

planing

07-21-17

# Replace 39-2.01A(3)(m)(iv) in the 6th paragraph of section 39-2.01C(3)(e) with:

36-3.01C(3)

01-15-16

### Replace 2.06 in the 4th paragraph of section 39-2.01C(3)(f) with:

2.05

07-15-16

# Replace section 39-2.01C(3)(g) with:

07-21-17

### 39-2.01C(3)(g) Geosynthetic Pavement Interlayer

Where shown, place geosynthetic pavement interlayer over a coat of asphalt binder and in compliance with the manufacturer's instructions. Do not place the interlayer on a wet or frozen surface. If the interlayer, in compliance with the manufacturer's instructions, does not require asphalt binder, do not apply asphalt binder before placing the interlayer.

Before placing the interlayer or asphalt binder:

- 1. Repair cracks 1/4 inch and wider, spalls, and holes in the pavement. This repair is change order work.
- 2. Clean the pavement of loose and extraneous material.

If the interlayer requires asphalt binder, immediately before placing the interlayer, apply asphalt binder at a rate specified by the interlayer manufacturer; at 0.25±0.03 gal per square yard of interlayer; or at a rate that just saturates the interlayer; whichever is greater. Apply asphalt binder the width of the interlayer plus 3 inches on each side. At an interlayer overlap, apply asphalt binder on the lower interlayer the same overlap distance as the upper interlayer.

If asphalt binder tracked onto the interlayer or brought to the surface by construction equipment causes interlayer displacement, cover it with a small quantity of HMA.

If the interlayer placement does not require asphalt binder, apply tack coat prior to placing HMA at the application rates specified under section 39-2.01C(3)(f) based on the condition of the underlying surface on which the interlayer was placed.

Align and place the interlayer with no overlapping wrinkles, except a wrinkle that overlaps may remain if it is less than 1/2 inch thick. If the overlapping wrinkle is more than 1/2 inch thick, cut the wrinkle out and overlap the interlayer no more than 2 inches.

Overlap the interlayer borders between 2 to 4 inches. In the direction of paving, overlap the following roll with the preceding roll at any break.

You may use rolling equipment to correct distortions or wrinkles in the interlayer.

Before placing HMA on the interlayer, do not expose the interlayer to:

- 1. Traffic, except for crossings under traffic control and only after you place a small HMA quantity
- 2. Sharp turns from construction equipment
- 3. Damaging elements

Pave HMA on the interlayer during the same work shift. The minimum HMA thickness over the interlayer must be 0.12 foot including at conform tapers.

# Add to the end of section 39-2.01C(15)(b):

07-15-16

The compacted lift thickness must not exceed 0.25 foot.

# Add between rectangles and with in the 4th paragraph of section 39-2.01C(16):

, half the lane width,

04-15-16

#### Add between to and the in item 1 of the 4th paragraph of section 39-2.01C(16):

and along

04-15-16

Delete coat in the 5th paragraph of section 39-2.01C(16).

07-15-16

### Replace 37 in the 5th paragraph of section 39-2.01C(16) with:

07-15-16

37-4.02

#### Replace section 39-2.02A(3)(b) with:

01-15-16

The JMF must be based on the superpave HMA mix design as described in MS-2 Asphalt Mix Design Methods by the Asphalt Institute.

#### Add between the 1st and 2nd paragraphs of section 39-2.02C:

07-15-16

If the ambient air temperature is below 60 degrees F, cover the loads in trucks with tarpaulins. If the time for HMA discharge to truck at the HMA plant until transfer to paver's hopper is 90 minutes or greater and if the ambient air temperature is below 70 degrees F, cover the loads in trucks with tarpaulins, unless the time from discharging to the truck until transfer to the paver's hopper or the pavement surface is less than 30 minutes. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or the pavement surface.

# Replace the table in the 2nd paragraph of section 39-2.02C with:

**Minimum Ambient Air and Surface Temperatures** 

Ambient air (°F) Surface (°F)

	7		3 di 1 di 3 di 1	
(feet)	Unmodified	Modified asphalt	Unmodified asphalt	Modified asphalt
	asphalt binder	binder	binder	binder
Type A HMA and Type A HMA produced with WMA water injection technology				
<0.15	55	50	60	55
≥0.15	45	45	50	50
Type A HMA produced with WMA additive technology				
<0.15	45	45	50	45
≥0.15	40	40	40	40

07-15-16

07-15-16

# Delete the 3rd paragraph of section 39-2.02C.

# Add between HMA and placed in the 1st sentence of the 4th paragraph of section 39-2.02C:

07-15-16

and Type A HMA produced with WMA water injection technology

# Add between the 4th and the 5th paragraphs of section 39-2.02C:

07-15-16

For Type A HMA produced with WMA additive technology placed under method compaction, if the asphalt binder is:

1. Unmodified, complete:

Lift thickness

- 1st coverage of breakdown compaction before the surface temperature drops below 240 1.1 degrees F
- 1.2. Breakdown and intermediate compaction before the surface temperature drops below 190 dearees F
- Finish compaction before the surface temperature drops below 140 degrees F 1.3.
- You may continue static rolling below 140 degrees F to remove roller marks.
- 2. Modified, complete:
  - 1st coverage of breakdown compaction before the surface temperature drops below 230 2.1. dearees F
  - 2.2. Breakdown and intermediate compaction before the surface temperature drops below 170 degrees F
  - 2.3. Finish compaction before the surface temperature drops below 130 degrees F
  - 2.4. You may continue static rolling below 130 degrees F to remove roller marks.

# Replace the 2nd paragraph of section 39-2.03A(3)(b) with:

01-15-16

The JMF must be based on the superpave HMA mix design as described in MS-2 Asphalt Mix Design Methods by the Asphalt Institute.

# Replace the requirement in the row for *Voids in mineral aggregate on plant produced HMA* in the 2nd table in section 39-2.03A(4)(e)(i) with:

01-15-16

18.0-23.0

# Add before the 1st paragraph of section 39-2.03A(4)(e)(ii)(C):

04-15-16

CRM used must be on the Authorized Materials List for Crumb Rubber Modifier.

CRM must be a ground or granulated combination of scrap tire crumb rubber and high natural scrap tire crumb rubber, CRM must be  $75.0 \pm 2.0$  percent scrap tire crumb rubber and  $25.0 \pm 2.0$  percent high natural scrap tire crumb rubber by total weight of CRM. Scrap tire crumb rubber and high natural scrap tire crumb rubber must be derived from waste tires described in Pub Res Code § 42703.

#### Replace the row for Hamburg wheel track in the table in section 39-2.03B(2) with:

01-15-16

Hamburg wheel track (min, number of passes at the inflection	AASHTO T 324	
point)	(Modified) <sup>d</sup>	
Binder grade:		
PG 58		10,000
PG 64		12,500
PG 70		15,000

# Replace AASHTO R 35 in the 4th paragraph of section 39-2.03B(2) with:

07-21-17

superpave HMA mix design as described in MS-2 Asphalt Mix Design Methods by the Asphalt Institute

#### Replace RHMA-G in the 3rd and 5th paragraphs of section 39-2.03C with:

07-15-16

RHMA-G and RHMA-G produced with WMA water injection technology

# Add between the 3rd and 4th paragraphs of section 39-2.03C:

01-20-17

Spread and compact RHMA-G produced with WMA addititive technology at an ambient air temperature of at least 50 degrees F and a surface temperature of at least 50 degrees F.

#### Add between the 5th and 6th paragraphs of section 39-2.03C:

07-15-16

For RHMA-G produced with WMA additive technology placed under method compaction:

- 1. Complete the 1st coverage of breakdown compaction before the surface temperature drops below 260 degrees F
- Complete breakdown and intermediate compaction before the surface temperature drops below 230 degrees F
- 3. Complete finish compaction before the surface temperature drops below 180 degrees F

4. You may continue static rolling below 140 degrees F to remove roller marks

# Replace 39-2.03A(4)(b)(ii) in the 1st sentence of section 39-2.04A(4)(b)(ii) with:

01-20-17

39-2.03A(4)(c)(ii)

#### Replace the 6th and 7th paragraphs of section 39-2.04C with:

07-15-16

For HMA-O and HMA-O produced with WMA water injection technology:

- 1. With unmodified asphalt binder:
  - 1.1. Spread and compact only if the atmospheric temperature is at least 55 degrees F and the surface temperature is at least 60 degrees F.
  - 1.2. Complete the 1st coverage using 2 rollers before the surface temperature drops below 240 degrees F.
  - 1.3. Complete all compaction before the surface temperature drops below 200 degrees F.
- 2. With modified asphalt binder, except asphalt rubber binder:
  - 2.1. Spread and compact only if the atmospheric temperature is at least 50 degrees F and the surface temperature is at least 50 degrees F.
  - 2.2. Complete the 1st coverage using 2 rollers before the surface temperature drops below 240 degrees F.
  - 2.3. Complete all compaction before the surface temperature drops below 180 degrees F.

For HMA-O produced with WMA additive technology:

- 1. With unmodified asphalt binder:
  - 1.1. Spread and compact only if the atmospheric temperature is at least 45 degrees F and the surface temperature is at least 50 degrees F.
  - Complete the 1st coverage using 2 rollers before the surface temperature drops below 230 degrees F.
  - 1.3. Complete all compaction before the surface temperature drops below 190 degrees F.
- 2. With modified asphalt binder, except asphalt rubber binder:
  - 2.1. Spread and compact only if the atmospheric temperature is at least 40 degrees F and the surface temperature is at least 40 degrees F.
  - Complete the 1st coverage using 2 rollers before the surface temperature drops below 230 degrees F.
  - 2.3. Complete all compaction before the surface temperature drops below 170 degrees F.

# Replace RHMA-O and RHMA-O-HB in the 8th paragraph of section 39-2.04C with:

07-15-16

RHMA-O and RHMA-O produced with WMA water injection technology, and RHMA-O-HB and RHMA-O-HB produced with WMA water injection technology

#### Add between the 8th and 9th paragraphs of section 39-2.04C:

07-15-16

For RHMA-O produced with WMA additive technology and RHMA-O-HB produced with WMA additives technology:

1. Spread and compact if the ambient air temperature is at least 45 degrees F and the surface temperature is at least 50 degrees F

2. Complete the 1st coverage using 2 rollers before the surface temperature drops below 270 degrees F 3. Complete all compaction before the surface temperature drops below 240 degrees F Add to the 2nd paragraph of section 39-2.05A(3)(b): 01-15-16 The material transfer vehicle must receive HMA directly from the truck. Replace Table 6.1 at each occurrence in the table in section 39-2.05B(2) with: 01-15-16 Table 8.1 Replace SP-2 Asphalt Mixture in the 1st footnote in the table in the 2nd paragraph of section 39-2.05B(2)(b) with: 01-15-16 MS-2 Asphalt Mix Design Methods Replace Manual Series No. 2 (MS-2) in the 1st footnote in the table in the 2nd paragraph of section 39-2.05B(2)(b) with: 01-15-16 MS-2 Asphalt Mix Design Methods Replace 39-3.05 in the 1st paragraph of section 39-3.04A with: 01-15-16 39-3.04 Add to the end of section 39-3.04A: 07-15-16 Schedule cold planing activities such that the pavement is cold planed, the HMA is placed, and the area is opened to traffic during the same work shift. 07-15-16 Delete the 2nd sentence of the 1st paragraph in section 39-3.04C(4). Replace 39-3.06 in the 1st paragraph of section 39-3.05A with:

**^^^^^^^** 

39-3.05

01-15-16

# **40 CONCRETE PAVEMENT**

07-21-17

Add to the end of section 40-2.02C:

Inorganic zinc primer must comply with AASHTO M 300, Type I or II.

01-20-17

#### Replace and wide flange beam terminal in the 2nd paragraph of section 40-2.02D with:

, Types WF and AN,

#### Add to the end of section 40-2.02D:

01-20-17

01-20-17

Polyethylene bond breaker for wide flange beam terminal and expansion joint support slabs must comply with section 36-2.

#### Add to the end of section 40-2.03B:

01-20-17

Lap splice bar reinforcement under section 52-6. For low carbon, chromium-steel bar reinforcement, the length of lap splice must be at least 30 inches.

#### Replace the paragraph in section 40-2.03D with:

07-21-17

All welding must comply with AWS D1.1. Weld stud ends with an electric arc welder completely fusing the studs to the wide flange beam.

Replace studs dislodged in shipping or that can be dislodged with a hammer.

#### Add to the end of section 40-2.03D:

01-20-17

Clean surface of wide flange beam to receive prime coat under section 59-2.01C(3)(b)(ii). The thickness of the prime coat must be 6 mils.

#### Add after the second sentence of the 1st paragraph of section 40-2.03E(3)(a):

01-20-17

For low carbon, chromium-steel bar reinforcement, the length of lap splice must be at least 30 inches.

^^^^^

# **42 GROOVE AND GRIND CONCRETE**

01-20-17 Replace Reserved in section 42-1.03 with:

01-20-17

Do not store grooving or grinding residue within the highway.

# DIVISION VI STRUCTURES 47 EARTH RETAINING SYSTEMS

^^^^^^

07-15-16

#### Replace the 6th paragraph in section 47-2.02A with:

07-15-16

Rock for rock slope protection at drain pipe outlets must be small-rock slope protection and must comply with the gradation specified for 7-inch-thick layer in section 72-4.02.

# **48 TEMPORARY STRUCTURES**

^^^^^^

01-20-17

Add between the 5th and 6th paragraphs of section 48-2.01C(2):

01-20-17

For multi-frame bridges, submit a separate shop drawing for each frame.

#### Replace section 48-6 with:

01-20-17

# **48-6 TEMPORARY WOOD POLES**

#### 48-6.01 GENERAL

#### 48-6.01A Summary

Section 48-6 includes specifications for constructing, maintaining, and removing temporary wood poles for the support of electrical systems.

Temporary wood poles include attached wire components.

#### 48-6.01B Definitions

Reserved

#### 48-6.01C Submittals

# 48-6.01C(1) General

Submit a letter of certification that certifies all components of the manufactured assemblies are used in compliance with the manufacturer's recommendations. If requested, (1) submit manufacturer's data for manufactured assemblies to verify manufacturer's recommendations or (2) perform tests demonstrating adequacy of the proposed assemblies and submit the test results.

Submit the letter before installing messenger wires, tether wires, or self-supporting conductors or cables.

You may submit a request to use alternative mounting brackets or wire termination hardware. Your request must include:

- 1. Structural design calculations and testing data sealed and signed by an engineer who is registered as a civil engineer in the State
- 2. Manufacturer's instructions

# 48-6.01C(2) Guy Wire Anchors

Submit the guy wire anchor manufacturer's product information and installation instructions. Do not install anchors unless authorized.

# 48-6.01D Quality Assurance 48-6.01D(1) General

Reserved

#### 48-6.01D(2) Welding

Welding must comply with AWS D1.1.

#### **48-6.02 MATERIALS**

#### 48-6.02A General

Wire used for messenger wires, tether wires, or guy wires must be 7-wire strand complying with ASTM A475. Utilities Grade.

Connection hardware for wires must provide a termination efficiency factor of not less than 0.80.

Wood poles, push braces, and stubs must comply with ANSI O5.1.

Treat wood under AWPA U1, Use Category UC4B, Commodity Specification D.

Except for wire, helical anchors, expanded steel plate anchors, cross plate anchors, and expanding rock anchors, steel components must comply with section 56-3.

# 48-6.02B Helical Anchors, Expanded Steel Plate Anchors, Cross Plate Anchors, and Expanding Rock Anchors

Fabricate helical anchors, expanded steel plate anchors, and cross plate anchors under section 75.

Fabricate attachable thimble eyes and expanding rock anchors from suitable ferrous material.

Welding must comply with AWS D1.1.

Fabricate as a continuous piece or as separate segments with mechanical connections between segments. Include integral thimble eye or include attachable thimble eye.

Galvanize all helical anchor parts under section 75.

Paint expanded steel plate anchors, cross plate anchors, and expanding rock anchors as specified for repairing damaged galvanized surfaces in section 75-1.02B.

The final assembly must have (1) a minimum ultimate tensile strength greater than the minimum required breaking strength of the guy wire and (2) a minimum ultimate torsional strength greater than twice the minimum installation torque.

#### 48-6.02C Reuse of Materials and Relocation of Temporary Supports

You may reuse structural components and relocate temporary supports provided that the materials remain in acceptable condition for reuse, except do not reuse:

- 1. Components of high-strength bolt assemblies that have been or are required to be tensioned past snug tight
- 2. High-strength cap screws that have been or are required to be tensioned past snug tight
- 3. Tension control bolts

# 48-6.03 CONSTRUCTION

#### 48-6.03A General

Install construction bracing as necessary to withstand all imposed loads during erection, construction, and removal of any temporary wood poles.

The Engineer may order you to install Type K temporary railing at temporary wood pole locations that are less than 15 feet from the edge of a traffic lane.

Install all temporary railing protecting temporary wood poles before erecting temporary wood poles. Do not remove temporary railing until authorized.

For overhead line construction not specifically covered in the contract documents, comply with Public Utility Commission General Order 95.

#### 48-6.03B Foundations

Verify the design soil parameters before starting construction of temporary wood poles.

Remove any accumulated water from the pole excavation prior to placing granular backfill at the bottom of the pole excavation. Thoroughly compact and level the granular backfill at the bottom of the pole excavation prior to setting the pole.

Backfill around poles with manufactured sand that is free of rocks or other deleterious material. Place the backfill material in 4-inch thick layers. Moisten and thoroughly compact each layer.

Remove accumulated water from the anchor excavation prior to placing an expanded steel anchor. Expand the base of the expanded steel anchor prior to placing backfill. Place backfill around the expanded steel anchor in 4-inch thick layers. Thoroughly compact each layer.

Protect foundations from softening and undermining.

#### 48-6.03C Erection

If temporary wood poles are over or adjacent to roadways or railroads, all construction bracing must (1) be installed at the time each element of the temporary wood pole is erected and (2) remain in place until the temporary wood pole is removed.

Suspend conductors from messenger wire by continuous lashing wire. No spare wire conductors or cables are allowed unless described.

Sag overhead bundles to maintain required clearances over the ambient temperature range of - 30 to 120 degrees F. The sag must be between 4.6 and 5.4 percent of horizontal span unless otherwise shown. Minimum vertical clearance over grade is 25 feet unless otherwise shown.

#### 48-6.03D Attachments

If specific connection details are not shown, mount attachments under the manufacturer's written instructions and such that there is no loss of cross section.

#### 48-6.03E Damping

If at any time during service the temporary structural support exhibits excessive vibration, immediately install dampers. Dampers must be effective in mitigating the vibration and must not compromise the structural supports or the supported hardware.

#### 48-6.03F Removal

Remove temporary structural supports such that portions not yet removed remain stable at all times.

Remove temporary wood poles and helical anchors. Fill the void with excavated material or sand that is free of deleterious material. Place the backfill material in 4-inch thick layers. Moisten and thoroughly compact each layer.

Dispose of surplus excavated material uniformly along the adjacent roadway.

Dispose of temporary structural support materials and work debris.

# 48-6.03G Guy Wire Helical Anchors

48-6.03G(1) General

Reserved

#### 48-6.03G(2) Installation Parameters

Use the minimum installation torque shown. You may request an alternative minimum installation torque based on a revised value for empirical torque factor.

For alternative minimum installation torque, use the following equation to calculate the installation torque:

T = Qa(FS/Kt)

where:

T = Minimum installation torque, ft-lb

FS = Factor of safety of 2.0

Qa = Minimum allowable tensile capacity shown, lb

Kt = Empirical torque factor, 1/ft (inverse foot)

Include a geotechnical report sealed and signed by a licensed geotechnical engineer with recommended values for empirical torque factor and alternative minimum installation torque with your request.

Do not start installation unless your alternative installation parameters are authorized.

Verify the installation parameters before the start of anchor installation.

#### 48-6.03G(3) Installation

Install anchors under the manufacturer's written instructions and the following:

- 1. Do not install anchors underneath utilities or subsurface structures.
- 2. Maintain horizontal clearances as required by the Engineer.
- 3. Install to the minimum embedment length.
- 4. Continuously monitor and record torque during installation. If torque at the minimum embedment length is not equal to or greater than the minimum required, continue installation to greater embedment until the minimum installation torque is achieved for 2 continuous feet.

#### 48-6.03G(4) Removal

After service is complete, remove anchors using reverse torque. Fill the void with excavated material or sand free of deleterious materials. Place the backfill material in 4-inch thick layers. Moisten and thoroughly compact each layer.

# 48-6.03H Expanded Steel Plate Anchors, Cross Plate Anchors, and Expanding Rock Anchors 48-6.03H(1) General

Reserved.

#### 48-6.03H(2) Installation

Install anchors under the manufacturer's written instructions.

Locate and mark all substructures and utilities. Do not install anchors underneath subsurface utilities or structures.

# 48-6.03H(3) Removal

After service is complete, remove anchors to a depth of at least 3 feet below finished grade. Fill the void with sand free of deleterious materials. Place the backfill material in 4-inch thick layers. Moisten and thoroughly compact each layer.

#### **48-6.04 PAYMENT**

Not Used

^^^^^^^^

#### 49 PILING

07-15-16

Delete the 2nd paragraph of section 49-1.01A.

04-15-16

#### Replace the 1st sentence in the 5th paragraph of section 49-1.01D(3) with:

07-15-16

Load test and anchor piles must comply with the specifications for piling as described and Class N steel pipe piling.

# Add to the list in 7th paragraph of section 49-1.01D(3):

07-15-16

5. Welds that connect the anchor pile and the anchor pile head must be tested under section 49-2.02A(4)(b)(iii)(C)

#### Replace the 10th paragraph of section 49-1.01D(3) with:

07-15-16

Furnish labor, materials, tools, equipment, and incidentals as required to assist the Department in the transportation, installation, operation, and removal of Department-furnished steel load test beams, jacks, bearing plates, drills, and other test equipment. This is change order work.

# Replace the 7th paragraph of section 49-1.01D(4) with:

07-15-16

Piles to be dynamically monitored must:

- 1. Have an additional length of 2 times the pile diameter plus 2 feet.
- 2. Be available to the Department at least 2 business days before driving.
- 3. Be safely supported at least 6 inches off the ground in a horizontal position on at least 2 support blocks. If requested, rotate the piles on the blocks.
- 4. Be positioned such that the Department has safe access to the entire pile length and circumference for the installation of anchorages and control marks for monitoring.

07-15-16

Delete business in item 6 in the list in the 8th paragraph of section 49-1.01D(4).

# Add to the list in 9th paragraph of section 49-1.01D(4):

07-15-16

Cut pile to the specified cut-off elevation after bearing acceptance criteria is provided by the Department Delete the 3rd paragraph of section 49-1.03.

04-15-16

04-15-16

Delete the 2nd paragraph of section 49-1.04.

01-15-16

07-15-16

Delete the 4th paragraph of section 49-2.01C(5).

## Replace item 3 in the list in the 2nd paragraph of section 49-3.01A with:

3. CISS concrete piles

Add between undisturbed material and in a dry in the 1st paragraph of section 49-3.01C:

, casing, or steel shell

07-15-16

# Replace the 2nd and 3rd paragraphs of section 49-3.01C with:

07-15-16

Place and secure reinforcement. Securely block the reinforcement to provide the minimum clearance shown between the reinforcing steel cage and the sides of the drilled hole, casing, or steel shell.

Steel shells, casings, and drilled holes must be clean and free of debris before reinforcement and concrete are placed.

#### Replace dewatered in the 4th paragraphs of section 49-3.01C with:

drilled

07-15-16

# Add to section 49-3.02A(1):

Permanent steel casing and driven steel shell must comply with section 49-2.02.

07-15-16

#### Replace the paragraph of section 49-3.02A(2) with:

07-15-16

dry hole: A drilled hole that requires no work to keep it free of water.

dewatered hole: A drilled hole that:

- 1. Accumulates no more than 12 inches of water at the bottom during a 1 hour period without any pumping from the hole.
- 2. Has no more than 3 inches of water at the bottom immediately before placing concrete.
- 3. Does not require temporary casing to control the groundwater.

# Replace item 8 in the list in the 1st paragraph of section 49-3.02A(3)(b) with:

07-15-16

- 8. Drilling plan and sequence
- 9. Concrete sequence and placement plan
- 10. If inspection pipes are required, methods for ensuring the inspection pipes remain straight, undamaged, and properly aligned during concrete placement

# Replace 1 business day in the paragraph of section 49-3.02A(3)(d) with:

07-15-16

2 business days

# Add to section 49-3.02A(3)(d):

07-15-16

The log must:

- 1. Show the pile location, tip elevation, cutoff elevation, dates of excavation and concrete placement, total quantity of concrete placed, length and tip elevation of any casing, and details of any hole stabilization method and materials used.
- 2. Include an 8-1/2 by 11 inch graph of concrete placed versus depth of hole filled as follows:
  - 2.1. Plot the graph continuously throughout concrete placement. Plot the depth of drilled hole filled vertically with the pile tip at the bottom and the quantity of concrete placed horizontally.
  - 2.2. Take readings at each 5 feet of pile depth, and indicate the time of the reading on the graph.

# Add after the sentence in the paragraph of section 49-3.02A(3)(e):

07-15-16

Allow 10 days for the review.

# Replace the 3rd sentence in the paragraph of section 49-3.02A(3)(f) with:

07-15-16

Allow 10 days for the review and analysis of this report.

### Add after rejected pile in the 1st sentence in the 1st paragraph of section 49-3.02A(3)(g):

07-15-16

to be mitigated

07-15-16

#### Delete the 2nd paragraph of section 49-3.02A(3)(g).

#### Replace item 3 in the list in the 3rd paragraph of section 49-3.02A(3)(g) with:

07-15-16

3. Step by step description of the mitigation work to be performed, including drawings if necessary. If the ADSC Standard Mitigation Plan is an acceptable mitigation method, include the most recent version. For the most recent version of the ADSC Standard Mitigation Plan, go to: http://www.dot.ca.gov/hg/esc/geotech/ft/adscmitplan.htm

# Replace the 2nd sentence in the paragraph of section 49-3.02A(3)(i) with:

Allow 10 days for the review.

07-15-16

#### Add to section 49-3.02A(3):

07-15-16

#### 49-3.02A(3)(j) Certifications

If synthetic slurry is used, submit as an informational submittal the names and certifications of your employees who are trained and certified by the synthetic slurry manufacturer.

#### Add after excavated hole in the 1st sentence in the 3rd paragraph of section 49-3.02A(4)(c):

07-15-16

lined with plastic

#### Replace the 1st paragraph of section 49-3.02A(4)(d)(i) with:

07-15-16

Section 49-3.02A(4)(d) applies to CIDH concrete piles except for piles (1) less than 24 inches in diameter or (2) constructed in dry or dewatered holes.

# Replace gamma-gamma logging in the 2nd paragraph of section 49-3.02A(4)(d)(i) with:

07-15-16

**GGL** 

#### Replace the 1st sentence in the 3rd paragraph of section 49-3.02A(4)(d)(i) with:

07-15-16

After notification by the Engineer of pile acceptance, fill the inspection pipes and cored holes with grout.

#### Replace gamma-gamma logging in section 49-3.02A(4)(d)(ii) with:

07-15-16

**GGL** 

# Replace the 3rd and 4th paragraphs of section 49-3.02A(4)(d)(iii) with:

07-15-16

The Department may perform CSL to determine the extent of the anomalies identified by GGL and to further evaluate a rejected pile for the presence of anomalies not identified by GGL. The pile acceptance test report will indicate if the Department intends to perform CSL and when the testing will be performed. Allow the Department 20 additional days for a total of 50 days to perform CSL and to provide supplemental results.

If authorized, you may perform testing on the rejected pile.

#### Delete the 8th paragraph of section 49-3.02A(4)(d)(iii).

# Add to the end of section 49-3.02A(4)(d)(iii):

07-15-16

If the Engineer determines it is not feasible to repair the rejected pile, submit a mitigation plan for replacement or supplementation of the rejected pile.

# Add to section 49-3.02A(4):

07-15-16

#### 49-3.02A(4)(e) Certifications

If synthetic slurry is used, your employees who will be providing technical assistance in the slurry activities must be trained and certified by the synthetic slurry manufacturer to show their competency to perform inspection of slurry operations.

#### Replace section 49-3.02B(4) with:

07-15-16

# 49-3.02B(4) Reserved

Replace near in the 3rd, 4th, and 5th paragraphs of section 49-3.02B(6)(b) with:

07-15-16

within 2 feet of

Replace twice per shift in item 2 in the 3rd paragraph of section 49-3.02B(6)(b) with:

every 4 hours

07-15-16

Delete the 7th and 8th paragraphs of section 49-3.02B(6)(b).

07-15-16

Delete the 3rd paragraph of section 49-3.02B(6)(c).

07-15-16

Replace near in item 2 in the 4th paragraph of section 49-3.02B(6)(c) with:

07-15-16

within 2 feet of

Replace item 5 in the 4th paragraph of section 49-3.02B(6)(c) with:

07-15-16

5. After final cleaning and immediately before placing concrete.

#### Replace section 49-3.02B(9) with:

07-15-16

#### 49-3.02B(9) Inspection Pipes

Inspection pipes must be schedule 40 PVC pipe complying with ASTM D1785 with a nominal pipe size of 2 inches.

Watertight PVC couplers complying with ASTM D2466 are allowed to facilitate pipe lengths in excess of those commercially available.

# Add to the beginning of section 49-3.02C(1):

07-15-16

Unless otherwise authorized, drilling the hole and placing reinforcement and concrete in the hole must be performed in a continuous operation.

# Replace the 5th paragraph of section 49-3.02C(2) with:

07-15-16

If slurry is used during excavation, maintain the slurry level at a height required to maintain a stable hole, but not less than 10 feet above the piezometric head.

# Replace the 1st sentence in the 9th paragraph of section 49-3.02C(2) with:

07-15-16

Remove water that has infiltrated the dewatered hole before placing concrete, as required for dewatered hole.

# Replace the 1st sentence in the 10th paragraph of section 49-3.02C(2) with:

07-15-16

If authorized, to control caving or water seepage, you may enlarge portions of the hole, backfill the hole with slurry cement backfill, concrete, or other material, and redrill the hole to the diameter shown.

#### Replace the 4th paragraph of section 49-3.02C(3) with:

07-15-16

Remove the temporary casing during concrete placement. Maintain the concrete in the casing at a level required to maintain a stable hole, but not less than 5 feet above the bottom of the casing, to prevent displacement of the concrete by material from outside the casing.

#### Replace the 5th paragraph of section 49-3.02C(4) with:

07-15-16

For a single CIDH concrete pile supporting a column:

- 1. If the pile and the column share the same reinforcing cage diameter, this cage must be accurately placed as shown
- 2. If the pile reinforcing cage is larger in diameter than the column cage:
  - 2.1. Maintain a clear horizontal distance of at least 3.5 inches between the two cages, if the concrete is placed under dry conditions
  - 2.2. Maintain a clear horizontal distance of at least 5 inches between the two cages if the concrete is placed under slurry
  - 2.3. The offset between the centerlines of the two cages must not exceed 6 inches

### Replace the paragraphs in section 49-3.02C(5) with:

07-15-16

For acceptance testing, install and test vertical inspection pipes as follows:

- 1. Log the location of the inspection pipe couplers with respect to the plane of pile cutoff.
- 2. Cap each inspection pipe at the bottom. Extend the pipe from 3 feet above the pile cutoff to the bottom of the reinforcing cage. Provide a temporary top cap or similar means to keep the pipes clean before testing. If pile cutoff is below the ground surface or working platform, extend inspection pipes to 3 feet above the ground surface or working platform.
- 3. If any changes are made to the pile tip, extend the inspection pipes to the bottom of the reinforcing cage.
- 4. Install inspection pipes in a straight alignment and parallel to the main reinforcement. Securely fasten inspection pipes in place and provide protective measures to prevent misalignment or damage to the inspection pipes during installation of the reinforcement and placement of concrete in the hole. Construct CIDH concrete piles such that the relative distance of inspection pipes to vertical steel reinforcement remains constant.
- 5. After concrete placement is complete, fill inspection pipes with water to prevent debonding of the pipe.
- 6. Provide safe access to the tops of the inspection pipes.
- 7. After placing concrete and before requesting acceptance testing, test each inspection pipe in the Engineer's presence by passing a rigid cylinder through the length of pipe. The rigid cylinder must be 1-1/4-inch diameter by 4.5-foot long, weigh 12 pounds or less, and be able to freely pass down through the entire length of the pipe under its own weight and without the application of force.
- 8. When performing acceptance testing, inspection pipes must provide a 2-inch-diameter clear opening and be completely clean, unobstructed, and either dry or filled with water as authorized.
- 9. After acceptance testing is complete, completely fill the inspection pipes with water.

If the rigid cylinder fails to pass through the inspection pipe:

- 1. Completely fill the inspection pipes in the pile with water immediately.
- 2. Core a nominal 2-inch-diameter hole through the concrete for the entire length of the pile for each inspection pipe that does not pass the rigid cylinder. Coring must not damage the pile reinforcement.
- 3. Locate cored holes as close as possible to the inspection pipes they are replacing and no more than 5 inches clear from the reinforcement.

Core holes using a double wall core barrel system with a split tube type inner barrel. Coring with a solid type inner barrel is not allowed.

Coring methods and equipment must provide intact cores for the entire length of the pile.

Photograph and store concrete cores as specified for rock cores in section 49-1.01D(5).

The coring operation must be logged by an engineering geologist or civil engineer licensed in the State and experienced in core logging. Coring logs must comply with the Department's *Soil and Rock Logging, Classification, and Presentation Manual* for rock cores. Coring logs must include core recovery, rock quality designation of the concrete, locations of breaks, and complete descriptions of inclusions and voids encountered during coring.

The Department evaluates the portion of the pile represented by the cored hole based on the submitted coring logs and concrete cores. If the Department determines a pile is anomalous based on the coring logs and concrete cores, the pile is rejected.

# Replace item 2 in the list in the 2nd paragraph of section 49-3.02C(7) with:

07-15-16

2. Extend at least 5 feet below the construction joint. If placing casing into rock or a dry hole, the casing must extend at least 2 feet below the construction joint.

# Add to the beginning of section 49-3.02C(9):

07-15-16

# 49-3.02C(9)(a) General

### Replace the 2nd sentence of the 3rd paragraph of section 49-3.02C(9) with:

04-15-16

Do not vibrate the concrete.

#### Add after concrete pump in the 8th paragraph of section 49-3.02C(9):

07-15-16

and slurry pump

# Replace item 3 in the list in the 11th paragraph of section 49-3.02C(9) with:

07-15-16

3. Maintain the slurry level at a height required to maintain a stable hole, but not less than 10 feet above the piezometric head.

#### Replace the 13th paragraph of section 49-3.02C(9) with:

07-15-16

Maintain a log of concrete placement for each drilled hole.

#### Replace 14th and 15th paragraphs of section 49-3.02C(9) with:

07-15-16

If a temporary casing is used, maintain concrete placed under slurry at a level required to maintain a stable hole, but not less than 5 feet above the bottom of the casing. The withdrawal of the casing must not cause contamination of the concrete with slurry.

The equivalent hydrostatic pressure inside the casing must be greater than the hydrostatic pressure on the outside of the casing to prevent intrusion of water, slurry, or soil into the column of freshly placed concrete.

Remove scum, laitance, and slurry-contaminated concrete from the top of the pile.

# Add to section 49-3.02C(9):

07-15-16

# 49-3.02C(9)(b) Mineral Slurry

Remove any caked slurry on the sides or bottom of hole before placing reinforcement.

If concrete is not placed immediately after placing reinforcement, the reinforcement must be removed and cleaned of slurry, the sides of the drilled hole must be cleaned of caked slurry, and the reinforcement again placed in the hole for concrete placement.

### 49-3.02C(9)(c) Synthetic Slurry

A manufacturer's representative must:

- 1. Provide technical assistance for the use of their material
- 2. Be at the job site before introduction of the synthetic slurry into the drilled hole
- 3. Remain at the job site until released by the Engineer

After the manufacturer's representative has been released by the Engineer, your employee certified by the manufacturer must be present during the construction of the pile under slurry.

### Replace the heading of section 49-3.03 with:

07-15-16

#### **CAST-IN-STEEL SHELL CONCRETE PILING**

### Replace the 1st paragraph of section 49-3.03A(1) with:

07-15-16

Section 49-3.03 includes specifications for constructing CISS concrete piles consisting of driven openended or closed-ended steel shells filled with reinforcement and concrete.

# Add to the end of section 49-3.03A(1):

07-15-16

CISS concrete piles include Class 90 Alternative V and Class 140 Alternative V piles.

#### Add to section 49-3.03A(3):

01-15-16

Submit a Pile and Driving Data Form under section 49-2.01A(3)(a) if specified in the special provisions.

# Replace the paragraph of section 49-3.03D with:

07-15-16

Furnish piling is measured along the longest side of the pile from the specified tip elevation shown to the plane of pile cutoff.

# Replace section 49-4.03 with:

01-15-16

# 49-4.03 CONSTRUCTION 49-4.03A General

Reserved

#### 49-4.03B Drilled Holes

Drill holes for steel soldier piles into natural foundation material. Drilled holes must be accurately located, straight, and true.

Furnish and place temporary casings or tremie seals where necessary to control water or to prevent caving of the hole.

Before placing the steel soldier pile, remove loose materials existing at the bottom of the hole after drilling operations have been completed.

Do not allow surface water to enter the hole. Remove all water in the hole before placing concrete.

If temporary casings are used, they must comply with section 49-3.02C(3).

# 49-4.03C Steel Soldier Piles

Plumb and align the pile before placing concrete backfill and lean concrete backfill. The pile must be at least 2 inches clear of the sides of the hole for the full length of the hole to be filled with concrete backfill and lean concrete backfill. Ream or enlarge holes that do not provide the clearance around steel piles.

Maintain alignment of the pile in the hole while placing backfill material.

Clean and prepare piles in anticipated heat affected areas before splicing steel piles or welding concrete anchors.

#### ^^^^^^

#### 50 PRESTRESSING CONCRETE

07-15-16

Add to the end of section 50-1.01C:

07-15-16

# 50-1.01C(8) Post-tensioning Jack Calibration Chart

Submit the post-tensioning jack calibration plot.

#### 50-1.01C(9) Pretensioning Jack Calibration Chart

For any pretensioning jack calibrated by an authorized laboratory, submit a certified calibration plot.

#### Replace section 50-1.01D(2)(b) with:

07-15-16

# 50-1.01D(2)(b) Equipment and Calibration 50-1.01D(2)(b)(i) General

Each jack body must be permanently marked with the ram area.

Each pressure gauge must be fully functional and have an accurately reading, clearly visible dial or display. The dial must be at least 6 inches in diameter and graduated in 100 psi increments or less.

Each load cell must be calibrated and have an indicator that can be used to determine the force in the prestressing steel.

The range of each load cell must be such that the lower 10 percent of the manufacturer's rated capacity is not used in determining the jacking force.

Each jack must be calibrated equipped with its gauges.

Mechanically calibrate the gauges with a dead weight tester or other authorized means before calibration of the jacking equipment.

#### 50-1.01D(2)(b)(ii) Post-tensioning

Equip each hydraulic jack used to tension prestressing steel with 2 pressure gauges or 1 pressure gauge and a load cell. Only 1 pressure gauge must be connected to the jack during stressing.

Each jack used to tension prestressing steel permanently anchored at 25 percent or more of its specified minimum ultimate tensile strength must be calibrated by METS within 1 year of use and after each repair. You must:

- 1. Schedule the calibration of the jacking equipment with METS.
- 2. Verify that the jack and supporting systems are complete, with proper components, and are in good operating condition.
- 3. Provide labor, equipment, and material to (1) install and support the jacking and calibration equipment and (2) remove the equipment after the calibration is complete.
- 4. Plot the calibration results.

Each jack used to tension prestressing steel permanently anchored at less than 25 percent of its specified minimum ultimate tensile strength must be calibrated by an authorized laboratory within 180 days of use and after each repair.

#### 50-1.01D(2)(b)(iii) Pretensioning

Each jack used to pretension prestressing steel must be calibrated, equipped with its gauges, by a laboratory on the Authorized Laboratory List within 1 year of use and after each repair.

Calibrate pretensioning jacks:

- 1. Under ASTM E4 using an authorized laboratory. Certification that the calibration is performed to ASTM accuracy is not required.
- 2. In the presence of the Engineer. Notify the Engineer at least 2 business days before calibrating the iack.
- 3. Using 3 test cycles. Average the forces from each test cycle at each increment.
- 4. To cover the load range used in the work.

Gauges for pretensioning jacks may:

- 1. Be electronic pressure indicators that display either:
  - 1.1. Pressure in 100 psi increments or less
  - 1.2. Load to 1 percent of the maximum sensor/indicator capacity or 2 percent of the maximum load applied, whichever is smaller
- 2. Have a dial less than 6 inches in diameter

Gauges displaying pressure must have been calibrated within 1 year of the jack calibration.

Each hydraulic jack used for pretensioning must be equipped with either 2 gauges or 1 gauge and a load cell or you must have a calibrated standby jack with its gauge present on site during stressing.

^^^^^^

# 51 CONCRETE STRUCTURES

07-21-17

Replace the 7th item in the list in the 2nd paragraph of section 51-1.01A with:

01-20-17

7. Pipe culvert headwalls, endwalls, and wingwalls

Add to the list in the 2nd paragraph of section 51-1.01A:

04-15-16

8. Pile extensions

07-15-16

9. Drainage inlets

### Add to the list in the 6th paragraph of section 51-1.01A:

7. Drainage inlets

8. Pipe culvert headwalls and endwalls for a pipe with a diameter of less than 5 feet

# Add to section 51-1.01B:

07-21-17

01-20-17

**age of break:** Age in hours, determined by your testing, at which RSC attains its minimum specified compressive strength.

01-20-17

Delete the 1st paragraph of section 51-1.01C(5).

01-20-17

Delete the 5th item in the list in the 4th paragraph of section 51-1.01C(5).

# Replace section 51-1.01D(2)(b) with:

07-21-17

51-1.01D(2)(b) Rapid Strength Concrete 51-1.01D(2)(b)(i) General

Reserved

#### 51-1.01D(2)(b)(ii) Pregualification of Mix Design

Prequalify RSC under section 90-1.01D(5)(b) before use. Prequalification of an RSC mix design includes determining the opening age and attaining the specified minimum 28-day compressive strength.

Determine the opening age of the RSC mix design as follows:

- 1. Fabricate at least 5 test cylinders to be used to determine the age of break.
- 2. Immediately after fabrication of the 5 test cylinders, store the cylinders in a temperature medium of 70 ± 3 degrees F until the cylinders are tested.
- 3. Determine the age of break to attain an average strength of the 5 test cylinders.
- 4. Opening age is the age of break plus 1 hour.

The average strength of the 5 test cylinders must be at least the minimum specified compressive strength. Not more than 2 test cylinders may have a strength of less than 95 percent of the minimum specified compressive strength.

If compressive strength tests performed in the field show that the RSC has attained the minimum specified compressive strength, you may open the lane to traffic at the age of break. Perform the compressive strength tests under the specifications for sampling and testing cylinders in section 90-1.01D(5)(a). If you choose to use this option, notify the Engineer before starting construction.

# 51-1.01D(2)(b)(iii) Mock-ups

Reserved

### Replace the 1st sentence in the 3rd paragraph of section 51-1.01D(3)(b)(iii) with:

01-20-17

If portions of completed deck surfaces or approach slabs have a coefficient of friction of less than 0.35, those portions must be ground or grooved parallel to the center line to produce a coefficient of friction of not less than 0.35.

#### Add to section 51-1.02I:

07-15-16

Metal frames, covers, grates, and other miscellaneous iron and steel used with drainage inlets must comply with section 75-2.

#### Add to section 51-1.03B:

07-15-16

You may use PC drainage inlets as an alternative to CIP drainage inlets.

### Add between the 10th and 11th paragraphs of section 51-1.03C(2)(a):

07-15-16

For drainage inlets, extend the outside forms at least 12 inches below the top of the inlet. You may place concrete against excavated earth below this depth except:

- 1. You must use full-depth outside forms or other protection when work activities or unstable earth may cause hazardous conditions or contamination of the concrete.
- 2. You must increase the wall thickness 2 inches if placing concrete against the excavated surface. The interior dimensions must be as shown.

### Add to section 51-1.03C(2)(b):

07-15-16

For drainage inlets, remove exterior forms to at least 12 inches below the final ground surface. Exterior forms below this depth may remain if their total thickness is not more than 1 inch.

# Add to the end of section 51-1.03D(1):

07-21-17

If using a mobile volumetric mixer, before each work shift and after each time the mixer is washed out, discharge at least 2 cubic feet of RSC into a concrete waste container before placing RSC into the work.

#### Replace the 1st paragraph of section 51-1.03E(5) with:

01-20-17

For drill and bond dowel (chemical adhesive), install dowels under the chemical adhesive manufacturer's instructions.

#### Add to the list in the 2nd paragraph of section 51-1.03F(2):

07-15-16

4. Interior and top surfaces of drainage inlets

#### Replace the paragraphs of section 51-1.03F(5)(b)(i) with:

01-20-17

Except for bridge widenings and bridge decks to be covered with an overlay, texture roadway surfaces of bridge decks, approach slabs, and sleeper slabs, and other roadway surfaces of concrete structures longitudinally by grinding and grooving or by longitudinal tining.

For bridge widenings, texture the roadway surfaces longitudinally by longitudinal tining.

For bridge decks that are to be covered with an overlay, texture the deck using a burlap drag or broom device that produces striations either parallel or transverse to the centerline. If these structures are opened to traffic before the overlay is placed, the deck surface must meet the coefficient of friction requirement in section 51-1.01D(3)(b)(iii).

#### Replace the 3rd paragraph of section 51-1.03F(5)(b)(ii) with:

01-20-17

Grind and groove the deck surface to within 18 inches of the toe of the barrier as follows:

- 1. Grind the surface under section 42-3. Grinding must not reduce the concrete cover on reinforcing steel to less than 1-3/4 inches.
- 2. Groove the ground surfaces longitudinally under section 42-2. The grooves must be parallel to the centerline.

### Replace the 2nd sentence of the 3rd paragraph in section 51-1.03F(5)(b)(iii) with:

01-20-17

Grooves must be from 1/8 to 3/16 inch deep after concrete has hardened.

### Replace the 8th paragraph of section 51-1.03H with:

07-21-17

Section 90-3.03 does not apply to curing RSC for bridge decks. Cure bridge decks constructed with RSC as follows:

- 1. Immediately after strike-off, continually mist the deck with water using atomizing nozzles. Continue misting until the concrete reaches a compressive strength of at least 2000 psi.
- 2. After misting, apply curing compound no. 1 to the deck under section 90-1.03B(3).

Repair any damage to the film of the curing compound with additional curing compound. Repairing damaged curing compound after the deck is opened to traffic is not required.

#### Add to section 51-1.04:

07-15-16

The payment quantity for structural concrete, drainage inlet is the volume determined from the dimensions shown for CIP drainage inlets.

#### Replace the 2nd paragraph of section 51-2.02D(2)(a) with:

07-21-17

Bolts, nuts, and washers must comply with ASTM F3125, Grade A325.

#### Add to section 51-4.01C(1):

07-15-16

For PC drainage inlets, submit field repair procedures and a patching material test sample before repairs are made. Allow 10 days for the Engineer's review.

#### Add to section 51-4.01C(2)(a):

07-15-16

For drainage inlets with oval or circular cross sections, submit shop drawings with calculations. Shop drawings and calculations must be sealed and signed by an engineer who is registered as a civil engineer in the State. Allow 15 days for the Engineer's review.

# Add to section 51-4.01D(3):

07-15-16

The Engineer may reject PC drainage inlets exhibiting any of the following:

- 1. Cracks more than 1/32 inch wide
- 2. Nonrepairable honeycombed or spalled areas of more than 6 square inches
- 3. Noncompliance with reinforcement tolerances or cross sectional area shown
- 4. Wall, inlet floor, or lid less than minimum thickness
- 5. Internal dimensions less than dimensions shown by 1 percent or 1/2 inch, whichever is greater
- 6. Defects affecting performance or structural integrity

#### Add to section 51-4.02C:

07-15-16

Materials for PC drainage inlets must comply with the following:

- 1. Preformed flexible joint sealant must be butyl-rubber complying with ASTM C990
- 2. Resilient connectors must comply with ASTM C923
- 3. Sand bedding must comply with section 19-3.02F(2)
- 4. Bonding agents must comply with ASTM C1059/C1059, Type II

#### Add to section 51-4.02D:

07-15-16

#### 51-4.02D(8) Drainage Inlets

PC units for drainage inlets must be rectangular, round, or oval in cross section, or any combination. Transitions from a rectangular grate opening to a round or oval basin must be made in not less than 8 inches. Provide means for field adjustment to meet final grade, paving, or surfacing.

If oval or circular shape cross-sections are furnished, they must comply with AASHTO LRFD Bridge Design Specifications, Sixth Edition with California Amendments.

Wall and slab thicknesses may be less than the dimensions shown by at most 5 percent or 3/16 inch, whichever is greater.

Reinforcement placement must not vary more than 1/2 inch from the positions shown.

#### Add to section 51-4.03:

07-15-16

#### 51-4.03H Drainage Inlets

Repair PC drainage inlet sections to correct damage from handling or manufacturing imperfections before installation.

Center pipes in openings to provide a uniform gap. Seal gaps between the pipe and the inlet opening with nonshrink grout under the grout manufacturer's instructions. For systems designated as watertight, seal these gaps with resilient connectors.

Match fit keyed joints to ensure uniform alignment of walls and lids. Keys are not required at the inlet floor level if the floor is precast integrally with the inlet wall. Seal keyed joint locations with preformed butyl rubber joint sealant. You may seal the upper lid and wall joint with nonshrink grout.

Clean keyed joint surfaces before installing sealant. Joint surfaces must be free of imperfections that may affect the joint. Use a primer if surface moisture is present. Use a sealant size recommended by the sealant manufacturer. Set joints using sealant to create a uniform bearing surface.

Flat drainage inlet floors must have a field-cast topping layer at least 2 inches thick with a slope of 4:1 (horizontal:vertical) toward the outlet. Use a bonding agent when placing the topping layer. Apply the bonding agent under the manufacturer's instructions.

# Add to section 51-5.03D(1):

01-20-17

Approach slab (aggregate base) includes using AB to fill voids that remain after removing subsealing material or CTB beneath existing approach slabs.

#### Add to section 51-5.03E:

07-21-17

If using magnesium phosphate concrete, modified high-alumina-based concrete, or portland-cement-based concrete complying with section 51-1.02C to construct the paving notch extension, allow 1 hour between placing the paving notch extension concrete and placing the approach slab concrete.

If using RSC to construct the paving notch extension, the RSC must have a minimum compressive strength of 1,200 psi before placing the approach slab concrete and a minimum compressive strength of 2,500 psi before opening the overlaying approach slab to traffic.

#### Add to section 51-5.04:

01-20-17

Structural concrete used to fill voids below the approach slab that are caused by removal of subsealing material or CTB is paid for as aggregate base (approach slab). The payment quantity does not include the volume of structure concrete used to fill an overexcavation.

### Replace the 2nd paragraph of section 51-7.01A with:

07-15-16

Minor structures include structures described as minor structures.

07-15-16 Delete the 4th paragraph of section 51-7.01B. 07-15-16 Delete the 1st and 3rd paragraphs of section 51-7.01C. 07-15-16 Delete the heading and paragraph of section 51-7.02. ^^^^^ **52 REINFORCEMENT** 07-21-17 Add to section 52-1.02: 01-20-17 52-1.02E Dowels Reinforcing steel dowels must be deformed bars complying with section 52-1.02B. Threaded rods used as dowels must comply with section 75-1.02A. Replace Reserved in section 52-6.01B with: 07-21-17 group: Set of 5 or fewer consecutive lots after the 1st lot. Replace Reseved in section 52-6.01C(2)(a) with: 07-21-17 Reserved Replace Reseved in section 52-6.01C(3)(a) with: 07-21-17 Reserved Replace the 2nd paragraph of section 52-6.01C(4)(b) with: 07-21-17 Each QC test report must include: 1. Group number, lot number, and location 2. Bar size 3. Splice type 4. Mechanical splice length 5. Location of fracture 6. Physical condition of splice test sample 7. Notable defects 8. Total measured slip 9. Ultimate tensile strength of each splice 10. The following for ultimate butt splices:

- 10.1. Location of visible necking area
- 10.2. Largest measured strain

### Replace the paragraph in section 52-6.01C(6)(c) with:

07-21-17

For each bar size of each coupler model type of service splice or ultimate butt splice to be used in the work, submit a splice prequalification report that includes:

- 1. Copy of the manufacturer's product literature giving complete data on the splice material and installation procedures
- 2. Names of the operators who will be performing the splicing
- 3. Descriptions of the positions, locations, equipment, and procedures that will be used in the work
- 4 Certified test results from the authorized laboratory for the prequalification splice test samples
- 5. Certifications from the fabricator for operator and procedure prequalification
- 6. Manufacturer's QC Process Manual

#### Add between the 2nd and 3rd paragraphs of section 52-6.01D(1):

07-21-17

Before starting service or ultimate butt splicing activities, select the lots that constitute each group for QA testing.

#### Replace the last paragraph of section 52-6.01D(1) with:

07-21-17

Section 11-2 does not apply to resistance-butt-welded splices.

### Replace the 2nd paragraph of section 52-6.01D(2)(b) with:

07-21-17

For each bar size of each splice coupler model type to be used, each operator must prepare 4 prequalification splice test samples.

#### Replace the last paragraph of section 52-6.01D(2)(b) with:

07-21-17

Splice test samples and testing must comply with the QC testing requirements specified in section 52-6.01D(4)(b) for the type of splice to be used in the work.

# Replace the 1st paragraph of section 52-6.01D(3)(a) with:

07-21-17

Prepare splice test samples under California Test 670.

#### Replace the 4th paragraph of section 52-6.01D(3)(a) with:

07-21-17

When preparing or removing splice test samples for QC testing, concurrently prepare or remove 4 Department acceptance splice test samples from the same lot during:

1. 1st QC test

2. 1 QC test from each group, randomly selected by the Engineer

# Add to section 52-6.01D(3)(a):

07-21-17

If splices from a lot will be encased in concrete prior to receiving passing Department acceptance test results, you must prepare additional samples selected by the Engineer from the same lot for additional Department acceptance testing. You may prepare the samples as specified for service splice test samples in section 52-6.01D(4)(b)(iii). The Department will test service splice test samples as specified for service splices and ultimate butt splice test samples as specified for ultimate butt splices.

# Add to the list in the 5th paragraph of section 52-6.01D(4)(b)(i):

07-21-17

4. Group number of each lot

# Add between the 1st and 2nd paragraphs of section 52-6.01D(5):

07-21-17

If a Department acceptance test result does not comply with the material and QA requirements, the Department rejects all splices in the lot and the group.

For the other lots in the rejected group that pass QC testing, you may request the Engineer to perform additional Department acceptance testing for additional splice samples. If a Department acceptance splice test result complies with the material and QA requirements, the Department accepts all splices in that lot.

If a lot of splices is rejected, prepare a splice rejection mitigation report for that rejected lot as specified in section 52-6.01D(4)(b)(i).

If the QC and the Department acceptance test results have different compliance determinations, the Department will sample and test all subsequent lots until QC and the Department acceptance test compliance determinations are consistent for 2 consecutive lots before resuming sampling and testing of 1 lot from every group.

#### Replace the paragraph in section 52-6.02B(3) with:

07-21-17

Ultimate butt splice test samples must demonstrate necking as either of the following:

- 1. Except for 30-inch and smaller diameter hoops, for *Necking Option I* as specified in California Test 670, the test sample must fracture in the reinforcing bar outside of the affected zone and show visible necking. For 30-inch and smaller diameter hoops, the test sample must show visible necking at fracture at any location.
- 2. For Necking Option II as specified in California Test 670, the largest measured strain must be at least:
  - 2.1. 6 percent for no. 11 and larger bars
  - 2.2. 9 percent for no. 10 and smaller bars

#### Replace the 3rd paragraph of section 52-6.03B with:

01-15-16

For uncoated and galvanized reinforcing bars complying with ASTM A615/A615M, Grade 60, ASTM A706/A706M, or ASTM A767/A767M, Class 1, the length of lap splices must be at least:

- 1. 45 diameters of the smaller bar spliced for reinforcing bars no. 8 or smaller
- 2. 60 diameters of the smaller bar spliced for reinforcing bars nos. 9, 10, and 11

For epoxy-coated reinforcing bars and alternatives to epoxy-coated reinforcing bars complying with ASTM A775/A775M, ASTM A934/A934M, ASTM A1035/A1035M, or ASTM A1055/A1055M, the length of lap splices must be at least:

- 1. 65 diameters of the smaller bar spliced for reinforcing bars no. 8 or smaller
- 2. 85 diameters of the smaller bar spliced for reinforcing bars nos. 9, 10, and 11

^^^^^

#### 53 SHOTCRETE

01-15-16

Replace 632 in item 1 in the list in the 3rd paragraph of section 53-1.02 with:

01-15-16

675

#### Replace item 2 in the list in the 3rd paragraph of section 53-1.02 with:

01-15-16

2. You may substitute a maximum of 30 percent coarse aggregate for the fine aggregate. Coarse aggregate must comply with section 90-1, except section 90-1.02C(4)(d) does not apply. The gradation for the coarse aggregate must comply with the gradation specified in section 90-1.02C(4)(b) for the 1/2 inch x No. 4 or the 3/8 inch x No. 8 primary aggregate nominal size.

Replace shotcrete in the 2nd sentence of the 4th paragraph of section 53-1.02 with:

01-15-16

concrete

^^^^^^

# 55 STEEL STRUCTURES

07-21-17

Replace Welder in the 1st paragraph of section 55-1.01D(3)(a) with:

07-21-17

Welding

07-21-17

07-21-17

Table 1: Grade A325 Snug-Tight Tension Values

Replace the table in the 7th item in the 2nd paragraph of section 55-1.01D(3)(b)(iii)(2) with:

**Table 3: Grade A325 Minimum Tension Values** 

Bolt diameter (inches)	Minimum tension (kips)		
1/2	12		
5/8	19		
3/4	28		
7/8	39		
1	51		
1-1/8	64		
1-1/4	81		
1-3/8	97		
1-1/2	118		

Replace the table in the 5th item in the 3rd paragraph of section 55-1.01D(3)(b)(iii)(2) with:

07-21-17

Table 4: Gr	ade A325	Turn Test	Tension	Values
-------------	----------	-----------	---------	--------

Bolt diameter (inches)	Turn test tension (kips)		
1/2	14		
5/8	22		
3/4	32		
7/8	45		
1	59		
1-1/8	74		
1-1/4	94		
1-3/8	112		
1-1/2	136		

Replace the table in the 4th item in the 2nd paragraph of section 55-1.01D(3)(b)(iii)(3) with:

07-21-17

Table 5 Grade A325 Maximum Allowable Torque

Bolt diameter (inches)	Torque (ft-lb)		
1/2	150		
5/8	290		
3/4	500		
7/8	820		
1	1230		
1-1/8	1730		
1-1/4	2450		
1-3/8	3210		
1-1/2	4250		

# Replace ASTM A325, Type 1 in the 2nd table of section 55-1.02D(1) with:

ASTM F3125, Grade A325, Type 1

07-21-17

# Replace ASTM F1852, Type 1 in the 2nd table of section 55-1.02D(1) with:

07-21-17

ASTM F3125, Grade F1852, Type 1

^^^^^

# 56 OVERHEAD SIGN STRUCTURES, STANDARDS, AND POLES

07-21-17 **Replace section 56-1.01 with:** 

07-15-16

**56-1.01 GENERAL** 

56-1.01A Summary

Section 56-1 includes general specifications for constructing overhead sign structures, standards, and poles.

56-1.01B Definitions

Reserved

56-1.01C Submittals

Reserved

56-1.01D Quality Assurance

56-1.01D(1) General

Reserved

56-1.01D(2) Quality Control

56-1.01D(2)(a) General

Reserved

56-1.01D(2)(b) Nondestructive Testing

56-1.01D(2)(b)(i) General

Perform NDT of steel members under AWS D1.1 and the requirements shown in the following table:

# Nondestructive Testing for Steel Standards and Poles

Weld location	Weld type	Minimum required NDT
Circumferential splices around the perimeter of tubular sections, poles, and arms	CJP groove weld with backing ring	100% UT or RT
Longitudinal seam	CJP or PJP groove weld	Random 25% MT
Longitudinal seam within 6 inches of a circumferential splice	CJP groove weld	100% UT or RT
Welds attaching base plates, flange plates, pole plates, or mast arm plates to poles or arm tubes	CJP groove weld with backing ring and reinforcing fillet External (top) fillet weld for socket-type connections	t≥ 5/16 inch: 100% UT and 100% MT t< 5/16 inch: 100% MT after root weld pass and final weld pass
Hand holes and other appurtenances	Fillet and PJP welds	MT full length on random 25% of all standards and poles

NOTE: t = pole or arm thickness

# **Nondestructive Testing for Overhead Sign Structures**

Weld location	Weld type	Minimum required NDT
Base plate to post	CJP groove weld with backing ring and reinforcing fillet	100% UT and 100% MT
Base plate to gusset plate	CJP groove weld	100% UT
Circumferential splices of pipe or tubular sections	CJP groove weld with backing ring	100% UT or RT
Split post filler plate welds	CJP groove weld with backing bar	100% UT or RT
Longitudinal seam weld for pipe posts	CJP groove weld	t < 1/4 inch: 100% MT t ≥ 1/4 inch: 100% UT or RT
	PJP groove weld	Random 25% RT
Chord angle splice weld	CJP groove weld with backing bar	100% UT or RT
Truss vertical, diagonal, and wind angles to chord angles	Fillet weld	Random 25% MT
Upper junction plate to chord (cantilever type truss)	Fillet weld	Random 25% MT
Bolted field splice plates (tubular frame type)	CJP groove weld	100% UT and 100% MT
Cross beam connection plates (lightweight extinguishable message sign)	Fillet weld	Random 25% MT
Arm connection angles (lightweight extinguishable message sign)	Fillet weld	100% MT
Mast arm to arm plate (lightweight extinguishable message sign)	CJP groove weld with backing ring	t ≥ 5/16 inch: 100% UT and 100% MT t < 5/16 inch: 100% MT after root weld pass and final weld pass
Post angle to post (lightweight extinguishable message sign)	Fillet weld	100% MT
Hand holes and other appurtenances	Fillet and PJP welds	MT full length on random 25% of all sign structures

NOTE: t = pole or arm thickness

# 56-1.01D(2)(b)(ii) Ultrasonic Testing

For UT of welded joints with any members less than 5/16 inch thick or tubular sections less than 13 inches in diameter, the acceptance and repair criteria must comply with Clause 6.13.3.1 of AWS D1.1.

For UT of other welded joints, the acceptance and repair criteria must comply with Table 6.3 of AWS D1.1 for cyclically loaded nontubular connections.

After galvanization, perform additional inspection for toe cracks along the full length of all CJP groove welds at tube-to-transverse plate connections using UT.

When performing UT, use an authorized procedure under AWS D1.1, Annex S.

# 56-1.01D(2)(b)(iii) Radiographic Testing

The acceptance criteria for radiographic or real time image testing must comply with AWS D1.1 for tensile stress welds.

# 56-1.01D(2)(b)(iv) Longitudinal Seam Welds

The Engineer selects the random locations for NDT.

Grind the cover pass smooth at the locations to be tested.

If repairs are required in a portion of a tested weld, perform NDT on the repaired portion and on 25 percent of the untested portions of the weld. If more repairs are required, perform NDT on the entire weld.

# 56-1.01D(3) Department Acceptance

Reserved

# Replace section 56-2.01D(2)(b) with:

Reserved

07-15-16

# Replace the 2nd sentence of the 1st paragraph of section 56-2.02F with:

Manufactured pipe posts must comply with one of the following:

07-15-16

#### Add to the list in the 1st paragraph of section 56-2.02F:

4. ASTM A1085, Grade A

07-15-16

#### Replace the 2nd paragraph of section 56-2.02F with:

07-15-16

You may fabricate pipe posts from structural steel complying with ASTM A36/A36M, ASTM A709/A709M, Grade 36, or ASTM A572/A572M, Grades 42 or 50.

07-15-16

# Delete the last sentence in the 1st paragraph of section 56-2.02K(2).

07-15-16

Delete the 3rd paragraph of section 56-2.02K(2).

Replace the 2nd paragraph of section 56-2.02K(4) with:

07-15-16

Safety cable at walkways must not be kinked, knotted, deformed, frayed, or spliced.

Replace the 1st sentence of the paragraph in section 56-2.02K(5) with:

07-15-16

The edges of handholes and other large post and arm openings must be ground smooth.

Replace the heading of section 56-3 with:

07-15-16

56-3 STANDARDS, POLES, PEDESTALS, AND POSTS

#### Replace the paragraph in section 56-3.01A with:

07-15-16

Section 56-3 includes general specifications for fabricating and installing standards, poles, pedestals, and posts.

# Replace section 56-3.01B(2)(b) with:

07-15-16

Standards with handholes must comply with the following:

- 1. Include a UL-listed lug and 3/16-inch or larger brass or bronze bolt for attaching the bonding jumper for non-slip-base standards.
- 2. Attach a UL-listed lug to the bottom slip base plate with a 3/16-inch or larger brass or bronze bolt for attaching the bonding jumper for slip-base standards.

#### Replace the 1st sentence of the 3rd paragraph of section 56-3.01C(2)(a) with:

07-15-16

After each standard, pole, pedestal, and post is properly positioned, place mortar under the base plate.

#### Replace the 2nd sentence of the 4th paragraph of section 56-3.01C(2)(a) with:

07-15-16

The top of the foundation at curbs or sidewalks must be finished to curb or sidewalk grade.

#### Replace the 10th paragraph of section 56-3.01C(2)(a) with:

07-15-16

Except when located on a structure, construct foundations monolithically.

#### Replace the 13th paragraph of section 56-3.01C(2)(a) with:

07-15-16

Do not erect standards, poles, pedestals, or posts until the concrete foundation has cured for at least 7 days.

#### Replace the 14th paragraph in section 56-3.01C(2)(a) with:

07-15-16

The Engineer selects either the plumbing or raking technique for standards, poles, pedestals, and posts. Plumb or rake by adjusting the leveling nuts before tightening nuts. Do not use shims or similar devices. After final adjustments of both top nuts and leveling nuts on anchorage assemblies have been made and each standard, pole, pedestal, and post on the structure is properly positioned, tighten nuts as follows:

- 1. Tighten leveling nuts and top nuts, following a crisscross pattern, until bearing surfaces of all nuts, washers, and base plates are in firm contact.
- 2. Use an indelible marker to mark the top nuts and base plate with lines showing relative alignment of the nut to the base plate.
- 3. Tighten top nuts following a crisscross pattern:
  - 3.1. Additional 1/6 turn for anchor bolts greater than 1-1/2 inches in diameter.
  - 3.2. Additional 1/3 turn for other anchor bolts.
  - 3.3. Tightening tolerance for all top nuts is  $\pm$  1/8 turn.

# Replace the 1st sentence of the 4th paragraph of section 56-3.01C(2)(b) with:

07-15-16

If shown, use sleeve nuts on Type 1 standards.

#### Add to section 56-3.01C(2)(b):

07-15-16

Spiral reinforcement must be continuous above the bottom of the anchor bolts. The top termination must be either:

- 1. 1'-6" lap beyond the end of pitch with a 90-degree hook extending to the opposite side of the cage, or
- 2. 1'-6" lap beyond the end of pitch with 2 evenly spaced authorized mechanical couplers

#### Replace the 1st sentence of the paragraph in section 56-3.02A(4)(b) with:

07-15-16

For cast slip bases for standards and poles with shaft lengths of 15 feet or more, perform RT on 1 casting from each lot of a maximum of 50 castings under ASTM E94.

#### Replace the 2nd paragraph of section 56-3.02B(1) with:

07-15-16

Material for push button posts, pedestrian barricades, and guard posts must comply with ASTM A53/A53M or ASTM A500/A500M.

#### Add to section 56-3.02B(1):

07-15-16

Steel pipe standards and mast arms must be hot dip galvanized after manufacturing. Remove spikes from galvanized surfaces.

# Replace the 2nd paragraph of section 56-3.02B(2) with:

07-15-16

HS anchor bolts, nuts, and washers must comply with section 55-1.02D(1) and the following:

- 1. Bolt threads must be rolled
- 2. Hardness of HS anchor bolts must not exceed 34 HRC when tested under ASTM F606
- 3. Galvanization must be by mechanical deposition
- 4. Nuts must be heavy-hex type
- 5. Each lot of nuts must be proof load tested

#### Replace the 8th paragraph of section 56-3.02B(2) with:

07-21-17

HS cap screws for attaching arms to standards must comply with ASTM F3125 Grade A325 or ASTM A449, and the mechanical requirements in Grade A325 after galvanizing. Coat threads of cap screws with a colored lubricant that is clean and dry to the touch. The lubricant color must contrast the zinc coating color on the cap screw such that the presence of the lubricant is visually obvious. The lubricant must be insoluble in water or the fastener components must be shipped to the job site in a sealed container.

#### Replace the 2nd sentence of the 9th paragraph of section 56-3.02B(2) with:

07-15-16

During manufacturing, properly locate the position of the luminaire arm on the arm plate to avoid interference with the cap screw heads.

#### Add to section 56-3.02B(3)(a):

07-15-16

Steel having a nominal thickness greater than 2 inches that is used for tube-to-transverse plate connections must have a minimum CVN impact value of 20 ft-lb at 20 degrees F when tested under ASTM E23.

# Add to section 56-3.02B(3)(c):

07-15-16

The length of telescopic slip-fit splices must be at least 1.5 times the inside diameter of the exposed end of the female section.

For welds connecting reinforced handholes or box-type pole plate connections to a tubular member, the start and stop points must be at points located on a longitudinal axis of symmetry of the tube coinciding with the axis of symmetry of the hand hole or pole plate.

#### Replace the table in the 1st paragraph of section 56-3.02C with:

07-15-16

Slip Base Bolt Tightening Requirements

Onp Dusc Don 11	gritering requirements
Standard type	Torque (ft-lb)
15-SB	150
15-SBF	150
30	150
31	200

# Replace the 1st sentence of the 2nd paragraph of section 56-3.02C with:

07-15-16

Bolted connections attaching signal or luminaire arms to standards, poles, and posts are considered slip critical.

# Add to section 56-3.06B:

07-15-16

Manufacture the mast arm from standard pipe, free from burrs. Each mast arm must have an insulated wire inlet and wood pole mounting brackets for the mast arm and tie-rod cross arm. Manufacture tie rod from structural steel and pipe.

07-15-16

Delete the 2nd paragraph of section 56-3.06C.

# Replace the 1st sentence of the 3rd paragraph of section 56-3.06C with:

07-15-16

Mount the mast arm for luminaires to provide a 34-foot mounting height for a 165 W LED luminaire and a 40-foot mounting height for a 235 W LED luminaire.

^^^^^

# 59 STRUCTURAL STEEL COATINGS

07-15-16

Replace Type S in the 2nd paragraph of section 59-1.02A with:

Type M or Type S

01-15-16

Add to the list in the 2nd paragraph of section 59-1.02B:

07-15-16

5. Manufactured abrasives.

Replace Mineral and slag in the 3rd paragraph of section 59-1.02B with:

Mineral, manufactured, and slag

07-15-16

07-15-16

Delete the 4th paragraph of section 59-2.01C(1).

^^^^^

### **60 EXISTING STRUCTURES**

07-15-16

07-15-16

Delete the 2nd sentence in the 11th paragraph of section 60-3.04B(3)(c).

^^^^^^

# **64 PLASTIC PIPE**

07-15-16

Replace Reserved in section 64-3 with:

07-15-16

64-3.01 GENERAL 64-3.01A Summary

Section 64-3 includes specifications for constructing slotted plastic pipe.

Slotted plastic pipe includes structure excavation, concrete backfill, connecting new pipe to new or existing facilities, concrete collars, reinforcement, and other connecting devices.

#### 64-3.01B Definitions

Reserved

#### 64-3.01C Submittals

If an *or* equal slotted plastic pipe is being considered, it must be submitted 30 days before installation for approval.

If RSC is used for concrete backfill for slotted plastic pipe, submit the concrete mix design and test data from an authorized laboratory 10 days before excavating the pipe trench. The laboratory must specify the cure time required for the concrete mix to attain 2,000 psi compressive strength when tested under California Test 521.

Heel-resistant grates if specified must be submitted 30 days before installation for approval. Anchorage details must be included in the submittal.

# 64-3.01D Quality Assurance

Reserved

# **64-3.02 MATERIALS**

#### 64-3.02A General

Not Used

# 64-3.02B Slotted Plastic Pipes

Slotted plastic pipe must be one of the following or equal:

#### **Slotted Plastic Pipe**

12" diameter	18" diameter		
Zurn Z888-12	Zurn Z888-18		
ACO Qmax 350	ACO Qmax 365		
ADS Duraslot-12	ADS Duraslot-18		

#### 64-3.02C Concrete Backfill

Concrete for concrete backfill for slotted plastic pipe must comply with the specifications for minor concrete. You may use RSC instead of minor concrete for concrete backfill.

If RSC is used for concrete backfill, the RSC must:

- 1. Contain at least 590 pounds of cementitious material per cubic yard
- 2. Comply with section 90-3.02A, except section 90-1 does not apply
- 3. Comply with section 90-2

#### 64-3.02D Heel-Resistant Grates

Heel-resistant grate must:

- 1. Be designed to carry traffic loadings
- 2. Comply with ADA requirements
- 3. Be constructed of steel or cast iron
- 4. Be provided by the same manufacturer of the slotted plastic pipe
- 5. Comply with the manufacturer's instructions

#### 64-3.02E Bar Reinforcement

Bar reinforcement must comply with ASTM A615/A615M, Grade 60 or ASTM A706/A706M, Grade 60.

#### 64-3.02F Miscellaneous Metal

Ductile iron, nuts, bolts, and washers must comply with section 75.

#### 64-3.02G Grout

Grout must be non-shrink grout complying with ASTM C1107/C1107M.

# 64-3.02H Curing Compound

Non-pigmented curing compound must comply with ASTM C309, Type 1, Class B.

#### 64-3.02I End Caps

End cap must:

- 1. Be provided by the same manufacturer of the slotted plastic pipe
- 2. Prevent concrete backfill from entering the pipe

#### 64-3.03 CONSTRUCTION

#### 64-3.03A General

Cover the grate slots with heavy-duty tape or other authorized covering during paving and concrete backfilling activities to prevent material from entering the slots.

# 64-3.03B Preparation

Pave adjacent traffic lanes before installing slotted plastic pipes.

Excavation must comply with section 19-3.

#### 64-3.03C Installation

Lay and join slotted plastic pipes under the pipe manufacturer's instructions.

Lay pipes to line and grade with sections closely jointed and adequately secured to prevent separation during placement of the concrete backfill. If the pipes do not have a positive interlocking mechanism like a slot and tongue connection, secure the sections together with nuts, bolts, and washers before backfilling.

The top of slotted plastic pipes must not extend above the completed surface. Position the pipes so that the concrete backfill is flush with the surrounding grade and above the top of the grate from 1/8 to 1/4 inch.

Place channels with the male and female ends facing each other.

Place lateral support bar reinforcement on both sides of the grate slots. The support bar reinforcement must run the full length of the slots.

Anchor heel-resistant grates to the concrete backfill under the manufacturer's instructions.

#### 64-3.03D Concrete Backfill

Wherever minor concrete is used for concrete backfill for slotted plastic pipe, do not allow traffic on top of the backfill within 7 days of placement.

Wherever RSC is used for concrete backfill for slotted plastic pipe, do not allow traffic on top of the backfill before the required cure time of 2,000 psi is achieved.

Place concrete backfill where shown.

Consolidate the concrete backfill with high-frequency internal vibrators.

Texture the concrete backfill surface with a broom or burlap drag to produce a durable skid-resistant surface.

Apply a non-pigmented curing compound to the exposed concrete backfill surface whenever the atmospheric temperature is 90 degrees F or greater after placement.

# 64-3.03E Transition Fittings

Use transition fittings to connect slotted plastic pipes to drainage inlets. The transition fittings must be supplied by the same pipe manufacturer.

Where welds are required in transition fittings, welds must comply with the pipe manufacturer's instructions. The completed welds must not have visible pinholes. Fill the gaps around the pipes in the inlet structure wall with non-shrink grout where the pipes connect to an existing drainage structure. Install the grout under the pipe manufacturer's instructions.

Cut the pipes as shown after the grout used to seal the transition fitting has cured for at least 24 hours.

#### **64-3.04 PAYMENT**

Slotted plastic pipe is measured along the centerline of the pipe and parallel with the slope line. If the pipe is cut to fit a structure or slope, the payment quantity is the length of pipe necessary to be placed before cutting, measured in 2-foot increments.

# ^^^^^

# **68 SUBSURFACE DRAINS**

07-21-17

Replace the 1st sentence in the 12th paragraph of section 68-4.03 with:

07-21-17

Place Type G pavement markers with retroreflective face facing away from the oncoming traffic under section 81-3.02C on paved shoulders or dikes at outlet, vent, and cleanout locations where authorized.

# ^^^^^

# DIVISION VII DRAINAGE FACILITIES 70 MISCELLANEOUS DRAINAGE FACILITIES

01-20-17 Replace section 70-6 with:

01-20-17

# 70-6 GRATED LINE DRAIN

# 70-6.01 GENERAL 70-6.01A Summary

Section 70-6 includes specifications for constructing grated line drains.

Unless a specific type of grated line drain (GLD) is shown, select one of the following:

- 1. NDS Filcoten
- 2. ABT Poly Drain
- 3. Zurn Perma-Trench
- 4. ACO Power Drain
- 5. Hubbell Polycast

You may use a poured-in-place GLD or equal based on:

- 1. Minimum slope
- 2. Required channel discharge capacity (Q)

Clear opening of channel width must be no smaller than 4 inches and not to exceed 9 inches. Internal depth of GLD is not limited, unless shown.

#### 70-6.01B Definitions

Reserved

#### 70-6.01C Submittals

You must submit:

- 1. Calculations with manufacturer's design documentation verifying that the GLD will meet the discharge capacity required by the data shown for each drainage system location. Calculations must be:
  - 1.1 Based on Manning's equation for discharge capacity using a roughness coefficient (n) value of 0.013
  - 1.2 Sealed and signed by an engineer who is registered as a civil engineer in the State. When grate hold down mechanisms are in the discharge channel, only the unobstructed channel area beneath the hold down will be considered for capacity calculations. If there are more than one drainage system location, a summary table specifying the location, discharge capacity, slope and depth constraints showing that the GLD has sufficient discharge capacity must be submitted with calculations.
- 2. Certificate of compliance for the GLD frame and grate. If grates are designated for placement within a pedestrian path of travel, the certificate of compliance must also verify compliance with the Americans with Disabilities Act (ADA) with grate spacing no more than 1/2 inch.
- 3. Method of repair when deficiencies are noted in GLD.
- 4 Written report of GLD quality control that includes video or photos of GLD.

# **70-6.01D Quality Assurance 70-6.01D(1) General**

Reserved

#### 70-6.01D(2) Quality Control

Before contract acceptance, inspect the entire length of the GLD and submit a written report that includes video or photos at intervals of not less than 20 feet per length of GLD. Allow 10 days for the Engineer's review.

#### **70-6.02 MATERIALS**

#### 70-6.02A General

Epoxy must comply with ASTM C881.

End caps must be commercial quality as furnished by the GLD manufacturer.

Joint filler material must comply with section 40-1.02E.

Joint seal material must comply with section 41-5.02.

Shear stud must comply with ASTM A1044.

Steel plate must comply with ASTM A36 and be galvanized under section 75-1.02B.

High strength mechanical rebar connection device must be commercial-quality double-sleeve type that allows overlap of rebar to connect with friction locking screws. Sleeve must be designed to be used with Grade 60 rebar.

# 70-6.02B Frames and Grates

Frames and grates must be supplied by the same manufacturer as the GLD channel. GLD formed by using concrete must have a frame and grate that matches the width of the drain being provided.

#### 70-6.02C Reinforcement

Dowels must comply with section 40.

Reinforcing bars must be Grade 60, complying with section 52.

#### 70-6.02D Concrete Backfill

Concrete backfill must comply with section 61-5, except minor concrete must contain a minimum of 505 pounds of cementitious material per cubic yard.

#### 70-6.02E Storage and Handling

GLD channels must be stored on a flat surface in their original shipping pallets until installation.

# 70-6.03 CONSTRUCTION

#### 70-6.03A General

Where isolation joint is designated, installation must comply with section 40.

If repairs are necessary; submit the procedure for approval.

#### 70-6.03B Preparation

Excavation and backfill must comply with section 19-3.

Grated line drains must be installed in trenches excavated to the lines and grades established by the Engineer. Grade and prepare the bottom of the trench to provide a firm and uniform bearing throughout the entire length of the grated line drain.

#### 70-6.03C Installation

Grates must fit securely into the frames without rocking.

Grates installed within designated pedestrian paths must be certified as complying with the requirements of the ADA. Designated pedestrian grates must accept inflow of runoff through openings consisting of a minimum of 30 percent of the total top surface area of the grate, with individual openings or slots having a dimension not greater than 0.5 inch measured in the direction of pedestrian travel.

Grated line drains must comply with the manufacturer's joint installation recommendations for overlap. Joints for GLD sections must be closely jointed and secured to ensure no separation during backfilling. With overlapping joints, the upstream segment or section must fit over the top of the downstream one and allow for the required movement without allowing the concrete backfill to leak into the channel. With exterior sleeve joints, the separate sections must be placed to allow for thermal expansion and contraction such that the external sleeve provides sufficient coverage to accommodate joint movement without allowing any leakage during the concrete backfill placement. Exterior sleeves must be centered over each joint.

Sections must not have sidewall extensions. The GLD interior surface, below the level of the frame and grate and associated connections, must be smooth. Environmentally hazardous materials or solvents must not be used to clean any channel segments.

The frame or grate of the GLD must not extend above the level of the surrounding concrete backfill.

Use manufacturer's end caps to prevent concrete backfill from entering the grated line drain. Where no end caps are available, construct and compact earth plugs at the ends of the concrete backfill to contain the concrete within the trench.

Connect grated line drains to new or existing drainage facilities as shown. Drill rebar dowel holes, at least 1/16 inch larger than the dowel diameter, into existing drainage facilities or place dowels into new drainage wall at cast-in-place inlet connection. Use epoxy to secure dowels to existing inlet wall. Install epoxy under the epoxy manufacturer's instructions. Connect rebar with a double barrel mechanical coupler at overlap. Install mechanical coupler under the manufacturer's instructions. Rebuild the removed drainage inlet wall with minor concrete.

For a cast-in-place GLD, all forms must be removed after concrete has cured a minimum of 72 hours. Earlier form removal will be allowed if authorized. Remove forms by methods that will not gouge or mar the concrete surfaces. Any damage during form removal must be repaired.

When connecting to an existing drainage facility, remove concrete as shown. Clean existing concrete to receive new concrete. Place steel plate with reinforcing as shown and backfill with concrete. All existing concrete wall surface must have a smooth surface, either by saw cutting or patching with mortar.

Environmentally hazardous materials or other solvents must not be used to remove interior forms, and release agents must be nontoxic and biodegradable.

#### 70-6.03D Concrete Backfill

Concrete backfill must be placed under section 61-5.

#### You must:

- 1. Place a minimum 6-inch concrete backfill monolithically against undisturbed material at the sides and bottom of the trench. During concrete backfill placement, the GLD must be constrained in a way to prevent floating or shifting.
- 2. Place the weakened plane and expansion joints under section 73-1.03.
- 3. Place a 1/2-inch isolation joint where grated line drains are placed in PCC pavement.4. Remove all foreign matter before concrete backfill is placed in trench.
- 5. Construct earth plugs and compact at the ends of the planned concrete backfill to contain concrete within the trench, if needed.
- 6. Maintain a maximum 5 percent of the nominal trench width for the inward or outward GLD sidewall deflection after concrete backfill placement.7. Remove grated line drains or inlet connections with deflections exceeding 5 percent of the trench width.

Concrete backfill must be finished flush with the adjacent surfacing. The surface of the concrete must be textured with a broom or burlap drag to produce a durable skid-resistant surface.

#### **70-6.04 PAYMENT**

Not Used

^^^^^

#### 71 EXISTING DRAINAGE FACILITIES

07-21-17

Replace items 5 and 6 in the list in the 1st paragraph of section 71-3.01D with:

01-15-16

5. Performing postrehabilitation inspection

#### Add after the 4th paragraph of section 71-3.01D:

01-15-16

Record the quantity of grout that is installed and submit this quantity. The Department does not pay for grout that leaks through to the inside of the culvert. The Department does not pay for grout material that is wasted, disposed of, or remaining on hand after the completion of the work.

07-21-17

**EPDM** 

Replace the 2nd heading in section 71-5.03 with:

01-15-16

71-5.03B Frames, Covers, Grates, and Manholes

# DIVISION VIII MISCELLANEOUS CONSTRUCTION 72 SLOPE PROTECTION

07-21-17 Add to section 72-1.04:

07-21-17

Payment for rock slope protection fabric is not included in the payment for rock slope protection.

# Replace the 1st and 2nd paragraphs of section 72-2.02B with:

07-15-16

For method A and B placement and the class of RSP described, comply with the rock gradation shown in the following table:

#### **Rock Gradation**

by med	al RSP class dian particle ameter <sup>b</sup>	Nominal median particle	d <sub>15</sub> c (inches)		d <sub>15</sub> ° (inches) d <sub>50</sub> ° (inches)		d <sub>100</sub> c (inches)	Placement
Classa	Diameter (inches)	weight W <sub>50</sub> c,d	Min	Max	Min	Max	Max	Method
- 1	6	20 lb	3.7	5.2	5.7	6.9	12.0	В
	9	60 lb	5.5	7.8	8.5	10.5	18.0	В
III	12	150 lb	7.3	10.5	11.5	14.0	24.0	В
IV	15	300 lb	9.2	13.0	14.5	17.5	30.0	В
V	18	1/4 ton	11.0	15.5	17.0	20.5	36.0	В
VI	21	3/8 ton	13.0	18.5	20.0	24.0	42.0	A or B
VII	24	1/2 ton	14.5	21.0	23.0	27.5	48.0	A or B
VIII	30	1 ton	18.5	26.0	28.5	34.5	48.0	A or B
IX	36	2 ton	22.0	31.5	34.0	41.5	52.8	Α
Х	42	3 ton	25.5	36.5	40.0	48.5	60.5	Α
XI	46	4 ton	28.0	39.4	43.7	53.1	66.6	Α

<sup>&</sup>lt;sup>a</sup>For RSP Classes I–VIII, use Class 8 RSP fabric. For RSP Classes IX–XI, use Class 10 RSP fabric.

<sup>&</sup>lt;sup>b</sup>Intermediate or B dimension (i.e., width) where A dimension is length and C dimension is thickness.

cd%, where % denotes the percentage of the total weight of the graded material.

<sup>&</sup>lt;sup>d</sup>Values shown are based on the minimum and maximum particle diameters shown and an average specific gravity of 2.65. Weight will vary based on specific gravity of rock available for the project.

# Replace the table in section 72-2.02C with:

07-15-16

#### **Fabric Class**

Class	Largest rock gradation class used in slope protection
8	Classes I–VIII
10	Classes IX-XI

# Replace the table in the 1st paragraph of section 72-3.02C with:

07-15-16

Concreted-Rock G	radation
------------------	----------

Nominal RSP class by median particle diameter <sup>b</sup>		Nominal median particle	d <sub>15</sub> <sup>c</sup>		de	<sub>20</sub> c	d <sub>100</sub> c
Class <sup>a</sup>	Size (inches)	weight W <sub>50</sub> <sup>c,d</sup> Weight <sup>a</sup>	Min	Max	Min	Max	Max
I	6	20 lb	3.7	5.2	5.7	6.9	12.0
II	9	60 lb	5.5	7.8	8.5	10.5	18.0
III	12	150 lb	7.3	10.5	11.5	14.0	24.0
V	18	1/4 ton	11.0	15.5	17.0	20.5	36.0
VII	24	1/2 ton	14.5	21.0	23.0	27.5	48.0

<sup>&</sup>lt;sup>a</sup>Use Class 8 RSP fabric.

#### Replace the table in section 72-3.03E with:

07-15-16

# **Minimum Concrete Penetration**

	Rock class						
	VII	V	III	=			
Penetration (inches)	18	14	10	8	6		

# Replace the 1st paragraph of section 72-11.01D with:

07-21-17

The payment quantity for slope paving (concrete) constructed with minor concrete or shotcrete is the product of (1) the area computed from measurements along the slope of the actual areas constructed and (2) the thickness shown for the concrete slope paving.

^^^^^

<sup>&</sup>lt;sup>b</sup>Intermediate or B dimension (i.e., width) where A dimension is length and C dimension is thickness. <sup>c</sup>d%, where % denotes the percentage of the total weight of the graded material.

<sup>&</sup>lt;sup>d</sup>Values shown are based on the minimum and maximum particle diameters shown and an assumed specific gravity of 2.65. Weight will vary based on specific gravity of rock available for the project.

# 73 CONCRETE CURBS AND SI'DEWALKS

07-21-17

# Add to the beginning of the introductory clause of the 3rd paragraph of section 73-1.03B:

07-21-17

Prepare subgrade to required grade and cross section.

#### Replace section 73-3.01A with:

07-15-16

Section 73-3 includes specifications for constructing sidewalks, gutter depressions, island paving, curb ramps, and driveways.

# Replace Not Used in section 73-3.04 with:

07-21-17

The payment quantity for minor concrete (curb ramp) does not include detectable warning surface paid for as a separate bid item.

# Add to the end of the 1st paragraph of section 73-10.03:

07-21-17

Removal of concrete includes the removal of detectable warning surfaces.

#### Replace Not Used in section 73-10.04 with:

07-21-17

Detectable warning surface placed on existing concrete is paid for as a separate bid item.

# 74 PUMPING EQUIPMENT AND CONTROLS

^^^^^

04-15-16

Replace 87-1.03K in the 4th paragraph of section 74-3.03B(2) with:

04-15-16

87

^^^^^^

# **75 MISCELLANEOUS METAL**

07-21-17

Replace ASTM A325, Type 1 in the row for Bolts in table in the 1st paragraph of section 75-1.02A with:

07-21-17

ASTM F3125, Grade A325, Type 1

# Replace ASTM F1852, Type 1 in the row for Tension control bolts in the table in the 1st paragraph of section 75-1.02A with:

ASTM F3125, Grade F1852, Type 1

07-21-17

Replace A325 in the Material column in the table in the 1st paragraph of section 75-1.02B with:

07-21-17

F3125, Grade A325

Replace A325 in the second footnote in the table in the 1st paragraph of section 75-1.02B with:

07-21-17

F3125, Grade A325

^^^^^

#### 78 INCIDENTAL CONSTRUCTION

07-21-17

Add between the 1st and 2nd paragraphs of section 78-2.01:

07-21-17

Notify the Engineer at least 7 days before you construct a survey monument or adjust a monument cover to grade. Do not disturb a survey monument without authorization.

# Replace section 78-4.03 with:

07-21-17

**78-4.03 PAINTING CONCRETE** 

78-4.03A General

78-4.03A(1) General

78-4.03A(1)(a) Summary

Section 78-4.03A includes general specifications for preparing and painting concrete surfaces.

78-4.03A(1)(b) Definitions

Reserved

78-4.03A(1)(c) Submittals

Submit the coating manufacturer's application instructions at least 7 days before use.

78-4.03A(1)(d) Quality Assurance

78-4.03A(1)(d)(i) General

Reserved

78-4.03A(1)(d)(ii) Test Panels

Reserved

# 78-4.03A(2) Materials

# 78-4.03A(2)(a) General

Coatings for concrete must be white.

# 78-4.03A(2)(b) Paint

Coatings for concrete must comply with the specifications for acrylic emulsion paint for exterior masonry.

#### 78-4.03A(2)(c) Sealer

Reserved

# 78-4.03A(2)(d) Sealing Compound

Reserved

#### 78-4.03A(3) Construction

#### 78-4.03A(3)(a) General

Do not paint new concrete until it is at least 28 days old. Anywhere metal is adjacent to a joint, seal the joint between surfaces to be painted and the adjacent metal with a sealing compound before applying the paint.

### 78-4.03A(3)(b) Surface Preparation

Prepare concrete surfaces under SSPC-SP 13/NACE no. 6.

Pressure rinse the prepared surfaces before applying the coating. The surfaces must be thoroughly dry at the time of painting. You may use artificial drying methods if authorized.

# 78-4.03A(3)(c) Application

Apply at least 2 coats under the manufacturer's instructions and SSPC-PA 7. Protect adjacent surfaces during painting using an authorized method.

#### 78-4.03A(4) Payment

Not Used

# 78-4.03B Simulated Stone Masonry and Textured Concrete

Reserved

#### 78-4.03C-78-4.03G Reserved

# Replace the paragraph of section 78-4.04A(3)(a) with:

07-21-17

Anywhere metal is adjacent to a joint, seal the joint between the surfaces to be stained and the adjacent metal with a sealing compound before applying the stain.

#### Replace the heading of section 78-4.04B(1)(c)(iii) with:

07-21-17

# **Staining Quality Work Plan**

# Replace an application plan in the 1st sentence in the paragraph of section 78-4.04B(1)(c)(iii) with:

07-21-17

a staining quality work plan

# Replace application in the 2nd sentence in the paragraph of section 78-4.04B(1)(c)(iii) with:

07-21-17

work

#### Replace application plan in the 1st sentence in the paragraph of section 78-4.04B(1)(d)(iii) with:

07-21-17

staining quality work plan

^^^^^

# **80 FENCES**

07-21-17 Replace section 80-4 with:

07-15-16

#### **80-4 WILDLIFE EXCLUSION FENCES**

#### 80-4.01 GENERAL

#### 80-4.01A General

Section 80-4 includes specifications for constructing wildlife exclusion fences.

Constructing a wildlife exclusion fence includes the installation of any signs specified in the special provisions.

#### 80-4.01B Materials

Each T post must:

- 1. Comply with ASTM A702
- 2. Be metal and have an anchor plate
- 3. Be painted black or galvanized

#### 80-4.01C Construction

Not Used

#### 80-4.01D Payment

Not Used

#### **80-4.02 DESERT TORTOISE FENCES**

#### 80-4.02A General

Section 80-4.02 includes specifications for constructing desert tortoise fences.

#### 80-4.02B Materials

# 80-4.02B(1) Permanent Desert Tortoise Fences

#### 80-4.02B(1)(a) General

Each wire tie and hog ring for a permanent desert tortoise fence must comply with section 80-2.02F.

Each hold down pin must:

- 1. Be U-shaped, with 2 minimum 6-inch long legs
- 2. Have pointed ends
- 3. Be at least 11-gauge wire
- 4 Be galvanized
- 5. Be commercial quality

#### 80-4.02B(1)(b) Hardware Cloth

The hardware cloth must:

- Comply with ASTM A740
- 2. Be welded or woven galvanized steel wire fabric
- 3. Be made of at least 14-gauge wire
- 4. Be 36 inches wide

# 80-4.02B(1)(c) Barbless Wire

The barbless wire must:

- 1. Comply with ASTM A641/A641M
- 2. Be at least 14-gauge wire
- 3. Have a Class 1 zinc coating

#### 80-4.02B(1)(d) Posts

Each post must:

- 1. Comply with ASTM F1083
- 2. Be standard weight, schedule 40 steel pipe with a nominal pipe size of 1 inch
- 3. Be galvanized steel fence post conforming to ASTM A702

#### 80-4.02B(2) Temporary Desert Tortoise Fences

The materials for a temporary desert tortoise fence must comply with section 80-4.02B(1), except the hardware cloth must be made of at least 16-gauge wire.

#### 80-4.02C Construction

#### 80-4.02C(1) General

Extend the hardware cloth a minimum of 24 inches above the ground.

Plumb the posts and pull the hardware cloth taut. Correct any alignment issues.

#### 80-4.02C(2) Permanent Desert Tortoise Fences

Excavate the ground to form a trench before installing the posts and hardware cloth. Embed the posts at maximum 5-foot intervals into the ground. If T posts are used, use 5-foot lengths and embed the posts to match the above-ground height shown for the posts.

Securely fasten the hardware cloth to the posts with wire ties and to barbless wire with hog rings as shown. Pass the wire ties through the hardware cloth. Encircle the posts and barbless wire with the ties and tie them by twisting a minimum of 3 complete turns.

Bend the twisted ends of the ties down to prevent possible snagging. Close hog rings with their ends overlapping.

Bury the hardware cloth a minimum of 12 inches into the ground. Install the cloth in 1 continuous piece. You may cut the cloth into shorter segments if authorized.

Overlap the hardware cloth segments at posts, with a minimum overlap of 6 inches centered at a post. Wire tie the overlapped cloth to posts as shown. Prevent fraying by threading barbless wire along the vertical edges of the hardware cloth on either side of the post or use 3 equally spaced hog rings (6 hog rings per location) along each wire cloth edge.

Where bedrock or caliche substrate is encountered, use the bent hardware cloth detail if authorized. Transitions from buried-to-bent or bent-to-buried configuration must occur at a post location with a minimum 6-inch overlap of the hardware cloth as shown. The maximum spacing for hold down pins is 24

inches on center. Anchor in place with hold down pins the beginning and end corners of the hardware cloth placed on the ground.

Backfill the removed earth material into the trench created to install the hardware cloth and posts. Use an 8 lb or heavier hand tamper to compact the backfill around the posts and hardware cloth. Install a post at each corner of the cloth segments.

If a gate must be installed, attach the hardware cloth to the gate frame such that there is contact along the entire length of the gate between the finished ground surface and the lower edge of the cloth. Install the gate under section 80-10.

# 80-4.02C(3) Temporary Desert Tortoise Fences

Fold the horizontal edge of the hardware cloth at a  $90^{\circ}$  angle toward the tortoise habitat area. Ensure the clearance to the ground at the bend is from 0 to 2 inches.

Where the hardware cloth overlaps, secure the bend piece with one of the following:

- 1. Barbless wire threaded along the width of the cloth
- 2. Minimum of 4 hog rings equally spaced along the edge

Fasten the bent piece to the ground with hold down pins pushed completely into the ground.

When the temporary fence is no longer needed, compact soil into post holes with an 8 lb or heavier hand tamper.

80-4.02D Payment

Not Used

80-4.03-80-4.09 RESERVED

Replace *length* at each occurrence in section 80-10.02 with:

07-21-17

width

# DIVISION IX TRAFFIC CONTROL DEVICES 81 MISCELLANEOUS TRAFFIC CONTROL DEVICES

^^^^^^

07-21-17

Delete section 81-3.02B.

07-21-17

#### Replace the 5th paragraph of section 81-3.03A with:

07-21-17

Apply pavement markers to the pavement with bituminous adhesive, flexible bituminous adhesive, standard set epoxy, or rapid set epoxy adhesive. Apply markers in pavement recesses with flexible bituminous adhesive.

# Replace the 1st sentence in the 7th paragraph of section 81-3.03A with:

07-21-17

Completely cover the pavement surface where the pavement marker is to be applied or the bottom of the pavement marker with the adhesive without leaving any voids.

^^^^^

#### 83 RAILINGS AND BARRIERS

07-21-17

04-15-16

Delete to in the 4th paragraph of section 83-1.02B.

# Replace item 3 in the list in the 1st paragraph of section 83-2.02B(1)(e) with:

07-21-17

3. HS bolts must comply with ASTM F3125, Grade A325/A325M, or ASTM A449, or be fabricated from steel rods complying with ASTM A449. The bolts or rods must comply with the mechanical requirements in ASTM F3125, Grade A325/A325M after galvanizing. The nuts and washers must comply with ASTM F3125, Grade A325/A325M.

# Replace the row for *Bolts* in the table in the 1st paragraph of section 83-2.08B with:

07-21-17
Bolts ASTM F3125, Grade A325/A325M

Replace the row for *Nuts and washers for bolts and threaded rods* in the table in the 1st paragraph of section 83-2.08B with:

07-21-17

Nuts for bolts and threaded rods	ASTM A563/A563M		
Washers for bolts and threaded rods	ASTM F436/F436M		

#### **84 MARKINGS**

^^^^^^

07-21-17

Add to the list in the 1st paragraph of section 84-2.01C:

07-21-17

4. Material data sheet for thermoplastic primer

#### Replace the list in the1st paragraph of section 84-2.03C(2)(a) with:

07-21-17

- 1. To all roadway surfaces except for asphaltic surfaces less than 6 months old
- 2. At a minimum rate of 1 gallon per 300 square feet
- 3. To allow time for the thermoplastic primer to dry and become tacky prior to application of the thermoplastic

# Replace 0.20 lb of thermoplastic per foot of 4-inch-wide solid stripe in the 2nd paragraph of section 84-2.03C(2)(b) with:

07-21-17

0.36 lb of thermoplastic per foot of 6-inch-wide solid stripe

# Replace 0.13 lb of thermoplastic per foot of 4-inch-wide solid stripe in the 2nd paragraph of section 84-2.03C(2)(c) with:

07-21-17

0.24 lb of thermoplastic per foot of 6-inch-wide solid stripe

# Replace 0.38 lb of thermoplastic per foot of 4-inch-wide solid stripe in the 2nd paragraph of section 84-2.03C(2)(e) with:

07-21-17

0.57 lb of thermoplastic per foot of 6-inch-wide solid stripe

# Replace 4-inch-wide yellow stripes at each occurrence in section 84-2.03C(3)(a) with:

07-21-17

6-inch-wide yellow stripes

# Replace 4-inch-wide yellow stripes at each occurrence in section 84-2.04 with:

07-21-17

6-inch-wide yellow stripes

#### Add to the beginning of section 84-8.03A:

07-15-16

Select the method and equipment for constructing ground-in indentations.

# Replace the 1st paragraph of section 84-8.03A with:

07-15-16

Do not construct rumble strips:

- 1. On structures, approach slabs, or concrete weigh-in-motion slabs
- 2. At intersections
- 3. Bordering two-way left turn lanes, driveways, or other high-volume turning areas
- 4. Within 6 inches of any concrete pavement joint

#### Add between the 2nd and 3rd paragraphs of section 84-8.03A:

07-15-16

Modify rumble strip spacing to avoid locating a groove on a concrete pavement joint.

#### Replace the 3rd paragraph of section 84-8.03A with:

07-15-16

Indentations must comply with the dimensions shown and not vary more than:

- 1. 10 percent in length
- 2. 0.06 inch in depth
- 3. 10 percent in width
- 4. 1 inch in center-to-center spacing between rumble strips

#### Add to the end of section 84-8.03A:

07-15-16

The noise level created by the combined grinding activities must not exceed 86 dBA when measured at a distance of 50 feet at right angles to the direction of travel.

Break rumble strips before and after intersections, driveways, railroad crossings, freeway gore areas, and freeway ramps. Place breaks and break distances as shown. You may adjust breaks and the break distances as needed at low-volume driveways or other locations if authorized.

07-15-16

Delete *new* in the 1st paragraph of section 84-8.03B.

07-15-16

#### Add to the end of section 84-8.03B:

Remove grinding residue under section 13-4.03E(7).

# Replace the 1st paragraph of section 84-8.03C with:

07-15-16

Construct rumble strips in the top layer of HMA and asphalt concrete surfacing by the ground-in method.

# Add between the 2nd and 3rd paragraphs of section 84-8.03C:

Dispose of the removed material.

07-15-16

Delete the 2nd paragraph of section 84-8.03C.

07-15-16

# Replace 37-2 in the 3rd paragraph of section 84-8.03C with:

07-15-16

37-4.02

# Replace section 84-8.04 with:

07-15-16

The payment quantity for any type of rumble strip is the length measured by the station along the length of the rumble strip without deductions for gaps between indentations.

### Replace the 2nd paragraph of section 84-9.03B with:

04-15-16

Completely remove traffic stripes and pavement markings, including any paint in the gaps, by methods that do not remove pavement to a depth of more than 1/8 inch.

# Add between the 2nd and 3rd paragraphs of section 84-9.03B:

04-15-16

Submit your proposed method for removing traffic stripes and pavement markings at least 7 days before starting the removal work. Allow 2 business days for the review.

Remove pavement marking such that the old message cannot be identified. Make any area removed by grinding rectangular. Water must not puddle in the ground areas. Fog seal ground areas on asphalt concrete pavement.

04-15-16

Delete materially in the 1st paragraph of section 84-9.03D.

# Replace the list in the 1st paragraph of section 84-9.04 with:

07-21-17

- 1. 1.34 for a single 8-inch-wide traffic stripe
- 2. 2 for a double traffic stripe
- 3. 3 for a triple traffic stripe

# **DIVISION X ELECTRICAL WORK**

^^^^^^

Replace section 86 with:

04-15-16

#### **86 GENERAL**

07-21-17

# 86-1.01 **GENERAL** 86-1.01A **Summary**

Section 86 includes general specifications for furnishing electrical equipment and materials.

Electrical equipment and materials must comply with part 4 of the *California MUTCD* and 8 CA Code of Regs, chapter 4, subchapter 5, "Electrical Safety Orders."

Galvanized equipment and materials must comply with section 75-1.02B.

#### 86-1.01B Definitions

accessible pedestrian signal: Accessible pedestrian signal as defined in the California MUTCD.

accessible walk indication: Activated audible and vibrotactile action during the walk interval.

actuation: Actuation as defined in the California MUTCD.

ambient sound level: Background sound level in dB at a given location.

SP-245

Special Provisions Contract No. CD2019-01

- **ambient sound sensing microphone:** Microphone that measures the ambient sound level in dB and automatically adjusts the accessible pedestrian signal speaker's volume.
- **audible speech walk message:** Audible prerecorded message that communicates to pedestrians which street has the walk interval.

channel: Discrete information path.

**CALIPER:** Commercially Available LED Product Evaluation and Reporting. A U.S. Department of Energy program that individually tests and provides unbiased information on the performance of commercially available LED luminaires and lights.

**controller assembly:** Assembly for controlling a system's operations, consisting of a controller unit and auxiliary equipment housed in a waterproof cabinet.

controller unit: Part of the controller assembly performing the basic timing and logic functions.

**correlated color temperature:** Absolute temperature in kelvin of a blackbody whose chromaticity most nearly resembles that of the light source.

detector: Detector as defined in the California MUTCD.

electrolier: Assembly of a lighting standard and luminaire.

07-21-17

**fastening hardware [ICF1]:** Bolts, nuts, washer, fasteners, hex nuts, lock nuts, or other metal components to secure or lock down a device or equipment.

04-15-16

**flasher:** Device for opening and closing signal circuits at a repetitive rate.

- **flashing beacon control assembly:** Assembly of switches, circuit breakers, terminal blocks, flasher, wiring, and other necessary electrical components housed in a single enclosure for operating a beacon.
- **house side lumens:** Lumens from a luminaire directed to light up areas between the fixture and the pole, such as sidewalks at intersection or areas off the shoulders on freeways.
- **illuminance gradient:** Ratio of the minimum illuminance on a 1-foot square of sign panel to that on an adjacent 1-foot square of sign panel.
- **inductive loop detector:** Detector capable of being actuated by an inductance change caused by a vehicle passing or standing over the loop. An inductive loop detector includes a loop or group of loops installed in the roadway and a lead-in cable installed and connected inside a controller cabinet.
- **junction temperature:** Temperature of the electronic junction of the LED device. The junction temperature is critical in determining photometric performance, estimating operational life, and preventing catastrophic failure of the LED.
- **L70:** Extrapolated life in hours of the luminaire when the luminous output depreciates 30 percent from the initial values.

lighting standard: Pole and mast arm supporting the luminaire.

- **LM-79:** Test method from the Illumination Engineering Society of North America specifying the test conditions, measurements, and report format for testing solid state lighting devices, including LED luminaires.
- **LM-80:** Test method from the Illumination Engineering Society of North America specifying the test conditions, measurements, and report format for testing and estimating the long-term performance of LEDs for general lighting purposes.

luminaire: Assembly that houses the light source and controls the light emitted from the light source.

**National Voluntary Laboratory Accreditation Program:** U.S. Department of Energy program that accredits independent testing laboratories.

07-21-17

pedestrian change interval: Pedestrian change interval as defined in the California MUTCD.

04-15-16

powder coating: Coating applied electrostatically using exterior-grade, UV-stable, polymer powder.

**power factor:** Ratio of the real power component to the complex power component.

pretimed controller assembly: Assembly operating traffic signals under a predetermined cycle length.

**programming mechanism:** Device to program the accessible pedestrian signal operation.

pull box: Box with a cover that is installed in an accessible place in a conduit run to facilitate the pulling in of wires or cables.

**push button information message:** Push button information message as defined in the *California MUTCD*.

push button locator tone: Push button locator tone as defined in the California MUTCD.

signal face: Signal face as defined in the California MUTCD.

**signal head:** Signal head as defined in the *California MUTCD*.

signal indication: Signal indication as defined in the California MUTCD.

**signal section:** Signal section as defined in the *California MUTCD*.

signal standard: Pole with or without mast arms carrying 1 or more signal faces.

**street side lumens:** Lumens from a luminaire directed to light up areas between the fixture and the roadway, such as traveled ways and freeway lanes.

**surge protection device:** Subsystem or component that protects equipment against short-duration voltage transients in power line.

**total harmonic distortion:** Ratio of the rms value of the sum of the squared individual harmonic amplitudes to the rms value of the fundamental frequency of a complex waveform.

**traffic-actuated controller assembly:** Assembly for operating traffic signals under the varying demands of traffic as registered by detector actuation.

**traffic phase:** Traffic phase as defined in the *California MUTCD*.

**vehicle:** Vehicle as defined in the California Vehicle Code.

vibrotactile pedestrian device: Vibrotactile pedestrian device as defined in the California MUTCD.

# 86-1.01C Submittals 86-1.01C(1) General

Within 15 days after Contract approval, submit a list of equipment and materials you propose to install.

Submit the list before shipping equipment and materials to the job site. The list must include:

- 1. Manufacturer's name
- 2. Make and model number
- 3. Month and year of manufacture
- 4. Lot and serial numbers

- 5. Contract number
- 6. Your contact information

Submit confirmation of the vendor's acceptance of the order for the electrical equipment and materials as an informational submittal.

Submit 3 sets of computer-generated, schematic wiring diagrams for each cabinet.

Diagrams, plans, and drawings must be prepared using graphic symbols in IEEE 315, "Graphic Symbols for Electrical and Electronic Diagrams."

Submit a schedule of values within 15 days after Contract approval.

Do not include costs for the traffic control system in the schedule of values.

Submit a manufacturer's maintenance manual or combined maintenance and operation manual as an informational submittal. The manual must have a master item index that includes:

- 1. Specifications
- 2. Design characteristics
- 3. General operation theory
- 4. Function of all controls
- 5. Troubleshooting procedure
- 6. Parts list, descriptions, stock numbers, and settings
- 7. Block circuit diagram
- 8. Layout of components
- 9. Schematic diagrams

# 86-1.01C(2) Pull Boxes

Submit the manufacturer's installation instructions for pull boxes, including:

- 1. Quantity and size of entries that can be made without degrading the strength of the pull box below the load rating
- 2. Locations where side entries can be made
- 3. Acceptable method for creating the entry

07-21-17

Submit load-rating test reports for pull boxes from a laboratory that is accredited to International Standards Organization/International Electrotechnical Commission 17025 by the American Association for Laboratory Accreditation (A2LA) or the ANSI-ASQ National Accreditation Board (ANAB).

04-15-16

### 86-1.01C(3) LED Luminaires

Submit for an LED luminaire:

- 1. Maximum power in watts
- 2. Maximum designed junction temperature
- 3. Heat sink area in square inches
- 4. Designed junction-to-ambient thermal resistance calculation with thermal resistance components clearly defined
- 5. L70 in hours when extrapolated for the average nighttime operating temperature
- 6. Life expectancy based on the junction temperature
- 7. Manufacturer's data sheet for the power supply, including the rated life

Submit the manufacturer's QC test data for LED luminaires as an informational submittal.

# 86-1.01C(4) Low-Pressure Sodium Luminaires

Submit the manufacturer's QC test data for low-pressure sodium luminaires as an informational submittal.

#### 86-1.01C(5) Service Equipment Enclosures

Submit shop drawings for a service equipment enclosure to METS.

#### 86-1.01C(6) Signal Heads

Submit a certificate of compliance and the manufacturer's QC test data for signal heads as an informational submittal.

# 86-1.01C(7) LED Signal Modules

Submit the manufacturer's QC test data for LED signal modules as an informational submittal.

# 86-1.01C(8) Visors

Submit a certificate of compliance and the manufacturer's QC test data for visors as an informational submittal.

# 86-1.01C(9) LED Countdown Pedestrian Signal Face Modules

Submit the manufacturer's QC test data for LED countdown pedestrian signal face modules as an informational submittal.

# 86-1.01C(10) Accessible Pedestrian Signals

Submit the manufacturer's QC test data for accessible pedestrian signals as an informational submittal.

#### 86-1.01D Quality Assurance

# 86-1.01D(1) General

Electrical equipment must comply with one or more of the following standards:

- 1. ANSI
- 2. ASTM
- 3. EIA/ECIA
- 4. NEMA
- 5. NETA
- 6. UL/NRTL
- 7. TIA

Materials must comply with:

- 1. FCC rules
- 2. ITE standards
- 3. NEC
- 4. California Electrical Code

# 86-1.01D(2) Source Quality Control

Service equipment enclosures and cabinets must be inspected and tested at the source.

# 86-1.01D(3) Department Acceptance

Deliver material and equipment for testing to METS.

Allow 30 days for testing. The Department notifies you when testing is complete.

If the Department accepts the material or equipment, you must pick it up from the test site and deliver it to the job site.

If the Department rejects material or equipment, remove it within 5 business days after you are notified it is rejected. If it is not removed within that period, the Department may remove it and ship it to you and deduct the costs of labor, material and shipping.

Resubmit a new sample and allow 30 days for retesting. The retesting period starts when the replacement material or equipment is delivered to METS.

#### **86-1.02 MATERIALS**

#### 86-1.02A General

Anchor bolts, anchor bars or studs, and nuts and washers must comply with section 75-1.02.

Bolt threads must accept galvanized standard nuts without requiring tools or causing removal of protective coatings.

#### 86-1.02B Conduit and Accessories

# 86-1.02B(1) General

Conduit and fittings must comply with the requirements shown in the following table:

**Conduit and Fitting Requirements** 

Type	Requirement
1	Must be hot-dip galvanized rigid steel complying with UL 6 and ANSI C80.1. The zinc coating must comply with copper sulfate test requirements in UL 6. Fittings must be electrogalvanized and certified under UL 514B.
2	Must comply with requirements for Type 1 conduit and be coated with PVC or polyethylene. The exterior thermoplastic coating must have a minimum thickness of 35 mils. The internal coating must have a minimum thickness of 2 mils. Coated conduit must comply with NEMA RN 1, or NRTL PVC-001.
3	Must be Type A, extruded, rigid PVC conduit complying with UL 651 or must be HDPE conduit complying with UL 651A.
4	Must have an inner, flexible metal core covered by a waterproof, nonmetallic, sunlight-resistant jacket, and must be UL listed for use as a grounding conductor. Fittings must be certified under UL 514B.
5	Must be intermediate steel complying with UL 1242 and ANSI C80.6. The zinc coating must comply with copper sulfate test requirements specified in UL 1242. Fittings must be electrogalvanized and certified under UL 514B.

Bonding bushings installed on metal conduit must be insulated and either a galvanized or zinc-alloy type.

# 86-1.02B(2) Structures Accessories

Steel hangers, steel brackets, and other fittings used to support conduit in or on a wall or bridge superstructure must comply with section 75-3.

Precast concrete cradles for conduit must be made of minor concrete and commercial-quality welded wire fabric. The minor concrete must contain a minimum of 590 lb of cementitious material per cubic yard. The cradles must be moist cured for a minimum of 3 days.

# 86-1.02C Pull Boxes 86-1.02C(1) General

A pull box cover must have a nonskid surface.

07-21-17

•

A metal pull box cover must include a fitting for a bonding conductor.

A pull box cover must have a marking on the top that is:

- 1. Clearly defined
- 2. Uniform in depth
- 3. Parallel to the longer side
- 4. From 1 to 3 inches in height

The cover marking must include CALTRANS and one of the following:

1. SERVICE for service circuits between a service point and service disconnect

- 2. SERVICE IRRIGATION for circuits from a service equipment enclosure to an irrigation controller
- 3. SERVICE BOOSTER PUMP for circuits from a service equipment enclosure to the booster pump
- 4. TDC POWER for circuits from a service equipment enclosure to telephone demarcation cabinet
- 5. LIGHTING for a lighting system
- 6. SIGN ILLUMINATION for a sign illumination system
- 7. SIGNAL AND LIGHTING for a signal and lighting system
- 8. RAMP METER for a ramp metering system
- 9. TMS for a traffic monitoring station
- 10. FLASHING BEACON for a flashing beacon system
- 11. CMS for a changeable message sign system
- 12. INTERCONNECT for an interconnect conduit and cable system
- 13. CALTRANS if more than one system is shared in the same pull box

The following circuits must not include CALTRANS in the cover marking:

- 1. Electrical service
- 2. Sprinkler-control
- 3. Telephone service

The load rating must be:

- 1. Stenciled or stamped on the inside and outside of the pull box
- 2. Stamped on the outside of the cover

If a transformer or other device must be placed in the pull box, include recesses for a hanger.

The hardware must be stainless steel containing 18 percent chromium and 8 percent nickel.

04-15-16

#### 86-1.02C(2) Nontraffic Pull Boxes

A nontraffic pull box and cover must comply with ANSI/SCTE 77, "Specification for Underground Enclosure Integrity," for Tier 22 load rating and must be gray or brown.

07-21-17

The cover markings must be cast in the mold of the cover or be engraved on a metal or UV resistant ABS plate secured in the cover with stainless steel screws.

04-15-16

Each new pull box must have a cover with an electronic marker cast inside.

A pull box extension must be made of the same material as the pull box. The extension may be another pull box if the bottom edge of the pull box fits into the opening for the cover.

07-21-17

The bolts, nuts, and washers must be a captive design. Captive bolts for securing the cover of nontraffic pull boxes must be capable of withstanding a torque from 55 to 60 ft-lb and a minimum pull-out strength of 750 lb.

04-15-16

# 86-1.02C(3) Traffic Pull Boxes

07-21-17

A traffic pull box and cover must comply with AASHTO HS20-44 and AASHTO M 306.

The frame must be anchored to the box.

04-15-16

Nuts must be vibration-resistant, zinc-plated, carbon steel and have a wedge ramp at the root of the thread.

For a cast iron cover or before galvanizing a steel cover, the manufacturer must apply the cover marking by one of the following methods:

- 1. Use a cast iron strip at least 1/4 inch thick with letters raised a minimum of 1/16 inch. Fasten the strip to the cover with 1/4-inch, flathead, stainless steel machine bolts and nuts. Peen the bolts after tightening.
- 2. Use a sheet steel strip at least 0.027 inch thick with letters raised a minimum of 1/16 inch. Fasten the strip to the cover by spot welding, tack welding, or brazing with 1/4-inch stainless steel rivets or 1/4-inch, roundhead, stainless steel machine bolts and nuts. Peen the bolts after tightening.
- 3. Bead weld the letters on the cover such that the letters are raised a minimum of 3/32 inch.
- 4. Cast the logo into the cast iron cover.

#### The steel cover must:

- 1. Be countersunk approximately 1/4 inch to accommodate the bolt head. When tightened, the hold down bolt head must be no more than 1/8 inch above the top of the cover.
- 2. Have slot holes for lifting with a guard under the cover to prevent entry of more than 3 inches below the bottom surface of the cover without deflection to protect the pull box contents.

#### 86-1.02C(4) Tamper Resistant Pull Boxes

Reserved

04-15-16

86-1.02D Tapes

86-1.02D(1) General

Reserved

# 86-1.02D(2) Pull Tape

Pull tape must be a flat, woven, lubricated, soft-fiber, polyester tape with a minimum tensile strength of 1,800 lb. The tape must have sequential measurement markings every 3 feet.

#### 86-1.02D(3) Reserved

07-21-17

#### 86-1.02E Piezoelectric Axle Sensors

Reserved

04-15-16

86-1.02F Conductors and Cables

86-1.02F(1) Conductors

86-1.02F(1)(a) General

Reserved

86-1.02F(1)(b) Reserved

86-1.02F(1)(c) Copper Conductors

86-1.02F(1)(c)(i) General

Copper wire must comply with ASTM B3 and B8.

Conductor must be clearly and permanently marked the entire length of its outer surface with:

- 1. Manufacturer's name or trademark
- 2. Insulation-type letter designation
- 3. Conductor size
- 4. Voltage
- 5. Temperature rating
- 6. Number of conductors for a cable

The minimum insulation thickness and color code requirements must comply with NEC.

A conductor must be UL listed or NRTL certified and rated for 600 V(ac).

Insulation for no. 14 to no. 4 conductors must be one of the following:

- Type TW PVC under ASTM D2219
   Type THW PVC
- 3. Type USE, RHH, or RHW cross-linked polyethylene

The insulation for no. 2 and larger conductors must be one of the above or THWN.

Conductors must be identified as shown in the following table:

# **Conductor Identification**

	Conc	ductor identifica			
	Identification				
		Insulation colord			
Circuit	Signal phase or function	Base	Stripe <sup>a</sup>	Band symbols	Size
Signals (vehicle) <sup>a, b</sup>	2, 6	Red, yel, brn	Blk	2, 6	14
	4, 8	Red, yel, brn	Ora	4, 8	14
	1, 5	Red, yel, brn	None	1, 5	14
	3, 7	Red, yel, brn	Pur	3, 7	14
	Ramp meter 1	Red, yel, brn	None	NBR	14
	Ramp meter 2	Red, yel, brn	Blk	NBR	14
Pedestrian	2p, 6p	Red, brn	Blk	2p, 6p	14
	4p, 8p	Red, brn	Ora	4p, 8p	14
signals	1p, 5p	Red, brn	None	1p, 5p	14
- <b>J</b>	3p, 7p	Red, brn	Pur	3p, 7p	14
Pedestrian	2p, 6p	Blu	Blk	P-2, P-6	14
	4p, 8p	Blu	Ora	P-4, P-8	14
push buttons	1p, 5p	Blu	None	P-1, P-5	14
·	3p, 7p	Blu	Pur	P-3, P-7	14
T (C : 1	Ungrounded circuit			,	
Traffic signal	conductor	Blk	None	CON-1	6
controller cabinet	Grounded circuit				
cabinet	conductor	Wht	None	CON-2	6
Highway	Ungrounded - line 1	Blk	None	NBR	14
lighting pull box	Ungrounded - line 2	Red	None	NBR	14
to luminaire	Grounded	Wht	None	NBR	14
Multiple	Ungrounded - line 1	Blk	None	ML1	10
highway					
lighting	Ungrounded - line 2	Red	None	ML2	10
	Ungrounded - PEU	Blk	None	C1	14
Lighting control	Switching leg from PEU				
	unit or SM transformer	Red	None	C2	14
	Ungrounded - line 1				
Service	(signals)	Blk	None	NBR	6
Oct vice	Ungrounded - line 2				
	(lighting)	Red	None	NBR	8
Sign lighting	Ungrounded - line 1	Blk	None	SL-1	10
	Ungrounded - line 2	Red	None	SL-2	10
Flashing	Ungrounded between				
beacons	flasher and beacons	Red or yel	None	F-Loc.c	14
	Pedestrian push buttons	Wht	Blk	NBR	14
_	Signals and multiple				
Grounded circuit conductor	lighting	Wht	None	NBR	10
	Flashing beacons and		l		
	sign lighting	Wht	None	NBR	12
	Lighting control	Wht	None	C-3	14
	Service	Wht	None	NBR	14
Railroad			]	_	
preemption		Blk	None	R	14
Spares		Blk	None	NBR	14

NBR = No band required PEU=Photoelectric unit

The insulation color must be homogeneous throughout the full depth of the insulation. The identification stripe must be continuous throughout the length of the conductor.

# 86-1.02F(1)(c)(ii) Bonding Jumpers and Equipment Grounding Conductors

A bonding jumper must be copper wire or copper braid of the same cross-sectional area as a no. 8 conductor or larger.

An equipment grounding conductor may be bare or insulated.

# 86-1.02F(1)(c)(iii) Inductive Loop Conductors

Inductive loop conductor must comply with the requirements shown in the following table:

**Conductor Requirements for Inductive Loop Detectors** 

Loop wire	Requirement
Type 1	Type RHW-USE neoprene-jacketed or Type USE cross-linked polyethylene, insulated, no. 12, stranded copper wire with a minimum 40-mils insulation thickness at any point.
Type 2	Type THWN or Type XHHW, no. 14, stranded copper wire in a plastic tubing. The plastic tubing must be polyethylene or vinyl rated for use at 105 °C and resistant to oil and gasoline. The outside diameter of the tubing must be at most 0.27 inch with a wall thickness of at least 0.028 inch.

# 86-1.02F(1)(d) Reserved

Reserved

86-1.02F(2) Cables

86-1.02F(2)(a) General

Reserved

# 86-1.02F(2)(b) Reserved

Reserved

86-1.02F(2)(c) Reserved

86-1.02F(2)(d) Copper Cables

86-1.02F(2)(d)(i) General

The conductor wire size for a detector lead-in cable must comply with the requirements of ASTM B286.

Cable, except a detector lead-in cable, must be clearly and permanently marked the entire length of its outer surface with:

- 1. Manufacturer's name or trademark
- 2. Insulation-type letter designation
- 3. Conductor size
- 4. Voltage
- 5. Temperature rating
- 6. Number of conductors for a cable

<sup>&</sup>lt;sup>a</sup>On overlaps, the insulation is striped for the 1st phase in the designation, e.g., phase (2+3) conductor is striped as for phase 2.

<sup>&</sup>lt;sup>b</sup>Band for overlap and special phases as required

<sup>&</sup>lt;sup>c</sup>Flashing beacons having separate service do not require banding.

<sup>&</sup>lt;sup>d</sup>Color Code: Yel-Yellow, Brn-Brown, Blu-Blue, Blk-Black, Wht-White, Ora-Orange, Pur-Purple

# 86-1.02F(2)(d)(ii) Conductors Signal Cables

A conductors signal cable must have a black polyethylene jacket with an inner polyester binder sheath. The cable jacket must be rated for 600 V(ac) and 75 degrees C. Filler material, if used, must be polyethylene.

The individual conductors in the cable must be solid copper complying with ASTM B286 with Type THWN insulation. The minimum thickness of insulation must comply with NEC for conductor sizes no. 14 to no.10. The minimum thickness of the nylon jacket must be 4 mils.

Cable must comply with the requirements shown in the following table:

Cable type <sup>a</sup>	Conductor quantity and			•		Maximum nominal	Conductor color code
,,	type	Average	Minimum	outside diameter (inch)			
3CSC	3 no. 14	44	36	0.40	Blue/black, blue/orange, white/black stripe		
5CSC	5 no. 14	44	36	0.50	Red, yellow, brown, black, white		
9CSC	8 no. 14 1 no. 12	60	48	0.65	No. 12 - white, no. 14 - red, yellow, brown, black, and red/black, yellow/black, brown/black, white/black stripe		
12CSC	11 no. 14 1 no. 12	60	48	0.80	No. 12 - white, no. 14 - red, yellow, brown, red/black stripe, yellow/black stripe, brown/black stripe, black/red stripe, black/white stripe, black, red/white stripe, brown/white stripe		
28CSC	27 no. 14 1 no. 10	80	64	0.90	No. 10 - white no. 14 - red/black stripe, yellow/black stripe, brown/black stripe, red/orange stripe, yellow/orange stripe, brown/orange stripe, red/silver stripe, yellow/silver stripe, brown/silver stripe, red/purple stripe, yellow/purple stripe, brown/purple stripe, brown/purple stripe, brown/purple stripe, brown/purple stripe, brown/2 black stripes, brown/2 orange stripes, red/2 silver stripes, red/2 silver stripes, red/2 purple stripes, brown/2 purple stripes, blue/black stripe, blue/orange stripe, blue/silver stripe, blue/purple stripe, white/black stripe, black/red stripe, black		

# 86-1.02F(2)(d)(iii) Detector Lead-in Cables

Conductors for a loop detector lead-in cable must be two no. 16, 19-by-29, stranded, tinned copper wires with calculated cross-sectional areas complying with ASTM B286, table 1 and must comply with the requirements shown in the following table:

**Conductor Requirements for Loop Detector Lead-In Cables** 

Lead-in cable	Requirement
Туре В	Insulated with 20 mils of high-density polyethylene. Conductors must be twisted together with at least 2 turns per foot, and the twisted pair must be protected with a copper or aluminum polyester shield. A minimum no. 20 copper drain wire must be connected to the equipment ground within the cabinet. Cable must have a high-density polyethylene or high-density polypropylene outer jacket with a nominal thickness of 32 mils. Include an amorphous, interior, moisture penetration barrier of nonhydroscopic polyethylene or polypropylene fillers.
Type C	Comply with International Municipal Signal Association Specification no. 50-2. A minimum no. 20 copper drain wire must be connected to the equipment ground within the cabinet.

# 86-1.02F(2)(d)(iv) Reserved

# 86-1.02F(2)(d)(v) Signal Interconnect Cables

A signal interconnect cable must be a 6-pair type with stranded, tinned, copper no. 20 conductors. The insulation for each conductor must be color-coded polypropylene with a minimum 13-mils nominal thickness. The conductors must be in color-coded, twisted pairs. Each pair must be wrapped with an aluminum polyester shield and have a no. 22 or larger, stranded, tinned, copper drain wire inside the shielded pair.

The cable jacket must be black HDPE rated for a minimum of 300 V(ac) and 60 degrees C. The jacket must have a minimum nominal wall thickness of 40 mils.

#### 86-1.02F(2)(e) Reserved

# 86-1.02G Equipment Identification Characters

Equipment identification characters must be 2-1/2 inch, series D lettering, except on wood poles, they must be 3-inch lettering.

The characters must be self-adhesive reflective labels or paint, except on wood poles, they must be embossed on aluminum.

## 86-1.02H Splicing Materials

Splicing materials include:

- 1. Connectors
- 2. Electrical insulating coating
- 3. PVC electrical tape
- 4. Butyl rubber stretchable tape
- 5. PVC pressure-sensitive adhesive tape
- 6. Heat shrink tubing

Connectors must be C-shaped compression or butt type.

Electrical insulating coating must be a fast drying sealant with low nontoxic fumes.

PVC electrical tape must have a minimum thickness of 80 mils.

Butyl rubber stretchable tape with liner must have a minimum thickness of 120 mils.

PVC pressure-sensitive adhesive electrical tape must have a minimum thickness of 6 mils.

Electrical tapes must be self-fusing, oil- and flame-resistant, synthetic rubber and be UL listed or NRTL certified.

Heat-shrink tubing must be made of irradiated polyolefin tubing with a minimum wall thickness of 40 mils before contraction and an adhesive mastic inner wall. When heated, the inner wall must melt and fill the crevices and interstices of the covered splice area and the outer wall must shrink to form a waterproof insulation.

Heat-shrink tubing must comply with the requirements for extruded, insulating tubing at 600 V(ac) specified in UL Standard 468D and ANSI C119.1 and the requirements shown in the following table:

**Heat-Shrink Tubing Requirements** 

Quality characteristic	Requirement
Shrinkage ratio of supplied diameter <sup>a</sup> (max, %)	33
Dielectric strength (min, kV/in)	350
Resistivity (min, Ω/in)	25 x 10 <sup>13</sup>
Tensile strength (min, psi)	2,000
Operating temperature (°C)	-40–90 (135 °C in emergency)
Water absorption (max, %)	0.5

<sup>&</sup>lt;sup>a</sup>When heated to 125 °C and allowed to cool to 25 °C

#### 86-1.02 Connectors and Terminals

A connector and terminal must comply with SAE-AS7928 and be a crimp type, rated for 600 V(ac) and either UL listed or NRTL certified.

## 86-1.02J Standards, Poles, Pedestals, and Posts

Standards for signals, lighting, and flashing beacons, poles for closed circuit television, pedestals for cabinets, posts for extinguishable message sign and posts for pedestrian push button assemblies must comply with section 56-3.

#### 86-1.02K Luminaires

# 86-1.02K(1) General

Luminaire must be either LED or low-pressure-sodium type.

# 86-1.02K(2) LED Luminaires

LED luminaire must be on the Authorized Material List for LED luminaires and must:

- 1. Be self-contained, not requiring assembly.
- 2. Comply with UL 1598 for luminaires in wet locations.
- 3. Have a power supply with:
  - 3.1. ANSI/IEC rating of at least IP65.
  - 3.2. 2 leads to accept standard 0-10 V(dc).
  - 3.3. Dimming control compatible with IEC 60929, Annex E. If the control leads are open or the analog control signal is lost, the circuit must default to 100-percent power.
  - 3.4. Case temperature self rise of 77 degrees F or less above ambient temperature in free air with no additional heat sinks.
- 4. Weigh no more than 35 lb.
- 5. Have a minimum operating life of 63,000 hours when operated for an average time of 11.5 hours at an average temperature of 70 degrees F.
- 6. Be designed to operate over a temperature range from -40 to 130 degrees F.
- 7. Be operationally compatible with photoelectric controls.
- 8. Have a correlated color temperature range from 3,500 to 6,500 K and a color rendering index of 65 or greater.
- 9. Have a maximum-effective projected area of 1.4 sq ft when viewed from either side or end.
- 10. Have a housing color that matches a color no. 26152 to 26440, 36231 to 36375, or 36440 of FED-STD-595.

- 11. Have an ANSI C136.41-compliant, locking-type, photocontrol receptacle with dimming connections and a watertight shorting cap.
- 12. Comply with LM-79, LM-80 and California Test 611.

The individual LEDs must be connected such that a catastrophic loss or a failure of 1 LED does not result in the loss of more than 20 percent of the luminous output of the luminaire.

The luminaire must be permanently marked inside the unit and outside of its packaging box. Marking consists of:

- 1. Manufacturer's name or trademark
- 2. Month and year of manufacture
- 3. Model, serial, and lot numbers
- 4. Rated voltage, wattage, and power in VA

An LED luminaire's onboard circuitry must include a surge protection device to withstand high-repetition noise transients caused by utility line switching, nearby lightning strikes, and other interferences. The device must protect the luminaire from damage and failure due to transient voltages and currents as defined in Tables 1 and 4 of ANSI/IEEE C64.41.2 for location category C-High. The surge protection device must comply with UL 1449 and ANSI/IEEE C62.45 based on ANSI/IEEE C62.41.2 definitions for standard and optional waveforms for location category C-High.

An LED luminaire and its associated onboard circuitry must comply with the Class A emission limits under 47 CFR 15(B) for the emission of electronic noise.

The fluctuations of line voltage must have no visible effect on the luminous output.

The operating voltage may range from 120 to 480 V(ac),  $60 \pm 3$  Hz. Luminaire must operate over the entire voltage range or the voltage range must be selected from one of the following:

- 1. Luminaire must operate over a voltage range from 95 to 277 V(ac). The operating voltages for this option are 120 V(ac) and 240 V(ac).
- 2. Luminaire must operate over a voltage range from 347 to 480 V(ac). The operating voltage for this option is 480 V(ac).

LED luminaire must have a power factor of 0.90 or greater. The total harmonic distortion, current, and voltage induced into a power line by a luminaire must not exceed 20 percent. The L70 of the luminaire must be the minimum operating life or greater. Illuminance measurements must be calibrated to standard photopic calibrations.

The maximum power consumption and maintained illuminance of the LED luminaires must comply with the isofootcandle curves as shown.

LED luminaire must not allow more than 10 percent of the rated lumens to project above 80 degrees from vertical and 2.5 percent of the rated lumens to project above 90 degrees from vertical.

Luminaire must have passive thermal management with enough capacity to ensure proper heat dissipation and functioning of the luminaire over its minimum operating life. The maximum junction temperature for the minimum operating life must not exceed 221 degrees F.

The junction-to-ambient thermal resistance must be 95 degrees F per watt or less. The use of fans or other mechanical devices is not allowed for cooling the luminaire. The heat sink must be made of aluminum or other material of equal or lower thermal resistance. The luminaire must contain circuitry that automatically reduces the power to the LEDs so the maximum junction temperature is not exceeded when the ambient temperature is 100 degrees F or greater.

The luminaire's housing must be fabricated from materials designed to withstand a 3,000-hour salt spray test under ASTM B117. All aluminum used in housings and brackets must be made of a marine-grade

alloy with less than 0.2 percent copper. All exposed aluminum must be anodized. A chromate conversion undercoating must be used underneath a thermoplastic polyester powder coat.

The housing must be designed to prevent the buildup of water on its top surface. Exposed heat sink fins must be oriented to allow water to run off the luminaire and carry dust and other accumulated debris away from the unit. The optical assembly of the luminaire must be protected against dust and moisture intrusion to at least an UL 60529 rating of IP66. The power supply enclosure must be protected to at least an UL 60529 rating of IP43.

The housing must have a slip fitter capable of being mounted on a 2-inch-diameter pipe tenon. Slip fitter must:

- 1. Fit on mast arms with outside diameters from 1-5/8 to 2-3/8 inches
- 2. Be adjustable to a minimum of ±5 degrees from the axis of the tenon in a minimum of 5 steps: +5, +2.5, 0, -2.5, -5
- 3. Have clamping brackets that:
  - 3.1. Are made of corrosion-resistant materials or treated to prevent galvanic reactions
  - 3.2. Do not bottom out on the housing bosses when adjusted within the designed angular range
  - 3.3. Do not permanently set in excess of 1/32 inch when tightened

Each refractor or lens must be made of UV-inhibiting high-impact plastic, such as acrylic or polycarbonate, or heat- and impact-resistant glass. The refractor or lens must be resistant to scratching. Polymeric materials, except for the lenses of enclosures containing either the power supply or electronic components of the luminaire, must be made of UL94 V-0 flame-retardant materials.

An LED luminaire and its internal components must be able to withstand mechanical shock and vibration.

If the components are mounted on a down-opening door, the door must be hinged and secured to the luminaire's housing separately from the refractor or flat lens frame. The door must be secured to the housing to prevent accidental opening. A safety cable must mechanically connect the door to the housing.

An LED luminaire must have a barrier-type terminal block secured to the housing to connect field wires. The terminal screws must be captive and equipped with wire grips for conductors up to no. 6.

The conductors and terminals must be identified and marked.

# 86-1.02K(3) Low-Pressure Sodium Luminaires

A low-pressure sodium luminaire must be an enclosed cutoff or semi-cutoff type and be self-contained, not requiring assembly.

The housing must be either (1) a minimum 1/16-inch-thick, corrosion-resistant, die-cast aluminum sheet and plate with concealed continuous welds or (2) a minimum 3/32-inch-thick, acrylonitrile-butadiene-styrene sheet material on a cast aluminum frame. The housing must provide mounting for all electrical components and a slip fitter. The housing must be divided into optical and power compartments that are individually accessible for service and maintenance.

The painted exterior surface of the luminaire must be finished with a fused coating of electrostatically applied polyester powder paint or other UV-inhibiting film. The color must be aluminum gray.

A sealing ring must be installed in the pipe tenon opening to prevent the entry of water and insects into the power and optical compartments. The ring must be made of high-temperature neoprene or equal material.

The power unit assembly must be accessible through a weather-tight, hinged cover secured to the housing with spring latches or captive screws.

The luminaire's hardware must be stainless steel or cadmium plated. Removable components must be secured with machine screws or bolts instead of sheet metal screws.

A semi-cutoff luminaire or a molded refractor-style cutoff luminaire must include a refractor. Other cutoff luminaires must include a flat lens. The refractor assembly and flat lens assembly must be designed to rigidly maintain their shape and be hinged and secured to the housing with spring latches.

The refractor must be either a 1-piece injection-molded polycarbonate with a minimum thickness of 3/32 inch or a 1-piece injection-molded acrylic with a minimum thickness of 1/8 inch. Alternate methods of manufacturing the refractor may be authorized provided minimum specified thicknesses are maintained.

The flat lens must be a 1-piece polycarbonate with a minimum thickness of 3/32 inch, mounted to a metal frame.

The lamp socket must be made of high-temperature, flame-retardant, thermoset material with self-wiping contacts or an equal. The socket must be rated for 660 W and 1,000 V(ac). The position of the socket and support must maintain the lamp in the correct relationship with the reflector and refractor for the designed light distribution pattern. The reflector may be an integral part of the housing.

The luminaire must comply with the isofootcandle curves as shown.

Low-pressure sodium lamp must:

- 1. Be a 180 W, single-ended, bayonet-base, tubular, gas-discharge lamp
- 2. Maintain a minimum of 93 percent of its initial lumens over its rated life
- 3. Reach 80 percent of its light output within 10 minutes
- 4. Restrike within 1 minute after a power outage or voltage drop at the lamp socket
- 5. Have ANSI L74/E designation

The lamp operating position must be at ±20 degrees from the horizontal.

Lamp must comply with the minimum performance requirements shown in the following table:

#### **Minimum Performance Requirements**

Quality characteristic	Requirement
Initial lumens (Im)	33,000
Rated average life at 10 h/start (h)	18,000

The low-pressure sodium lamp ballast must be an autotransformer or high-reactance type. The power factor must be not less than 90 percent when the ballast is operated at the nominal line voltage with a nominally-rated reference lamp. The lamp wattage regulation spread must not vary by more than  $\pm 6$  percent for  $\pm 10$  percent input voltage variation from nominal through life.

At the line voltage, the ballast must have a lamp current crest factor not exceeding 1.8 and ballast loss not exceeding 24 percent for a 180 W ballast.

The ballast must include a multi-circuit connector for quick disconnection.

86-1.02K(4) Reserved

86-1.02L Reserved

86-1.02M Photoelectric Controls

Photoelectric control types are as shown in the following table:

**Photoelectric Control Types** 

Control type	Description
I	Pole-mounted photoelectric unit. Test switch housed in an enclosure.
II	Pole-mounted photoelectric unit. Contactor and test switch located in a service equipment enclosure.
III	Pole-mounted photoelectric unit. Contactor and a test switch housed in an enclosure.
IV	A photoelectric unit that plugs into a NEMA twist-lock receptacle, integral with the luminaire.
V	A photoelectric unit, contactor, and test switch located in a service equipment enclosure.

The pole-mounted adaptor for Type I, II, and III photoelectric controls must include a terminal block and cable supports or clamps to support the wires.

The enclosure for Type I and III photoelectric controls must be a NEMA 3R type. The enclosure must have a factory-applied, rust-resistant prime coat and finish coat. The enclosure must be hot-dip galvanized or painted to match the color of the lighting standard.

#### Photoelectric unit must:

- 1. Have a screen to prevent artificial light from causing cycling.
- 2. Have a rating of 60 Hz, 105-130 V(ac), 210-240 V(ac), or 105-240 V(ac).
- 3. Operate at a temperature range from -20 to 55 degrees C.
- 4. Consume less than 10 W.
- 5. Be a 3-prong, twist-lock type with a NEMA IP 65 rating, ANSI C136.10-compliant
- 6. Have a fail-on state
- 7. Fit into a NEMA-type receptacle
- 8. Turn on from 1 to 5 footcandles and turn off from 1.5 to 5 times the turn-on level. Measurements must be made by procedures in *EEI-NEMA Standards for Physical and Electrical Interchangeability of Light-Sensitive Control Devices Used in the Control of Roadway Lighting.*

Type I, II, III, and V photoelectric controls must have a test switch to allow manual operation of the lighting circuit. Switch must be:

- 1. Single-hole mounting, toggle type
- 2. Single pole and single throw
- 3. Labeled Auto-Test on a nameplate

Photoelectric control's contactor must be:

- 1. Normally open
- 2. Mechanical-armature type with contacts of fine silver, silver alloy, or equal or better material
- 3. Installed to provide a minimum space of 2-1/2 inches between the contactor terminals and the enclosure's sides

The terminal blocks must be rated at 25 A, 600 V(ac), molded from phenolic or nylon material, and be the barrier type with plated-brass screw terminals and integral marking strips.

# 86-1.02N Fused Splice Connectors

The fused splice connector for 240 and 480 V(ac) circuits must simultaneously disconnect both ungrounded conductors. The connector must not have exposed metal parts except for the head of the stainless steel assembly screw. The head of the assembly screw must be recessed a minimum of 1/32 inch below the top of the plastic boss that surrounds the head.

The connector must protect the fuse from water or weather damage. Contact between the fuse and fuse holder must be spring loaded.

## Fuses must:

- 1. Be standard, midget, ferrule type
- 2. Have a nontime-delay feature
- 3. Be 3/32 by 1-1/2 inches

# 86-1.020 Grounding Electrodes

Grounding electrode must be:

- 1. 1 piece
- 2. Minimum 10-foot length of one of the following:
  - 2.1. Galvanized steel rod or pipe not less than 3/4 inch in diameter
  - 2.2. Copper clad steel rod not less than 5/8 inch in diameter

#### 86-1.02P Enclosures

# 86-1.02P(1) General

The enclosures must be rated NEMA 3R and include a dead front panel and a hasp with a 7/16-inch-diameter hole for a padlock.

The enclosure's machine screws and bolts must not protrude outside the cabinet wall.

The fasteners on the exterior of an enclosure must be vandal resistant and not be removable. The exterior screws, nuts, bolts, and washers must be stainless steel.

# 86-1.02P(2) Service Equipment Enclosures

A service equipment enclosure must be factory wired and manufactured from steel and galvanized or have factory-applied, rust-resistant prime and finish coats, except Types II and III.

Type II and III service equipment enclosures must:

- 1. Be made of 0.125-inch minimum thickness 5052-H32 aluminum sheet complying with ASTM B209.
- 2. Be manufactured using gas metal arc welding with bare aluminum welding electrodes. The electrodes must comply with AWS A5.10 Class ER5356.
- 3. Be manufactured using welding procedures, welders, and welding operators that comply with the requirements for welding procedures, welders, and welding operators in AWS B2.1, "Specification for Welding Procedure and Performance Qualification."
- 4. Have full-seal weld exterior seams.
- 5. Exterior welds must be ground smooth and edges filed to a radius of at least 0.03 inch.
- 6. Have a surface finish that complies with MIL-A-8625 for a Type II, Class I coating, except the anodic coating must have a minimum thickness of 0.0007 inch and a minimum coating weight of 0.001 oz/sq in.

If a Type III enclosure houses a transformer of more than 1 kVA, the enclosure must have effective screened ventilation louvers of no less than 50 sq. in for each louver. The framed screen must be stainless no. 304 with a no. 10 size mesh and secured with at least 4 bolts.

The dead front panel on a Type III service equipment enclosure must have a continuous stainless steel or aluminum piano hinge. The panel must be secured with a latch or captive screws. No live part must be mounted on the panel.

The enclosure must be watertight and marked as specified in NEC to warn of potential electric-arc flash hazards.

Internal conductors for the photoelectric control unit must be 600 V(ac), 14 AWG (THHN) stranded machine tool wire. Where subject to flexing, 19 stranded wire must be used.

The meter area must be have a sealable, lockable, weather-tight cover that can be removed without the use of tools.

For Type III-A, III-B, and III-C enclosures, the meter socket must be a 5-clip type, and the landing lug must be suitable for multiple conductors.

For a Type III-D enclosure, the meter socket must be a 7-clip type, and the landing lug must be suitable for multiple conductors. The pedestal must comply with the Electric Utility Service Equipment Requirements Committee drawing no. 308 or 309.

Landing lugs must be (1) sized for the incoming service utility conductors, (2) compatible with either copper or aluminum conductors, and (3) made of copper or tin-plated aluminum. Live parts of the electrical equipment must be guarded against accidental contact.

The main and neutral busses of the enclosure must be made of tin-plated copper, be rated for 125 A, and be suitable for copper or aluminum conductors.

Each service equipment enclosure must have up to 2 main circuit breakers that will simultaneously disconnect ungrounded service-entrance conductors.

Circuit breaker for a service equipment enclosure must:

- 1. Be quick-break on either automatic or manual operation
- 2. Be trip indicating
- 3. Be internal-trip type
- 4. Be UL listed or NRTL certified and comply with UL 489 or equal
- 5. Be clearly marked with the frame size
- 6. Have an operating mechanism that is enclosed and trip-free from the operating handle on overload
- 7. Have the trip rating clearly marked on the operating handle
- 8. Have an interior made of copper

Circuit breakers used as disconnects must have a minimum interrupting capacity of 10,000 A, rms.

The interior of the enclosure must accept plug-in circuit breakers. A minimum of 6 standard single-pole circuit breakers. 3/4" nominal, must be provided for branch circuits.

Identify each circuit breaker and component by description using an engraved phenolic nameplate attached with stainless steel rivets or screws.

Nameplate must be installed:

- 1. Adjacent to the breaker on the dead front panel. The characters must be a minimum of 1/8 inch high.
- 2. Adjacent to the component on the back panel. The characters must be a minimum of 1/8 inch high.
- 3. At the top exterior of the door panel. The nameplate must include the system number, voltage, and number of phases engraved in minimum 3/16-inch-high characters.

A plastic-laminated wiring diagram must be attached inside the enclosure with brass eyelets by a UL-listed or NRTL-certified method.

# 86-1.02P(3) Lighting and Sign Illumination Enclosures

A lighting and sign illumination enclosure must be manufactured from steel and either galvanized, cadmium plated, or powder coated.

#### 86-1.02Q Cabinets

#### 86-1.02Q(1) General

Cabinets must be factory wired except for battery backup system cabinets.

The fasteners on the exterior of a cabinet, except for battery backup system cabinets, must be removable and vandal resistant. The exterior screws, nuts, bolts, and washers must be stainless steel.

Terminal blocks, circuit breakers, and a power supply must be UL approved.

# 86-1.02Q(2) Department-Furnished Controller Cabinets

A Department-furnished controller assembly consists of a Model 170E or 2070E controller unit, a wired controller cabinet, and all auxiliary equipment required to operate the system. The Department does not furnish anchor bolts.

#### 86-1.02Q(3) Controller Cabinets

The controller cabinet must be a Model 334L, comply with TEES, and be on the Authorized Material List for traffic signal control equipment. The cabinet must have 3 drawer shelves. Each shelf must be attached to the tops of 2 supporting angles with 4 screws.

# 86-1.02Q(4) Telephone Demarcation Cabinets

# 86-1.02Q(4)(a) General

07-21-17

The doors of a telephone demarcation cabinet must be attached using stainless steel piano hinges.

04-15-16

# 86-1.02Q(4)(b) Type A Telephone Demarcation Cabinets

Reserved

# 86-1.02Q(4)(c) Type B Telephone Demarcation Cabinets

A Type B telephone demarcation cabinet consists of a mounting panel, outlets, circuit breaker, fan, dead front plates, and fuse.

The mounting panel must be made of 3/4-inch-thick ACX-grade plywood.

The mounting panel must be fastened to the cabinet with nuts, lock washers, and flat washers to 10 welded studs.

The cabinet must be made of 0.125-inch-thick anodized aluminum.

The cabinet door must be hung and secured with drawn latches, lockable with a padlock. The padlock latches must each have a minimum 7/16-inch-diameter hole.

Ventilation louvers must be located on the door.

The fan must be located in a ventilator housing and be controlled thermostatically. The thermostat control must have a range from 80 to 130 degrees F.

The thermostat and fan circuit must be protected with a fuse rated for 175 percent of the motor capacity. The fan capacity must be a minimum 25 cfm.

# 86-1.02Q(4)(d) Type C Telephone Demarcation Cabinets

Reserved

# 86-1.02Q(5) Battery Backup System Cabinets

The cabinet for a battery backup system must comply with TEES and be on the Authorized Material List for traffic signal control equipment.

## 86-1.02R Signal Heads

## 86-1.02R(1) General

A signal head consists of a signal mounting assembly, backplate, and signal face.

The head must have a terminal block attached to the back of one housing. The terminal block must have enough positions to accommodate all indications. Each position must be permanently labeled for the indications used.

The metal signal heads must not fracture or deflect more than half the lens diameter when tested under California Test 666.

The plastic signal heads must not fracture or deflect when tested under California Test 605.

The deflection must not be more than 10 degrees in either the vertical or horizontal plane after the wind load has been removed from the front of the signal face or more than 6 degrees in either the vertical or horizontal plane after the wind load has been removed from the back of the signal face.

# 86-1.02R(2) Signal Mounting Assemblies

Signal mounting assembly must include:

- 1. 1-1/2-inch-diameter steel pipe or galvanized conduit
- 2. Pipe fitting made of ductile iron, galvanized steel, bronze, or aluminum alloy, Type AC-84B, no. 380
- 3. Mast arm and post-top slip fitters and terminal compartments made of cast bronze or hot-dip galvanized ductile iron

The horizontal distance between the vertical centerlines of the terminal compartment or slip fitter and of each signal face must not exceed 11 inches except where required for proper signal face alignment or to allow programming of programmed visibility signal sections.

The mounting assembly must be watertight and free of sharp edges or protrusions that might damage conductor insulation. The assembly must have positive-locking serrated fittings that prevent signal faces from rotating when the fittings are mated with similar fittings on the faces.

Each terminal compartment must be fitted with a terminal block having a minimum of 12 positions, each with 2 screw-type terminals. Each terminal must accommodate at least five no. 14 conductors. The terminal compartment must have a cover for easy access to the terminal block.

#### 86-1.02R(3) Backplates

The backplate material must be a homogeneous black color with a lusterless finish.

A metal backplate must be made of a minimum 1/16-inch-thick 3001-14 aluminum.

A plastic backplate must have a minimum thickness of 1/16 inch and be formed from sheet plastic or assembled from extruded, molded, or cast plastic sections. Sections must be factory joined using one of the following:

- 1. Appropriate solvent cement.
- 2. Aluminum rivets and washers painted or permanently colored to match the backplate.
- 3. No. 10 machine screws with flat washers, lock washers, and nuts painted to match the backplate.

Each plastic backplate must be secured to the plastic signal face such that it resists removal or permanent deformation.

#### 86-1.02R(4) Signal Faces

Signal face consists of signal sections with signal housings, LED modules, and visors.

Signal face must:

- 1. Be adjustable and allow for 360-degree rotation about the vertical axis
- 2. Comply with ITE publications ST-052-E, Vehicle Traffic Control Signal Heads: Light Emitting Diode (LED) Circular Signal Supplement and ST-054, Vehicle Traffic Control Signal Heads: Light Emitting Diode (LED) Vehicle Arrow Traffic Signal Supplement
- 3. Be sealed with a neoprene gasket at the top opening

A metal signal face must have a metal backplate and visor.

A plastic signal face must have a plastic backplate and visor.

If a signal face is supported by a Type MAS slip fitter, spacers are required between the 2 sections. The spacers must be made of the same material as the housing. The vertical dimension of the spacers must

allow proper seating of the serrations between the slip fitter and the 2 sections. The 2 sections must be joined with at least two no. 10 minimum machine screws through holes near the front of the housing and the spacers and matching holes in a reinforcing plate installed in the housing.

# 86-1.02R(4)(a) Signal Sections

# 86-1.02R(4)(a)(i) General

Signal section must have:

- 1 Opening at the top and bottom for a 1-1/2-inch pipe
- 2. Maximum height of 10-1/4 inches for an 8-inch section and 14-3/4 inches for a 12-inch section
- 3. Hinge pins, door-latching devices, and other exposed hardware manufactured of Type 304/304L or 305 stainless steel
- 4. Interior screws and fittings manufactured of stainless steel or steel with a corrosion-resistant plating or coating
- 5. Gaskets made of a material that is not degraded if installed in a section with metal or plastic housing

Sections must be capable of being joined together to form a signal face in any combination. This interchangeability is not required between metal and plastic sections.

Each section must be joined to an adjacent section by one of the following:

- 1. Minimum of 3 machine screws for 8-inch sections and 4 machine screws for 12-inch sections, installed through holes near the front and back of the housing. Each screw must be a no. 10 and have a nut, flat washer, and lock washer.
- 2. 2 machine screws, each with a nut, flat washer, and lock washer, installed through holes near the front of the housing and a fastener through the 1-1/2-inch pipe opening. The fastener must have 2 large, flat washers to distribute the load around the pipe's opening and 3 carriage bolts, each with a nut and lock washer. The minimum screw size must be no. 10, and the carriage bolt size must be 1/4 inch.

The holes for the machine screws must be either cast or drilled during signal section fabrication. Each hole must be surrounded by a minimum 1/8-inch-wide boss to allow contact between signal sections about the axis of the hole.

A serrated nylon washer must be inserted between each plastic signal section and the metal mounting assembly. Each serrated nylon washer must be from 3/16 to 1/4 inch thick. The serrations must match those on the signal section and the mounting assembly.

# 86-1.02R(4)(a)(ii) Programmed Visibility Signal Sections

Programmed visibility signal section must have:

- 1. Nominal 12-inch-diameter circular or arrow indication
- 2. Cap visor
- 3. Adjustable connection that:
  - 3.1. Provides incremental tilting from 0 to 10 degrees above or below the horizontal
  - 3.2. Maintains a common vertical axis through couplers and mountings

The terminal connection must allow external adjustment about the mounting axis in 5-degree increments.

The visibility of each signal section must be capable of adjustment or programming within the section.

The adjustment for the section must be preset at 4 degrees below the horizontal.

# 86-1.02R(4)(a)(iii) Signal Housings

The signal housing must:

1. Be die-cast aluminum, permanent mold-cast aluminum, or if specified, structural plastic

- 2. Comply with ITE publications ST-052-E, Vehicle Traffic Control Signal Heads: Light Emitting Diode (LED) Circular Signal Supplement and ST-054, Vehicle Traffic Control Signal Heads: Light Emitting Diode (LED) Vehicle Arrow Traffic Signal Supplement if made of die-cast or permanent mold-cast aluminum
- 3. Have a 1-piece, hinged, square-shaped door that is:
  - 3.1. Designed to allow access for replacement of modules without the use of tools
  - 3.2. Secured such that it remains closed during loading tests
- 4. Have a watertight module or lens mounted in the door
- 5. Have a terminal block attached to the back, with the terminals permanently labeled for conductors to facilitate field wiring

Each housing must have reinforcement plates. Reinforcement plates must be either sheet aluminum, galvanized steel, or cast aluminum. Each plate must have a minimum thickness of 0.11 inch and a hole concentric with a 1-1/2-inch pipe-mounting hole in the housing. Reinforcement plates must be placed as specified in the following table:

## **Reinforcement Plate Placement**

Material	Placement
Sheet aluminum	Inside and outside of housing
Galvanized steel	Inside of housing
Cast aluminum	Outside of housing

Reinforcement plates placed outside of the housing must be finished to match the signal housing color and be designed to allow a proper serrated coupling between the signal face and the mounting hardware. A minimum of three no. 10 machine screws must be installed through holes in each plate and matching holes in the housing. Each screw must have a round or binder head, a nut, and a lock washer.

A metal housing must have a metal visor.

Plastic housing must:

- 1. Be molded in a single piece or fabricated from 2 or more pieces joined into a single piece
- 2. Be a black color throughout, including the door, matching color no. 17038, 27038, or 37038 of FED-STD-595
- 3. Have UV stability
- 4. Be self-extinguishing

If reinforcing webs are used to connect the back of the housing to the top, bottom, and sides of the adjacent housing, reinforcement plates are not required.

The exterior of the housing must be painted as specified in sections 78-4.08 and 59.

## 86-1.02R(4)(b) LED Signal Modules

An LED signal module must be on the Authorized Material List for LED traffic signal modules.

An LED signal module must comply with ITE publications ST-052-E, Vehicle Traffic Control Signal Heads: Light Emitting Diode (LED) Circular Signal Supplement and ST-054, Vehicle Traffic Control Signal Heads: Light Emitting Diode (LED) Vehicle Arrow Traffic Signal Supplement, except:

- 1. Maximum module weight must be 4 lb
- 2. Module must be a sealed unit with:
  - 2.1. 2 color-coded conductors for the power connection except lane control modules must use 3 color-coded conductors
  - 2.2. Printed circuit board that complies with TEES, chapter 1, section 6
  - 2.3. Lens that is:
    - 2.3.1. Convex or flat with a smooth outer surface
    - 2.3.2. Made of UV-stabilized plastic or glass

- 2.4. 1-piece EPDM gasket
- 3. Module must include 3-foot-long conductors with attached quick-disconnect terminals
- 4. Identification must include:
  - 4.1. Month and year of manufacture
  - 4.2. 1-inch-diameter symbol of the module type with the module color written adjacent to the symbol in 0.50-inch-high letters
- 5 LED must be the ultra-bright type rated for 100,000 hours of continuous operation
- 6. Module must have an integral power supply

Individual LEDs must be wired such that a loss or failure of 1 LED will not result in a loss of more than 5 percent of the module's light output. Failure of an individual LED in a string must not result in a loss of an entire string or other indication.

The symbol for a 12-inch U-turn section must be a 15/16-inch-wide inverted *U* with an arrow on the left end.

A lane control section must be a combination module with a red *X* and green arrow. The conductor function and color code must be as shown in the following table:

# **Conductor Function and Color Code**

Function	Color
Neutral	White
Red X	Red
Green arrow	Brown

The minimum power consumption for an LED signal module must be 5 W.

The maximum power consumption for an LED signal module must be as shown in the following table:

## **Maximum Power Consumption**

LED signal madula	Power consumption (W)						
LED signal module	Red		Yellow		Green		
type	25 °C	74 °C	25 °C	74 °C	25 °C	74 °C	
8-inch circular	8	13	13	16	12	12	
12-inch circular	11	17	22	25	15	15	
12-inch arrow	9	12	10	12	11	11	
12-inch U-turn	9	12	10	12	11	11	
Bicycle	11	17	22	25	15	15	
Programmed visibility	11	17	22	25	15	15	
Lane control (X)	9	12			-		
Lane control (Arrow)					11	11	

Red and green LED signal modules operating over a temperature range from -40 to 74 degrees C and yellow LED signal modules operating at 25 degrees C must maintain the minimum illumination values for 48 months as shown in the following tables:

**Minimum Maintained Intensities for Circular Indications** 

	Intensities (cd)						
	8-inch			12-inch			
Angle (v,h)	Red	Yellow	Green	Red	Yellow	Green	
2.5, ±2.5	133	267	267	339	678	678	
2.5, ±7.5	97	194	194	251	501	501	
2.5, ±12.5	57	113	113	141	283	283	
2.5, ±17.5	25	48	48	77	154	154	
7.5, ±2.5	101	202	202	226	452	452	
7.5, ±7.5	89	178	178	202	404	404	
7.5, ±12.5	65	129	129	145	291	291	
7.5, ±17.5	41	81	81	89	178	178	
7.5, ±22.5	18	37	37	38	77	77	
7.5, ±27.5	10	20	20	16	32	32	
12.5, ±2.5	37	73	73	50	101	101	
12.5, ±7.5	32	65	65	48	97	97	
12.5, ±12.5	28	57	57	44	89	89	
12.5, ±17.5	20	41	41	34	69	69	
12.5, ±22.5	12	25	25	22	44	44	
12.5, ±27.5	9	16	16	16	32	32	
17.5, ±2.5	16	32	32	22	44	44	
17.5, ±7.5	14	28	28	22	44	44	
17.5, ±12.5	10	20	20	22	44	44	
17.5, ±17.5	9	16	16	22	44	44	
17.5, ±22.5	6	12	12	20	41	41	
17.5, ±27.5	4	9	9	16	32	32	

## **Minimum Maintained Luminance for Indications**

Indication type	Luminance (fL)			
Indication type	Red	Yellow	Green	
Arrow	1,610	3,210	3,210	
U-turn	1,610	3,210	3,210	
Bicycle	1,610	1,610	1,610	
Lane control (X)	1,610			
Lane control (Arrow)			1,610	

**Minimum Maintained Luminance for Programmed Visibility Indications** 

	Luminance (cd)		
Indication type	Red	Yellow	Green
PV at angle v=2.5, h=±2.5	314	314	314

Conductors must be prewired to the terminal block.

# 86-1.02R(4)(c) Visors and Directional Louvers

The visor must be a tunnel type.

The visor must have a downward tilt from 3 to 7 degrees with a minimum length of 9-1/2 inches for nominal 12-inch round lenses and 7 inches for nominal 8-inch round lenses.

A metal visor must be formed from minimum 0.050-inch-thick aluminum alloy sheet.

A plastic visor must be either formed from sheet plastic or blow-molded. The plastic must be a black homogeneous color with a lusterless finish. A visor must withstand a wind load applied to its side for 24 hours without permanent deformation or removal from its door when tested under California Test 605 for plastic visors and California Test 666 for metal visors.

If directional louvers are used, the louvers must fit into full-circular signal visors. Louvers must consist of one of the following:

- 1. Outside cylinder constructed of sheet steel with a minimum nominal thickness of 0.030 inch and vanes constructed of sheet steel with a minimum nominal thickness of 0.016 inch.
- 2. Outside cylinder and vanes constructed of 5052-H32 aluminum alloy of equal thickness.

# 86-1.02S Pedestrian Signal Heads

# 86-1.02S(1) General

A pedestrian signal head consists of a pedestrian signal mounting assembly and a pedestrian signal face comprising of a pedestrian signal housing, an LED countdown pedestrian signal face module, and a front screen.

# 86-1.02S(2) Pedestrian Signal Mounting Assemblies

A pedestrian signal mounting assembly must comply with the specifications for a signal mounting assembly in section 86-1.02R, except mast arm slip fitters are not required.

# 86-1.02S(3) Pedestrian Signal Faces

# 86-1.02S(3)(a) General

Each pedestrian signal face must include a light-duty terminal block rated at 5 A and have 12 positions with no. 6-by-1/8-inch binder head screws. Each position must have 1 screw-type terminal.

The wiring and terminal block must comply with ITE publication ST-055-E, *Pedestrian Traffic Control Signal Indicators: Light Emitting Diode (LED) Signal Modules*.

# 86-1.02S(3)(b) Pedestrian Signal Housings

Pedestrian signal housing must comply with the specifications for a signal housing in 86-1.02R(4)(a)(iii), except the maximum overall dimensions must be 18-1/2 inches wide, 19 inches high, and 11-1/2 inches deep and without:

- 1. Visor
- 2. Watertight module or lens mounted in the door
- 3. Reinforcement plates

The housing must have a terminal block attached to the back. The terminal block must have enough positions to accommodate all indications. Each position must be permanently labeled for the indications used.

# 86-1.02S(3)(c) LED Countdown Pedestrian Signal Face Modules

An LED countdown PSF module must comply with ITE publication ST-055-E, *Pedestrian Traffic Control Signal Indicators: Light Emitting Diode (LED) Signal Modules*, except the material must comply with ASTM D3935 and the module must have:

- 1. Ultra-bright-type LED rated for 100,000 hours of continuous operation.
- 2. Lot number and month and year of manufacture permanently marked on the back of the module
- 3. Prominent and permanent vertical markings for accurate indexing and orientation within the pedestrian signal housing if a specific mounting orientation is required. Markings must be a minimum of 1 inch in height and include an up arrow and the word *up* or *top*.
- 4. Circuit board complying with TEES, chapter 1, section 6.

Individual LEDs must be wired such that a loss or failure of 1 LED will not result in a loss of more than 5 percent of the module's light output. Failure of an individual LED in a string must not result in a loss of an entire string or other indication.

Each symbol must be at least 9 inches high and 5-1/4 inches wide. The 2-digit countdown timer, *Upraised Hand*, and *Walking Person* indications must be electronically isolated from each other. The 3 indications must not share a power supply or interconnect circuitry.

The module must operate over the specified ambient temperature and voltage range and be readable both day and night at distances up to the full width of the area to be crossed. Upon initial testing at 25 degrees C, the module must have at least the luminance values shown in the following table:

#### **Luminance Values**

PSF module symbol	Luminance
Upraised hand and 2-	1,094
digit countdown timer (fL)	
Walking person (fL)	1,547

The module must not exceed the power consumption requirements shown in the following table:

**Maximum Power Consumption Requirements** 

PSF module display	At 24 °C	At 74 °C
Upraised Hand	10.0 W	12.0 W
Walking Person	9.0 W	12.0 W
2-digit countdown timer	6.0 W	8.0 W

07-21-17

If the pedestrian change interval is interrupted, then the 2-digit countdown timer and display must reset to the full pedestrian change interval before being initiated the next time. The 2-digit countdown display on the PSF module must go dark within a second after displaying "0".

04-15-16

# 86-1.02S(3)(d) Front Screen

Pedestrian signal face must have a front screen that is one of the following types:

- 1. 3/8-inch-thick aluminum honeycomb screen with 0.2-inch-wide cells or a 1/2-inch-thick plastic screen with 3/8-inch-wide squares with 1/16-inch wall thickness that:
  - 1.1. Is installed so it tilts downward at an angle of  $15 \pm 2$  degrees from the top and completely covers the message plate.
  - 1.2. Includes a clear front cover made of either a minimum 1/8-inch-thick acrylic plastic sheet or a minimum 1/16-inch-thick polycarbonate plastic.
  - 1.3. Is held firmly in place, including the cover, with stainless steel or aluminum clips or stainless steel metal screws.
- 2. Polycarbonate screen that:
  - 2.1. Has a nominal thickness of 1/32 inch.
  - 2.2. Is a 1-1/2-inch-deep eggcrate or Z-crate type.
  - 2.3. Is mounted in a frame constructed of aluminum alloy or polycarbonate with a minimum thickness of 0.040 inch.
  - 2.4. Is held in place with stainless steel screws.

The screen and frame of a pedestrian signal face must be made of either (1) plastic that is a flat black color or (2) anodized aluminum that is a flat black color or finished with lusterless, black, exterior-grade latex paint formulated for application to metal surfaces.

## 86-1.02T Accessible Pedestrian Signals

Accessible pedestrian signal must comply with the California MUTCD, chapter 4E, and have:

1. Audible speech message that plays when the push button is actuated. The accessible pedestrian signal must have at least 5 audible message options.

04-15-16

- 2. Push button locator tone that clicks or beeps.
- 3. Feature that activates the pedestrian phase during a failure of the audible message, locator tone, or vibrotactile device.

An accessible pedestrian signal must function with the Department-furnished Model 170E/2070E controller assembly.

No part of the accessible pedestrian signal must be installed inside the controller cabinet. Power for the accessible pedestrian signal must be from the pedestrian signal housing terminal block.

The housing for the signal assembly must be made of corrosion-resistant material. Theft-proof bolts used for mounting the housing to the standard must be stainless steel with a content of 17 percent chromium and 8 percent nickel. The housing must be shaped to fit the pole's curvature.

The color of a metallic housing must match color no. 33538 of FED-STD-595.

The color of a plastic housing must match color no. 17038, 27038, or 37038 of FED-STD-595.

Accessible pedestrian signal must:

- 1. Have electronic switches, a potentiometer, or an access port for a device for controlling and programming the volume level and messaging
- 2. Be weatherproof and shockproof

Enclosure for the accessible pedestrian signal must:

- 1. Weigh less than 7 lb
- 2. Measure less than 16 by 6 by 5 inches
- 3. Have a wiring hole with a diameter not exceeding 1-1/8 inches
- 5. Have a switch for a push button
- 6. Have a vibrotactile device on the push button or on the arrow
- 7. Have an internal weatherproof speaker and microphone that senses the ambient sound level

The separation between adjacent holes used for conductors and mounting must be at least twice the diameter of the larger hole.

The speaker grills must be located on the surface of the enclosure. The speakers must not interfere with the housing or its mounting hardware.

07-21-17

The cable between the accessible pedestrian signal assembly and the pedestrian signal head must have 5 LDPE insulated conductors no. 26 stranded tinned copper. The conductors' minimum insulation thickness must be 25 mils. The cable jacket must be rated 600 V(ac) and 80 degrees C and be black PVC with a minimum thickness of 43 mils.

04-15-16

#### 86-1.02U Push Button Assemblies

The housing for a push button assembly must be made of die-cast aluminum, permanent mold-cast aluminum, or UV-stabilized self-extinguishing structural plastic. The plastic housing must have a color throughout that matches color no. 17038, 27038, or 37038 of FED-STD-595.

If the push button is to be attached to a pole, the housing must be shaped to fit the pole's curvature.

The assembly must be waterproof and shockproof.

The push button's switch must be a single-pole, double-throw switching unit with screw-type terminals rated 15 A at 125 V(ac).

Switch for the push button must have:

- 1. Plunger actuator and a U frame to allow recessed mounting in the push button housing
- 2. Operating force of 3.5 lb
- 3. Maximum pretravel of 5/64 inch
- 4. Minimum overtravel of 1/32 inch
- 5. Differential travel from 0.002 to 0.04 inch
- 6. Minimum 2-inch diameter actuator

#### 86-1.02V Reserved

#### 86-1.02W Loop Detector Sealants

# 86-1.02W(1) General

Sealant for filling loop detector slots must be one of the following:

- 1. Asphaltic emulsion
- 2. Elastomeric sealant
- 3. Epoxy sealant for inductive loops
- 4. Hot-melt rubberized asphalt

# 86-1.02W(2) Asphaltic Emulsion Sealant

Asphaltic emulsion sealant must comply with the State Specification 8040-41A-15.

# 86-1.02W(3) Elastomeric Sealant

Elastomeric sealant must be a polyurethane material that cures only in the presence of moisture if used within the stated shelf life. The sealant must be suitable for use in both asphalt concrete and concrete pavement.

The cured elastomeric sealant must comply with the requirements shown in the following table:

**Cured Elastomeric Sealant Requirements** 

Quality characteristic	Test method	Requirement
Hardness	ASTM D2240 <sup>a</sup>	65–85
Tensile strength (min, MPa)	ASTM D412 <sup>b</sup>	3.45
Elongation (min, %)	ASTWID412°	400
Flex at -40 °C°		No cracks
Weathering resistance	ASTM D822 <sup>d</sup>	Slight chalking
Salt spray resistance:		
Tensile strength (min, MPa)	ASTM B117 <sup>e</sup>	3.45
Elongation (min, %)		400
Dielectric constant (%)	ASTM D150 <sup>f</sup>	<25

<sup>&</sup>lt;sup>a</sup>Indentation at 25 °C and 50% relative humidity (Rex. Type A, Model 1700 only)

# 86-1.02W(4) Hot-Melt Rubberized Asphalt Sealant

Hot-melt rubberized asphalt sealant must:

 Be in solid form at room temperature and fluid at an application temperature range from 190 to 205 degrees C

<sup>&</sup>lt;sup>b</sup>Die C pulled at 508 mm/minute

<sup>°0.6-</sup>mm free film bend (180°) over 13-mm mandrel

<sup>&</sup>lt;sup>d</sup>Weatherometer 350 h, cured 7 days at 25 °C and 50% relative humidity

e28 days at 38 °C with 5% NaCl, Die C, and pulled at 508 mm/minute)

<sup>&</sup>lt;sup>f</sup>Change over a temperature range from -30 to 50 °C

- 2. Not produce toxic fumes
- 3. Be suitable for use in both asphalt concrete and concrete pavement
- 4. Be packaged in containers clearly marked *Detector Loop Sealant* with the manufacturer's batch and lot number.

The cured hot-melt rubberized asphalt sealant must comply with the requirements shown in the following table:

**Cured Hot-Melt Rubberized Asphalt Sealant Requirements** 

Quality characteristic	Test method	Requirement	
Cone penetration (max, 1/10 mm)	ASTM D5329, sec. 6 <sup>a</sup>	35	
Flow (max, mm)	ASTM D5329, sec. 8 <sup>b</sup>	5	
Resilience (min, %)	ASTM D5329, sec. 12 <sup>c</sup>	25	
Softening point (min, °C)	ASTM D36	82	
Ductility (min, cm)	ASTM D113 <sup>d</sup>	30	
Flash point, Cleveland Open Cup (min, °C)	ASTM D92	288	
Viscosity (Pa·s)	ASTM D4402 <sup>e</sup>	2.5-3.5	

<sup>&</sup>lt;sup>a</sup>At 25 °C, 150 g, 5 s

07-21-17

## 86-1.02X Electronic Markers and Locators

Reserved

04-15-16

#### 86-1.02Y Transformers

A transformer must be single-phase and may be a nonsubmersible or submersible type.

A transformer must be a dry type designed for operation on a 60 Hz supply. The transformer must have a decal showing a connection diagram. The diagram must show either color coding or wire tagging with primary (H1, H2) or secondary (X1, X2) markers and the primary and secondary voltage and volt-ampere rating. A transformer must comply with the electrical requirements shown in the following table:

**Transformer Electrical Requirements** 

Quality characteristic	Requirement
Rating (V(ac))	120/480, 120/240, 240/480, or 480/120
Efficiency (%)	> 95
Secondary voltage regulation and tolerance from half load to full load (%)	±3

Secondary 240 and 480 V(ac) windings must be center tapped.

The transformer must withstand the application of 2,200 V(ac) from core to coils and from coil to coil for a 1-minute period when tested immediately after operation of the transformer at full load for 24 hours.

The external leads for the secondary connections must be no. 10 Type USE rated for 600 V(ac).

The transformer's leads must extend a minimum of 12 inches from the case.

The transformer's insulation must be NEMA 185 C or better.

Each transformer must:

bAt 60 °C

cAt 25 °C

dAt 25 °C, 5 cm/minute

<sup>&</sup>lt;sup>e</sup>Brookfield Thermosel, no. 27 spindle, 20 rpm, 190 °C

- 1. Include metal half-shell coil protection.
- 2. Have moisture-resistant, synthetic-varnish-impregnated windings.
- 3. Be waterproof and suitable for outdoor operation.

#### Each submersible transformer must:

- 1. Include a handle and a hanger.
- 2. Be securely encased in a rugged, corrosion-resistant, watertight case.
- 3. Have leads that extend out through 1 or more sealed hubs.
- 4. Be manufactured to withstand a 5-day test with 12-hour on and off periods submerged in 2 feet of salt water that is 2 percent salt by weight. The operating periods must be at full load.

#### 86-1.02Z Batteries

# Battery must:

- 1. Be deep-cycle, sealed, prismatic, lead-calcium-based, absorbed-glass-mat, valve-regulated, lead-acid type
- 2. Be rated for 12 V
- 3. Be rated for a temperature range from -25 to 60 degrees C
- 4. Be group size 24
- 5. Be commercially available and stocked locally
- 6. Be marked with a date code, maximum recharge data, and recharge cycles
- 7. Be new and fully charged when furnished
- 8. Be free from damage or deformities
- 9. Have a carrying handle
- 10. Have 2 top-mounted, threaded-stud posts that include all washers and nuts
- 11. Include insulating rubber covers for protecting the lugs, posts, and wiring: red for the positive terminal and black for the negative terminal

If a battery is used for a battery backup system, it must accommodate 3/8-inch ring lugs of a Department-furnished battery harness.

## 86-1.03 CONSTRUCTION

Not Used

# **86-1.04 PAYMENT**

Not Used

# Replace section 87 with:

04-15-16

## **87 ELECTRICAL SYSTEMS**

07-21-17 **87-1 GENERAL** 

# 87-1.01 GENERAL

# 87-1.01A Summary

Section 87 includes general specifications for constructing and installing electrical systems.

The Department deducts the cost for maintenance performed by the Department on new or portions of existing systems modified under the Contract.

#### 87-1.01B Definitions

Reserved

## 87-1.01C Submittals

Reserved

# 87-1.01D Quality Assurance

# 87-1.01D(1) General

Reserved

# 87-1.01D(2) Quality Control

Before shipping the material to the job site, submit to METS test samples of:

- 1. Accessible pedestrian signals
- 2. LED countdown pedestrian signal face modules
- 3. LED signal modules
- 4. LED luminaires

Submit a sample size as shown in the following table:

# **Electrical Material Sampling**

Contract quantity	Test sample size
1–8	1
9–15	2
16–25	3
26–90	5
91–150	8
151–280	13
281–500	20
501–1200	32

Before starting operation of an electrical system, perform a conductor test in the presence of the Engineer.

Conductor test consists of testing each conductor and the conductors in cables for:

07-21-17

- 1. Continuity
- 2. Grounds
- 3. Insulation resistance at 500 V(dc) between the circuit and ground. Attainment of minimum insulation resistance of 100 M $\Omega$  on circuits

04-15-16

Start the operational test of the system on any day except Friday or the day before a holiday. The operational test for signals must start from 9:00 a.m. to 2:00 p.m. Notify the Engineer 48 hours before starting the test.

An operational test consists of a minimum of 5 business days of continuous, satisfactory operation of the system. If the system fails, correct the problem and retest the system. A shutdown of the system caused by traffic, a power interruption, or unsatisfactory performance of Department-furnished materials does not constitute discontinuity of the test.

# **87-1.02 MATERIALS**

Not Used

## 87-1.03 CONSTRUCTION

#### 87-1.03A General

The Engineer determines the final locations of electrical systems.

Verify the locations of electrical systems and the depths of existing detectors, conduits, and pull boxes.

Notify the Engineer before performing work on the existing system.

You may shut down the system for alteration or removal.

Where an existing Department underground facility is shown within 10 feet of any excavation, locate and field mark the facility before performing work that could damage or interfere with the existing facility.

If an existing facility is within 2 feet of an excavation, determine the exact location of the facility by excavating with hand tools before using any power-operated or power-driven excavating or boring equipment. A vacuum excavator may be used if authorized.

Notify the Engineer immediately if an existing facility is damaged by your activities.

If existing underground conduit is to be incorporated into a new system, clean it with a mandrel or cylindrical wire brush and blow it clean with compressed air.

Limit the shutdown of traffic signal systems to normal working hours. Notify the local traffic enforcement agency before shutting down the signal.

Place temporary W3-1 and R1-1 signs in each direction to direct traffic through the intersection during shutdown of the signal. Place two R1-1 signs for 2-lane approaches. The signs must comply with part 2 of the *California MUTCD*.

Cover signal faces when the system is shut down overnight. Cover temporary W3-1 and R1-1 signs when the system is turned on.

If you work on an existing lighting system and the roadway is to remain open to traffic, ensure the system is in operation by nightfall.

Replace detectors you damage within 72 hours, or the Department replaces them and deducts the cost.

Work performed on an existing system not described is change order work.

Do not use electrical power from existing highway facilities unless authorized.

Maintain a minimum 48-inch clearance for a pedestrian pathway when placing equipment.

Except for service installation or work on service equipment enclosures, do not work above ground until all materials are on hand to complete the electrical work at each location.

Bond all metal components to form a continuous grounded system as specified in NEC.

Ground metallic equipment mounted less than 8 feet above the ground surface on a wood pole.

If you damage any portion of a concrete curb, sidewalk, curb ramp, driveway, or gutter depression, replace the entire section between contraction or expansion joints under section 73.

Apply equipment identification characters.

Orient louvers, visors, and signal faces such that they are clearly visible to approaching traffic from the direction being controlled.

Test loops and the detector lead-in cable circuit for continuity, ground, and insulation resistance at the controller cabinet before connecting detector lead-in cable to the terminal block.

Perform an operational test of the systems.

Before starting the operational test for systems that impact traffic, the system must be ready for operation, and all signs, pavement delineation, and pavement markings must be in place at that location.

#### 87-1.03B Conduit Installation

## 87-1.03B(1) General

The installation of conduit includes installing caps, bushings, and pull tape and terminating the conduit in pull boxes, foundations, poles, or a structure.

Limit the number of bends in a conduit run to no more than 360 degrees between pull points.

Use conduit to enclose conductors except where they are installed overhead or inside standards or posts.

You may use a larger size conduit than specified for the entire length between termination points. Do not use a reducing coupling.

Extend an existing conduit using the same material. Terminate conduits of different materials in a pull box.

Install 2 conduits between a controller cabinet and the adjacent pull box.

Use a minimum trade size of conduit of:

- 1. 1-1/2 inches from an electrolier to the adjacent pull box
- 2. 1 inch from a pedestrian push button post to the adjacent pull box
- 3. 2 inches from a signal standard to the adjacent pull box
- 4. 3 inches from a controller cabinet to the adjacent pull box
- 5. 2 inches from an overhead sign to the adjacent pull box
- 6. 2 inches from a service equipment enclosure to the adjacent pull box
- 7. 1-1/2 inches if unspecified

# Use Type 1 conduit:

- 1. On all exposed surfaces
- 2. In concrete structures
- 3. Between a structure and the nearest pull box

Ream the ends of shop-cut and field-cut conduit to remove burrs and rough edges. Make the cuts square and true. Do not use slip joints and running threads to couple conduit. If a standard coupling cannot be used for metal-type conduit, use a threaded union coupling. Tighten the couplings for metal conduit to maintain a good electrical connection.

Cap the ends of conduit to prevent debris from entering before installing the conductors or cables. Use a plastic cap for Type 1, 2, and 5 conduits and a standard pipe cap for all other types of conduit.

For Type 1, 2, and 5 conduits, use threaded bushings and bond them using a jumper. For other types of conduit, use nonmetallic bushings.

Do not install new conduit through foundations.

Cut Type 2 conduit with pipe cutters; do not use hacksaws. Use standard conduit-threading dies for threading conduit. Tighten conduit into couplings or fittings using strap wrenches or approved groove joint pliers.

Cut Type 3 conduit with tools that do not deform the conduit. Use a solvent weld for connections.

Protect shop-cut threads from corrosion under the standards shown in the following table:

**Shop-Cut Thread Corrosion Protection** 

Conduit	Standard
Types 1 and 2	ANSI C80.1
Type 5	ANSI C80.6

Apply 2 coats of unthinned, organic zinc-rich primer to metal conduit before painting. Use a primer on the Authorized Material List for organic zinc-rich primers. Do not use aerosol cans. Do not remove shop-installed conduit couplings.

For conduits, paint:

- 1. All exposed threads
- 2. Field-cut threads, before installing conduit couplings to metal conduit
- 3. Damaged surfaces on metal conduit

If a Type 2 conduit or conduit coupling coating is damaged:

- Clean the conduit or fitting and paint it with 1 coat of rubber-resin-based adhesive under the manufacturer's instructions
- 2. Wrap the damaged coating with at least 1 layer of 2-inch-wide, 20 mils-minimum-thickness, PVC tape under ASTM D1000 with a minimum tape overlap of 1/2 inch

You may repair damaged spots of 1/4 inch or less in diameter in the thermoplastic coating by painting with a brushing-type compound supplied by the conduit manufacturer.

If factory bends are not used, bend the conduit to a radius no less than 6 times its inside diameter without crimping or flattening it. Comply with the bending requirements shown in the following table:

**Conduit-Bending Requirements** 

Type	Requirement
1	Use equipment and methods under the conduit manufacturer's instructions.
2	Use a standard bending tool designed for use on thermoplastic-coated conduit. The conduit must be free of burrs and pits.
3	Use equipment and methods under the conduit manufacturer's instructions. Do not expose the conduit to a direct flame.
5	Use equipment and methods under the conduit manufacturer's instructions.

Install pull tape with at least 2 feet of slack in each end of the conduit that will remain empty. Attach the tape's ends to the conduit.

Install conduit terminating in a standard or pedestal from 2 to 3 inches above the foundation. Slope the conduit toward the handhole opening.

Terminate conduit installed through the bottom of a nonmetallic pull box 2 inches above the bottom and 2 inches from the wall closest to the direction of the run.

## 87-1.03B(2) Conduit Installation for Structures

# 87-1.03B(2)(a) General

Paint exposed Type 1 conduit the same color as the structure.

Install galvanized steel hangers, steel brackets, and other fittings to support conduit in or on a wall or bridge.

## 87-1.03B(2)(b) New Structures

Seal and make watertight the conduits which lead to soffits, wall-mounted luminaires, other lights, and fixtures located below the pull box grade.

If you place a conduit through the side of a nonmetallic pull box, terminate the conduit 2 inches from the wall and 2 inches above the bottom. Slope the conduit toward the top of the box to facilitate pulling conductors.

For ease of installation and if authorized, you may use Type 4 conduit instead of Type 1 conduit for the final 2 feet of conduit entering a pull box in a reinforced concrete structure.

Install an expansion fitting where a conduit crosses an expansion joint in a structure. Each expansion fitting for metal conduit must include a copper bonding jumper having the ampacity as specified in NEC.

Install an expansion-deflection fitting for an expansion joint with a 1-1/2-inch movement rating. The fitting must be watertight and include a molded neoprene sleeve, a bonding jumper, and 2 silicon bronze or zinc-plated iron hubs.

For an expansion joint with a movement rating greater than 1-1/2 inches, install the expansion-deflection fitting as shown.

For conduit installed inside of bridge structures, you must:

- 1. Install precast concrete cradles made of minor concrete and commercial-quality welded wire fabric. The minor concrete must contain a minimum of 590 lb of cementitious material per cubic yard. The cradles must be moist cured for a minimum of 3 days.
- 2. Bond precast concrete cradles to a wall or bridge superstructure with one of the following:
  - 2.1. Epoxy adhesive for bonding freshly-mixed concrete to hardened concrete.
  - 2.2. Rapid-set epoxy adhesive for pavement markers.
  - 2.3. Standard-set epoxy adhesive for pavement markers.
- 3. Use a pipe sleeve or form an opening for a conduit through a bridge superstructure. The sleeve or opening through a prestressed member or conventionally reinforced precast member must be:
  - 3.1. Oriented transverse to the member.
  - 3.2. Located through the web.
  - 3.3. No more than 4 inches in size.
- 4. Wrap the conduit with 2 layers of asphalt felt building paper and securely tape or wire the paper in place for a conduit passing through a bridge abutment wall. Fill the space around the conduit with mortar under section 51-1, except the proportion of cementitious material to sand must be 1 to 3. Fill the space around the conduits after prestressing is completed.

Thread and cap a conduit installed for future use in structures. Mark the location of the conduit's end in a structure, curb, or wall directly above the conduit with a Y that is 3 inches tall.

## 87-1.03B(2)(c) Existing Structures

Run surface-mounted conduit straight and true, horizontal or vertical on the wall, and parallel to walls on ceilings or similar surfaces. Support the conduit at a maximum of 5-foot intervals where needed to prevent vibration or deflection. Support the conduit using galvanized, malleable-iron, conduit clamps, and clamp backs secured with expansion anchorage devices complying with section 75-3.02C. Use the largest diameter of galvanized, threaded studs that will pass through the mounting hole in the conduit clamp.

# 87-1.03B(3) Conduit Installation Underground 87-1.03B(3)(a) General

Install conduit to a depth of:

- 1. 14 inches for the trench-in-pavement method
- 2. 18 inches, minimum, under sidewalk and curbed paved median areas
- 3. 42 inches, minimum, below the bottom of the rail of railroad tracks
- 4. 30 inches, minimum, everywhere else below grade

Place conduit couplings at a minimum of 6 inches from the face of a foundation.

Place a minimum of 2 inches of sand bedding in a trench before installing Type 2 or Type 3 conduit and 4 inches of sand bedding over the conduit before placing additional backfill material.

If installing conduit within the limits of hazardous locations as specified in NEC for Class I, division 1, install and seal Type 1 or Type 2 conduit with explosion-proof sealing fittings.

# 87-1.03B(3)(b) Conduit Installation under Paved Surfaces

You may lay conduit on existing pavement within a new curbed median constructed on top.

07-21-17

Install conduit under existing pavement by either the horizontal directional drill method or jack and drill method. You may use the trench-in-pavement method for either of the following conditions:

- 1. If conduit is to be installed behind the curb under the sidewalk
- 2. If the delay to vehicles will be less than 5 minutes

04-15-16

Do not use the trench-in-pavement method for conduit installations under freeway lanes or freeway-to-freeway connector ramps.

# 87-1.03B(3)(c) Reserved

# 87-1.03B(3)(d) Conduit Installation under Railroad Tracks

Install Type 1 or Type 2 conduit with a minimum diameter of 1-1/2 inches under railroad tracks. If you use the jacking or drilling method to install the conduit, construct the jacking pit a minimum of 13 feet from the tracks' centerline at the near side of the pit. Cover the jacking pit with planking if left overnight.

# 87-1.03B(4) Reserved

07-21-17

# 87-1.03B(5) Conduit Installation by the Jack and Drill Method

04-15-16

Keep the jacking or drilling pit 2 feet away from the pavement's edge. Do not weaken the pavement or soften the subgrade with excessive use of water.

If an obstruction is encountered, obtain authorization to cut small holes in the pavement to locate or remove the obstruction.

You may install Type 2 or Type 3 conduit under the pavement if a hole larger than the conduit's diameter is predrilled. The predrilled hole must be less than one and half the conduit's diameter.

Remove the conduit used for drilling or jacking and install new conduit for the completed work.

# 87-1.03B(6) Conduit Installation by the Trenching-In-Pavement Method

Install conduit by the trenching-in-pavement method using a trench approximately 2 inches wider than the conduit's outside diameter but not exceeding 6 inches in width.

Where additional pavement is to be placed, you must complete the trenching before the final pavement layer is applied.

If the conduit shown is to be installed under the sidewalk, you may install it in the street within 3 feet of and parallel to the face of the curb. Install pull boxes behind the curb.

Cut the trench using a rock-cutting excavator. Minimize the shatter outside the removal area of the trench.

Dig the trench by hand to the required depth at pull boxes.

Place conduit in the trench.

Backfill the trench with minor concrete to the pavement's surface by the end of each work day. If the trench is in asphalt concrete pavement and no additional pavement is to be placed, backfill the top 0.10 foot of the trench with minor HMA within 3 days after trenching.

## 87-1.03C Installation of Pull Boxes

# 87-1.03C(1) General

Install pull boxes no more than 200 feet apart.

You may install larger pull boxes than specified or shown and additional pull boxes to facilitate the work except in structures.

Install a pull box on a bed of crushed rock and grout it before installing conductors. The grout must be from 0.5 to 1 inch thick and sloped toward the drain hole. Place a layer of roofing paper between the grout and the crushed rock sump. Make a 1-inch drain hole through the grout at the center of the pull box.

Set the pull box such that the top is 1-1/4 inches above the surrounding grade in unpaved areas and leveled with the finished grade in sidewalks and other paved areas.

Place the cover on the box when not working in it.

Grout around conduits that are installed through the sides of the pull box.

Bond and ground the metallic conduit before installing conductors and cables in the conduit.

Bond metallic conduits in a nonmetallic pull box using bonding bushings and bonding jumpers.

Do not install pull boxes in concrete pads, curb ramps, or driveways.

Reconstruct the sump of a pull box if disturbed by your activities. If the sump was grouted, remove and replace the grout.

# 87-1.03C(2) Nontraffic Pull Boxes

If you bury a nontraffic pull box, set the box such that the top is 6 to 8 inches below the surrounding grade. Place a 20-mil-thick plastic sheet made of HDPE or PVC virgin compounds to prevent water from entering the box.

Place mortar between a nontraffic pull box and a pull box extension.

Where a nontraffic pull box is in the vicinity of curb in an unpaved area, place the box adjacent to the back of the curb if practical.

07-21-17

Where a nontraffic pull box is adjacent to a post or standard, place the box within 5 feet downstream from traffic if practical.

04-15-16

If you replace the cover on a nontraffic pull box, anchor it to the box.

## 87-1.03C(3) Traffic Pull Boxes

Place minor concrete around and under a traffic pull box.

Bolt the steel cover to the box when not working in it.

Bond the steel cover to the conduit with a jumper and bolt it down after installing the conductors and cables.

# 87-1.03C(4) Structure Pull Boxes

Bond metallic conduit in a metal pull box in a structure using locknuts, inside and outside of the box, bonding bushings, and bonding jumpers connected to bonding wire running in the conduit system.

## 87-1.03D Reserved

# 87-1.03E Excavating and Backfilling for Electrical Systems

# 87-1.03E(1) General

Notify the Engineer at least 72 hours before starting excavation activities.

Dispose of surplus excavated material.

Restrict closures for excavation on a street or highway to 1 lane at a time unless otherwise specified.

# 87-1.03E(2) Trenching

Dig a trench for the electrical conduits. Do not excavate until the installation of the conduit.

07-21-17

04-15-16

Place excavated material in a location that will not interfere with traffic or surface drainage.

07-21-17

After placing the conduit, backfill the trench with the excavated material.

04-15-16

Compact the backfill placed within the hinge points and in areas where pavement is to be constructed to a minimum relative compaction of 95 percent.

Restore the sidewalks, pavement, and landscaping at a location before starting excavation at another location.

#### 87-1.03E(3) Concrete Pads, Foundations, and Pedestals

Construct foundations for standards, poles, metal pedestals, and posts under section 56-3.

Construct concrete pads, foundations, and pedestals for controller cabinets, telephone demarcation cabinets, and service equipment enclosures on firm ground.

Install anchor bolts using a template to provide proper spacing and alignment. Moisten the forms and ground before placing the concrete. Keep the forms in place until the concrete sets for at least 24 hours to prevent damage to the surface.

Use minor concrete for pads, foundations, and pedestals.

In unpaved areas, place the top of the foundation 6 inches above the surrounding grade, except place the top:

- 1. 1 foot 6 inches above the grade for Type M and 336L cabinets
- 2. 1 foot 8 inches above the grade for Type C telephone demarcation cabinets
- 3. 2 inches above the grade for Type G and Type A cabinets and Type III service equipment enclosures

The pad must be 2 inches above the surrounding grade.

In and adjacent to the sidewalk and other paved areas, place the top of the foundation 4 inches above the surrounding grade, except place the top:

- 1. 1 foot 6 inches above the grade for Type M and 336L cabinets
- 2. 1 foot 8 inches above the grade for Type C telephone demarcation cabinets
- 3. Level with the finished grade for Type G and Type A cabinets and Type III service equipment enclosures

The pad must be level with the finished grade.

Apply an ordinary surface finish under section 51-1.03F.

Allow the foundation to cure for at least 7 days before installing any equipment.

# 87-1.03F Conductors and Cable Installations

# 87-1.03F(1) General

The installation of conductors and cables includes splicing conductors and attaching the terminals and connectors to the conductors.

Clean the conduit and pull all conductors and cables as a unit.

If new conductors or cables are to be added in an existing conduit:

- 1 Remove the content
- 2. Clean the conduit
- 3. Pull both old and new conductors and cables as a unit

Wrap conductors and secure cables to the end of the conduit in a pull box.

Seal the ends of conduits with a sealing compound after installing conductors or cables.

Neatly arrange conductors and cables inside pull boxes and cabinets. Tie the conductors and cables together with self-clinching nylon cable ties or enclose them in a plastic tubing or raceway.

Identify conductors and cables by direct labeling, tags, or bands fastened in such a way that they will not move. Use mechanical methods for labeling.

Provide band symbol identification on each conductor or each group of conductors comprising a signal phase in each pull box and near the end of terminated conductors.

Tape the ends of unused conductors and cables in pull boxes to form a watertight seal.

Do not connect the push-button or accessible pedestrian signal neutral conductor to the signal neutral conductor.

87-1.03F(2) Cables 87-1.03F(2)(a) General

Reserved

87-1.03F(2)(b) Reserved 87-1.03F(2)(c) Copper Cables 87-1.03F(2)(c)(i) General

Reserved

# 87-1.03F(2)(c)(ii) Detector Lead-in Cables

Install a Type B or C detector lead-in cable in conduit.

Waterproof the ends of the lead-in cable before installing it in the conduit to prevent moisture from entering the cable.

Splice loop conductors for each direction of travel for the same phase, terminating in the same pull box, to a separate lead-in cable running from the pull box adjacent to the loop detector to a sensor unit mounted in the controller cabinet. Install the lead-in cable without splices except at the pull box.

Verify in the presence of the Engineer that the loops are operational before making the final splices between loop conductors and the lead-in cable.

Identify and tag each lead-in cable with the detector designation at the cabinet and pull box adjacent to the loops.

# 87-1.03F(2)(c)(iii) Conductors Signal Cables

Do not splice signal cables except for a 28-conductor cable.

Provide identification at the ends of terminated conductors in a cable as shown.

Provide identification for each cable in each pull box showing the signal standard to which it is connected except for the 28-conductor cable.

Connect conductors in a 12-conductor cable as shown in the following table:

**12CSC Color Code and Functional Connection** 

Color code	Termination	Phase
Red	Red signal	2, 4, 6, or 8
Yellow	Yellow signal	2, 4, 6, or 8
Brown	Green signal	2, 4, 6, or 8
Red/black stripe	Red signal	1, 3, 5, or 7
Yellow/black stripe	Yellow signal	1, 3, 5, or 7
Brown/black stripe	Green signal	1, 3, 5, or 7
Black/red stripe	Spare or as required for red or DONT WALK	
Black/white stripe	Spare or as required for yellow	
Black	Spare or as required for green or WALK	
Red/white stripe	Pedestrian signal DONT WALK	
Brown/white stripe	Pedestrian signal WALK	
White	Terminal block	Neutral

Provide identification for each 28-conductor cable C1 or C2 in each pull box. The cable labeled *C1* must be used for signal phases 1, 2, 3, and 4. The cable labeled *C2* must be used for signal phases 5, 6, 7, and 8.

Connect conductors in a 28-conductor cable as shown in the following table:

**28CSC Color Code and Functional Connection** 

Color code	Termination	Phase
Red/black stripe	Red signal	2 or 6
Yellow/black stripe	Yellow signal	2 or 6
Brown/black stripe	Green signal	2 or 6
Red/orange stripe	Red signal	4 or 8
Yellow/orange stripe	Yellow signal	4 or 8
Brown/orange stripe	Green signal	4 or 8
Red/silver stripe	Red signal	1 or 5
Yellow/silver stripe	Yellow signal	1 or 5
Brown/silver stripe	Green signal	1 or 5
Red/purple stripe	Red signal	3 or 7
Yellow/purple stripe	Yellow signal	3 or 7
Brown/purple stripe	Green signal	3 or 7
Red/2 black stripes	Pedestrian signal DONT WALK	2 or 6
Brown/2 black stripes	Pedestrian signal WALK	2 or 6
Red/2 orange stripes	Pedestrian signal DONT WALK	4 or 8
Brown/2 orange stripes	Pedestrian signal WALK	4 or 8
Red/2 silver stripes	Overlap A, C	OLA <sup>a</sup> ,
		OLCa
Brown/2 silver stripes	Overlap A, C	OLA <sup>c</sup> , OLC <sup>c</sup>
Red/2 purple stripes	Overlap B, D	OLB <sup>a</sup> ,
		OLDa
Brown/2 purple stripes	Overlap B, D	OLB <sup>c</sup> , OLD <sup>c</sup>
Blue/black stripe	Pedestrian push button	2 or 6
Blue/orange stripe	Pedestrian push button	4 or 8
Blue/silver stripe	Overlap A, C	OLA <sup>b</sup> ,
		OLC <sup>b</sup>
Blue/purple stripe	Overlap B, D	OLB <sup>b</sup> ,
		OLD <sup>b</sup>
White/black stripe	Pedestrian push button common	
Black/red stripe	Railroad preemption	
Black	Spare	
White	Terminal block	Neutral

OL = Overlap; A, B, C, and D = Overlapping phase designation

Use the neutral conductor only with the phases associated with that cable. Do not intermix neutral conductors from different cables except at the signal controller.

# 87-1.03F(2)(c)(iv) Signal Interconnect Cable

For a signal interconnect cable, provide a minimum of 6 feet of slack inside each controller cabinet.

Do not splice the cable unless authorized.

If splices are authorized, insulate the conductor splices with heat-shrink tubing and overlap the insulation at least 0.6 inch. Cover the splice area of the cable with heat-shrink tubing and overlap the cable jacket at least 1-1/2 inches. Provide a minimum of 3 feet of slack at each splice.

<sup>&</sup>lt;sup>a</sup>For red phase designation

<sup>&</sup>lt;sup>b</sup>For yellow phase designation

<sup>&</sup>lt;sup>c</sup>For green phase designation

# 87-1.03F(3) Conductors

# 87-1.03F(3)(a) General

Do not run conductors to a terminal block on a standard unless they are to be connected to a signal head mounted on that standard.

Provide 3 spare conductors in all conduits containing ramp metering and traffic signal conductors.

Install a separate conductor for each terminal of a push button assembly and accessible pedestrian signal.

Provide conductor slack to comply with the requirements shown in the following table:

**Conductor Slack Requirements** 

Location	Slack (feet)
Signal standard	1
Lighting standard	1
Signal and lighting standard	1
Pull box	3
Splice	3
Standards with slip base	Ō

# 87-1.03F(3)(b) Reserved

# 87-1.03F(3)(c) Copper Conductors

# 87-1.03F(3)(c)(i) General

Install a minimum no. 8, bare, grounding copper conductor in conduit and connect it to all-metal components.

04-15-16

07-21-17

Where conductors from different service points occupy the same conduit or standard, enclose the conductors from one of the services in flexible or rigid metal conduit.

## 87-1.03F(3)(c)(ii) Inductive Loop Conductors

Install a Type 1 or 2 inductive loop conductor except use Type 2 for Type E loop detectors.

Install the conductor without splices except at the pull box.

# 87-1.03F(4) Manual Installation Method

Use an inert lubricant for placing conductors and cables in conduit.

Pull the conductors and cables into the conduit by hand using pull tape.

# 87-1.03G Equipment Identification Characters

The Engineer provides you with a list of the equipment identification characters.

Stencil the characters or apply the reflective self-adhesive labels to a clean surface.

Treat the edges of self-adhesive characters with an edge sealant.

Place the characters on the side facing traffic on:

- 1. Front doors of cabinets and service equipment enclosures.
- 2. Wood poles, fastened with 1-1/4-inch aluminum nails, for pole mounted enclosures
- 3. Adjacent bent or abutment at approximately the same station as an illuminated sign or soffit luminaire
- 4. Underside of the structure adjacent to the illuminated sign or soffit luminaire if no bent or abutment exists nearby
- 5. Posts of overhead signs

## 6. Standards

Before placing new characters on existing or relocated equipment, remove the existing characters.

# 87-1.03H Conductor and Cables Splices 87-1.03H(1) General

You may splice:

- 1. Grounded conductors in a pull box
- 2. Accessible pedestrian signal and push bottom conductors in a pull box
- 3. Ungrounded signal conductors in a pull box if signals are modified
- 4. Ungrounded signal conductors to a terminal compartment or a signal head on a standard with conductors of the same phase in the pull box adjacent to the standard
- 5. Ungrounded lighting circuit conductors in a pull box if lighting circuits are modified

07-21-17

Solder all copper conductor splices using the hot iron, pouring, or dipping method. Do not perform open-flame soldering.

04-15-16

# 87-1.03H(2) Splice Insulation Methods

Insulate splices in a multiconductor cable to form a watertight joint and to prevent moisture absorption by the cable.

Use heat-shrink tubing or Method B to insulate a splice.

Use heat-shrink tubing as follows:

- 1. Cover the splice area completely with an electrical insulating coating and allow it to dry.
- 2. Place mastic around each conductor before placing them inside the tubing. Use the type of mastic specified in the tubing manufacturer's instructions.
- 3. Heat the area under the manufacturer's instructions. Do not perform open-flame heating. After contraction, each end of the heat-shrink tubing or the open end of the tubing's end cap must overlap the conductor insulation at least 1-1/2 inches.
- 4. Cover the entire splice with an electrical insulating coating and allow it to dry.

Use Method B as follows:

- 1. Cover the splice area completely with an electrical insulating coating and allow it to dry.
- 2. Apply 3 layers of half-lapped, 80-mils, PVC tape.
- 3. Apply 2 layers of 120-mils, butyl-rubber, stretchable tape with liner.
- 4. Apply 3 layers of half-lapped, 6-mils, PVC, pressure-sensitive, adhesive tape.
- 5. Cover the entire splice with an electrical insulating coating and allow it to dry.

#### 87-1.03I Connectors and Terminals

Apply connectors and terminals to cables and conductors using a crimping compression tool under the manufacturer's instructions. The tool must prevent opening of the handles until the crimp is completed.

Install crimp-style terminal lugs on stranded conductors smaller than no. 14.

07-21-17

Solder no. 8 and smaller copper conductors to connectors and terminal lugs.

04-15-16

## 87-1.03J Standards, Poles, Pedestals, and Posts

Install standards, poles, pedestals, and posts under section 56-3.

Ground standards with a handhole by attaching a bonding jumper from the bolt or lug inside the standard to a metal conduit or to the grounding wire in the adjacent pull box. The bonding jumper must be visible when the handhole cover is removed.

Ground standards without a handhole or standards with a slip base by attaching a bonding jumper to all anchor bolts using ground clamps and connecting it to a metal conduit or to the grounding wire in the adjacent pull box. The bonding jumper must be visible after mortar has been placed on the foundation.

#### 87-1.03K Reserved

# 87-1.03L Utility Service

# 87-1.03L(1) General

Install the service equipment early enough to allow the utility to complete its work before completion of the electrical work.

At least 15 days before permanent electrical and telecommunication service is required, request the service connections for permanent installations. The Department arranges with the utilities for completion of the connections and pays all costs and fees required by the utilities.

# 87-1.03L(2) Electric Service

# 87-1.03L(2)(a) General

If service equipment is to be installed on a utility-owned pole, furnish and install the conduit, conductors, pull boxes, and other necessary material to complete the service installation. The service utility decides the position of the riser and equipment on the pole.

# 87-1.03L(2)(b) Electric Service for Irrigation

Establishing electric service for irrigation includes installing conduit, conductors, and pull boxes and making connections from the service point to the irrigation controllers.

#### 87-1.03L(2)(c) Electric Service for Booster Pumps

Establishing electric service for a booster pump includes installing conduit, conductors, and pull boxes and making connections from the service point to the booster pump enclosure.

#### 87-1.03L(3) Telecommunications Service

Establishing telecommunication service includes installing conduit, conductors, and pull boxes and making connections from the service point to the telephone demarcation cabinet.

#### 87-1.03M Photoelectric Controls

Mount the photoelectric unit on the top of the pole for Type I, II, and III photoelectric controls. Use mounting brackets where pole-top mounting is not possible. Orient the photoelectric unit to face north.

Mount the enclosure at a height of 6 feet above finished grade on the same standard as the photoelectric unit.

Install a minimum 100 VA, 480/120 V(ac) transformer in the contactor enclosure to provide 120 V(ac) for the photoelectric control unit when switching 480 V(ac), 60 Hz circuits.

# 87-1.03N Fused Splice Connectors

07-21-17

Install a fuse splice connector with a 5 A fuse in each ungrounded conductor for luminaires mounted on standards. The connector must be located in the pull box adjacent to the standard.

04-15-16

Crimp the connector terminals onto the ungrounded conductors using a tool under the manufacturer's instructions. Insulate the terminals and make them watertight.

#### 87-1.030 Grounding Electrodes

Install a grounding electrode for each cabinet, service equipment enclosure, and transformer.

Attach a grounding conductor from the electrode using either a ground clamp or exothermic weld. Connect the other end of the conductor to the cabinet, service equipment enclosure, and transformer.

# 87-1.03P Service Equipment Enclosures

Installing a service equipment enclosure includes constructing the foundation and pad and installing conduit, adjacent pull boxes, and grounding electrode.

Locate the foundation such that the minimum clearance around the front and back of the enclosure complies with NEC, article 110.26, "Spaces About Electrical Equipment, (600 V, nominal or less)."

Bond and ground metal conduit as specified in NEC and by the service utility except the grounding electrode conductor must be no. 6 or larger.

If circuit breakers and components do not have a description on engraved phenolic nameplates, install them using stainless steel rivets or screws under section 86-1.02P(2).

#### 87-1.03Q Cabinets

# 87-1.03Q(1) General

Installing a cabinet includes constructing the foundation and pad and installing conduit, adjacent pull boxes, and grounding electrode.

Apply a mastic or caulking compound before installing the cabinet on the foundation to seal the openings.

Connect the field wiring to the terminal blocks in the cabinet. Neatly arrange and lace or enclose the conductors in plastic tubing or raceway. Terminate the conductors with properly sized captive or spring spade terminals. Apply a crimp-style connector and solder them.

Install and solder a spade-type terminal on no. 12 and smaller field conductors and a spade-type or ring-type terminal on conductors larger than no. 12.

# 87-1.03Q(2) Department-Furnished Controller Cabinets

Arrange for the delivery of Department-furnished controller cabinets.

#### 87-1.03Q(3) Reserved

#### 87-1.03Q(4) Telephone Demarcation Cabinets

Installing a telephone demarcation cabinet includes installing conduit, cable, and pull boxes to the controller cabinet.

Install the cabinet with the back toward the nearest lane of traffic.

#### 87-1.03R Signal Heads

# 87-1.03R(1) General

Installing a signal head includes mounting the heads on standards and mast arms, installing backplates and visors, and wiring conductors to the terminal blocks.

Keep the heads covered or direct them away from traffic until the system is ready for operation.

# 87-1.03R(2) Signal Faces

Use the same brand and material for the signal faces at each location.

Program the programmable visibility signal faces under the manufacturer's instructions. The indication must be visible only in those areas or lanes to be controlled.

# 87-1.03R(3) Backplates

Install backplates using at least six 10-24 or 10-32 self-tapping and locking stainless steel machine screws and flat washers.

If a plastic backplate requires field assembly, attach each joint using at least four no.10 machine screws. Each machine screw must have an integral or captive flat washer, a hexagonal head slotted for a standard screwdriver, and either a locking nut with an integral or captive flat washer or a nut, flat washer, and lock washer. Machine screws, nuts, and washers must be stainless steel or steel with a zinc or black oxide finish.

If a metal backplate has 2 or more sections, fasten the sections with rivets or aluminum bolts peened after assembly to avoid loosening.

Install the backplate such that the background light is not visible between the backplate and the signal face or between sections.

# 87-1.03R(4) Signal Mounting Assemblies

Install a signal mounting assembly such that its members are arranged symmetrically and plumb or level. Orient each mounting assembly to allow maximum horizontal clearance to the adjacent roadway.

For a bracket-mounted assembly, bolt the terminal compartment or pole plate to the pole or standard.

In addition to the terminal compartment mounting, attach the upper pipe fitting of Type SV-1-T with 5 sections or a SV-2-TD to the standard or pole using the mounting detail for signal heads without a terminal compartment.

Use a 4-1/2-inch slip fitter and set screws to mount an assembly on a post top.

After installing the assembly, clean and paint the exposed threads of the galvanized conduit brackets and bracket areas damaged by the wrench or vise jaws. Use a wire brush to clean and apply 2 coats of unthinned, organic zinc-rich primer. Do not use an aerosol can to apply the primer.

Install the conductors in the terminal compartment and secure the cover.

#### 87-1.03S Pedestrian Signal Heads

Installing a pedestrian signal head includes mounting the heads on standards and wiring conductors to the terminal blocks.

Install the pedestrian signal mounting assembly under section 87-1.03R(4).

Use the same brand and material for the pedestrian signal faces at each location.

Install a pedestrian signal face such that its members are arranged symmetrically and plumb or level.

#### 87-1.03T Accessible Pedestrian Signals

Use the same brand for the accessible pedestrian signals at each location.

Install an accessible pedestrian signal and the R10 series sign on the crosswalk side of the standard.

Attach the accessible pedestrian signal to the standard with self-tapping screws.

Attach the sign to the standard using 2 straps and saddle brackets.

Point the arrow on the accessible pedestrian signal in the same direction as the corresponding crosswalk.

Furnish the equipment and hardware to set up and calibrate the accessible pedestrian signal.

Arrange to have a manufacturer's representative at the job site to program the accessible pedestrian signal with an audible message or tone.

# 87-1.03U Push Button Assemblies

Install the push button assembly and the R10 series sign on the crosswalk side of the standard.

Attach the sign to the assembly for Type B assemblies.

Attach the sign to the standard using 2 straps and saddle brackets for Type C assemblies.

You may use straps and saddle brackets to secure the push button to the standard.

Use a slip fitter to secure the assembly on top of a 2-1/2-inch-diameter post.

# 87-1.03V Detectors

# 87-1.03V(1) General

Installing a detector includes installing inductive loop conductors, sealant, conduit, and pull boxes.

Center the detectors in the traffic lanes.

Do not splice the detector conductor.

# 87-1.03V(2) Inductive Loop Detectors

Mark the location of the inductive loop detectors such that the distance between the side of the loop and a lead-in saw cut from an adjacent detector is at least 2 feet. The distance between lead-in saw cuts must be at least 6 inches.

Saw cut the slots under section 13-4.03E(7). The bottoms of the slots must be smooth with no sharp edges. For Type E detector loops, saw the slots such that the sides are vertical.

Wash the slots clean using water and blow dry them with compressed air to remove all moisture and debris.

Identify the start of the conductor.

Waterproof the ends of a Type 2 loop conductor before installing it in the conduit to prevent moisture from entering the cable.

Install the loop conductor in the slots and lead-in saw cuts using a 3/16- to 1/4-inch-thick wood paddle. Hold the conductors in place at the bottom of the slot with wood paddles during placement of the sealant.

Wind adjacent loops on the same sensor unit channel in opposite directions.

Twist the conductors for each loop into a pair consisting of a minimum of 2 turns per foot before placing them in the lead-in saw cut and the conduit leading to the pull box. Do not install more than 2 twisted pairs of conductors per lead-in saw cut.

Provide 5 feet of slack in the pull box.

Test each loop for continuity, circuit resistance, and insulation resistance before filling the slots with sealant.

Remove excess sealant from the adjacent road surface before it sets. Do not use solvents to remove the excess.

Identify the loop conductor pair in the pull box, marking the start with the letter *S* and the end with the letter *F*. Band conductors in pairs by lane in the pull box adjacent to the loops and in the cabinet. Identify each pair with the detector designation and loop number.

Install the conductors in a compacted layer of HMA immediately below the uppermost layer if more than one layer will be placed. Install the loop conductors before placing the uppermost layer of HMA. Fill the slot with a sealant flush to the surface.

Install the conductors in the existing pavement if one layer of HMA is to be placed. Install the loop conductors before placing the layer of HMA. Fill the slot with a sealant flush to the surface.

# 87-1.03V(3) Preformed Inductive Loop Detectors

Construct a preformed inductive loop detector consisting of 4 turns in the loop and a lead-in conductor pair twisted at least 2 turns per foot all encased in conduit and sealed to prevent water penetration. The detector must be 6-foot square unless shown otherwise.

Construct the loop detector using a minimum 3/8-inch Schedule 40 or Schedule 80 PVC or polypropylene conduit and no. 16 or larger conductor with Type THWN or TFFN insulation.

In new roadways, place the detector in the base course with the top of the conduit flush with the top of the base. Cover with HMA or concrete pavement. Protect the detector from damage before and during pavement placement.

In new reinforced concrete bridge decks, secure the detector to the top of the uppermost layer of reinforcing steel using nylon wire ties. Hold the detector parallel to the bridge deck using PVC or polypropylene spacers where necessary. Place conduit for lead-in conductors between the uppermost 2 layers of reinforcing steel.

Do not install detectors in existing bridge decks unless authorized.

Install a detector in existing pavement before placement of concrete or HMA as follows:

- 1. Saw cut slots at least 1-1/4 inches wide into the existing pavement.
- 2. Place the detector in the slots. The top of the conduit must be at least 2 inches below the top of the pavement.
- 3. Test each loop circuit for continuity, circuit resistance, and insulation resistance.
- 4. Fill saw cuts with elastomeric or hot melt rubberized asphalt sealant for asphalt concrete pavement and with epoxy sealant or hot melt rubberized asphalt sealant for concrete pavement.

#### 87-1.03W Sealants

# 87-1.03W(1) General

Reserved

# 87-1.03W(2) Elastomeric Sealant

Apply an elastomeric sealant with a pressure feed applicator.

# 87-1.03W(3) Asphaltic Emulsion Sealant

Asphaltic emulsion sealant must:

- 1. Be used for filling slots in asphalt concrete pavement of a maximum width of 5/8 inch
- 2. Not be used on concrete pavement or where the slope causes the material to run from the slot
- 3. Be thinned under the manufacturer's instructions
- 4. Be placed when the air temperature is at least 45 degrees F

#### 87-1.03W(4) Hot-Melt Rubberized Asphalt Sealant

Melt the sealant in a jacketed, double-boiler-type, melting unit. The temperature of the heat transfer medium must not exceed 475 degrees F.

Apply the sealant with a pressure feed applicator or a pour pot when the surface temperature of the pavement is greater than 40 degrees F.

#### 87-1.03X Reserved

#### 87-1.03Y Transformers

Installing a transformer includes placing the transformer inside a pull box, a cabinet, or an enclosure.

Wire the transformer for the appropriate voltage.

Ground the secondary circuit of the transformer as specified in the NEC.

# 87-1.03Z Reserved 87-1.04 PAYMENT

Not Used

#### **87-2 LIGHTING SYSTEMS**

#### 87-2.01 GENERAL

# 87-2.01A Summary

Section 87-2 includes specifications for constructing lighting systems.

Lighting system includes:

- 1. Foundations
- 2. Pull boxes
- 3. Conduit
- 4. Conductors
- 5. Standards
- 6. Luminaires
- 7. Service equipment enclosure
- 8. Photoelectric control
- 9. Fuse splice connectors
- 10. High mast lighting assemblies

The components of a lighting system are shown on the project plans.

#### 87-2.01B Definitions

Reserved

#### 87-2.01C Submittals

Submit a certificate of compliance and test data for the high mast lighting luminaires.

# 87-2.01D Quality Assurance

Reserved

#### **87-2.02 MATERIALS**

# 87-2.02A General

Reserved

# 87-2.02B High Mast Lighting Assemblies

A high mast lighting assembly includes the foundation, pole, lowering device system, luminaires, and control pedestal.

Each luminaire in a high mast lighting assembly must include a housing, an optical system, and a ballast.

The housing must be made of aluminum.

A painted or powder-coated housing for a high mast lighting luminaire must be able to withstand a 1,000-hour salt spray test as specified in ASTM B117.

The optical system, consisting of the reflector, refractor or lens, lamp socket, and lamp, must be in a sealed chamber. The chamber must be sealed by a gasket between the reflector and refractor or lens and a gasket between the reflector and lamp socket. The chamber must have a separate filter or filtering gasket for flow of air.

An asymmetrical luminaire must have a refractor or reflector that is rotatable 360 degrees around a vertical axis to orient the distribution of light.

The luminaire must have a slip fitter for mounting on a 2-inch horizontal pipe tenon and must be adjustable ±3 degrees from the axis of the tenon.

The reflector must have a specular surface made of silvered glass or aluminum protected by either an anodized finish or a silicate film. The reflector must be shaped such that a minimum of light is reflected through the arc tube of the lamp.

The refractor and lens must be made of heat-resistant glass.

The lamp socket must be a porcelain-enclosed, mogul-multiple type. The shell must contain integral lamp grips to ensure electrical contact under conditions of normal vibrations. The socket must be rated for 1,500 W, 600 V(ac) and 4,000 V(ac) pulse for a 400 W lamp and 5,000 V(ac) pulse for a 1,000 W lamp.

The luminaire must have a dual fuse holder for 2 fuses rated at 5 A, 480 V(ac). The fuses must be 13/32 inch by 1-1/2 inches, standard midget ferrule type with a nontime-delay feature.

The lamps must be vertical burning, protected from undue vibration, and prevented from backing out of the socket by a stainless steel clamp attached to the luminaire.

A 1,000 W metal halide lamp must have an initial output of 100,000 lumens and an average rated life of 12,000 hours based on 10 hours per start.

A 400 W high-pressure sodium lamp must have an initial output of 50,000 lumens. A 1,000 W high-pressure sodium lamp must have an initial output of 140,000 lumens.

The ballast for the luminaire must be a regulator type and have a core and coils, capacitors, and starting aid.

#### Ballast must be:

- 1. Mounted within a weatherproof housing that integrally attaches to the top of a luminaire support bracket and lamp support assembly
- 2. Readily removable without removing the luminaire from the bracket arm
- 3. Electrically connected to the optical assembly by a prewired quick disconnect

The ballast for a metal halide luminaire must comply with luminaire manufacturer's specifications.

The wattage regulation spread at any lamp voltage, from nominal through the life of the lamp, must vary no more than 22 percent for a 1,000 W lamp and a ±10 percent input voltage variation. The ballast's starting line current must be less than its operating current.

# 87-2.02C Soffit and Wall-Mounted Luminaires 87-2.02C(1) General

Soffit and wall-mounted luminaires must be weatherproof and corrosion resistant.

Each luminaire must include a 70 W high-pressure sodium lamp with a minimum average rated life of 24,000 hours. The lamp socket must be positioned such that the light center of the lamp is located within 1/2 inch of the designed light center of the luminaire.

Luminaire wiring must be SFF-2.

Flush-mounted soffit luminaire must have:

- Metal body with two 1-inch-minimum conduit hubs and a means of anchoring the body into the concrete
- 2. Prismatic refractor made of heat-resistant polycarbonate:
  - 2.1. Mounted in a door frame
  - 2.2. With the street side identified
- 3. Aluminum reflector with a specular anodized finish
- 4. Ballast located either within the housing or in a ceiling pull box if shown
- 5. Lamp socket

The door frame assembly must be hinged, gasketed, and secured to the luminaire body with at least 3 machine screws.

A pendant soffit luminaire must be enclosed and gasketed and have an aluminum finish. Luminaire must have:

- 1. Aluminum reflector with a specular anodized finish
- 2. Refractor made of heat-resistant polycarbonate
- 3. Optical assembly that is hinged and latched for lamp access and a device to prevent dropping
- 4. Ballast designed for operation in a raintight enclosure
- 5. Galvanized metal box with a gasketed cover, 2 captive screws, and 2 chains to prevent dropping and for luminaire mounting

#### Wall-mounted luminaire must have:

- 1. Cast metal body
- 2. Prismatic refractor:
  - 2.1. Made of glass
  - 2.2. Mounted in a door frame
- 3. Aluminum reflector with a specular anodized finish
- 4. Integral ballast
- 5. Lamp socket
- 6. Gasket between the refractor and the body
- 7. At least 2 mounting bolts of minimum 5/16-inch diameter

A cast aluminum body of a luminaire to be cast into or mounted against concrete must have a thick coat of alkali-resistant bituminous paint on all surfaces to be in contact with the concrete.

# 87-2.02C(2) High-Pressure Sodium Lamp Ballasts

# 87-2.02C(2)(a) General

A high-pressure sodium lamp ballast must operate the lamp for its rated wattage.

Starting aids for a ballast must be interchangeable between ballasts of the same wattage and manufacturer without adjustment.

The ballast must be provided with a heat-generating component to serve as a heat sink. The capacitor must be placed at the maximum practicable distance from the heat-generating components or thermally shielded to limit the case temperature to 75 degrees C.

The transformer and inductor must be resin impregnated for protection against moisture. Capacitors, except for those in starting aids, must be metal cased and hermetically sealed.

The ballast must have a power factor of 90 percent or greater.

For the nominal input voltage and lamp voltage, the ballast design center must not vary more than 7.5 percent from the rated lamp wattage.

# 87-2.02C(2)(b) Regulator-Type Ballasts

A regulator-type ballast must be designed such that a capacitance variance of ±6 percent does not cause more than ±8 percent variation in the lamp wattage regulation.

The ballast must have a current crest factor not exceeding 1.8 for an input voltage variation of ±10 percent.

The lamp wattage regulation spread for a lag-type ballast must not vary by more than 18 percent for ±10 percent input voltage variations. The primary and secondary windings must be electrically isolated.

The lamp wattage regulation spread for a constant-wattage, autoregulator, lead-type ballast must not vary by more than 30 percent for ±10 percent input voltage variations.

# 87-2.02C(2)(c) Nonregulator-Type Ballasts

A nonregulator-type ballast must have a current crest factor not exceeding 1.8 for an input voltage variation of ±5 percent.

The lamp wattage regulation spread for an autotransformer or high reactance type ballast must not vary by more than 25 percent for ±5 percent input voltage variations.

#### 87-2.03 CONSTRUCTION

#### 87-2.03A General

Set the foundations for standards such that the mast arm is perpendicular to the centerline of the roadway.

Tighten the cap screws of the luminaire's clamping bracket to 10 ft-lb for LED and low-pressure luminaires.

Label the month and year of the installation inside the luminaire housing's door.

Perform the conductor and operational tests for the system.

# 87-2.03B High Mast Lighting Assemblies

Mount and connect the luminaires to the accessory support ring. Aim the asymmetrical luminaire to orient the distribution of light.

#### 87-2.03C Soffit and Wall-Mounted Luminaires

For a flush-mounted soffit luminaire:

- 1. Prevent concrete from getting into the housing during pouring of the concrete for the structure
- 2. Install the luminaire with the axis vertical and the street side of the refractor oriented as indicated
- 3. Locate the luminaire to provide a minimum 2-foot clearance from the inside surface of the girders and 1-foot clearance from the near face of the diaphragm
- 4. Install the bridge soffit and ceiling pull box over the same lane

For a pendant soffit luminaire:

- 1. Cast in place the inserts for the no. 8 pull box during concrete placement for a new structure
- 2. Drill holes for expansion anchors to support the no. 8 pull box on existing structures
- 3. Bond the suspension conduit and luminaire to the pull box

For a wall-mounted luminaire, provide:

- 1. Extension junction box or ring on a new structure
- 2. 4 external mounting taps on an existing structure

Place the soffits or wall-mounted luminaires in operation as soon as practicable after the falsework has been removed from the structure.

If the Engineer orders soffit or wall-mounted luminaires to be activated before permanent power service is available, installing and removing the temporary power service is change order work.

# **87-2.04 PAYMENT**

Not Used

# 87-3 SIGN ILLUMINATION SYSTEMS

# 87-3.01 GENERAL

# 87-3.01A Summary

Section 87-3 includes specifications for constructing sign illumination systems.

Sign illumination system includes:

- 1. Foundations
- 2. Pull boxes
- 3. Conduit

- 4. Conductors
- 5. Sign lighting fixtures
- 6. Enclosure for the disconnect circuit breaker
- 7. Service equipment enclosure
- 8. Photoelectric control

The components of a sign illumination system are shown on the project plans.

#### 87-3.01B Definitions

Reserved

# 87-3.01C Submittals

Submit the manufacturer's test data for the induction sign-lighting fixtures.

#### 87-3.01D Quality Assurance

Reserved

#### **87-3.02 MATERIALS**

An induction sign-lighting fixture must include a housing with a door, reflector, refractor or lens, lamp, socket assembly, power coupler, high-frequency generator, fuse block, and fuses.

The fixture must comply with the isofootcandle curves as shown.

Fixture must weigh no more than 44 lb, be rated for 87 W at 120/240 V(ac), and have a mounting assembly made of one of the following materials:

- 1. Cast aluminum
- 2. Hot-dip galvanized steel plate
- 3. Galvanized steel plate finished with one of the following:
  - 3.1. Polymeric coating
  - 3.2. Same finish used for the housing

#### Housing must:

- 1. Be corrosion resistant and suitable for wet locations
- 2. Be above the top of the mounting rails at a maximum height of 12 inches
- 3. Have weep holes

#### Door must:

- 1. Hold a refractor or lens
- 2. Open without the use of special tools
- 3. Have a locking position at 50 degrees minimum from the plane of the door opening
- 4. Be hinged to the housing on the side of the fixture away from the sign panel
- 5. Have 2 captive latch bolts or other latching device

When the door is opened, it must lock in the 50 degrees position when an 85 mph, 3-second wind-gust load strikes the door from either side.

The housing and door must be manufactured of sheet or cast aluminum and have a gray powder coat or polyester paint finish. The sheet aluminum must comply with ASTM B209 or B209M for 5052-H32 aluminum sheet. External bolts, screws, hinges, hinge pins, and door closure devices must be corrosion resistant.

The housing and door must be gasketed. The thickness of the gasket must be a minimum of 1/4 inch.

Reflector must not be attached to the outside of the housing and must be:

1. Made of a single piece of aluminum with a specular finish

- 2. Protected with an electrochemically applied anodized finish or a chemically applied silicate film
- 3. Designed to drain condensation away from it
- 4. Secured to the housing with a minimum of 2 screws
- 5. Removable without removing any fixture parts

Refractor or lens must have a smooth exterior and must be manufactured from the materials shown in the following table:

**Refractor and Lens Material Requirements** 

Component	Material	
Flat lens	Heat-resistant glass	
Convex lens	Heat-resistant, high-impact-resistant tempered glass	
Refractor	Borosilicate heat-resistant glass	

The refractor and convex lens must be designed or shielded such that no luminance is visible if the fixture is approached directly from the rear and viewed from below. If a shield is used, it must be an integral part of the door casting.

#### Lamp must:

- 1. Be an 85 W induction type with a fluorescent, phosphor-coated, interior wall
- 2. Have a minimum 70 percent light output of its original lumen output after 60,000 hours of operation
- 3. Have a minimum color-rendering index of 80
- 4. Be rated at a color temperature of 4,000K
- 5. Be removable with common hand tools

The lamp socket must be rated for 1,500 W and 600 V(ac) and be a porcelain-enclosed mogul type with a shell that contains integral lamp grips to ensure electrical contact under normal vibration conditions. The shell and center contact must be made of nickel-plated brass. The center contact must be spring loaded.

The power coupler must be removable with common hand tools.

High-frequency generator must:

- 1. Start and operate lamps at an ambient temperature of -25 degrees C or greater for the rated life of the lamp
- 2. Operate continuously at ambient air temperatures from -25 to 55 degrees C without a reduction in the generator life
- 3. Have a design life of at least 100,000 hours at 55 degrees C
- 4. Have an output frequency of 2.65 MHz ± 10 percent
- 5. Have radio frequency interference that complies with 47 CFR 18 regulations regarding harmful interference
- 6. Have a power factor greater than 90 percent and total harmonic distortion less than 10 percent

The high frequency generator must be mounted such that the fixture can be used as a heat sink and be replaceable with common hand tools.

Each fixture must include a barrier-type fuse block for terminating field connections. Fuse block must:

- 1. Be rated 600 V(ac)
- 2. Have box terminals
- 3. Be secured to the housing and accessible without removal of any fixture parts
- 4. Be mounted to leave a minimum of 1/2 inch of air space from the sidewalls of the housing
- 5. Be designed for easy removal of fuses with a fuse puller

The fixture's fuses must be 13/32-inch-diameter, 1-1/2-inch-long ferrule type and UL listed or NRTL certified. For a 120 V(ac) fixture, only the ungrounded conductor must be fused and a solid connection must be provided between the grounded conductor and the high frequency generator.

The fixture must be permanently marked with the manufacturer's brand name, trademark, model number, serial number, and date of manufacture on the inside and outside on the housing. The same information must be marked on the package.

If a wire guard is used, it must be made of a minimum 1/4-inch-diameter galvanized steel wire. The wires must be spaced to prevent rocks larger than 1-1/2-inch diameter from passing through the guard. The guard must be either hot-dip galvanized or electroplated zinc-coated as specified in ASTM B633, service condition SC4, with a clear chromate dip treatment.

#### 87-3.03 CONSTRUCTION

Perform the conductor and operational tests for the system.

#### **87-3.04 PAYMENT**

Not Used

#### 87-4 SIGNAL AND LIGHTING SYSTEMS

# 87-4.01 GENERAL

#### **87-4.01A Summary**

Section 87-4 includes specifications for constructing signal and lighting systems.

Signal and lighting system includes:

- 1. Foundations
- 2. Pull boxes
- 3. Conduit
- 4. Conductors
- 5. Cables
- 6. Standards
- 7. Signal heads
- 8. Internally illuminated street name signs
- 9. Service equipment enclosure
- 10. Department-furnished controller assembly
- 11. Detectors
- 12. Telephone demarcation cabinet
- 13. Accessible pedestrian signals
- 14. Push button assemblies
- 15. Pedestrian signal heads
- 16. Luminaires
- 17. Photoelectric control
- 18. Fuse splice connectors
- 19. Battery backup system
- 20. Flashing beacons
- 21. Flashing beacon control assembly

The components of a signal and lighting system are shown on the project plans.

# 87-4.01B Definitions

Reserved

#### 87-4.01C Submittals

Submit shop drawings showing the message for each internally illuminated street sign, including the size of letters, symbols, and arrows.

# 87-4.01D Quality Assurance 87-4.01D(1) General

Reserved

87-4.01D(2) Quality Control 87-4.01D(2)(a) General

Reserved

# 87-4.01D(2)(b) Battery Backup System

Notify the Engineer 48 hours before testing the battery backup system.

Test the system in the presence of the Engineer by turning off the power to the signal system at the service equipment enclosure. The signal system must run continuously for 30 minutes. If the battery backup system fails, correct the problem and retest the system for another 30 minutes. After successful completion of the test, turn the power on for the signal system.

#### **87-4.02 MATERIALS**

#### 87-4.02A General

Reserved

# 87-4.02B Battery Backup System

A battery backup system includes the cabinet, batteries, and the Department-furnished electronics assembly.

The electronics assembly includes the inverter/charger unit, power transfer relay, and the battery harness.

#### 87-4.02C Internally Illuminated Street Name Signs

An internally illuminated street name sign includes housing, brackets, sign panels, gaskets, ballast, lampholder, terminal blocks, conductors, and fuses.

An internally illuminated street sign must be designed and constructed to prevent deformation or failure when subjected to an 85 mph, 3-second wind-gust load as specified in the AASHTO publication, "Standard Specifications for Structural Supports of Highway Signs, Luminaires and Traffic Signals."

# Sign must:

- 1. Be Types A or B
- 2. Have galvanized or cadmium-plated ferrous parts
- 3. Have screened weep holes
- 4. Have fasteners, screws, and hardware made of passive stainless steel, Type 302 or 304, or aluminum Type 6060-T6
- 5. Operate at a temperature from -20 to 74 degrees C

Photoelectric unit sockets are not allowed.

The housing must be constructed to resist torsional twist and warp. The housing must be designed such that opening or removing the panels provides access to the interior of the sign for lamp, ballast, and fuse replacement.

The top and bottom of the sign must be manufactured from formed or extruded aluminum and attached to formed or cast aluminum end fittings. The top, bottom, and end fittings must form a sealed housing.

For a Type A sign, both sides of the sign must be hinged at the top to allow installation or removal of the sign panel.

For a Type B sign, the sign panel must be slide mounted into the housing.

The top of the housing must have 2 free-swinging mounting brackets. Each bracket must be vertically adjustable for leveling the sign to either a straight or curved mast arm. The bracket assembly must allow the lighting fixture to swing perpendicular to the sign panel.

The reflectors must be formed aluminum and have an acrylic, baked-white-enamel surface with a minimum reflectance of 0.85.

Sign panel must be translucent, high-impact-resistant, and made of one of the following plastic materials:

- 1. Glass-fiber-reinforced, acrylated resin
- 2. Polycarbonate resin
- 3. Cellulose acetate butyrate

The sign panel must be designed not to crack or shatter if a 1-inch-diameter steel ball weighing 2.4 ounces is dropped from a height of 8.5 feet above the sign panel to any point on the panel. For this test, the sign panel must be lying in a horizontal position and supported within its frame.

The sign panel's surface must be evenly illuminated. The brightness measurements for the letters must be a minimum of 150 foot-lamberts, average. The letter-to-background brightness ratio must be from 10:1 to 20:1. The background luminance must not vary by more than 40 percent from the average background brightness measurement. The luminance of letters, symbols, and arrows must not vary by more than 20 percent from their average brightness measurement.

The sign panel's white or green color must not fade or darken if exposed to an accelerated test of UV light equivalent to 2 years of outdoor exposure.

The sign panel's legend, symbols, arrows, and border on each face must be white on a green background. The background must comply with color no. 14109 of FED-STD-595.

The message must appear on both sides of the sign and be protected from UV radiation. The letters must be 8-inch upper case and 6-inch lower case, series E.

A Type A sign must have a closed-cell, sponge-neoprene gasket installed between the sign panel frame to prevent the entry of water. The gasket must be uniform and even textured.

The sign ballast must be a high-power-factor type for outdoor operation from 110 to 125 V(ac) and 60 Hz and must comply with ANSI C82.1 and C82.2.

The ballast for a Type A sign must be rated at 200 mA. The ballast for a Type B sign must be rated at 430 mA.

Sign lampholder must:

- 1. Be the spring-loaded type
- 2. Have silver-coated contacts and waterproofed entrance leads
- 3. Have a heat-resistant, circular cross section with a partially recessed neoprene ring

Removal of the lamp from the socket must de-energize the primary of the ballast.

The springs for the lampholders must not be a part of the current-carrying circuit.

The sign's wiring connections must terminate on a molded, phenolic, barrier-type, terminal block rated at 15 A, 1,000 V(ac). The connections must have a white, integral, waterproof marking strip. The terminal screws must not be smaller than a no. 10.

The terminal block must be insulated from the fixture to provide protection from the line-to-ground flashover voltage.

A sectionalized terminal block must have an integral barrier on each side and must allow rigid mounting and alignment.

Fixture's conductors must:

- 1. Be stranded copper wire with a minimum thermoplastic insulation of 28 mils
- 2. Be rated at 1,000 V(ac) and for use up to 90 degrees C
- 3. Be a minimum of no. 16
- 4. Match the color coding of the ballast leads
- 5. Be secured with spring cross straps, installed 12 inches apart or less in the chassis or fixture

Stranded copper conductors connected to screw-type terminals must terminate in crimp-type ring connectors.

No splicing is allowed within the fixture.

The sign's fuse must be the Type 3AG, miniature, slow-blow type.

The fuse holder must be a panel-mounting type with a threaded or bayonet knob that grips the fuse tightly for extraction. Each ballast must have a separate fuse.

#### 87-4.03 CONSTRUCTION

#### 87-4.03A General

Set the foundations for standards such that the mast arm is perpendicular to the centerline of the roadway.

Tighten the cap screws of the luminaire's clamping bracket to 10 ft-lb for LED and low-pressure luminaires.

Label the month and year of the installation inside the luminaire housing's door.

Perform the conductor and operational tests for the system.

# 87-4.03B Battery Backup System Cabinets

Install the battery backup system cabinet to the right of the Model 332L cabinet.

If installation on the right side is not feasible, obtain authorization for installation on the left side.

Provide access for power conductors between the cabinets using:

- 1. 2" nylon-insulated, steel chase nipple
- 2. 2" steel sealing locknut
- 3. 2" nylon-insulated, steel bushing

Remove the jumper between the terminals labeled *BBS-1* and *BBS-2* in the 5 position terminal block in the controller cabinet before connecting the Department-furnished electronics assembly.

#### 87-4.03C Internally Illuminated Street Name Signs

Mount the internally illuminated street name sign to the signal mast arm using the adjustable brackets. Connect the conductors to the terminal blocks in the signal head mounting terminal block.

#### **87-4.04 PAYMENT**

Not Used

# **87-5 RAMP METERING SYSTEMS**

#### 87-5.01 GENERAL

Section 87-5 includes specifications for constructing ramp metering systems.

Ramp metering system includes:

- 1. Foundations
- 2. Pull boxes

- 3. Conduit
- 4. Conductors
- 5. Standards
- 6. Signal heads
- 7. Service equipment enclosure
- 8. Department-furnished controller assembly
- 9. Detectors
- 10. Telephone demarcation cabinet

The components of a ramp metering system are shown on the project plans.

#### **87-5.02 MATERIALS**

Not Used

#### 87-5.03 CONSTRUCTION

Connect the field wiring to the terminal blocks in the controller cabinet. The Engineer provides you a list of field conductor terminations for each controller cabinet.

Perform the conductor and operational tests for the system.

#### **87-5.04 PAYMENT**

Not Used

#### 87-6 TRAFFIC MONITORING STATION SYSTEMS

#### 87-6.01 GENERAL

Section 87-6 includes specifications for constructing traffic monitoring station systems.

Traffic monitoring station system includes:

- 1. Foundations
- 2. Pull boxes
- 3. Conduit
- 4. Cables
- 5. Conductors
- 6. Service equipment enclosure
- 7. Controller cabinet
- 8. Detectors
- 9. Telephone demarcation cabinet

The components of a traffic monitoring station system are shown on the project plans.

#### **87-6.02 MATERIALS**

Not Used

#### 87-6.03 CONSTRUCTION

Connect the field wiring to the terminal blocks in the controller cabinet. The Engineer provides you a list of field conductor terminations for the controller cabinet.

Perform the conductor and operational tests for the system.

#### **87-6.04 PAYMENT**

Not Used

#### 87-7 FLASHING BEACON SYSTEMS

#### 87-7.01 GENERAL

Section 87-7 includes specifications for constructing flashing beacon systems.

Flashing beacon system includes:

- 1. Foundations
- 2. Pull boxes
- 3. Conduit
- 4. Conductors
- 5. Standards
- 6. Service equipment enclosure
- 7. Signal heads
- 8. Flashing beacon control assembly

The components of a flashing beacon system are shown on the project plans.

The flash rate for the flashing beacon must comply with chapter 4L, "Flashing Beacons," of the *California MUTCD*.

The flashing beacon must allow alternating flashing wig-wag operation.

The flashing beacon must have a separate flasher unit installed in the flashing beacon control assembly.

#### **87-7.02 MATERIALS**

Flashing beacon control assembly must:

- 1. Have a NEMA 3R enclosure with a dead front panel and a hasp with a 7/16-inch hole for a padlock. The enclosure must have one of the following finishes:
  - 1.1. Powder coating.
  - 1.2. Hot-dip galvanized coating.
  - 1.3. Factory-applied, rust-resistant prime coat and finish coat.
- 2. Have barrier-type terminal blocks rated for 25 A, 600 V(ac), made of molded phenolic or nylon material and have plated-brass screw terminals and integral marking strips.
- 3. Include a solid state flasher complying with section 8 of NEMA standards publication no. TS 1 for 10 A, dual circuits.

# 87-7.03 CONSTRUCTION

Perform the conductor and operational tests for the system.

#### **87-7.04 PAYMENT**

Not Used

# 87-8-87-11 RESERVED 87-12 CHANGEABLE MESSAGE SIGN SYSTEMS

#### 87-12.01 GENERAL

Section 87-12 includes specifications for constructing changeable message sign systems.

Changeable message sign system includes:

- 1. Foundations
- 2. Pull boxes
- 3. Conduit
- 4. Conductors
- 5. Service equipment enclosure
- 6. Department-furnished controller cabinet
- 7. Department-furnished changeable message sign
- 8. Department-furnished wiring harness
- 9. Service equipment enclosure
- 10. Sign disconnect

The components of a changeable message sign system are shown on the project plans.

#### **87-12.02 MATERIALS**

Not Used

# 87-12.03 CONSTRUCTION

Install the changeable message sign.

Connect the field wiring to the terminal blocks in the sign assembly and controller cabinet.

The Engineer provides you a list of field conductor terminations for each sign cabinet and controller cabinet.

The Department maintains the sign assemblies.

#### 87-12.04 PAYMENT

Not Used

# 87-13-87-17 RESERVED 87-18 INTERCONNECTION CONDUIT AND CABLE

#### 87-18.01 GENERAL

Section 87-18 includes specifications for constructing interconnection conduit and cable.

Interconnection conduit and cable includes:

- 1. Pull boxes
- 2. Conduit
- 3. Signal interconnect cables

The components of an interconnection conduit and cable are shown.

# 87-18.02 MATERIALS

Not Used

# 87-18.03 CONSTRUCTION

Test the signal interconnect cable.

Connect the signal interconnect cable to the terminal block in the controller cabinets. The Engineer provides you a list of terminations for each controller cabinet.

# **87-18.04 PAYMENT**

Not Used

# 87-19 RESERVED 87-20 TEMPORARY ELECTRICAL SYSTEMS

#### 87-20.01 GENERAL

Section 87-20 includes specifications for providing temporary electrical systems.

Obtain the Department's authorization for the type of temporary electrical system and its installation method.

A temporary system must operate on a continuous, 24-hour basis.

Temporary wood poles must comply with section 48-6.

01-20-17

#### **87-20.02 MATERIALS**

#### 87-20.02A General

Material and equipment may be new or used.

The components of a temporary system are shown on the project plans.

If you use Type UF-B cable, the minimum conductor size must be no. 12.

# 87-20.02B Temporary Flashing Beacon Systems

A temporary flashing beacon system consists of a flashing beacon system, wood post, generator, and photovoltaic system.

The system must comply with the specifications for a flashing beacon system in section 87-7, except it may be mounted on a wood post or a trailer.

# 87-20.02C Temporary Lighting Systems

A temporary lighting system consists of a lighting system, generator, and wood poles.

The system must comply with the specifications for a lighting system in section 87-2, except it may be mounted on a wood pole or a trailer.

# 87-20.02D Temporary Signal Systems

A temporary signal system consists of a signal and lighting system, wood poles and posts, and a generator.

System must comply with the specifications for a signal and lighting system in section 87-4, except:

- 1. Signal heads may be mounted on a wood pole, mast arm, tether wire, or a trailer
- 2. Flashing beacons may be mounted on a wood post, or a trailer

#### 87-20.03 CONSTRUCTION

# 87-20.03A General

Provide electrical and telecommunication services for temporary systems. Do not use existing services unless authorized.

Provide power for the temporary electrical systems under section 12-3.33, except you may use a photovoltaic system for the temporary flashing beacon system.

Install conductors and cables in a conduit, suspended from wood poles at least 25 feet above the roadway, or use direct burial conductors and cables.

You may saw slots across paved areas for burial conductors and cables.

Install conduit outside the paved area at a minimum of 12 inches below grade for Type 1 and 2 conduit and at a minimum of 18 inches below grade for Type 3 conduit.

Install direct burial conductors and cables outside the paved area at a minimum depth of 24 inches below grade.

Place the portions of the conductors installed on the face of wood poles in either Type 1, 2, or 3 conduit between the point 10 feet above grade at the pole and the pull box. The conduit between the pole and the pull box must be buried at a depth of at least 18 inches below grade.

Place conductors across structures in a Type 1, 2, or 3 conduit. Attach the conduit to the outside face of the railing.

Mount the photoelectric unit at the top of the standard or wood post.

You may abandon in place conductors and cables in sawed slots or in conduit installed below the ground surface.

# 87-20.03B Temporary Flashing Beacon Systems

Install a fused-splice connector in the pull box adjacent to each flashing beacon. Wherever conductors are run overhead, install the splice connector in the line side outside of the control assembly.

# 87-20.03C Temporary Lighting Systems

Wherever conductors are run overhead, install the fuse splice connectors in the line side before entering the mast arm.

# 87-20.03D Temporary Signal Systems

You may splice conductors that run to a terminal compartment or a signal head on a pole to the through conductors of the same phase in a pull box adjacent to the pole. Do not splice conductors or cables except in a pull box or in a NEMA 3R enclosure.

The Department provides the timing for the temporary signal.

Maintain the temporary signal except for the Department-furnished controller assembly.

#### 87-20.04 PAYMENT

Not Used

#### 87-21 EXISTING ELECTRICAL SYSTEMS

#### 87-21.01 GENERAL

Section 87-21 includes general specifications for performing work on existing electrical systems.

#### **87-21.02 MATERIALS**

Not Used

#### 87-21.03 CONSTRUCTION

#### 87-21.03A General

You may abandon unused underground conduit after pulling out all conductors and removing conduit terminations from the pull boxes.

If standards are to be salvaged, remove:

- 1. All components
- 2. Mast arms from the standards
- 3. Luminaires, signal heads, and signal mounting assemblies from the standards and mast arms

If the existing material is unsatisfactory for reuse and the Engineer orders you to replace it with new material, replacing the existing material with new material is change order work.

If the removed electrical equipment is to be reinstalled, supply all materials and equipment, including signal mounting assemblies, anchor bolts, nuts, washers, and concrete, needed to complete the new installation.

# 87-21.03B Maintaining Existing Electrical Systems

#### 87-21.03B(1) General

Maintain the existing electrical system in working order during the progress of the work. Conduct your operations to avoid damage to the elements of the systems.

# 87-21.03B(2) Maintaining Existing Traffic Management System Elements During Construction

07-21-17

Section 87-21.03B(2) applies if a bid item for maintaining existing traffic management system elements during construction is shown on the Bid Item List.

Traffic management system elements include:

- 1. Ramp metering system
- 2. Traffic monitoring stations
- 3. Microwave vehicle detection system
- 4. Changeable message sign system
- 5. Extinguishable message sign system
- 6. Highway advisory radio system
- 7. Closed circuit television camera system
- 8. Roadway weather information system

Obtain authorization at least 72 hours before interrupting communication between an existing system and the traffic management center.

If the Engineer notifies you that an existing system is not fully operational due to your activities, repair or replace the system within 72 hours. If the system cannot be fixed within 72 hours or it is located on a structure, provide a temporary system within 24 hours until the system can be fixed. Perform a functional test of the system in the presence of the Engineer. If you fail to perform the necessary repair or replacement work, the Department may perform the repair or replacement work and deduct the cost.

If you damage an existing fiber optic cable, install a new cable such that the length of cable slack is the same as before the damage, measured from an original splice point or termination. All splices must be made using the fusion method.

You may interrupt the operation of traffic monitoring stations:

- 1. For 60 days if another operational traffic monitoring station is located within 3 miles
- 2. For 15 days if another operational traffic monitoring station is located more than 3 miles away

If a traffic monitoring station must be interrupted for longer periods than specified, provide a temporary detection system. Obtain the Department's authorization for the type of temporary system and its installation method.

# 87-21.03C Modifying Existing Electrical Systems

Modify electrical systems as shown.

# 87-21.03D Removing Existing Electrical Systems

The components to be removed are shown on the project plans.

#### **87-21.04 PAYMENT**

Not Used

# DIVISION XI MATERIALS 90 CONCRETE

01-20-17

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Replace Method 1 in the 4th paragraph of section 90-1.01D(5)(a) with:

07-15-16

Method 2

# Add to section 90-4.01C(1):

01-20-17

Submit daily temperature data for internally monitored tier 1 PC concrete members each week as an informational submittal.

# Add between the 2nd and 3rd paragraphs of section 90-4.01C(3):

01-20-17

For internally monitored tier 1 PC bridge components, include the following as part of the QC plan:

- 1. Authorized mix design
- 2. Duration and method of curing
- 3. Concrete temperature monitoring and recording system details
- 4. Temperature sensor types and locations
- 5. Measures to ensure compliance with maximum temperature and temperature gain requirements, including maximum concrete temperature at discharge and controlling enclosure temperature

# Replace the list in the 3rd paragraph of section 90-4.01C(3) with:

01-20-17

- 1. Concrete plants
- 2. Material sources
- 3. Material testing procedures
- 4. Testing laboratory
- 5. Procedures and equipment
- 6. Systems for tracking and identifying PC concrete members
- 7. QC personnel
- 8. Methods for controlling internal concrete temperature

# Add to the list in the 2nd paragraph of section 90-4.01C(4):

01-20-17

7. Daily temperature data for internally monitored tier 1 PC concrete members

# Replace Temperature in the 2nd table in the 5th paragraph of section 90-4.01D(2)(c) with:

01-20-17

Temperature at time of mixing

# Add to section 90-4.01D(2):

01-20-17

90-4.01D(2)(d) Temperature Monitoring 90-4.01D(2)(d)(i) General

At a minimum, provide temperature monitoring devices as shown in the following table:

# **Temperature Monitoring Requirements**

Component	Steam curing	Other curing methods
Tier 1 PC bridge components except piling and deck panels	1 internal temperature sensor for each individually cast member; 1 internal temperature sensor for every 100 feet of bed length for continuously cast elements <sup>a</sup>	1 internal temperature sensor for each individually cast member; 1 internal temperature sensor for every 100 feet of bed length for continuously cast elements <sup>a</sup>
PC piling, deck panels, and PS pavement	1 enclosure temperature sensor for every 200 feet of bed length for continuously cast elements	Not required
Other PC components	1 enclosure temperature sensor for every 200 feet of bed length for continuously cast elements	Not required

<sup>&</sup>lt;sup>a</sup>Members not instrumented are represented by the nearest internal temperature probe.

Temperature monitoring devices must provide an accurate, continuous, permanent record of the temperature during curing activities.

# 90-4.01D(2)(d)(ii) Tier 1 Bridge Components

Except for piling and deck panels, provide a temperature monitoring and recording system during concrete placement and curing for tier 1 PC bridge components. The system must consist of temperature sensors connected to a data acquisition system. The system must be capable of recording, printing, and downloading temperature data to a computer. Temperature sensors must be accurate to within  $\pm 2$  degrees F.

Position each internal concrete temperature sensor as shown in the following table:

# **Internal Concrete Sensor Locations**

PC component	Sensor location
Wide flange, 'I', and	6–8 inches below top surface along
bulb tee girders	center line at midpoint
Other girder shapes	6–8 inches below top surface along
-	center line of stem at midpoint
Deck slabs	Center of element at mid-depth
Other elements	Position sensor to provide maximum
	concrete cover

Record temperature readings automatically at least every 15 minutes. You may discontinue temperature recording (1) when the maximum internal concrete temperature is falling for a minimum of 1 hour, or (2) immediately before stress transfer to the concrete.

Do not allow the ends of temperature sensors to come into contact with concrete supports, forms, or reinforcement.

Correct equipment failures in temperature control and monitoring and recording systems immediately.

# Add to section 90-4.01D(3):

01-20-17

For tier 1 PC bridge components that are monitored for internal temperature, the Engineer rejects components if at any temperature sensor (1) the maximum internal concrete temperature exceeds 165 degrees F, or (2) the internal temperature gain exceeds 40 degrees F per hour. If the maximum internal concrete temperature is from 161 to 165 degrees F, the Engineer reduces payment for furnish PC

concrete member by a percentage equal to 2 times the difference of the maximum measured temperature in degrees F minus 160.

# Add between the 3rd and 4th paragraphs of section 90-4.02:

01-20-17

For tier 1 PC concrete members with internal temperature monitoring:

- 1. Maximum internal concrete temperature must not exceed 165 degrees F at any temperature sensor
- 2. Maximum temperature gain must not exceed 40 degrees F per hour at any temperature sensor

# Replace the 5th paragraph of section 90-4.02 with:

01-20-17

Portland cement based repair material must be on the Authorized Material List for precast portland cement based repair material.

#### Replace the 4th item in the list in the 2nd paragraph of section 90-4.03 with:

01-20-17

4. Steam at the jets must be at low pressure and in a saturated condition. Steam jets must not impinge directly on the concrete, test cylinders, or forms. During application of the steam, the temperature rise within the enclosure must not exceed 40 degrees F per hour. Except for internally monitored components, the curing temperature throughout the enclosure must not exceed 150 degrees F. Maintain the curing temperature at a constant level for the time necessary to develop the required transfer strength. Cover control cylinders to prevent moisture loss and place them in a location where the temperature is representative of the average enclosure temperature.

01-20-17

#### Delete the 5th item in the list in the 2nd paragraph of section 90-4.03.

# Add to section 90-4.03:

01-20-17

For internally monitored tier 1 PC bridge components with a maximum internal concrete temperature of 161 to 165 degrees F, the following apply:

- 1. Do not apply curing compound
- 2. Cure an additional 7 days using the water cure method
- 3. After 7 days apply a silane waterproofing treatment under the following conditions:
  - 3.1. Silane waterproofing treatment selected for use must be on the Authorized Material List for silane reactive penetrating sealers
  - 3.2. Concrete surfaces must be completely dry when silane is applied
  - 3.3. Apply a single application of undiluted silane under the manufacturer's application instructions until surfaces are saturated

#### 90-9 RETURNED PLASTIC CONCRETE

# 90-9.01 GENERAL

# 90-9.01A Summary

Section 90-9 includes specifications for incorporating returned plastic concrete (RPC) into concrete.

RPC must be used only where the specifications allow its use. Do not use RPC in pavement or structural concrete.

#### 90-9.01B Definitions

returned plastic concrete (RPC): Excess concrete that is returned to a concrete plant in a plastic state and that has not attained initial set.

**hydration stabilizing admixture (HSA):** Extended set retarding admixture that controls and predictably reduces the hydration rate of the cementitious material.

#### 90-9.01C Submittals

Submit the following with the weighmaster certificate:

- 1. Weight or volume of RPC
- 2. Type, brand, and dosage of HSA
- 3. Time of adding HSA
- 4. Copy of the original weighmaster certificate for the RPC
- 5. Temperature of RPC

When requested, submit the HSA manufacturer's instructions, including dosage tables.

#### 90-9.01D Quality Assurance

The material plant producing concrete containing RPC must be authorized under the MPQP.

For volumetric proportioning of RPC:

- 1. The volumetric container must be imprinted with manufacturer's name, model number, serial number, the as-calibrated volume and date of the last calibration. Cross sectional dimensions of the container must remain the same as those during its calibration.
- 2. The device must be re-calibrated monthly and at any time when the container shape has been deformed from its original condition or there is evidence of material build-up on the inside of the device.
- 3. The device must be held in a level condition during filling. Fill the device to the measure or strike-off line. Each measurement must be filled to within 1.0% of the device as-calibrated volume.
- 4. The device interior must be cleaned after each measurement to maintain a zero condition.

For weight proportioning, proportion RPC with a weigh hopper attached to the plant at a position which allows the addition of the RPC to the mixer truck with the conventional PCC ingredients. The plant process controller must control the proportioning of RPC to within 1.0% of its target weight.

#### **90-9.02 MATERIALS**

# 90-9.02A General

The quantity of RPC added to the concrete must not exceed 15 percent.

The cementitious material content of the RPC must be at least that specified for the concrete that allows the use of RPC.

Water must not be added to the RPC after batching, including in the truck mixer.

Use HSA for controlling and reducing the hydration rate of RPC.

Incorporate RPC by mixing into the concrete before arriving at the jobsite.

#### 90-9.02B Returned Plastic Concrete

The RPC must not exceed 100 degrees F at any time.

If HSA is not used, RPC must be incorporated into the concrete before attaining initial set or within 4 hours after batching of RPC, whichever is earlier.

#### If HSA is used:

- 1. Add HSA to RPC within 4 hours after original batching.
- 2. Measure and record the time, dosage of HSA, and temperature of RPC when HSA is added.
- 3. Mix the RPC under the HSA manufacturer's instructions after adding HSA or at least 30 revolutions, whichever is greater.
- 4. Incorporate RPC into the concrete within 4 hours after adding HSA.

#### RPC must not contain:

- 1. Accelerating admixture
- 2. Fiber
- 3. Pigment
- 4. Lightweight aggregate
- 5. Previously returned RPC
- 6. Any ingredient incompatible with the resultant concrete

# 90-9.02C Hydration Stabilizing Admixture

HSA must comply with ASTM C494 admixture Type B or Type D.

HSA must have a proven history of specifically maintaining and extending both plasticity and set.

HSA dosage must comply with the manufacturer's instructions.

# 90-9.02D Production

Proportion concrete containing RPC under section 90-2.02E.

Proportion RPC by weight or by volume.

#### 90-9.03 CONSTRUCTION

Not Used

#### **90-9.04 PAYMENT**

Not Used

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